

# **CSE 613: Parallel Programming**

## **Lecture 11**

### **( Parallel Maximal Independent Set )**

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# Independent Sets

Let  $G = (V, E)$  be an undirected graph.

**Independent Set:** A subset  $I \subseteq V$  is said to be *independent* provided for each  $v \in I$  none of its neighbors in  $G$  belongs to  $I$ .

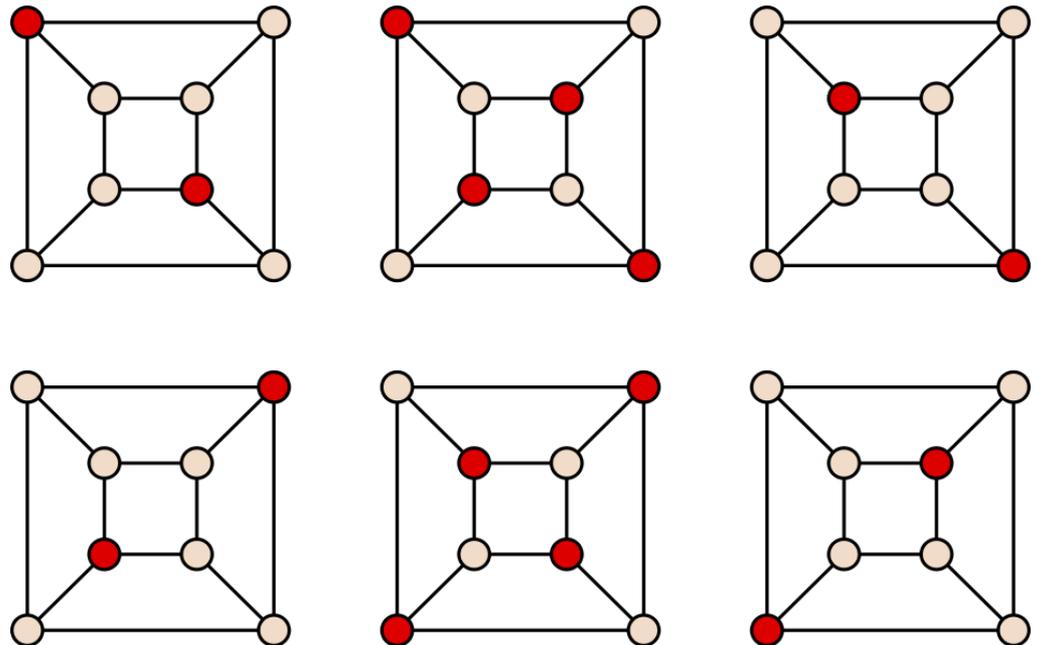
**Maximal Independent Set:** An independent set of  $G$  is *maximal* if it is not properly contained in any other independent set in  $G$ .

**Maximum Independent Set:**

A maximal independent set of the largest size.

Finding a maximum independent set is NP-hard.

But finding a maximal independent set is trivial in the sequential setting.



Maximal Independent Sets ( red vertices ) of the Cube Graph

Source: Wikipedia

# Finding a Maximal Independent Set Sequentially

**Input:**  $V$  is the set of vertices, and  $E$  is the set of edges. For each  $v \in V$ , we denote by  $\Gamma(v)$  the set of neighboring vertices of  $v$ .

**Output:** A maximal independent set  $MIS$  of the input graph.

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Serial-Greedy-MIS (  $V, E$  )
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1.  $MIS \leftarrow \phi$
2. *for*  $v \leftarrow 1$  *to*  $|V|$  *do*
3.     *if*  $MIS \cap \Gamma(v) = \phi$  *then*  $MIS \leftarrow MIS \cup \{v\}$
4. *return*  $MIS$

This algorithm can be easily implemented to run in  $\Theta(n + m)$  time, where  $n$  is the number of vertices and  $m$  is the number of edges in the input graph.

The output of this algorithm is called the *Lexicographically First MIS* (LFMIS).

# Finding a Maximal Independent Set Sequentially

**Input:**  $V$  is the set of vertices, and  $E$  is the set of edges. For each  $v \in V$ , we denote by  $\Gamma(v)$  the set of neighboring vertices of  $v$ .

**Output:** A maximal independent set  $MIS$  of the input graph.

*Serial-Greedy-MIS-2* ( $V, E$ )

1.  $MIS \leftarrow \phi$
2. *while*  $|V| > 0$  *do*
3.   pick an arbitrary vertex  $v \in V$
4.    $MIS \leftarrow MIS \cup \{v\}$
5.    $R \leftarrow \{v\} \cup \Gamma(v)$
6.    $V \leftarrow V \setminus R$
7.    $E \leftarrow E \setminus \{(v_1, v_2) \mid v_1 \in R \text{ or } v_2 \in R\}$
8. *return*  $MIS$

Always choosing the vertex with the smallest id in the current graph will produce exactly the same MIS as in *Serial-Greedy-MIS*.

# Finding a Maximal Independent Set Sequentially

**Input:**  $V$  is the set of vertices, and  $E$  is the set of edges. For each  $S \subseteq V$ , we denote by  $\Gamma(S)$  the set of neighboring vertices of  $S$ .

**Output:** A maximal independent set  $MIS$  of the input graph.

*Serial-Greedy-MIS-3* (  $V, E$  )

1.  $MIS \leftarrow \phi$
2. *while*  $|V| > 0$  *do*
3.     find an independent set  $S \subseteq V$
4.      $MIS \leftarrow MIS \cup S$
5.      $R \leftarrow S \cup \Gamma(S)$
6.      $V \leftarrow V \setminus R$
7.      $E \leftarrow E \setminus \{ (v_1, v_2) \mid v_1 \in R \text{ or } v_2 \in R \}$
8. *return*  $MIS$

# Parallelizing Serial-Greedy-MIS-3

- Number of iterations can be kept small by finding in each iteration an  $S$  with large  $S \cup \Gamma(S)$ . But this is difficult to do.
- Instead in each iteration we choose an  $S$  such that a large fraction of current edges are incident on  $S \cup \Gamma(S)$ .
- To select  $S$  we start with a random  $S' \subseteq V$ .

*Serial-Greedy-MIS-3* (  $V, E$  )

1.  $MIS \leftarrow \phi$
2. *while*  $|V| > 0$  *do*
3.   find an independent set  $S \subseteq V$
4.    $MIS \leftarrow MIS \cup S$
5.    $R \leftarrow S \cup \Gamma(S)$
6.    $V \leftarrow V \setminus R$
7.    $E \leftarrow E \setminus \{ (v_1, v_2) \mid v_1 \in R \text{ or } v_2 \in R \}$
8. *return*  $MIS$

- By choosing lower degree vertices with higher probability we are likely to have very few edges with both end-points in  $S'$ .
- We check each edge with both end-points in  $S'$ , and drop the end-point with lower degree from  $S'$ . Our intention is to keep  $\Gamma(S')$  as large as we can.
- After removing all edges as above we are left with an independent set. This is our  $S$ .
- We will prove that if we remove  $S \cup \Gamma(S)$  from the current graph a large fraction of current edges will also get removed.

# Randomized Maximal Independent Set ( MIS )

**Input:**  $n$  is the number of vertices, and for each vertex  $u \in [ 1, n ]$ ,  $V[ u ]$  is set to  $u$ .  $E$  is the set of edges sorted in non-decreasing order of the first vertex. For every edge  $( u, v )$  both  $( u, v )$  and  $( v, u )$  are included in  $E$ .

**Output:** For all  $u \in [ 1, n ]$ ,  $MIS[ u ]$  is set to 1 if vertex  $u$  is in the MIS.

$d[ u ]$  (i.e., degree of vertex  $u$ ) can now be computed easily by subtracting  $c[ u - 1 ]$  from  $c[ u ]$

if both end-points of an edge is marked, unmark the one with the lower degree

remove marked vertices along with their neighbors as well as the corresponding edges

*Par-Randomized-MIS* (  $n, V, E, MIS$  )

1. **while**  $|V| > 0$  **do**
2.   **array**  $d[ 1 : |V| ], c[ 1 : |V| ] = \{ 0 \}, M[ 1 : |V| ] = \{ 0 \}$
3.   **parallel for**  $i \leftarrow 1$  **to**  $|E|$  **do**
4.     **if**  $i = |E|$  **then**  $k \leftarrow n$  **else**  $k \leftarrow E[ i + 1 ].u - 1$
5.     **parallel for**  $j \leftarrow E[ i ].u$  **to**  $k$  **do**  $c[ j ] \leftarrow i$
6.   **parallel for**  $u \leftarrow 1$  **to**  $|V|$  **do**
7.     **if**  $u = 1$  **then**  $d[ u ] \leftarrow c[ u ]$  **else**  $d[ u ] \leftarrow c[ u ] - c[ u - 1 ]$
8.     **if**  $d[ u ] = 0$  **then**  $M[ u ] \leftarrow 1$
9.     **else**  $M[ u ] \leftarrow 1$  ( with probability  $1 / ( 2d[ u ] )$  )
10.   **parallel for each**  $( u, v ) \in E$  **do**
11.     **if**  $M[ u ] = 1$  **and**  $M[ v ] = 1$  **then**
12.       **if**  $d[ u ] \leq d[ v ]$  **then**  $M[ u ] \leftarrow 0$  **else**  $M[ v ] \leftarrow 0$
13.   **parallel for**  $u \leftarrow 1$  **to**  $|V|$  **do**
14.     **if**  $M[ u ] = 1$  **then**  $MIS[ V[ u ] ] \leftarrow 1$
15.    $( V, E ) \leftarrow$  *Par-Compress* (  $V, E, M$  )

for each  $u$  find the edge with the largest index  $i$  such that  $E[ i ].u \leq u$ , and store that  $i$  in  $c[ u ]$

mark lower-degree vertices with higher probability

add all marked vertices to MIS

# Removing Marked Vertices and Their Neighbors

**Input:** Arrays  $V$  and  $E$ , and bit array  $M[1:|V|]$ . Each entry of  $E$  is of the form  $(u, v)$ , where  $1 \leq u, v \leq |V|$ . If for some  $u$ ,  $M[u] = 1$ , then  $u$  and all  $v$  such that  $(u, v) \in E$  must be removed from  $V$  along with all edges  $(u, v)$  from  $E$ .

**Output:** Updated  $V$  and  $E$ .

marked vertices  
will be removed

find new indices  
for surviving  
vertices & edges

move surviving  
edges to the  
smaller array  $F$

*Par-Compress* ( $V, E, M$ )

1. `array  $S_V[1:|V|] = \{1\}$ ,  $S'_V[1:|V|]$ ,  $S_E[1:|E|] = \{1\}$ ,  $S'_E[1:|E|]$`
2. `parallel for  $u \leftarrow 1$  to  $|V|$  do`
3. `if  $M[u] = 1$  then  $S_V[u] \leftarrow 0$`
4. `parallel for  $i \leftarrow 1$  to  $|E|$  do`
5.  `$u \leftarrow E[i].u$ ,  $v \leftarrow E[i].v$`
6. `if  $M[u] = 1$  or  $M[v] = 1$  then  $S_V[u] \leftarrow 0$ ,  $S_V[v] \leftarrow 0$ ,  $S_E[i] \leftarrow 0$`
7.  `$S'_V \leftarrow \text{Par-Prefix-Sum}(S_V, +)$ ,  $S'_E \leftarrow \text{Par-Prefix-Sum}(S_E, +)$`
8. `array  $U[1:S'_V[|V|]]$ ,  $F[1:S'_E[|E|]]$`
9. `parallel for  $u \leftarrow 1$  to  $|V|$  do`
10. `if  $S_V[u] = 1$  then  $U[S'_V[u]] \leftarrow V[u]$`
11. `parallel for  $i \leftarrow 1$  to  $|E|$  do`
12. `if  $S_E[i] = 1$  then  $F[S'_E[i]] \leftarrow E[i]$`
13. `parallel for  $i \leftarrow 1$  to  $|F|$  do`
14.  `$u \leftarrow F[i].u$ ,  $v \leftarrow F[i].v$`
15.  `$F[i].u \leftarrow S'_V[u]$ ,  $F[i].v \leftarrow S'_V[v]$`
16. `return ( $U, F$ )`

initialize

neighbors of  
marked vertices &  
corresponding  
edges must go

move surviving  
vertices to the  
smaller array  $U$

update the end-  
points of the  
surviving edges to  
new vertex  
indices

# Removing Marked Vertices and Their Neighbors

*Par-Compress* (  $V, E, M$  )

1. *array*  $S_V[1 : |V|] = \{1\}$ ,  $S'_V[1 : |V|]$ ,  
 $S_E[1 : |E|] = \{1\}$ ,  $S'_E[1 : |E|]$
2. *parallel for*  $u \leftarrow 1$  *to*  $|V|$  *do*
3.   *if*  $M[u] = 1$  *then*  $S_V[u] \leftarrow 0$
4. *parallel for*  $i \leftarrow 1$  *to*  $|E|$  *do*
5.    $u \leftarrow E[i].u$ ,  $v \leftarrow E[i].v$
6.   *if*  $M[u] = 1$  *or*  $M[v] = 1$  *then*  
       $S_V[u] \leftarrow 0$ ,  $S_V[v] \leftarrow 0$ ,  $S_E[i] \leftarrow 0$
7.  $S'_V \leftarrow$  *Par-Prefix-Sum* (  $S_V, +$  ),  
 $S'_E \leftarrow$  *Par-Prefix-Sum* (  $S_E, +$  )
8. *array*  $U[1 : S'_V[|V|]]$ ,  $F[1 : S'_E[|E|]]$
9. *parallel for*  $u \leftarrow 1$  *to*  $|V|$  *do*
10.   *if*  $S_V[u] = 1$  *then*  $U[S'_V[u]] \leftarrow V[u]$
11. *parallel for*  $i \leftarrow 1$  *to*  $|E|$  *do*
12.   *if*  $S_E[i] = 1$  *then*  $F[S'_E[i]] \leftarrow E[i]$
13. *parallel for*  $i \leftarrow 1$  *to*  $|F|$  *do*
14.    $u \leftarrow F[i].u$ ,  $v \leftarrow F[i].v$
15.    $F[i].u \leftarrow S'_V[u]$ ,  $F[i].v \leftarrow S'_V[v]$
16. *return* (  $U, F$  )

The prefix sums in line 7 perform  $\Theta(|V| + |E|)$  work and have  $\Theta(\log^2|V| + \log^2|E|)$  depth. The rest of the algorithm also perform  $\Theta(|V| + |E|)$  work but in  $\Theta(\log|V| + \log|E|)$  depth. Hence,

**Work:**  $\Theta(|V| + |E|)$

**Span:**  $\Theta(\log^2|V| + \log^2|E|)$

# Randomized Maximal Independent Set ( MIS )

*Par-Randomized-MIS* (  $n, V, E, MIS$  )

1. *while*  $|V| > 0$  *do*
2.   *array*  $d[1 : |V|], c[1 : |V|] = \{0\}$ ,  
       $M[1 : |V|] = \{0\}$
3.   *parallel for*  $i \leftarrow 1$  *to*  $|E|$  *do*
4.     *if*  $i = |E|$  *then*  $k \leftarrow n$  *else*  $k \leftarrow E[i+1].u - 1$
5.     *parallel for*  $j \leftarrow E[i].u$  *to*  $k$  *do*  $c[j] \leftarrow i$
6.   *parallel for*  $u \leftarrow 1$  *to*  $|V|$  *do*
7.     *if*  $u = 1$  *then*  $d[u] \leftarrow c[u]$   
      *else*  $d[u] \leftarrow c[u] - c[u-1]$
8.     *if*  $d[u] = 0$  *then*  $M[u] \leftarrow 1$
9.     *else*  $M[u] \leftarrow 1$  ( with prob  $1 / (2d[u])$  )
10.   *parallel for each*  $(u, v) \in E$  *do*
11.     *if*  $M[u] = 1$  *and*  $M[v] = 1$  *then*
12.       *if*  $d[u] \leq d[v]$  *then*  $M[u] \leftarrow 0$   
      *else*  $M[v] \leftarrow 0$
13.   *parallel for*  $u \leftarrow 1$  *to*  $|V|$  *do*
14.     *if*  $M[u] = 1$  *then*  $MIS[V[u]] \leftarrow 1$
15.    $(V, E) \leftarrow$  *Par-Compress* (  $V, E, M$  )

Let  $n = \#$ vertices, and  $m = \#$ edges initially.

Let us assume for the time being that at least a constant fraction of the edges are removed in each iteration of the *while* loop ( we will prove this shortly ). Let this fraction be  $f (< 1)$ .

This implies that the *while* loop iterates  $\Theta(\log_{1/(1-f)} m) = \Theta(\log m)$  times. ( how? )

Each iteration performs  $\Theta(|V| + |E|)$  work and has  $\Theta(\log^2 |V| + \log^2 |E|)$  depth. Hence,

$$\begin{aligned} \text{Work: } T_1(n, m) &= \Theta\left((n + m) \sum_{i=0}^k (1 - f)^i\right) \\ &= \Theta(n + m) \end{aligned}$$

$$\begin{aligned} \text{Span: } T_\infty(n, m) &= \Theta((\log^2 n + \log^2 m) \log m) \\ &= \Theta(\log^3 n) \end{aligned}$$

$$\text{Parallelism: } \frac{T_1(n, m)}{T_\infty(n, m)} = \Theta\left(\frac{n + m}{\log^3 n}\right)$$

# Analysis of Randomized MIS

Let,  $d(v)$  be the degree of vertex  $v$ , and  $\Gamma(v)$  be its set of neighbors.

**Good Vertex:** A vertex  $v$  is *good* provided  $|L(v)| \geq \frac{d(v)}{3}$ , where,

$$L(v) = \{ u \mid (u \in \Gamma(v)) \wedge (d(u) \leq d(v)) \}.$$

**Bad Vertex:** A vertex is *bad* if it is not good.

**Good Edge:** An edge  $(u, v)$  is *good* if at least one of  $u$  and  $v$  is good.

**Bad Edge:** An edge  $(u, v)$  is *bad* if both  $u$  and  $v$  are bad.

# Analysis of Randomized MIS

**Lemma 1:** In some iteration of the *while* loop, let  $v$  be a good vertex with  $d(v) > 0$ , and let  $M$  be the set of vertices that got marked (in lines 8-9). Then

$$\Pr\{ \Gamma(v) \cap M \neq \emptyset \} \geq 1 - e^{-1/6}.$$

**Proof:** We have,  $\Pr\{ \Gamma(v) \cap M \neq \emptyset \} = 1 - \Pr\{ \Gamma(v) \cap M = \emptyset \}$

$$\begin{aligned} &= 1 - \prod_{u \in \Gamma(v)} \Pr\{ u \notin M \} \geq 1 - \prod_{u \in L(v)} \Pr\{ u \notin M \} \\ &= 1 - \prod_{u \in L(v)} \left( 1 - \frac{1}{2d(u)} \right) \geq 1 - \prod_{u \in L(v)} \left( 1 - \frac{1}{2d(v)} \right) \\ &= 1 - \left( 1 - \frac{1}{2d(v)} \right)^{|L(v)|} \geq 1 - \left( 1 - \frac{1}{2d(v)} \right)^{d(v)/3} \\ &\geq 1 - e^{-\frac{d(v)/3}{2d(v)}} = 1 - e^{-\frac{1}{6}} \end{aligned}$$

# Analysis of Randomized MIS

**Lemma 2:** In any iteration of the *while* loop, let  $M$  be the set of vertices that got marked (in lines 8-9), and let  $S$  be the set of vertices that got included in the MIS (in line 14). Then

$$\Pr\{v \in S \mid v \in M\} \geq \frac{1}{2}.$$

**Proof:** We have,  $\Pr\{v \in S \mid v \in M\}$

$$\geq 1 - \Pr\{\exists u \in \Gamma(v) \text{ s.t. } (d(u) \geq d(v)) \wedge (u \in M)\}$$

$$\geq 1 - \sum_{\substack{u \in \Gamma(v) \\ d(u) \geq d(v)}} \frac{1}{2d(u)} \geq 1 - \sum_{\substack{u \in \Gamma(v) \\ d(u) \geq d(v)}} \frac{1}{2d(v)}$$

$$\geq 1 - \sum_{u \in \Gamma(v)} \frac{1}{2d(v)} = 1 - d(v) \times \frac{1}{2d(v)} = \frac{1}{2}$$

# Analysis of Randomized MIS

**Lemma 3:** In any iteration of the *while* loop, let  $V_G$  be the set of good vertices, and let  $S$  be the vertex set that got included in the MIS. Then

$$\Pr\{v \in S \cup \Gamma(S) \mid v \in V_G\} \geq \frac{1}{2}(1 - e^{-1/6}).$$

**Proof:** We have,  $\Pr\{v \in S \cup \Gamma(S) \mid v \in V_G\}$

$$\geq \Pr\{v \in \Gamma(S) \mid v \in V_G\} = \Pr\{\Gamma(v) \cap S \neq \phi \mid v \in V_G\}$$

$$= \Pr\{\Gamma(v) \cap S \neq \phi \mid \Gamma(v) \cap M \neq \phi, v \in V_G\}$$

$$\times \Pr\{\Gamma(v) \cap M \neq \phi \mid v \in V_G\}$$

$$\geq \Pr\{u \in S \mid u \in \Gamma(v) \cap M, v \in V_G\}$$

$$\times \Pr\{\Gamma(v) \cap M \neq \phi \mid v \in V_G\}$$

$$\geq \frac{1}{2}(1 - e^{-1/6})$$

# Analysis of Randomized MIS

**Lemma 3:** In any iteration of the *while* loop, let  $V_G$  be the set of good vertices, and let  $S$  be the vertex set that got included in the MIS. Then

$$\Pr\{v \in S \cup \Gamma(S) \mid v \in V_G\} \geq \frac{1}{2} (1 - e^{-1/6}).$$

**Corollary 1:** In any iteration of the *while* loop, a good vertex gets removed (in line 15) with probability at least  $\frac{1}{2} (1 - e^{-1/6})$ .

**Corollary 2:** In any iteration of the *while* loop, a good edge gets removed (in line 15) with probability at least  $\frac{1}{2} (1 - e^{-1/6})$ .

# Analysis of Randomized MIS

**Lemma 4:** In any iteration of the *while* loop, let  $E$  and  $E_G$  be the sets of all edges and good edges, respectively. Then  $|E_G| \geq |E|/2$ .

**Proof:** For each edge  $(u, v) \in E$ , direct  $(u, v)$  from  $u$  to  $v$  if  $d(u) \leq d(v)$ , and  $v$  to  $u$  otherwise.

For every vertex  $v$  in the resulting digraph let  $d_i(v)$  and  $d_o(v)$  denote its in-degree and out-degree, respectively.

Let  $V_G$  and  $V_B$  be the set of good and bad vertices, respectively.

Then for each  $v \in V_B$ ,  $d_o(v) - d_i(v) \geq \frac{d(v)}{3}$ .

Let  $m_{BB}$ ,  $m_{BG}$ ,  $m_{GB}$  and  $m_{GG}$  be the #edges directed from  $V_B$  to  $V_B$ , from  $V_B$  to  $V_G$ , from  $V_G$  to  $V_B$ , and from  $V_G$  to  $V_G$ , respectively.

# Analysis of Randomized MIS

**Lemma 4:** In any iteration of the *while* loop, let  $E$  and  $E_G$  be the sets of all edges and good edges, respectively. Then  $|E_G| \geq |E|/2$ .

**Proof ( continued ):** We have,

$$\begin{aligned} & 2m_{BB} + m_{BG} + m_{GB} \\ &= \sum_{v \in V_B} d(v) \leq 3 \sum_{v \in V_B} (d_o(v) - d_i(v)) = 3 \sum_{v \in V_G} (d_i(v) - d_o(v)) \\ &= 3((m_{BG} + m_{GG}) - (m_{GB} + m_{GG})) = 3(m_{BG} - m_{GB}) \\ &\leq 3(m_{BG} + m_{GB}) \end{aligned}$$

Thus  $2m_{BB} + m_{BG} + m_{GB} \leq 3(m_{BG} + m_{GB})$

$$\Rightarrow m_{BB} \leq m_{BG} + m_{GB} \Rightarrow m_{BB} \leq m_{BG} + m_{GB} + m_{GG}$$

$$\Rightarrow (m_{BG} + m_{GB} + m_{GG}) + m_{BB} \leq 2(m_{BG} + m_{GB} + m_{GG})$$

$$\Rightarrow |E| \leq 2|E_G|$$

# Analysis of Randomized MIS

**Lemma 5:** In any iteration of the *while* loop, let  $E$  be the set of all edges. Then the expected number of edges removed (in line 15) during this iteration is at least  $\frac{1}{4} (1 - e^{-1/6}) |E|$ .

**Proof:** Follows from Lemma 4 and Corollary 2.