

# **CSE 548: Analysis of Algorithms**

## **Prerequisites Review 5 ( Dynamic Programming )**

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**Fall 2019**

# The Rod Cutting Problem

Suppose you are given:

- a rod of length  $n$  inches, and
- a list of prices  $p_i$  for integer  $i \in [1, n]$ ,  
where  $p_i$  is the selling price of a rod of length  $i$  inches.

Determine the maximum revenue  $r_n$  obtainable by cutting up the rod and selling the pieces.

# The Rod Cutting Problem

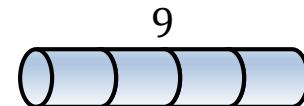
A sample price table for rods

length $i$	1	2	3	4	5	6	7	8	9	10
price $p_i$	1	5	8	9	10	17	17	20	24	30

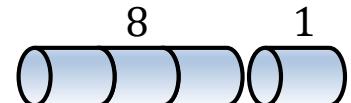
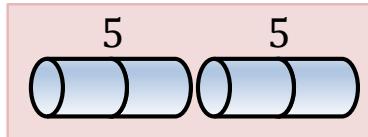
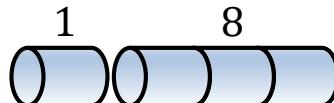
Solve the problem for  $n = 4$  and the price table given above.

#pieces    #ways

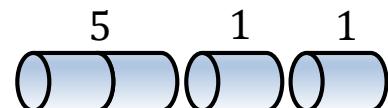
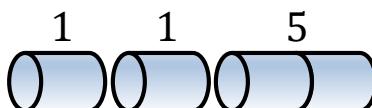
$$1 \quad \binom{3}{0} = 1$$



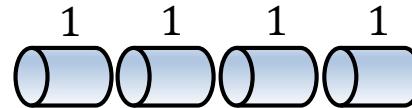
$$2 \quad \binom{3}{1} = 3$$



$$3 \quad \binom{3}{2} = 3$$



$$4 \quad \binom{3}{3} = 1$$



Total:  $8 = 2^3$

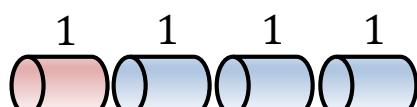
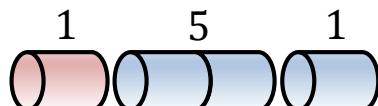
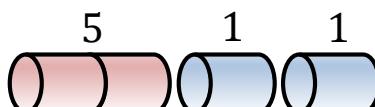
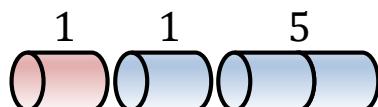
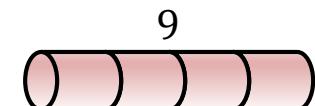
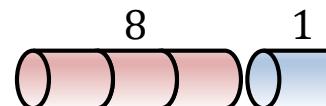
$$r_n = 5 + 5 = 10$$

# Rod Cutting: Standard Recursive Algorithm

A sample price table for rods

length $i$	1	2	3	4	5	6	7	8	9	10
price $p_i$	1	5	8	9	10	17	17	20	24	30

There is a different way of looking at the cuts and thus computing  $r_n$ .



$$r_n = \begin{cases} 0, & \text{if } n = 0, \\ \max_{1 \leq i \leq n} \{p_i + r_{n-i}\}, & \text{if } n > 0. \end{cases}$$

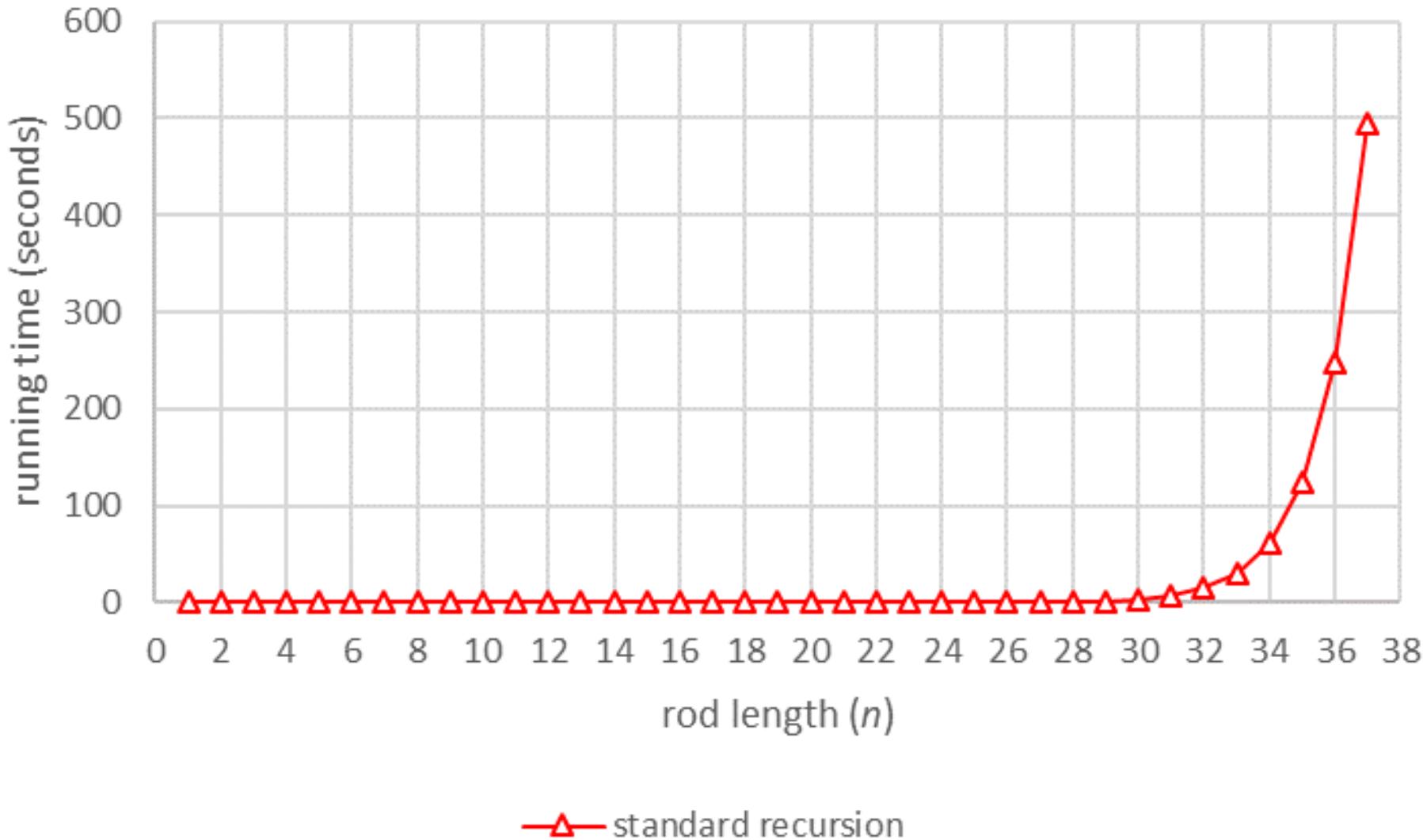
# Rod Cutting: Standard Recursive Algorithm

$$r_n = \begin{cases} 0, & \text{if } n = 0, \\ \max_{1 \leq i \leq n} \{ p_i + r_{n-i} \}, & \text{if } n > 0. \end{cases}$$

*CUT-ROD ( p, n )*

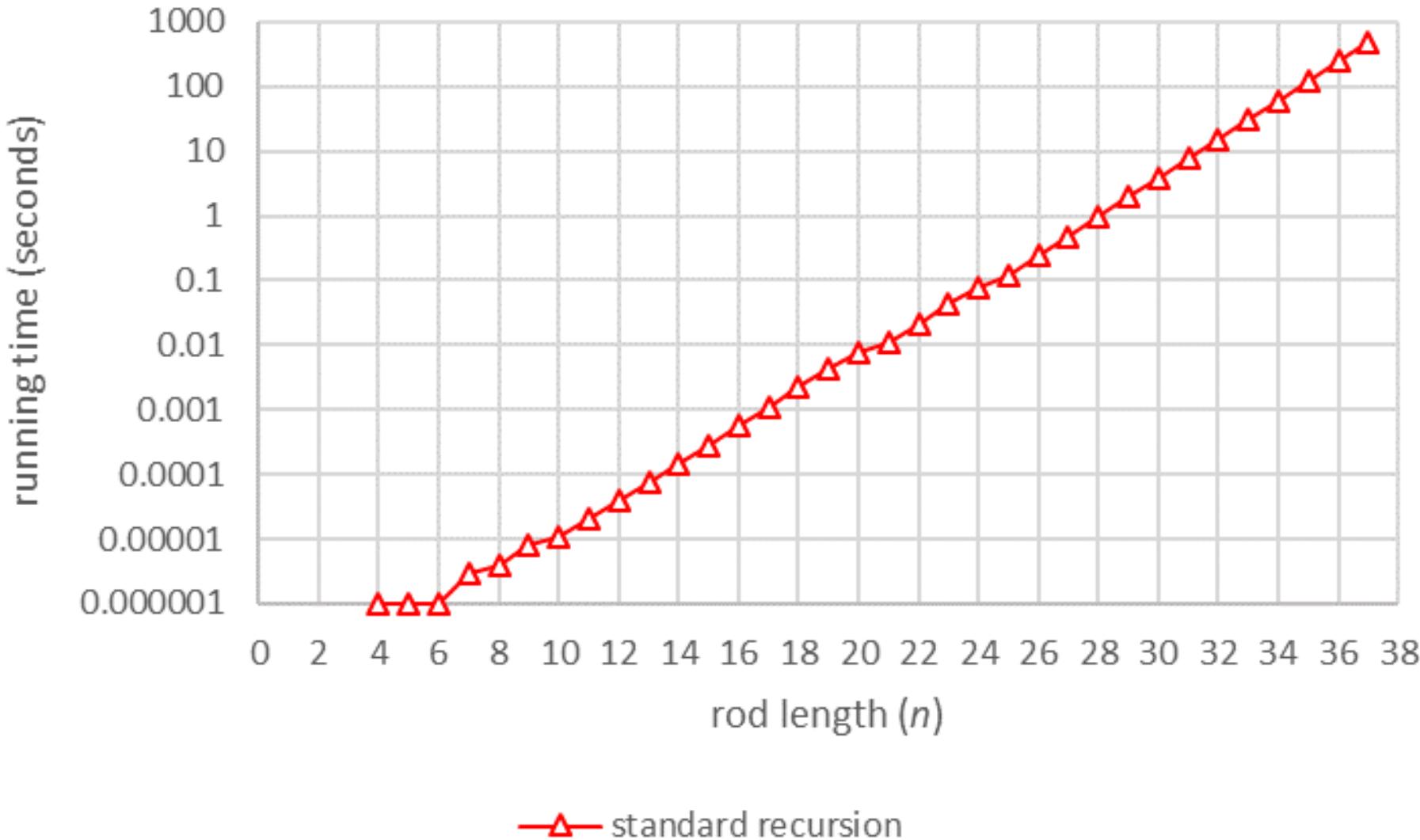
1. *if*  $n = 0$  *then*
2.     *return* 0
3.      $q \leftarrow -\infty$
4.     *for*  $i \leftarrow 1$  *to*  $n$  *do*
5.          $q \leftarrow \max\{ q, p[i] + \text{CUT-ROD ( } p, n - i \text{ )} \}$
6.     *return*  $q$

# Rod Cutting: Standard Recursive Algorithm



\*Run on a dual-socket (2 × 8 cores) 2.0 GHz Intel E5-2650 with private 32KB L1 and 256KB L2 caches, a shared 20MB L3 cache per socket and 32GB RAM. Only one core was used.

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*CUT-ROD ( p, n )*

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4.     *for*  $i \leftarrow 1$  *to*  $n$  *do*
5.          $q \leftarrow \max\{ q, p[i] + \text{CUT-ROD} ( p, n - i ) \}$
6.     *return*  $q$

Let  $T(n)$  be the running time of the algorithm on an input of size  $n$ .

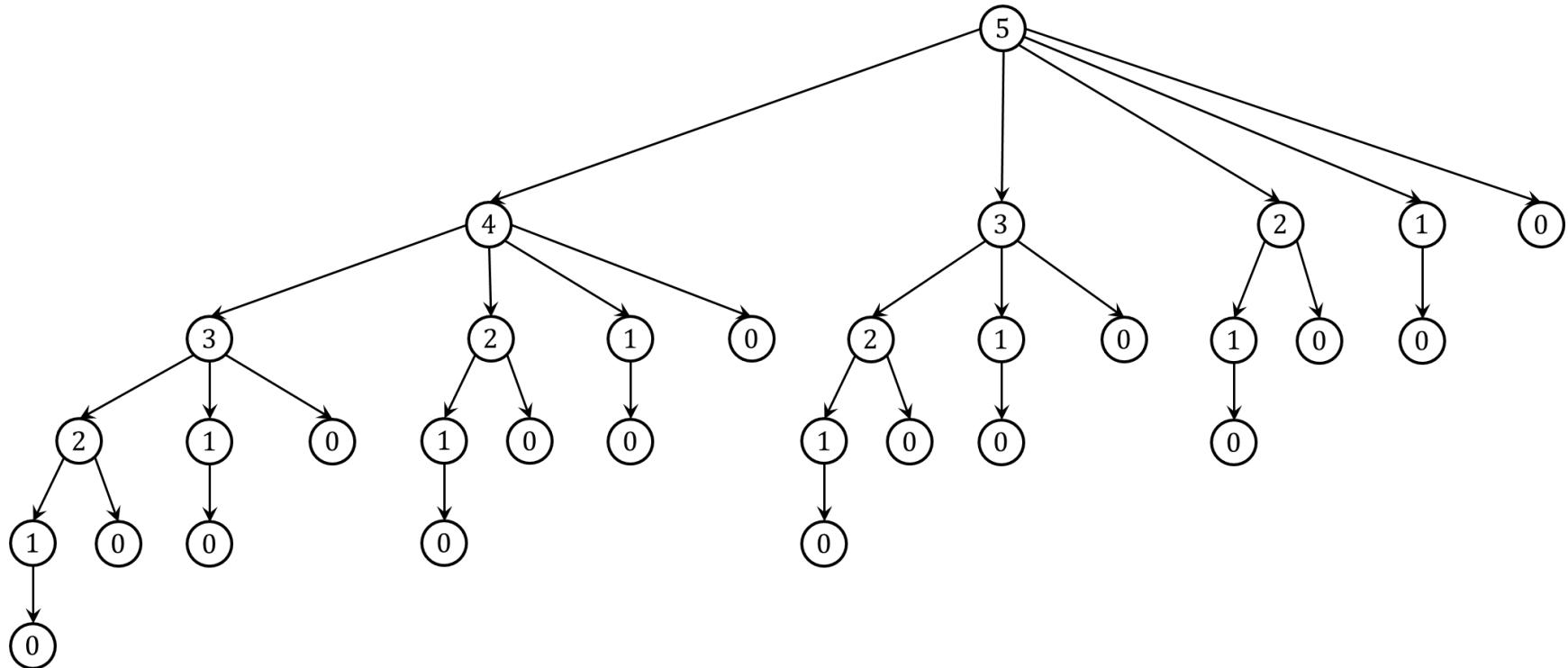
Then

$$T(n) = \begin{cases} \Theta(1), & \text{if } n = 0, \\ \sum_{i=1}^n T(n-i) + \Theta(1), & \text{if } n > 0. \end{cases}$$

Solving:  $T(n) = \Theta(2^n)$ .

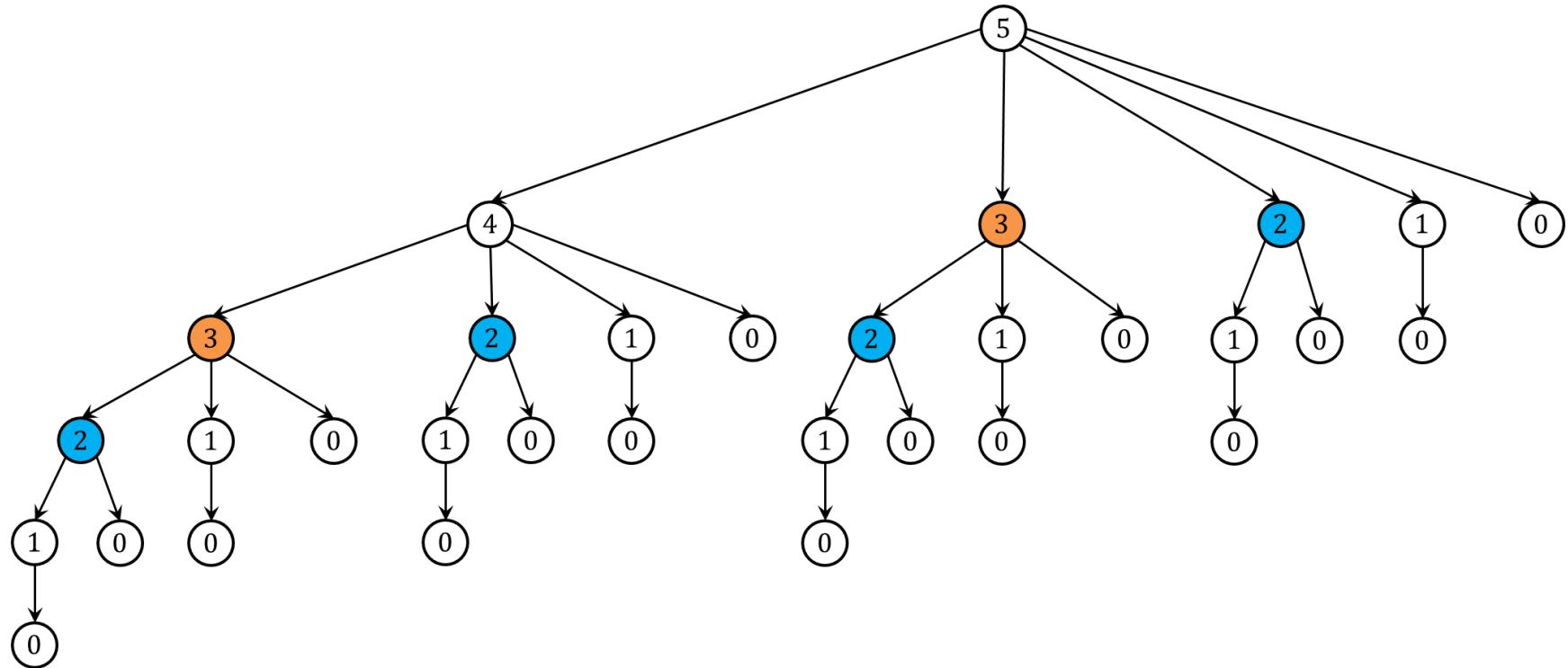
# Rod Cutting: Standard Recursive Algorithm

When `CUT-ROD( n )` is called with  $n = 5$ , the values of  $n$  passed to the recursive function calls are shown below.



# Rod Cutting: Standard Recursive Algorithm

When  $\text{CUT-ROD}(n)$  is called with  $n = 5$ , the values of  $n$  passed to the recursive function calls are shown below.



We are calling  $\text{CUT-ROD}(n)$  or solving the problem for the same value of  $n$  over and over again!

How about saving the solution when we solve the problem for any given value of  $n$  for the first time?

# Rod Cutting: Recursion with Memoization

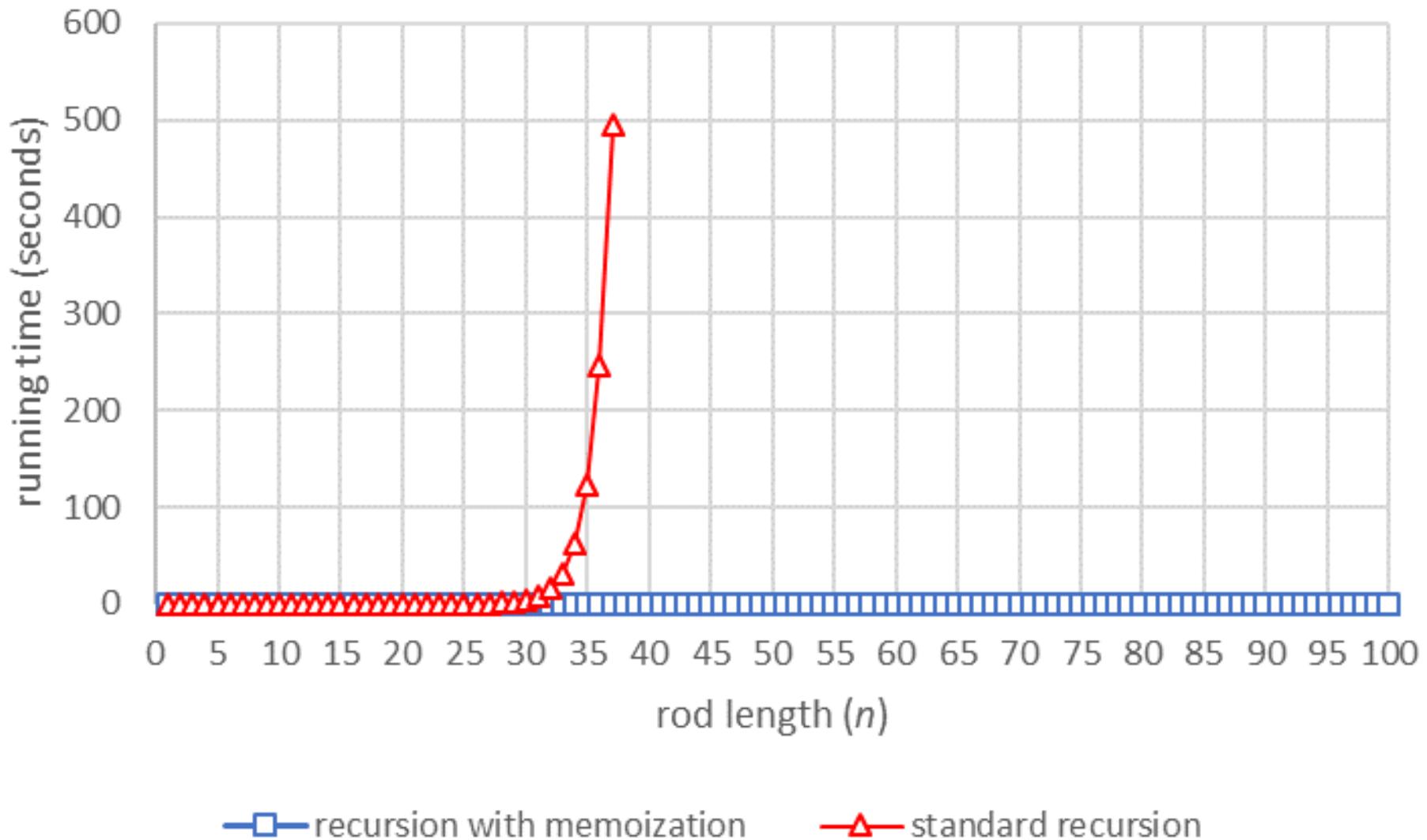
*MEMOIZED-CUT-ROD ( p, n )*

1.  $r[0..n] \leftarrow$  new array
2. *for*  $i \leftarrow 0$  *to*  $n$  *do*
3.      $r[i] \leftarrow -\infty$
4. *return* *MEMOIZED-CUT-ROD-AUX ( p, n, r )*

*MEMOIZED-CUT-ROD-AUX ( p, n, r )*

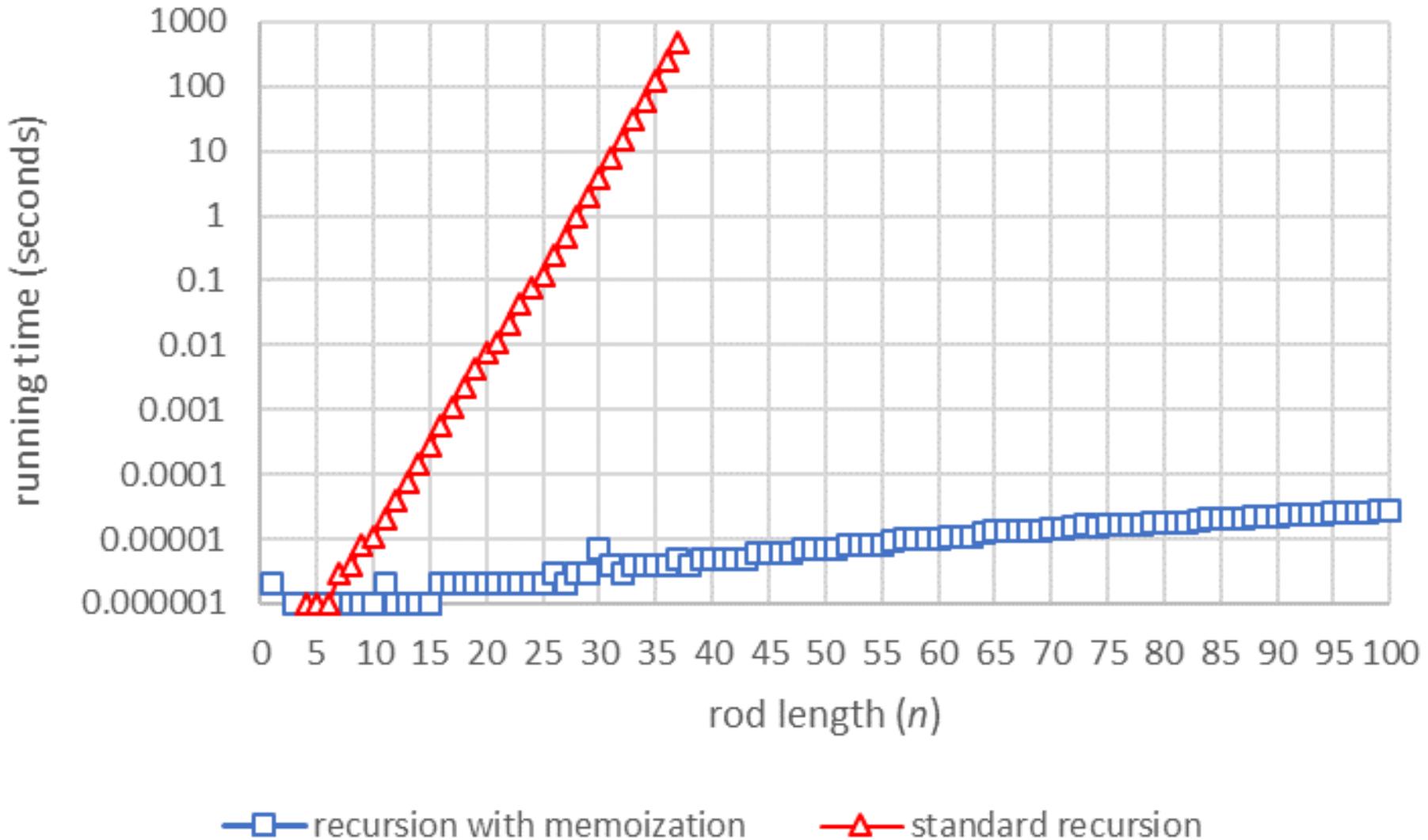
1. *if*  $r[n] \geq 0$  *then*
2.     *return*  $r[n]$
3. *if*  $n = 0$  *then*
4.      $q \leftarrow 0$
3. *else*  $q \leftarrow -\infty$
4.     *for*  $i \leftarrow 1$  *to*  $n$  *do*
5.          $q \leftarrow \max\{ q, p[i] + \text{MEMOIZED-CUT-ROD-AUX ( } p, n - i, r \text{ )} \}$
6.      $r[n] \leftarrow q$
7. *return*  $q$

# Rod Cutting: Recursion with Memoization



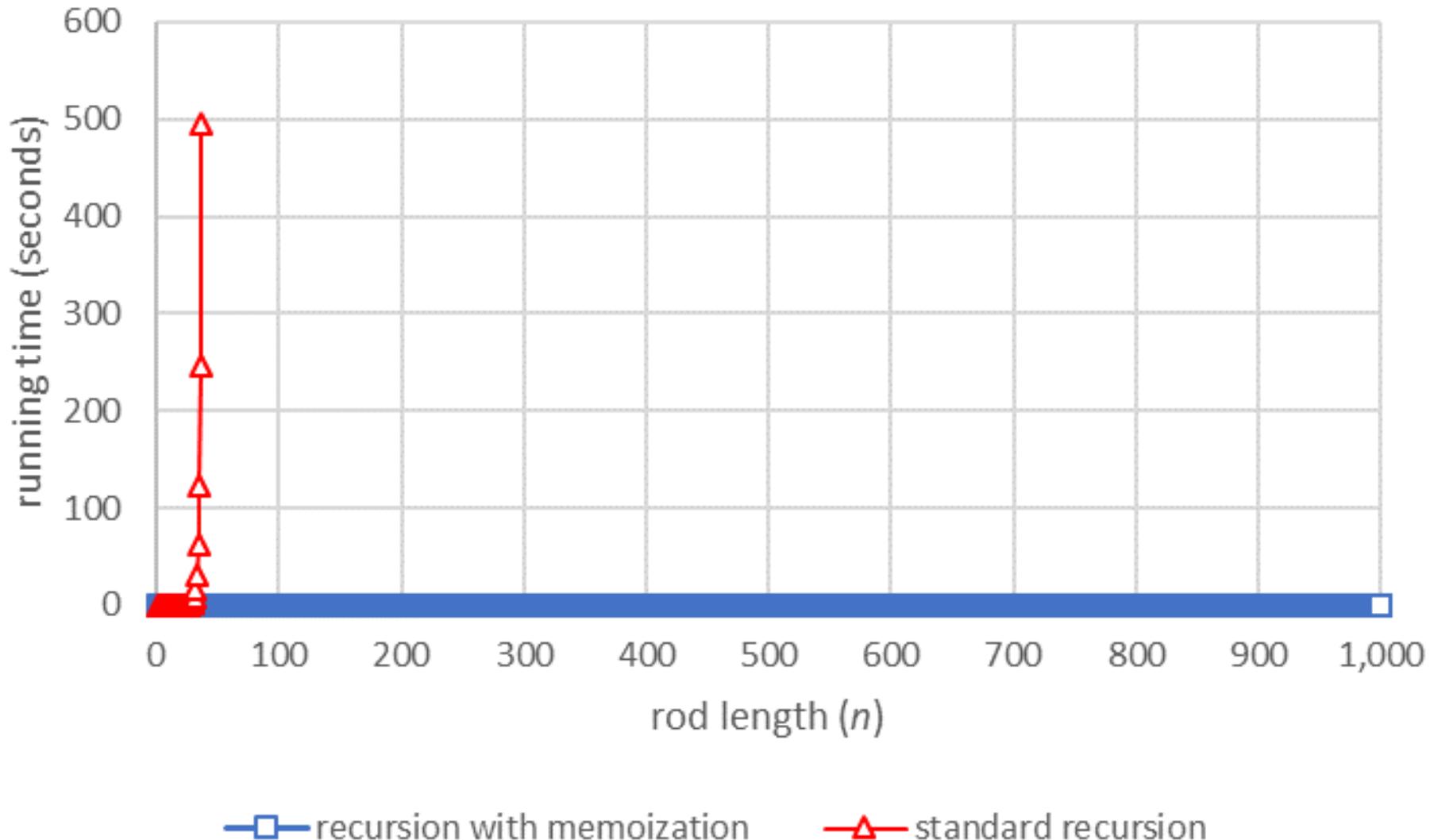
\*Run on a dual-socket (2 × 8 cores) 2.0 GHz Intel E5-2650 with private 32KB L1 and 256KB L2 caches, a shared 20MB L3 cache per socket and 32GB RAM. Only one core was used.

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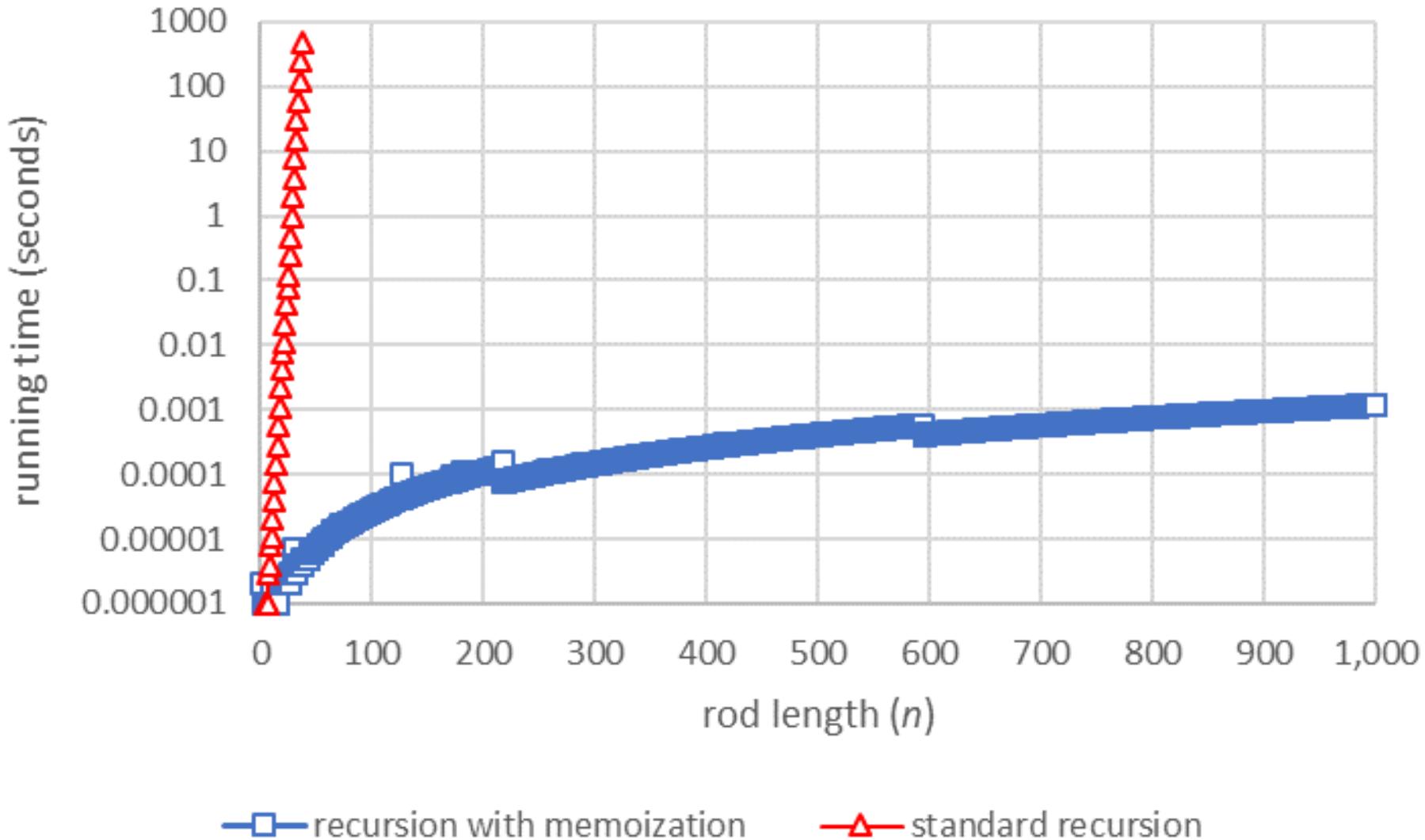
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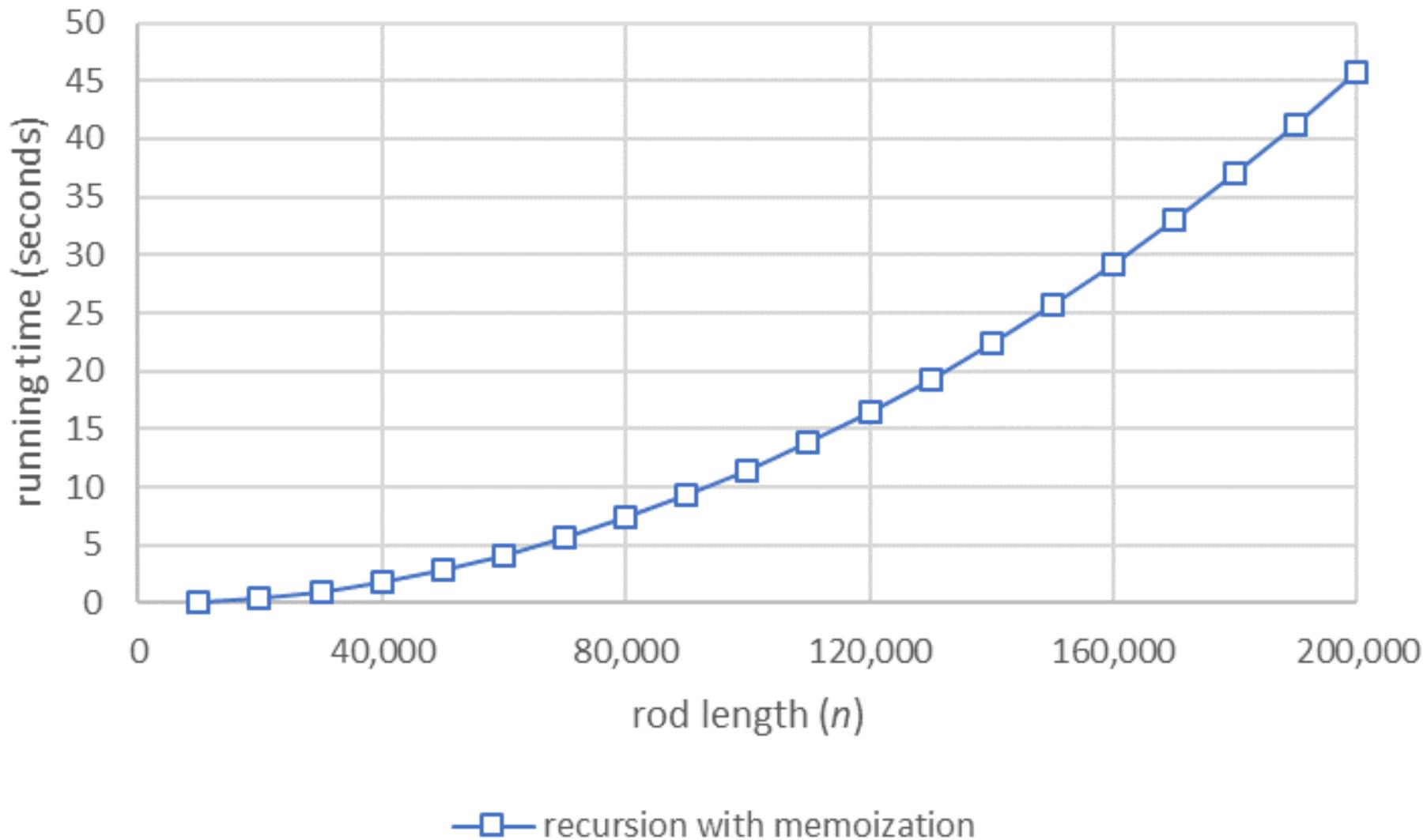
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# Rod Cutting: Bottom-up Dynamic Programming

*BOTTOM-UP-CUT-ROD (  $p, n$  )*

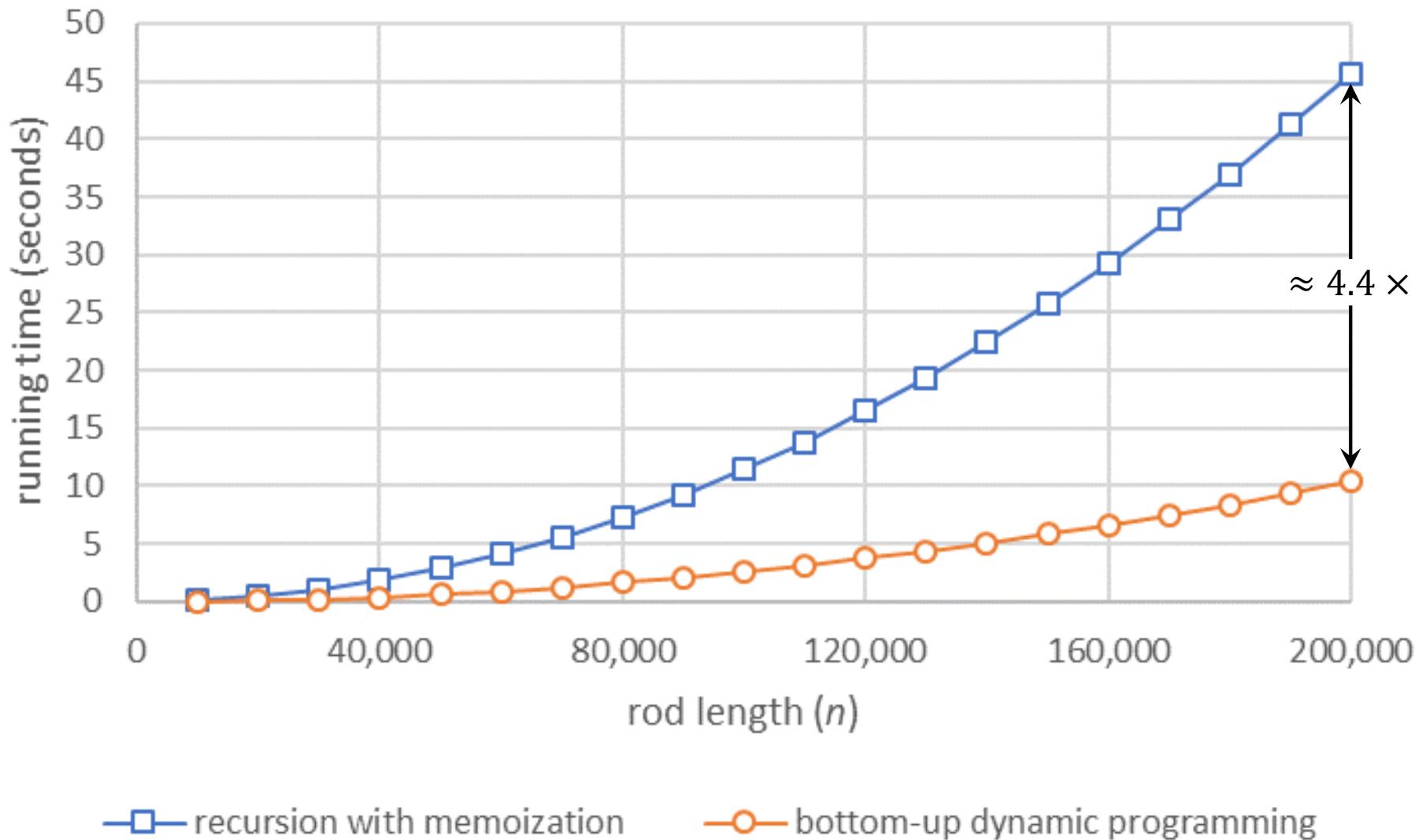
1.  $r[0..n] \leftarrow$  new array
2.  $r[0] \leftarrow 0$
3. *for*  $j \leftarrow 1$  *to*  $n$  *do*
4.      $q \leftarrow -\infty$
5.     *for*  $i \leftarrow 1$  *to*  $j$  *do*
6.          $q \leftarrow \max\{ q, p[i] + r[j-i] \}$
7.      $r[j] \leftarrow q$
8. *return*  $r[n]$

# Rod Cutting: Bottom-up Dynamic Programming

*BOTTOM-UP-CUT-ROD (  $p, n$  )*

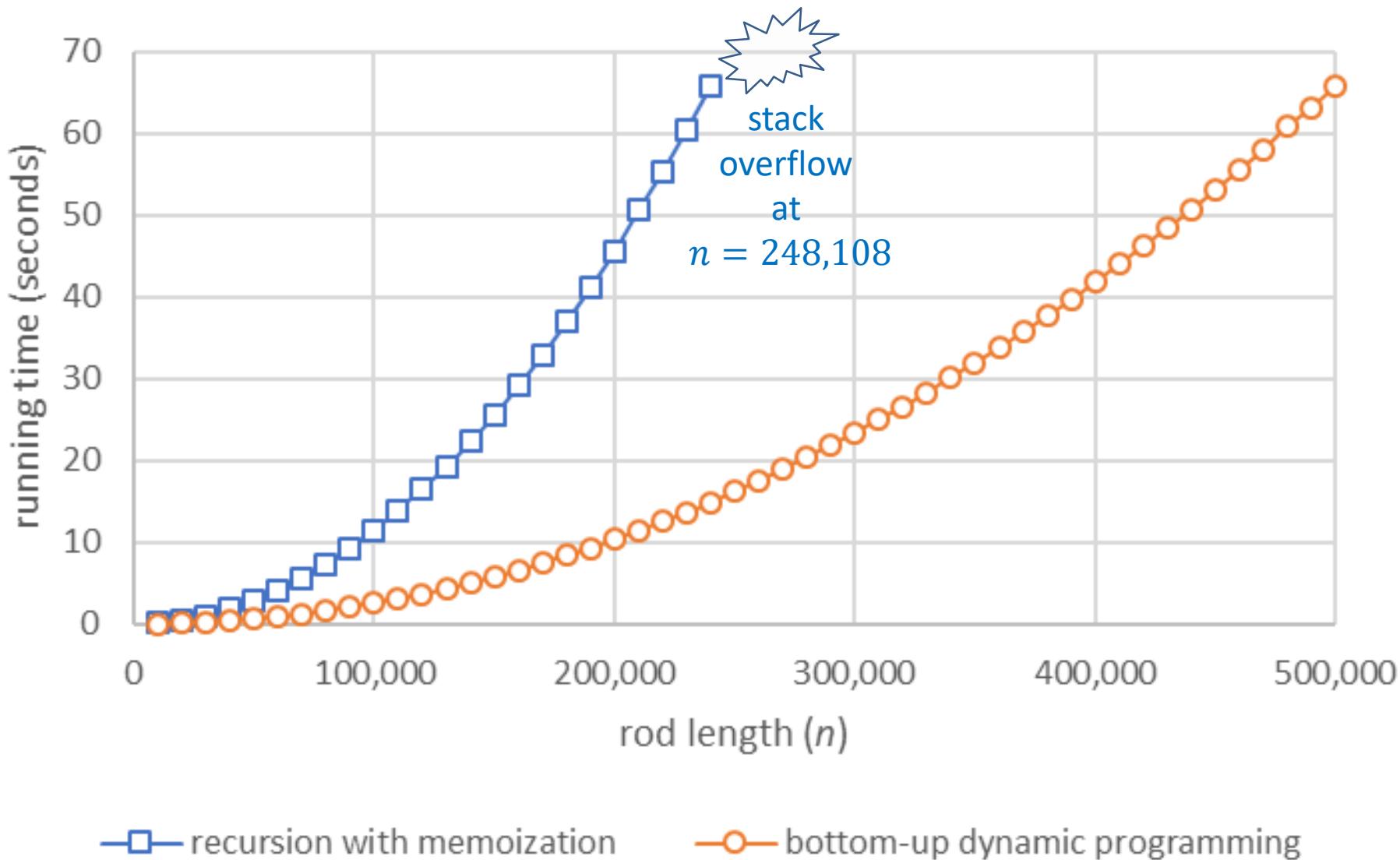
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# Rod Cutting: Recursive Divide-&-Conquer

*DIVIDE-AND-CONQUER-CUT-ROD (  $p, n$  )*

1.  $r[0..n] \leftarrow$  new array
2.  $r[0] \leftarrow 0$
3. *for*  $i \leftarrow 1$  *to*  $n$  *do*
4.      $r[i] \leftarrow -\infty$
5. *DC-CUT-ROD-A (  $p, r, 1, n$  )*
6. *return*  $r[n]$

*DC-CUT-ROD-SOLVE-BASE (  $p, r, k_1, n_1, k_2, n_2$  )*

1. *for*  $j \leftarrow k_2$  *to*  $k_2 + n_2 - 1$  *do*
2.      $q \leftarrow r[j]$
3.     *for*  $i \leftarrow k_1$  *to*  $\min\{ j, k_1 + n_1 - 1 \}$  *do*
4.          $q \leftarrow \max\{ q, p[i] + r[j-i] \}$
5.      $r[j] \leftarrow q$

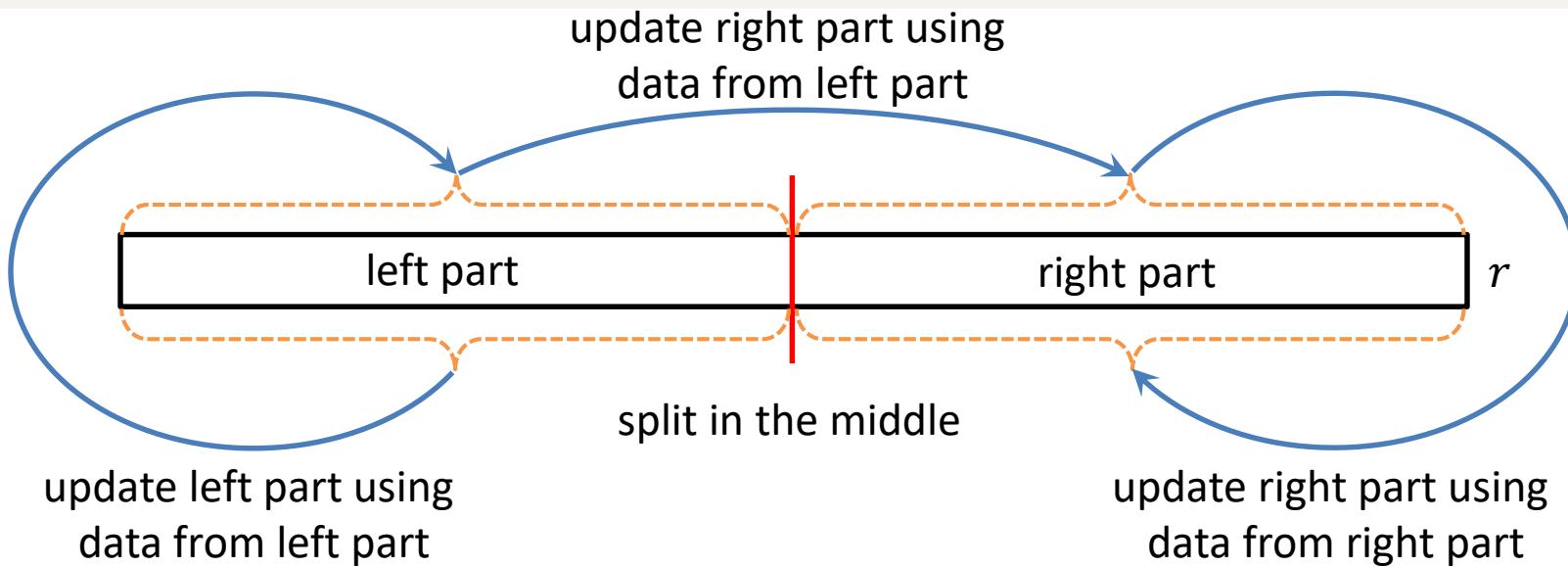
# Rod Cutting: Recursive Divide-&-Conquer

### **DC-CUT-ROD-A ( $p, r, k, n$ )**

- ```

1. if  $n \leq \text{BASE\_SIZE}$  then
2.   DC-CUT-ROD-SOLVE-BASE (  $p, r, k, n, k, n$  )
3. else
4.    $m \leftarrow \lfloor n/2 \rfloor$ 
5.   DC-CUT-ROD-A (  $p, r, k, m$  )           // update left part using left part
6.   DC-CUT-ROD-B (  $p, r, k, m, k + m, n - m$  ) // update right part using left part
7.   DC-CUT-ROD-A (  $p, r, k + m, n - m$  )       // update right part using right part

```



# Rod Cutting: Recursive Divide-&-Conquer

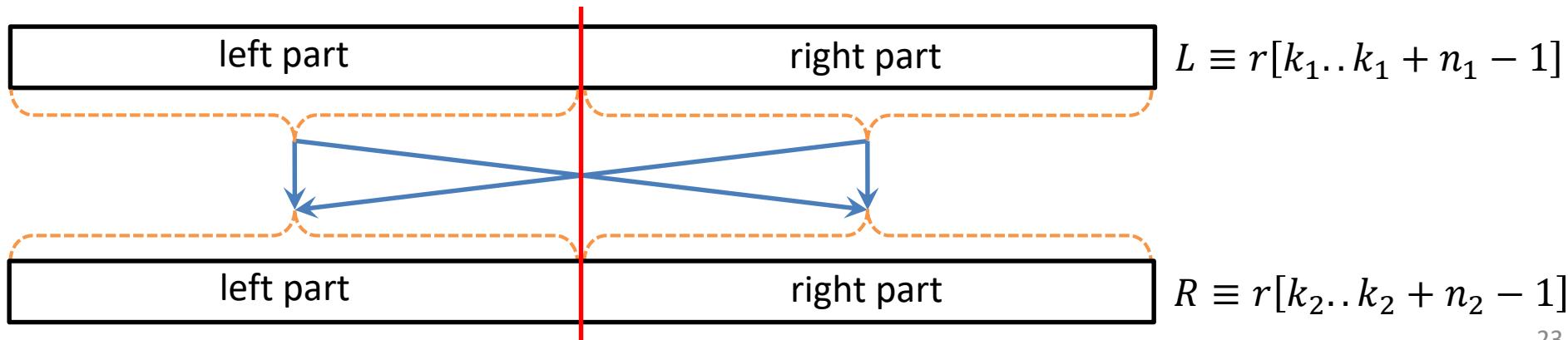
### **DC-CUT-ROD-B ( $p, r, k_1, n_1, k_2, n_2$ )**

- ```

1. if  $n \leq \text{BASE\_SIZE}$  then
2.   DC-CUT-ROD-SOLVE-BASE (  $p, r, k_1, n_1, k_2, n_2$  )
3. else
4.    $m_1 \leftarrow \lfloor n_1/2 \rfloor, m_2 \leftarrow \lfloor n_2/2 \rfloor$            // let  $L \equiv [k_1..k_1 + n_1 - 1]$  and  $R \equiv [k_2..k_2 + n_2 - 1]$ 
5.   DC-CUT-ROD-B (  $p, r, k_1, m_1, k_2, m_2$  )                      // left of  $L$  updates left of  $R$ 
6.   DC-CUT-ROD-B (  $p, r, k_1 + m_1, n_1 - m_1, k_2, m_2$  )          // right of  $L$  updates left of  $R$ 
7.   DC-CUT-ROD-B (  $p, r, k_1, m_1, k_2 + m_2, n_2 - m_2$  )          // left of  $L$  updates right of  $R$ 
8.   DC-CUT-ROD-B (  $p, r, k_1 + m_1, n_1 - m_1, k_2 + m_2, n_2 - m_2$  ) // right of  $L$  updates right of  $R$ 

```

split in the middle



## Rod Cutting: Recursive Divide-&-Conquer

Let  $T(n)$ ,  $T_A(n)$  and  $T_B(n)$  be the running times of *DIVIDE-AND-CONQUER-CUT-ROD*, *DC-CUT-ROD-A* and *DC-CUT-ROD-B*, respectively, on an input of size  $n$ . Then

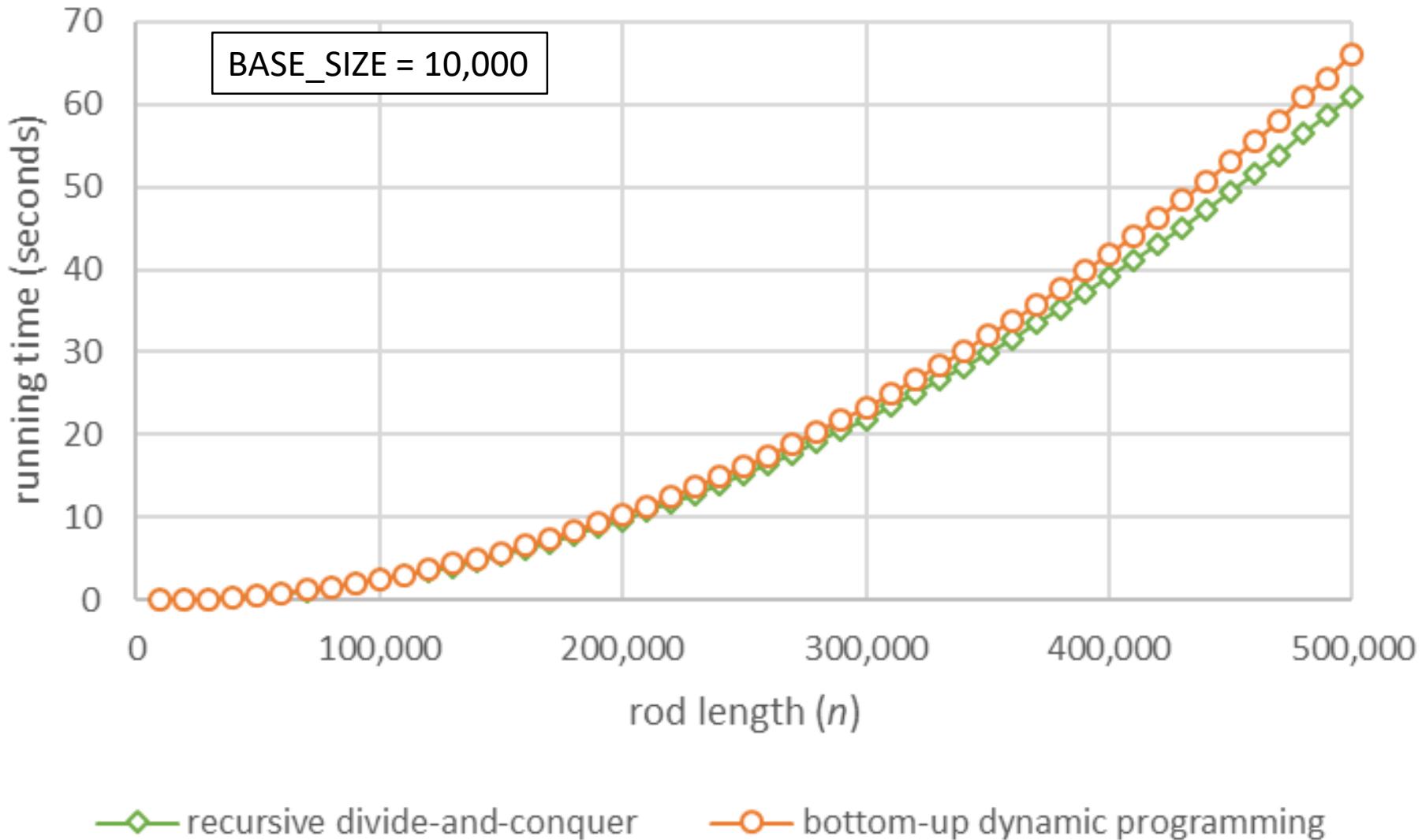
$$T(n) = T_A(n) + \Theta(n).$$

$$T_A(n) = \begin{cases} \Theta(1), & \text{if } n \leq \text{BASE\_SIZE}, \\ 2T_A\left(\frac{n}{2}\right) + T_B\left(\frac{n}{2}\right) + \Theta(1), & \text{otherwise.} \end{cases}$$

$$T_B(n) = \begin{cases} \Theta(1), & \text{if } n \leq \text{BASE\_SIZE}, \\ 4T_B\left(\frac{n}{2}\right) + \Theta(1), & \text{otherwise.} \end{cases}$$

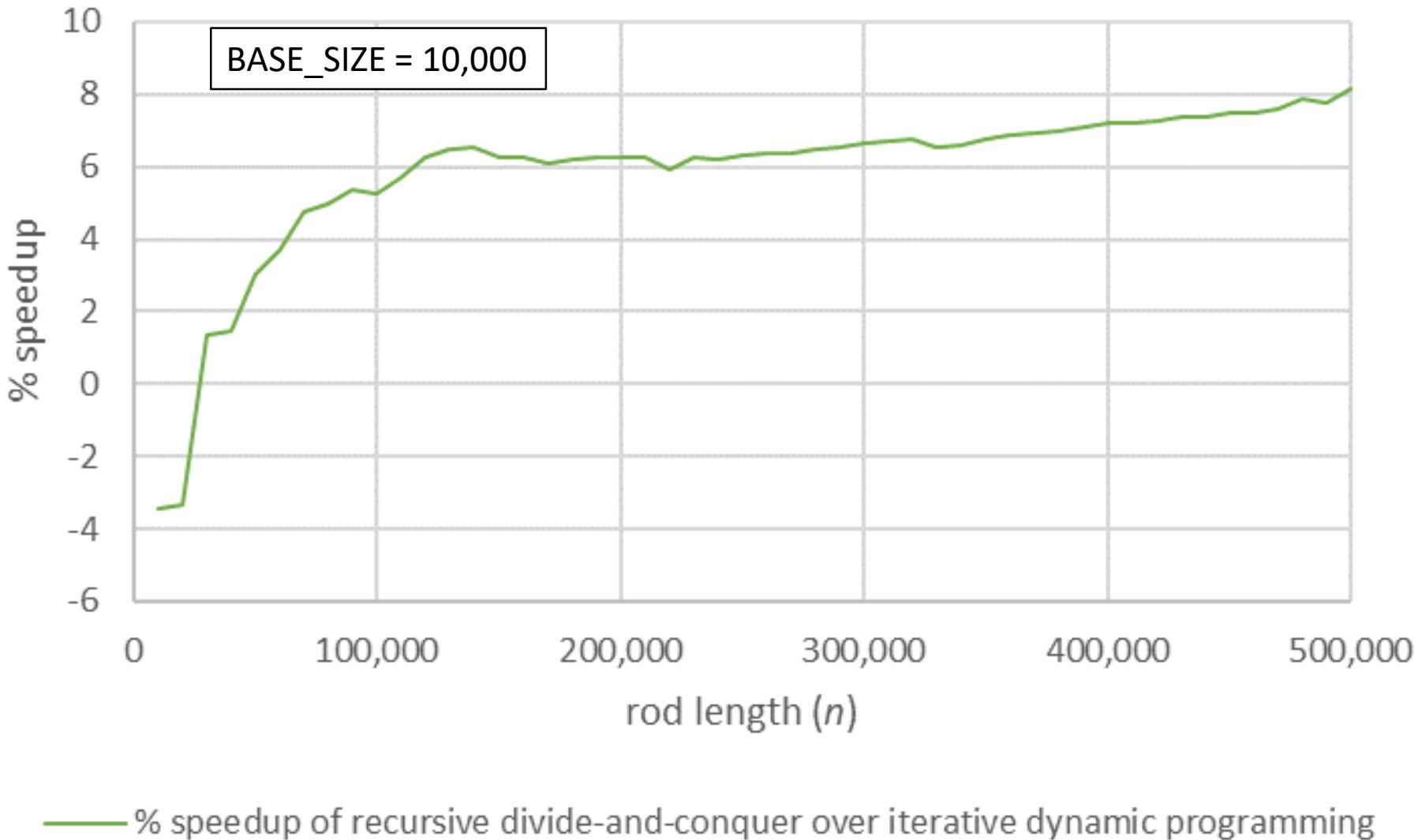
Solving:  $T(n) = \Theta(n^2)$ .

# Rod Cutting: Recursive Divide-&-Conquer



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# Rod Cutting: Extracting the Solution

*EXTENDED-BOTTOM-UP-CUT-ROD ( p, n )*

1.  $r[0..n] \leftarrow$  new array,  $s[0..n] \leftarrow$  new array
2.  $r[0] \leftarrow 0$
3. *for*  $j \leftarrow 1$  *to*  $n$  *do*
4.      $q \leftarrow -\infty$
5.     *for*  $i \leftarrow 1$  *to*  $j$  *do*
6.         *if*  $q < p[i] + r[j-i]$  *then*
7.              $q \leftarrow p[i] + r[j-i]$
8.          $s[j] \leftarrow i$
9.      $r[j] \leftarrow q$
10.    *return*  $r$  and  $s$

*PRINT-CUT-ROD-SOLUTION ( p, n )*

1.  $(r, s) \leftarrow$  *EXTENDED-BOTTOM-UP-CUT-ROD ( p, n )*
2. *while*  $n > 0$  *do*
3.     *print*  $s[n]$
4.      $n \leftarrow n - s[n]$

# Rod Cutting: Extracting the Solution

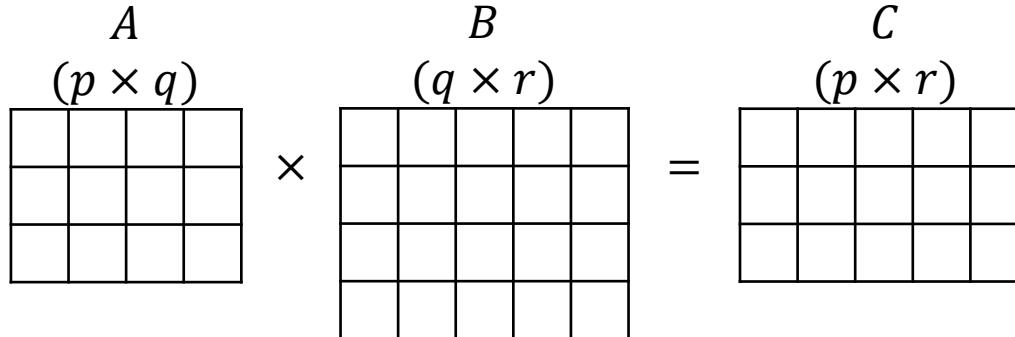
A sample price table for rods

length $i$	1	2	3	4	5	6	7	8	9	10
price $p_i$	1	5	8	9	10	17	17	20	24	30

*EXTENDED-BOTTOM-UP-CUT-ROD(  $p, n$  )* returns the following arrays:

$i$	0	1	2	3	4	5	6	7	8	9	10
$r[i]$	0	1	5	8	10	13	17	18	22	25	30
$s[i]$	0	1	2	3	2	2	6	1	2	3	10

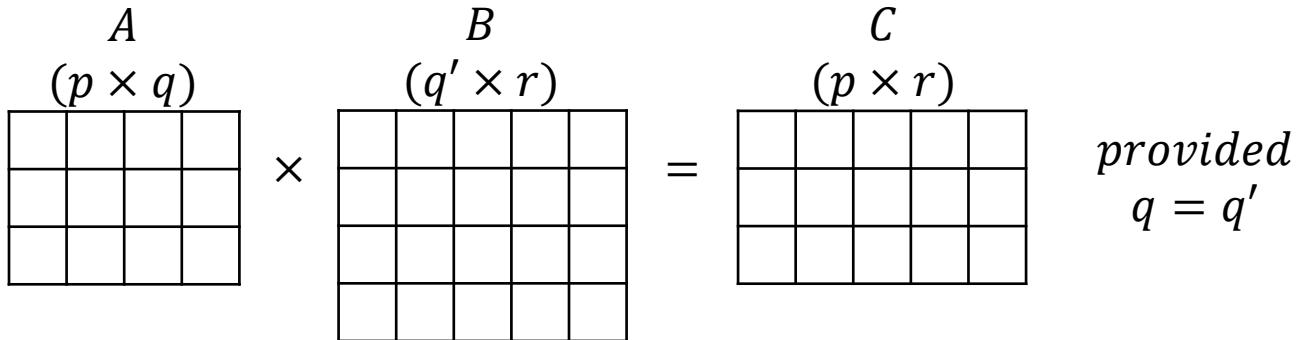
# Matrix-Chain Multiplication

$$\begin{array}{c} A \\ (p \times q) \end{array} \quad \times \quad \begin{array}{c} B \\ (q \times r) \end{array} \quad = \quad \begin{array}{c} C \\ (p \times r) \end{array}$$


A  $p \times q$  matrix  $A$  and a  $q' \times r$  matrix  $B$  can be multiplied provided  $q = q'$ .

The result will be a  $p \times r$  matrix  $C$ .

# Matrix-Chain Multiplication



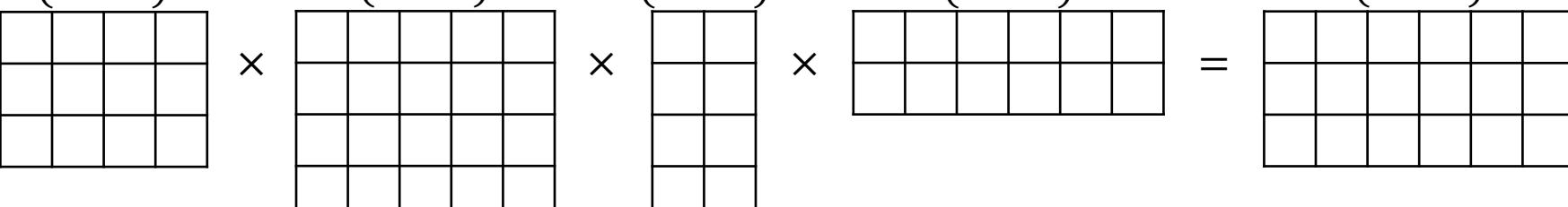
**MATRIX-MULTIPLY (  $p, q, A, q', r, B$  )**

1. *if*  $q \neq q'$  *then*
2.   *error* “incompatible dimensions”
3. *else*
4.    $C \leftarrow$  new  $p \times r$  matrix
5.   *for*  $i \leftarrow 1$  *to*  $p$  *do*
6.     *for*  $j \leftarrow 1$  *to*  $r$  *do*
7.        $C[i,j] \leftarrow 0$
8.       *for*  $k \leftarrow 1$  *to*  $q$  *do*
9.          $C[i,j] \leftarrow C[i,j] + A[i,k] \times B[k,j]$
10.      *return*  $C$

Time needed to multiply the  $p \times q$  matrix  $A$  and the  $q \times r$  matrix  $B$  is dominated by the total number  $pqr$  of scalar multiplications performed in line 7.

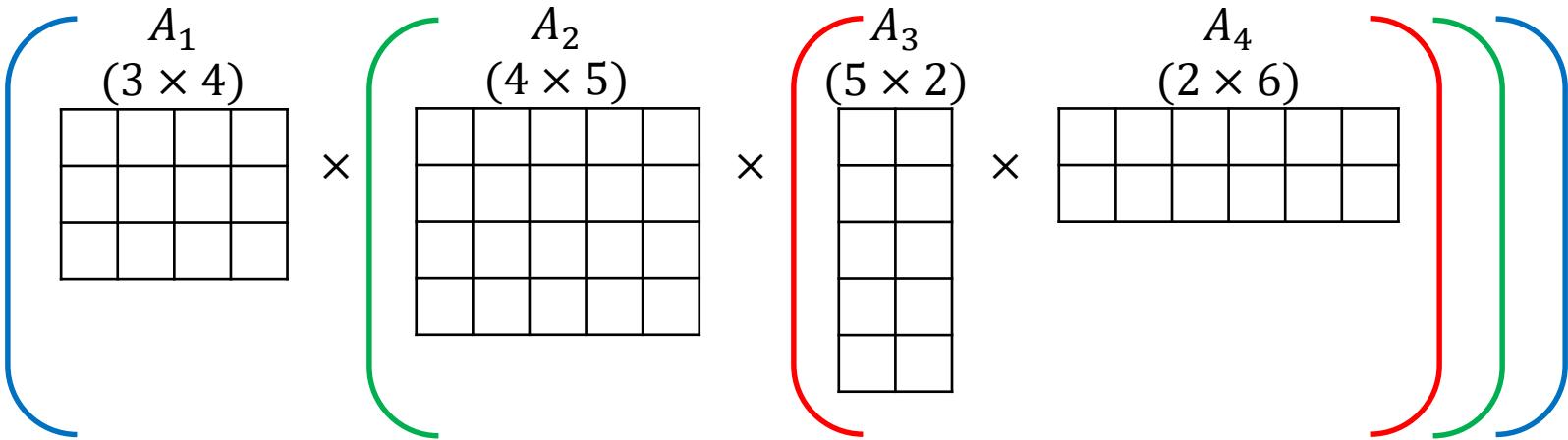
Hence, running time of the algorithm is  $\Theta(pqr)$ .

# Matrix-Chain Multiplication

$$\begin{array}{c} A_1 \\ (3 \times 4) \end{array} \times \begin{array}{c} A_2 \\ (4 \times 5) \end{array} \times \begin{array}{c} A_3 \\ (5 \times 2) \end{array} \times \begin{array}{c} A_4 \\ (2 \times 6) \end{array} = \begin{array}{c} A \\ (3 \times 6) \end{array}$$


We can multiply the four matrices on the left hand side in five distinct orders.

# Matrix-Chain Multiplication

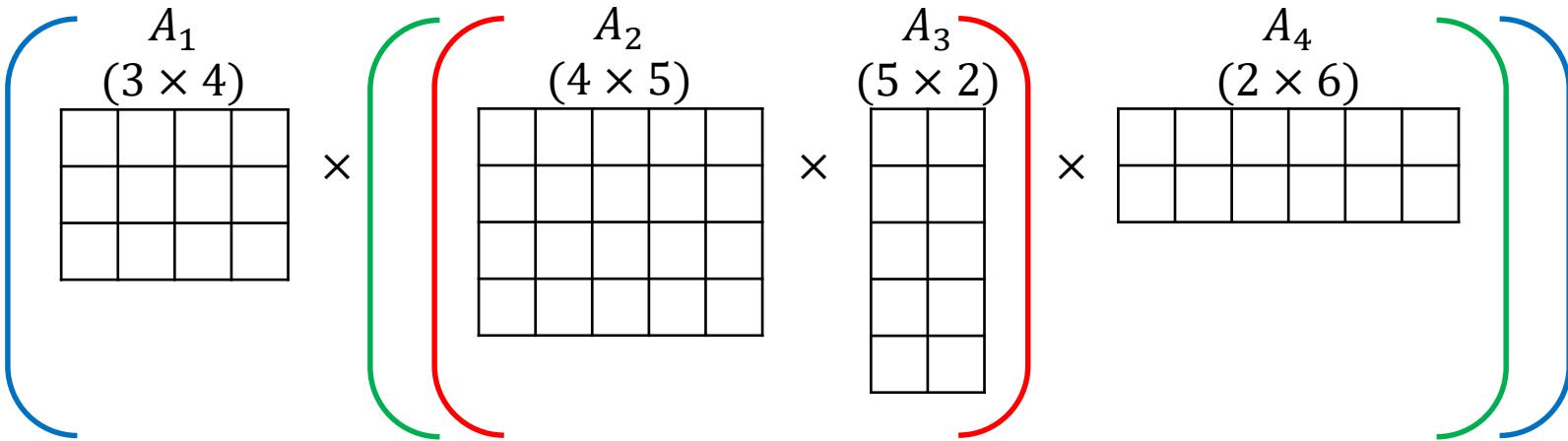


number of scalar multiplications

$$= 5 \times 2 \times 6 + 4 \times 5 \times 6 + 3 \times 4 \times 6$$

$$= 252$$

# Matrix-Chain Multiplication

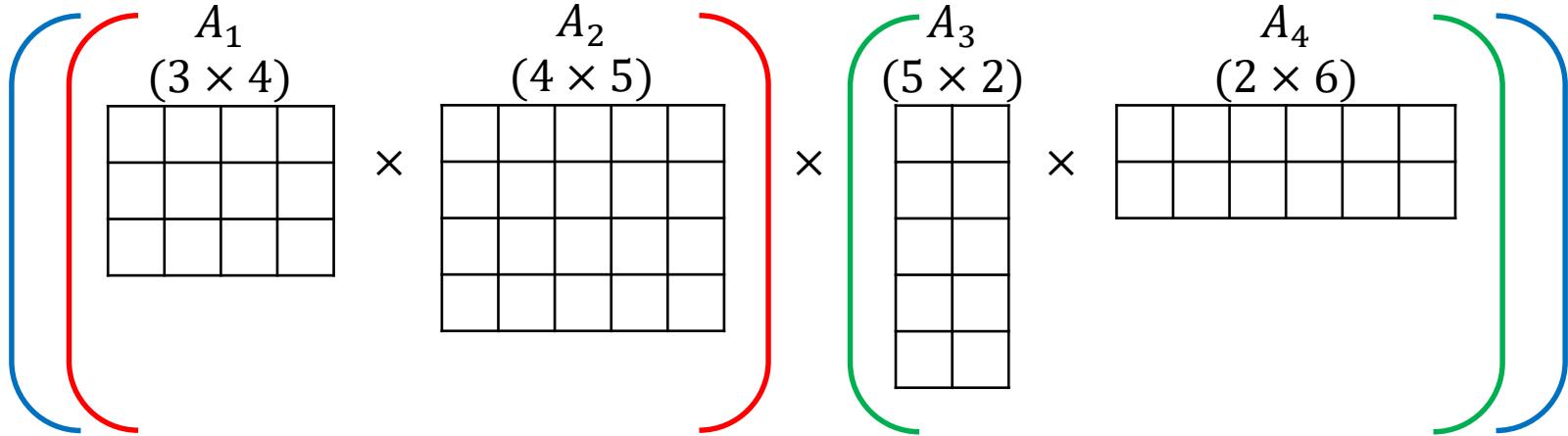


number of scalar multiplications

$$= 4 \times 5 \times 2 + 4 \times 2 \times 6 + 3 \times 4 \times 6$$

$$= 160$$

# Matrix-Chain Multiplication

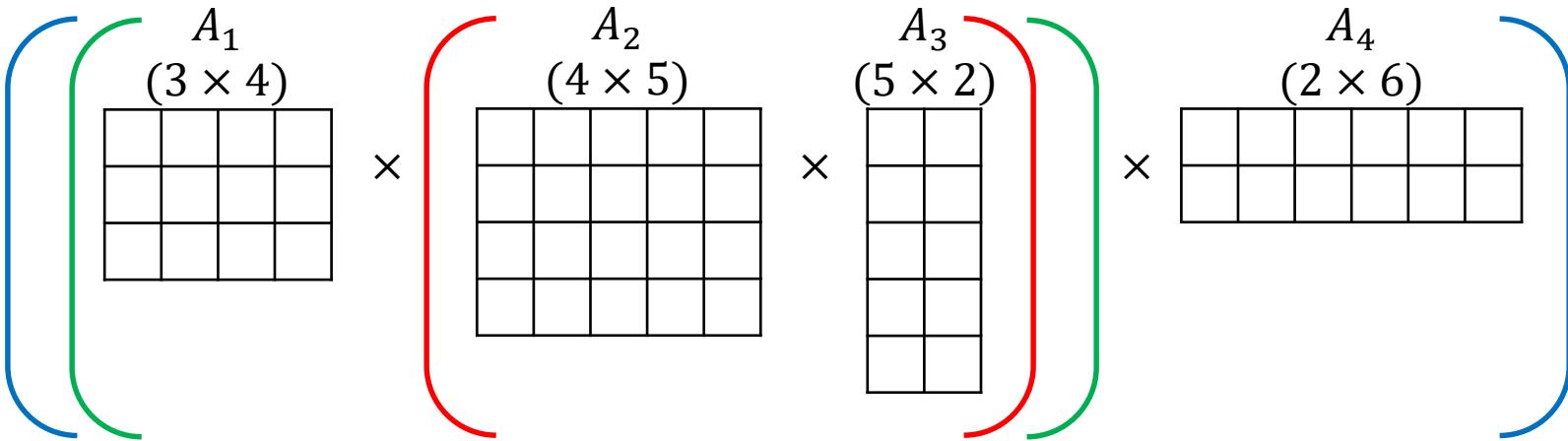


number of scalar multiplications

$$= 3 \times 4 \times 5 + 5 \times 2 \times 6 + 3 \times 5 \times 6$$

$$= 210$$

# Matrix-Chain Multiplication

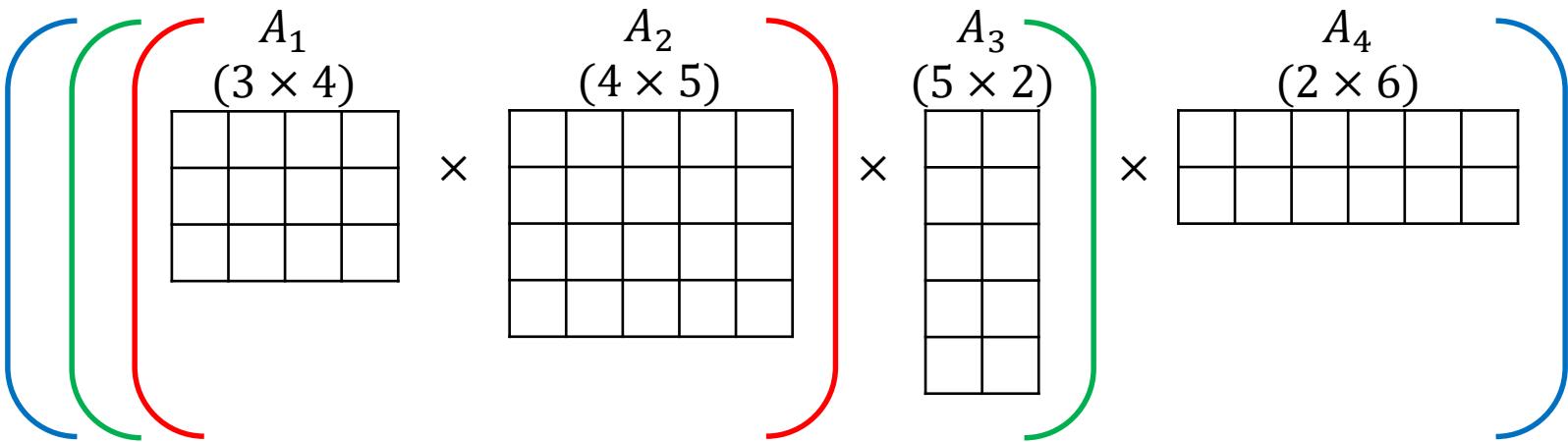


number of scalar multiplications

$$= 4 \times 5 \times 2 + 3 \times 4 \times 2 + 3 \times 2 \times 6$$

$$= 100$$

# Matrix-Chain Multiplication



number of scalar multiplications

$$= 3 \times 4 \times 5 + 3 \times 5 \times 2 + 3 \times 2 \times 6$$

$$= 126$$

# Matrix-Chain Multiplication

**The matrix-chain multiplication problem:**

Given a chain  $\langle A_1, A_2, \dots, A_n \rangle$  of  $n$  matrices,

where for  $i = 1, 2, \dots, n$ , matrix  $A_i$  has dimension  $p_{i-1} \times p_i$ ,  
fully parenthesize the product  $A_1 A_2 \dots A_n$

in a way that minimizes the number of scalar multiplications.

# Matrix-Chain Multiplication

Let  $P(n)$  = number of parenthesizations of a sequence of  $n$  matrices.

Then

$$P(n) = \begin{cases} 1, & \text{if } n = 1, \\ \sum_{k=1}^{n-1} P(k)P(n - k), & \text{if } n \geq 2. \end{cases}$$

Very easy to show that  $P(n) = \Omega(2^n)$ .

Hence, exhaustively checking all possible parenthesizations of the given chain of matrices does not give an efficient algorithm.

# Matrix-Chain Mult: Standard Recursive Algorithm

Let  $A_{i\dots j} = A_i A_{i+1} \dots A_{j-1} A_j$  for  $1 \leq i \leq j \leq n$ .

Let  $m(i, j)$  = the minimum number of scalar multiplications needed  
to compute the matrix  $A_{i\dots j}$ .

Then  $m(1, n)$  = the minimum number of scalar multiplications  
needed to compute  $A_{1\dots n}$  (i.e., solve the entire  
problem).

$$m(i, j) = \begin{cases} 0, & \text{if } i = j, \\ \min_{i \leq k < j} \{m(i, k) + m(k + 1, j) + p_{i-1} p_k p_j\}, & \text{if } i < j. \end{cases}$$

# Matrix-Chain Mult: Standard Recursive Algorithm

*RECURSIVE-MATRIX-CHAIN (  $p, i, j$  )*

1. *if*  $i = j$  *then*
2.     *return* 0
3.      $q \leftarrow \infty$
4.     *for*  $k \leftarrow i$  *to*  $j - 1$  *do*
5.          $q \leftarrow \min \left( \begin{array}{l} q, \\ \text{    } \text{RECURSIVE-MATRIX-CHAIN ( } p, i, k \text{ )} \\ + \text{    } \text{RECURSIVE-MATRIX-CHAIN ( } p, k + 1, j \text{ )} \\ \quad \quad \quad + p_{i-1}p_kp_j \end{array} \right)$
6.     *return*  $q$

# Matrix-Chain Mult: Standard Recursive Algorithm

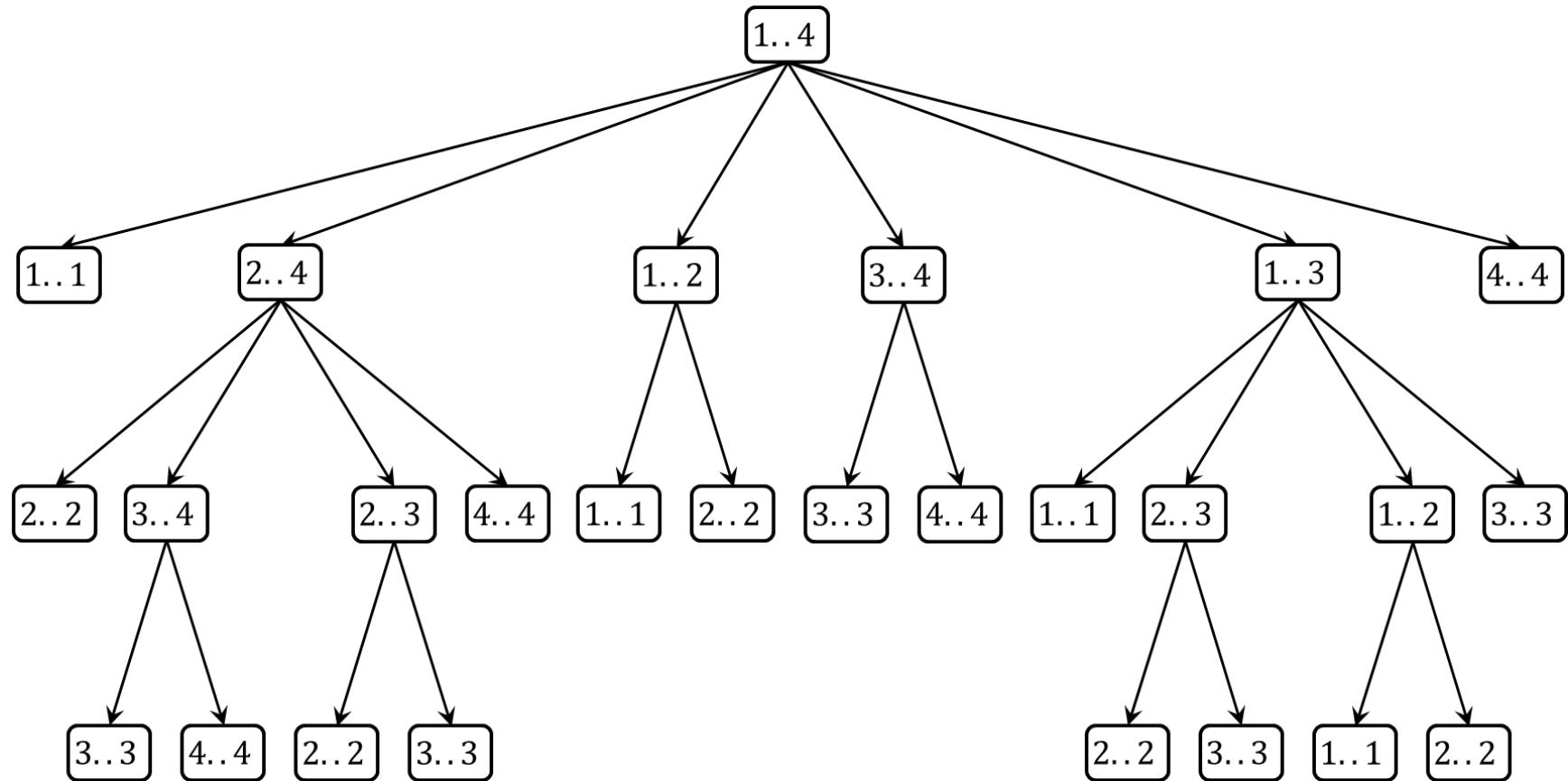
Let  $T(n)$  be the running time of the algorithm on an input of size  $n$ .

Then

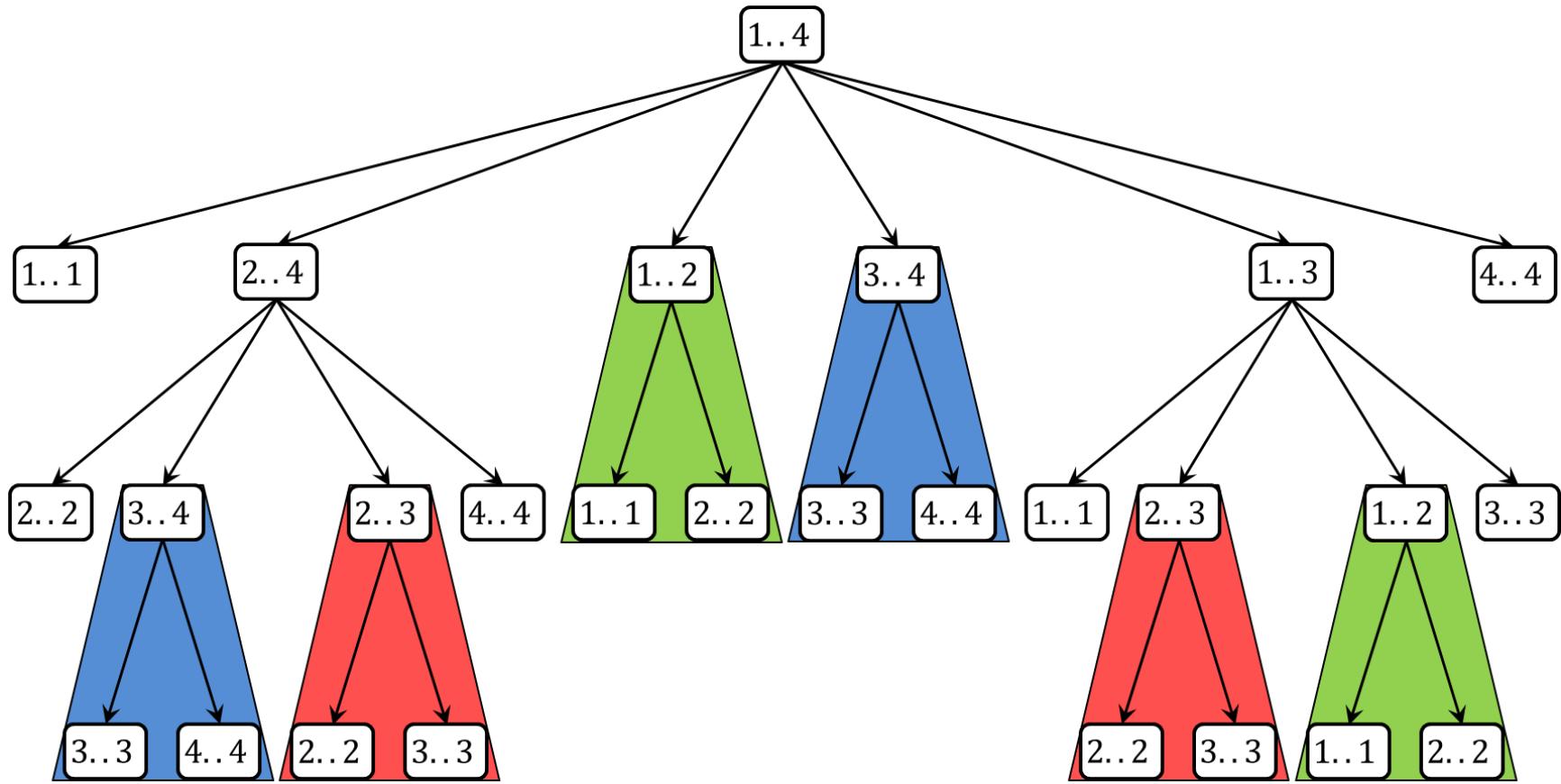
$$T(n) \geq \begin{cases} 1, & \text{if } n = 1, \\ 1 + \sum_{k=1}^{n-1} (T(k) + T(n - k) + 1), & \text{if } n > 1. \end{cases}$$

Solving:  $T(n) \geq 2^{n-1} \Rightarrow T(n) = \Omega(2^n)$ .

# Matrix-Chain Mult: Standard Recursive Algorithm



# Matrix-Chain Mult: Standard Recursive Algorithm



# Matrix-Chain Mult: Recursion with Memoization

*MEMOIZED-MATRIX-CHAIN ( p )*

1.  $n \leftarrow p.length - 1$
2.  $m[1..n, 1..n] \leftarrow \text{new table}$
3. *for*  $i \leftarrow 1$  *to*  $n$  *do*
4.     *for*  $j \leftarrow i$  *to*  $n$  *do*
5.          $m[i, j] \leftarrow \infty$
6. *return* *LOOKUP-CHAIN* (  $m, p, 1, n$  )

*LOOKUP-CHAIN (  $m, p, i, j$  )*

1. *if*  $m[i, j] < \infty$  *then*
2.     *return*  $m[i, j]$
3. *if*  $i = j$  *then*
4.      $m[i, j] \leftarrow 0$
5. *for*  $k \leftarrow i$  *to*  $j - 1$  *do*
6.      $q \leftarrow \text{LOOKUP-CHAIN} ( m, p, i, k )$   
        + *LOOKUP-CHAIN* (  $m, p, k + 1, j$  )  
        +  $p_{i-1}p_kp_j$
7. *if*  $q < m[i, j]$  *then*
8.      $m[i, j] \leftarrow q$
9. *return*  $m[i, j]$

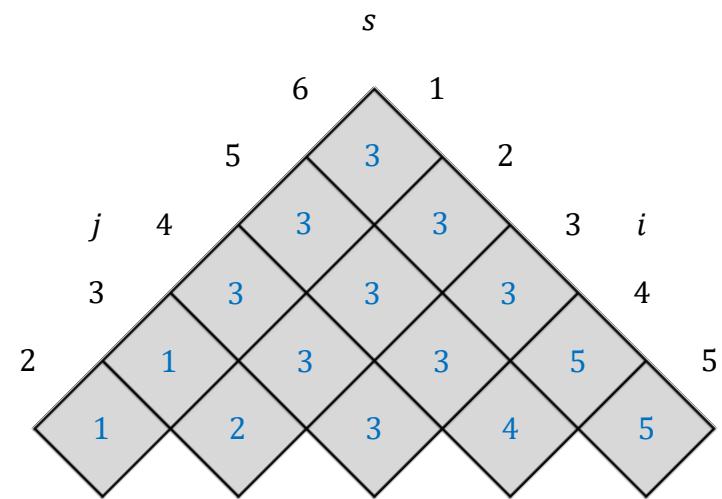
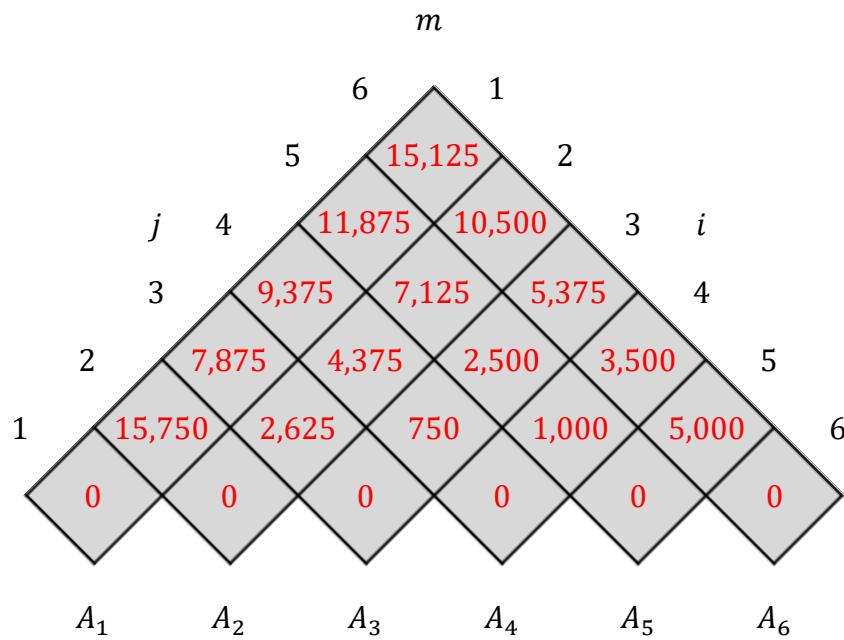
# Matrix-Chain Mult: Bottom-up DP

*MATRIX-CHAIN-ORDER ( p )*

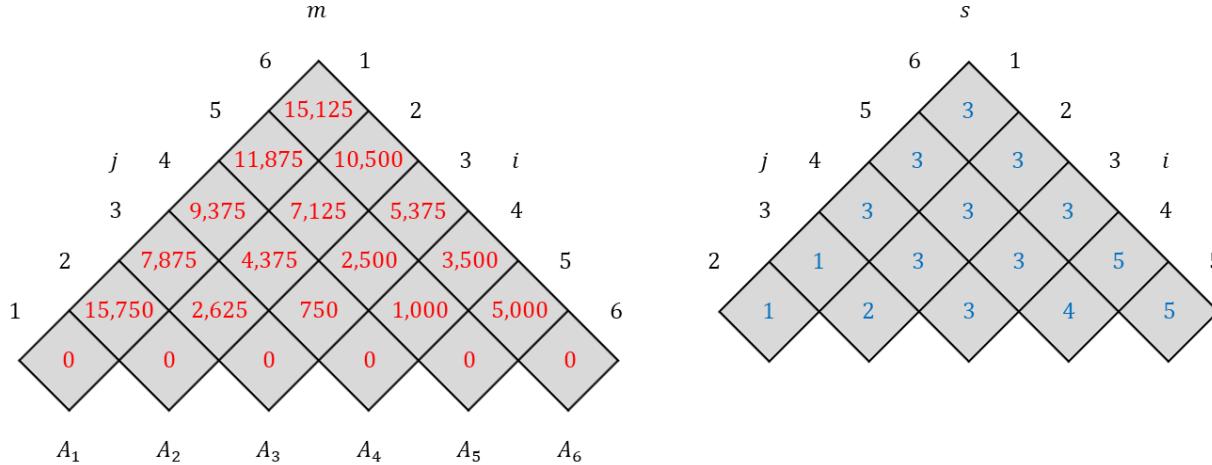
1.  $n \leftarrow p.length - 1$
2.  $m[1..n, 1..n] \leftarrow \text{new table}$ ,  $s[1..n-1, 2..n] \leftarrow \text{new table}$
3. **for**  $i \leftarrow 1$  **to**  $n$  **do**
4.      $m[i, i] \leftarrow 0$
5.     **for**  $l \leftarrow 2$  **to**  $n$  **do**       *// l is the chain length*
6.         **for**  $i \leftarrow 1$  **to**  $n-l+1$  **do**
7.              $j \leftarrow i + l - 1$
8.              $m[i, j] \leftarrow \infty$
9.             **for**  $k \leftarrow i$  **to**  $j-1$  **do**
10.                  $q \leftarrow m[i, k] + m[k+1, j] + p_{i-1}p_kp_j$
11.                 **if**  $q < m[i, j]$  **then**
12.                      $m[i, j] \leftarrow q$
13.                      $s[i, j] \leftarrow k$
14.     **return**  $m$  **and**  $s$

# Matrix-Chain Mult: Bottom-up DP

matrix	$A_1$	$A_2$	$A_3$	$A_4$	$A_5$	$A_6$
dimension	$30 \times 35$	$35 \times 15$	$15 \times 5$	$5 \times 10$	$10 \times 20$	$20 \times 25$



# Matrix-Chain Mult: Extracting the Solution

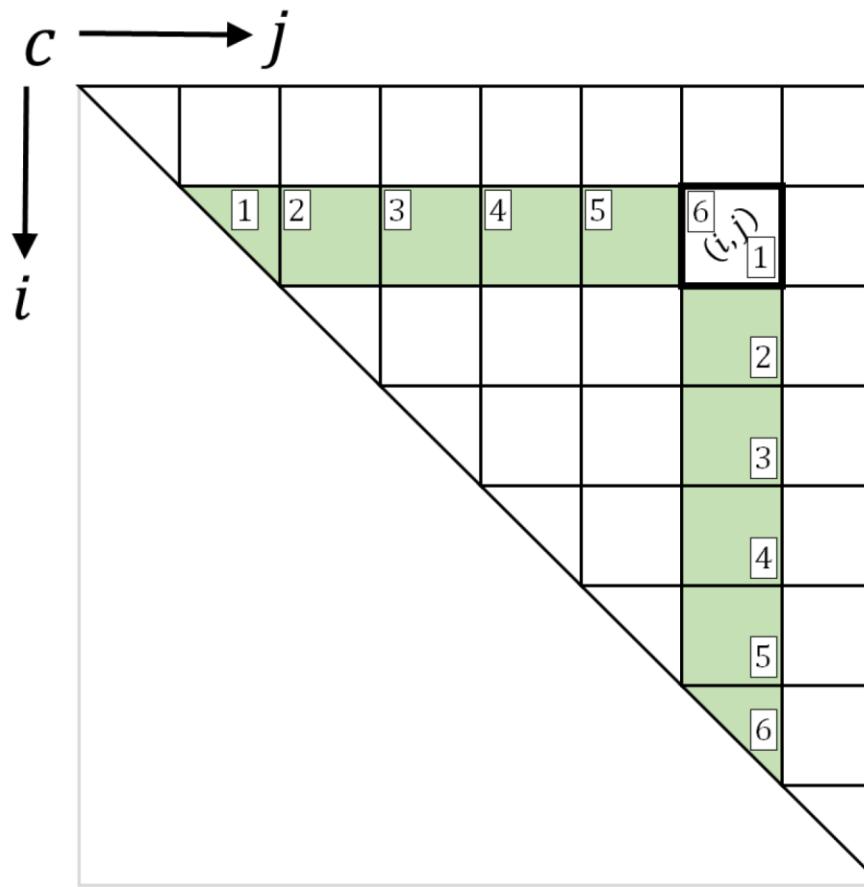


matrix	$A_1$	$A_2$	$A_3$	$A_4$	$A_5$	$A_6$
dimension	$30 \times 35$	$35 \times 15$	$15 \times 5$	$5 \times 10$	$10 \times 20$	$20 \times 25$

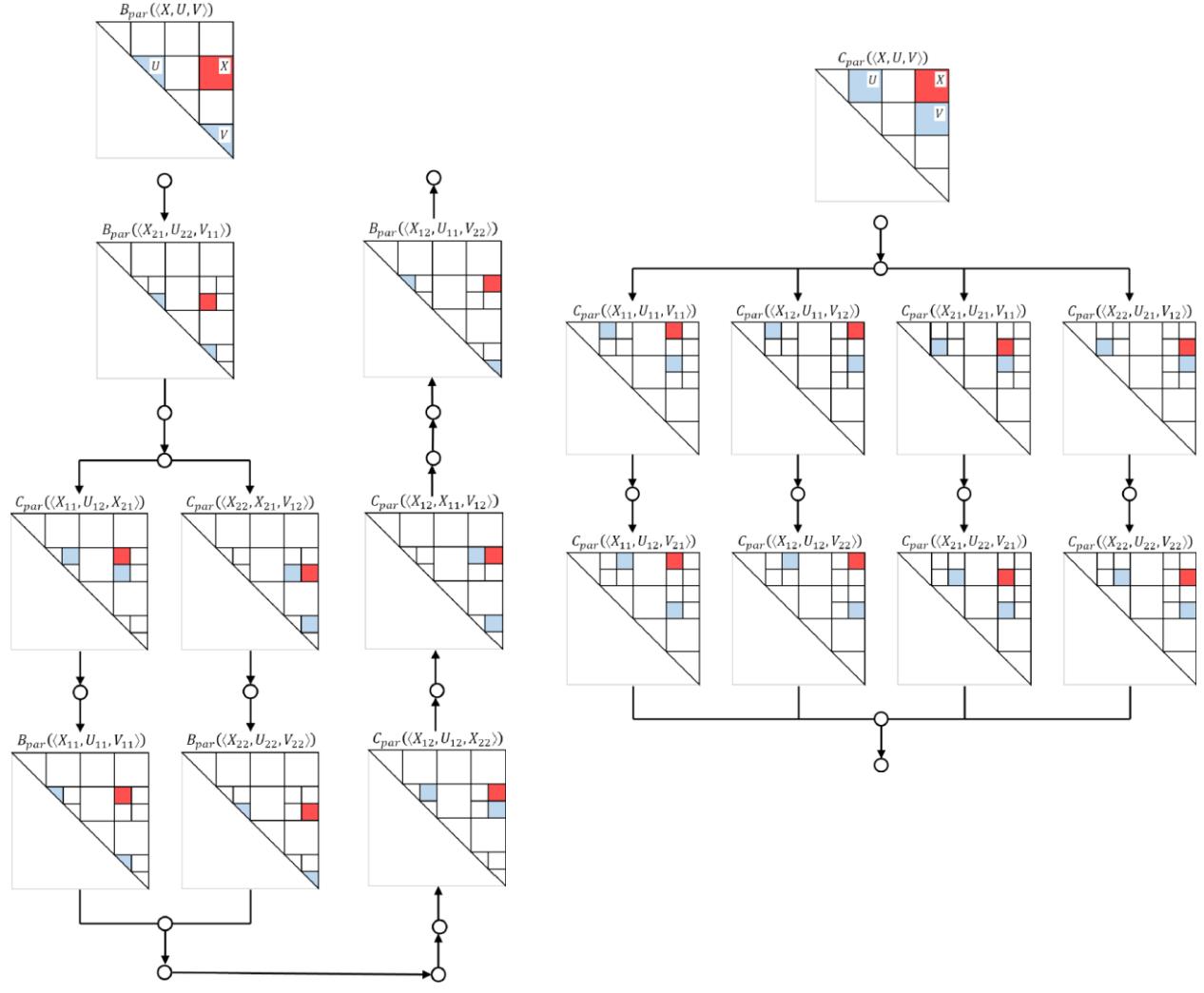
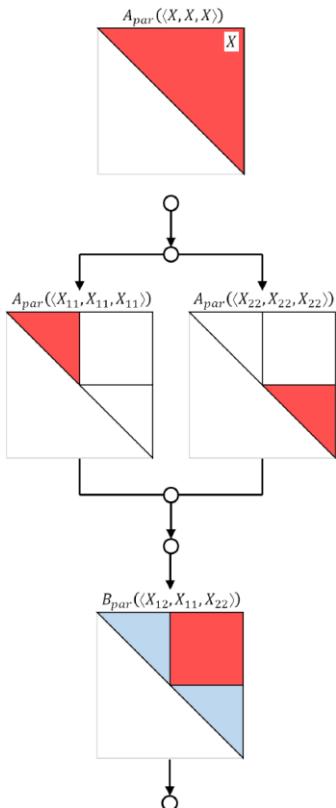
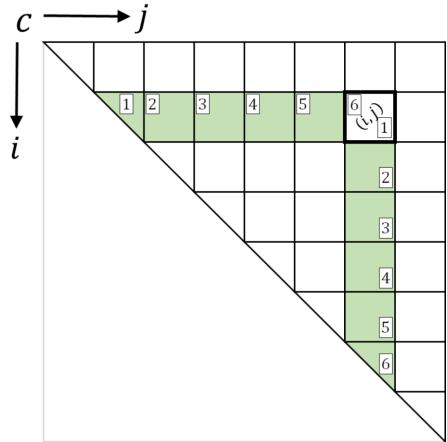
*PRINT-OPTIMAL-PARENS (  $s, i, j$  )*

1. *if*  $i = j$  *then*
2.     *print* “ $A_i$ ”
3. *else print* “(”
4.     *PRINT-OPTIMAL-PARENS (  $s, i, s[i,j]$  )*
5.     *PRINT-OPTIMAL-PARENS (  $s, s[i,j] + 1, j$  )*
6.     *print* “)”

# Matrix-Chain Mult: Recursive Divide-&-Conquer



# Matrix-Chain Mult: Recursive Divide-&-Conquer



# Matrix-Chain Mult: Recursive Divide-&-Conquer

$\mathcal{A}_{par}(\langle X, X, X \rangle)$

1. **if**  $X$  is a small matrix **then**  $\mathcal{A}_{loop-par}(\langle X, X, X \rangle)$
2. **else**
3. **par:**  $\mathcal{A}_{par}(\langle X_{11}, X_{11}, X_{11} \rangle), \mathcal{A}_{par}(\langle X_{22}, X_{22}, X_{22} \rangle)$
4.  $\mathcal{B}_{par}(\langle X_{12}, X_{11}, X_{22} \rangle)$

$\mathcal{B}_{par}(\langle X, U, V \rangle)$

1. **if**  $X$  is a small matrix **then**  $\mathcal{B}_{loop-par}(\langle X, U, V \rangle)$
2. **else**
3.  $\mathcal{B}_{par}(\langle X_{21}, U_{22}, V_{11} \rangle)$
4. **par:**  $\mathcal{C}_{par}(\langle X_{11}, U_{12}, V_{21} \rangle), \mathcal{C}_{par}(\langle X_{22}, X_{21}, V_{12} \rangle)$
5. **par:**  $\mathcal{B}_{par}(\langle X_{11}, U_{11}, V_{11} \rangle), \mathcal{B}_{par}(\langle X_{22}, X_{22}, V_{22} \rangle)$
6.  $\mathcal{C}_{par}(\langle X_{12}, U_{12}, X_{22} \rangle)$
7.  $\mathcal{C}_{par}(\langle X_{12}, X_{11}, V_{12} \rangle)$
8.  $\mathcal{B}_{par}(\langle X_{12}, U_{11}, V_{22} \rangle)$

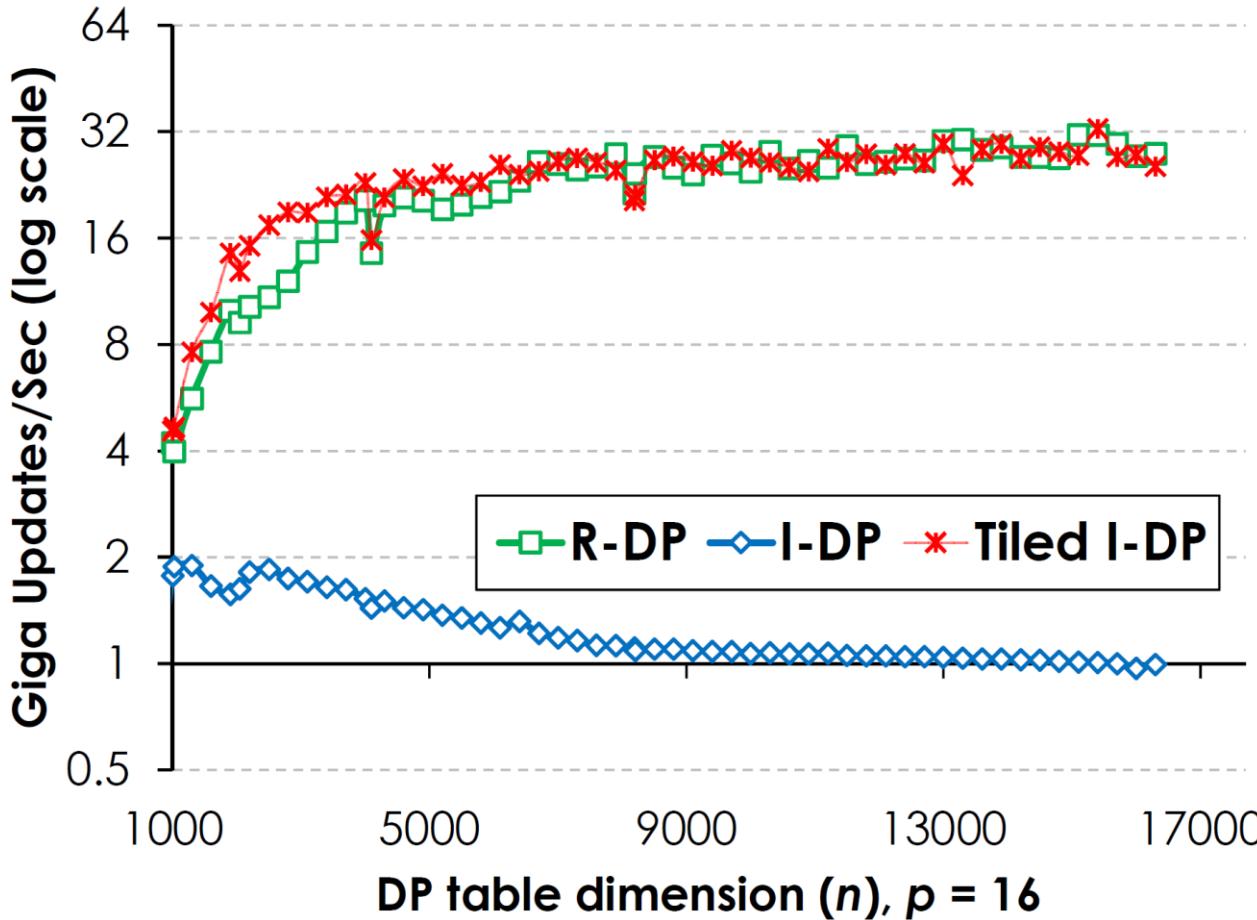
$\mathcal{C}_{par}(\langle X, U, V \rangle)$

1. **if**  $X$  is a small matrix **then**  $\mathcal{C}_{loop-par}(\langle X, U, V \rangle)$
2. **else**
3. **par:**  $\mathcal{C}_{par}(\langle X_{11}, U_{11}, V_{11} \rangle), \mathcal{C}_{par}(\langle X_{12}, U_{11}, V_{12} \rangle),$   
 $\mathcal{C}_{par}(\langle X_{21}, U_{21}, V_{11} \rangle), \mathcal{C}_{par}(\langle X_{22}, U_{21}, V_{12} \rangle)$
4. **par:**  $\mathcal{C}_{par}(\langle X_{11}, U_{12}, V_{21} \rangle), \mathcal{C}_{par}(\langle X_{12}, U_{12}, V_{22} \rangle),$   
 $\mathcal{C}_{par}(\langle X_{21}, U_{22}, V_{21} \rangle), \mathcal{C}_{par}(\langle X_{22}, U_{22}, V_{22} \rangle)$

# Matrix-Chain Mult: Empirical Performance

R-DP: recursive divide-&-conquer (BASE\_SIZE =  $64 \times 64$ ),

I-DP: iterative DP, Tiled I-DP: tiled iterative DP

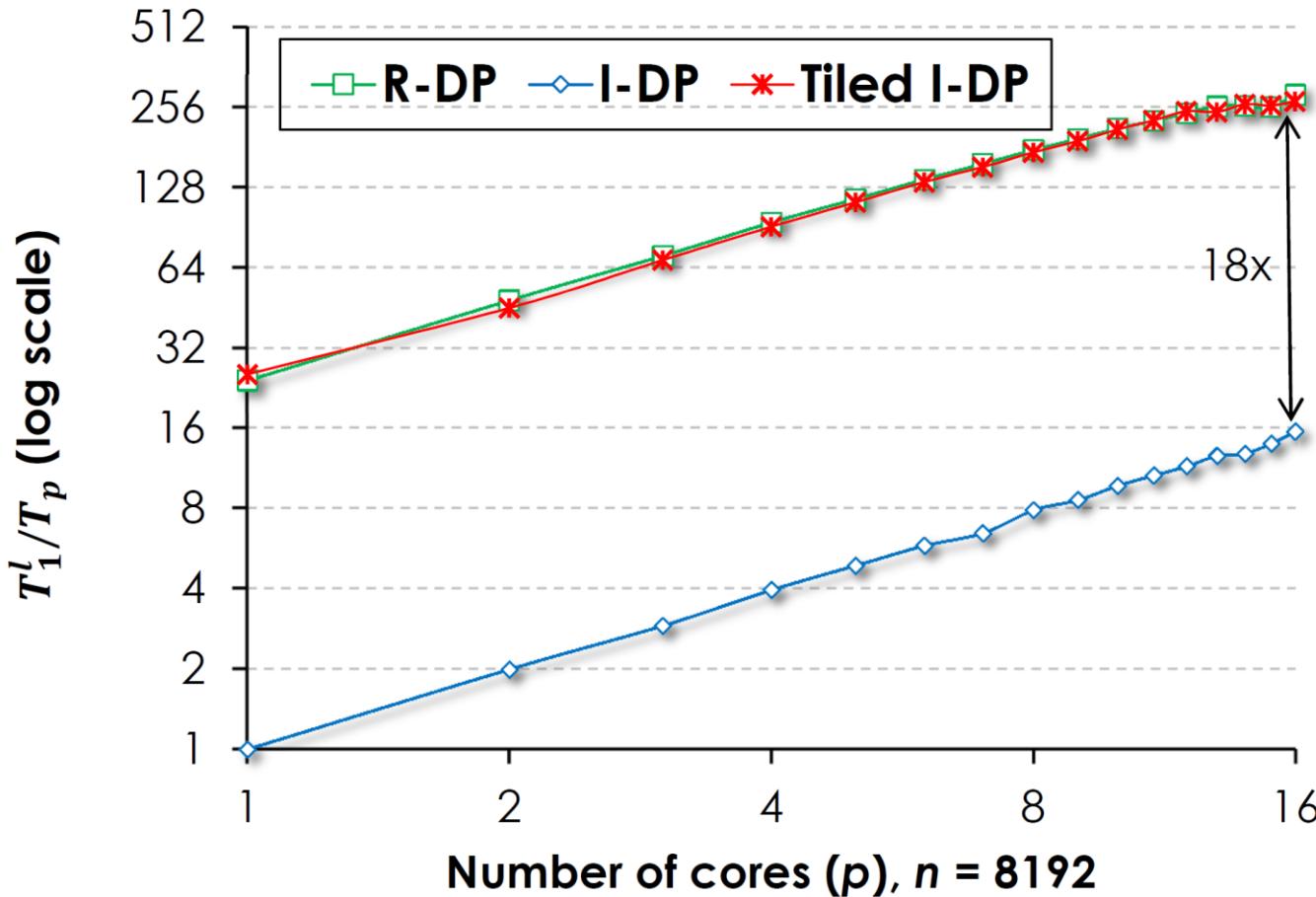


\*Run on a dual-socket ( $2 \times 8$  cores) 2.7 GHz Intel Sandy Bridge with private 32KB L1 and 256KB L2 caches, a shared 20MB L3 cache per socket and 32GB RAM.

# Matrix-Chain Mult: Empirical Performance

R-DP: recursive divide-&-conquer (BASE\_SIZE =  $64 \times 64$ ),

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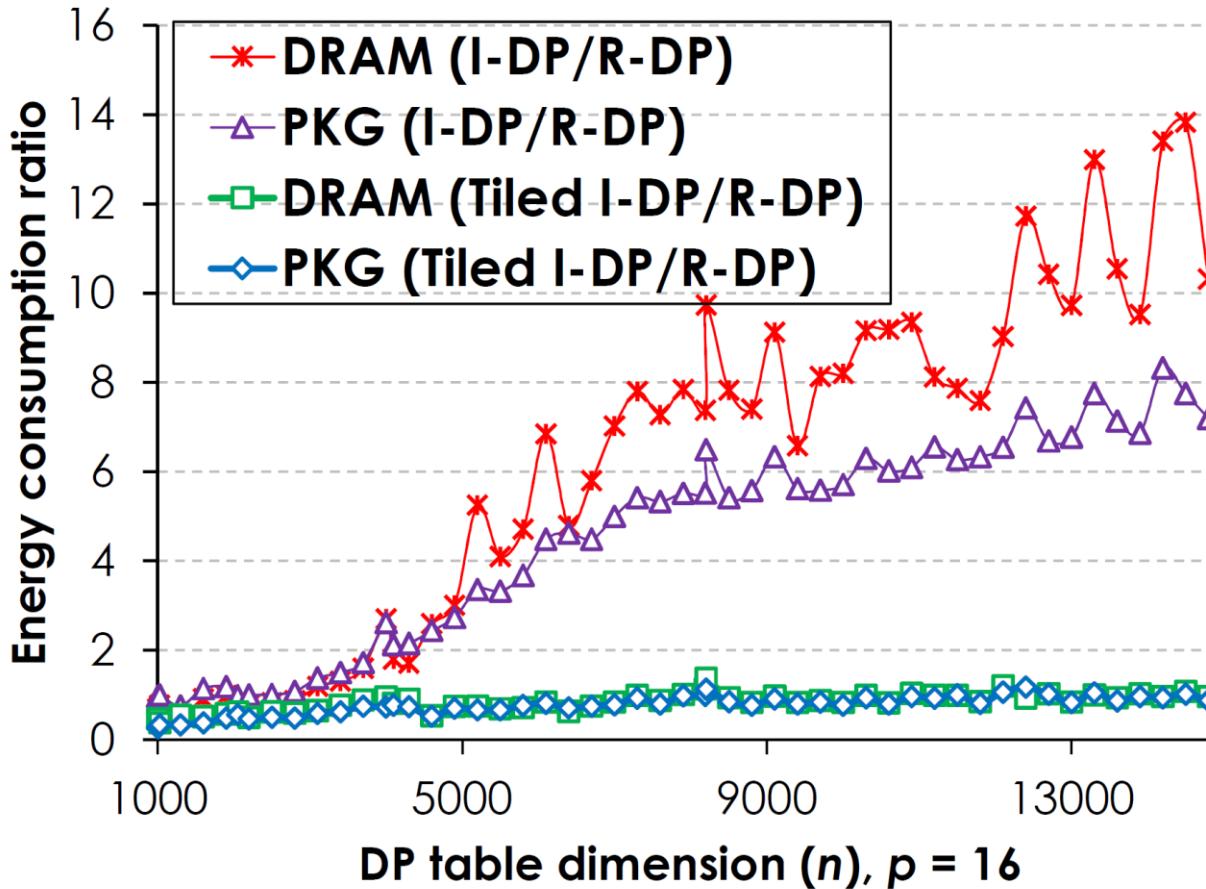


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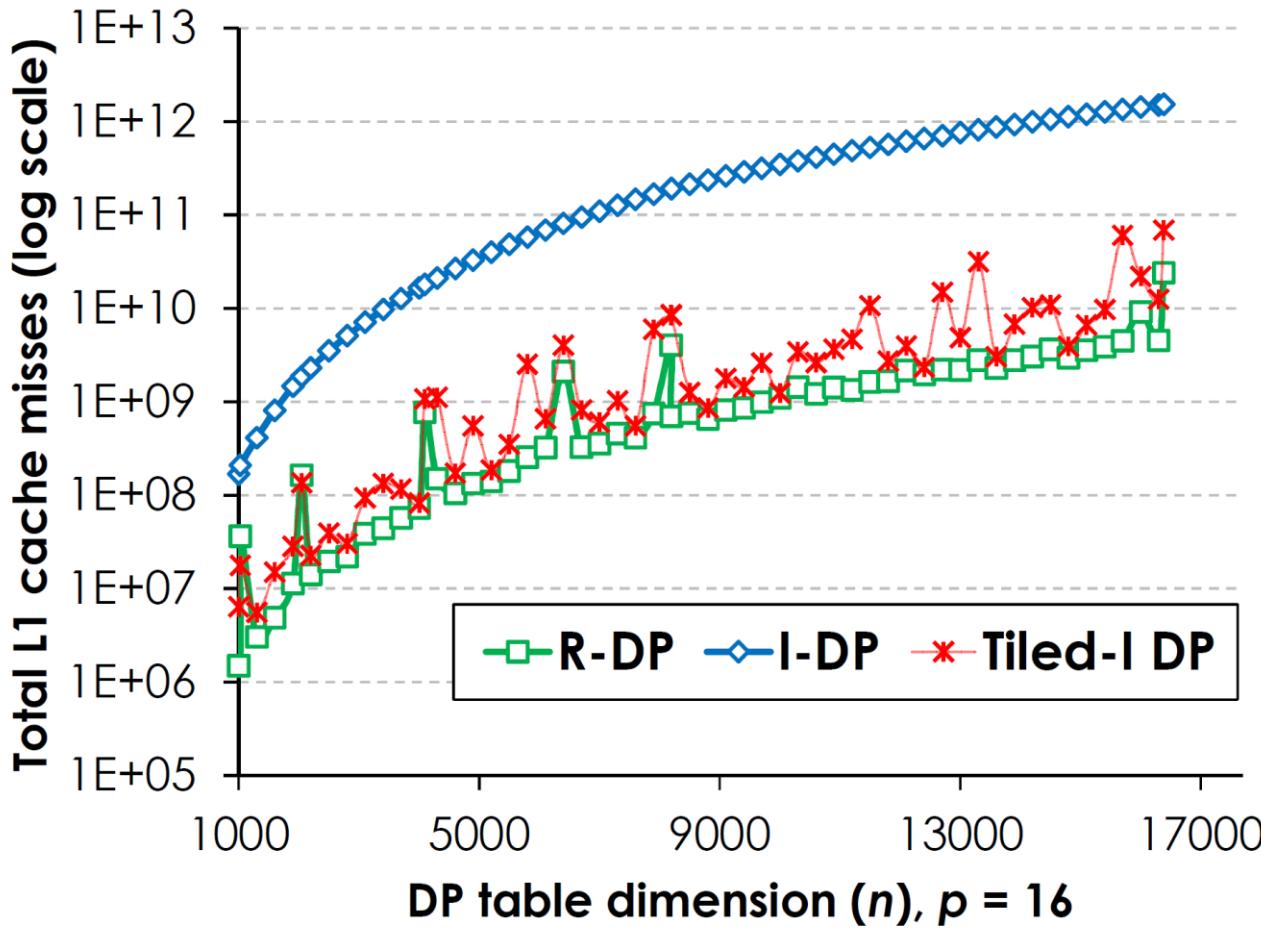


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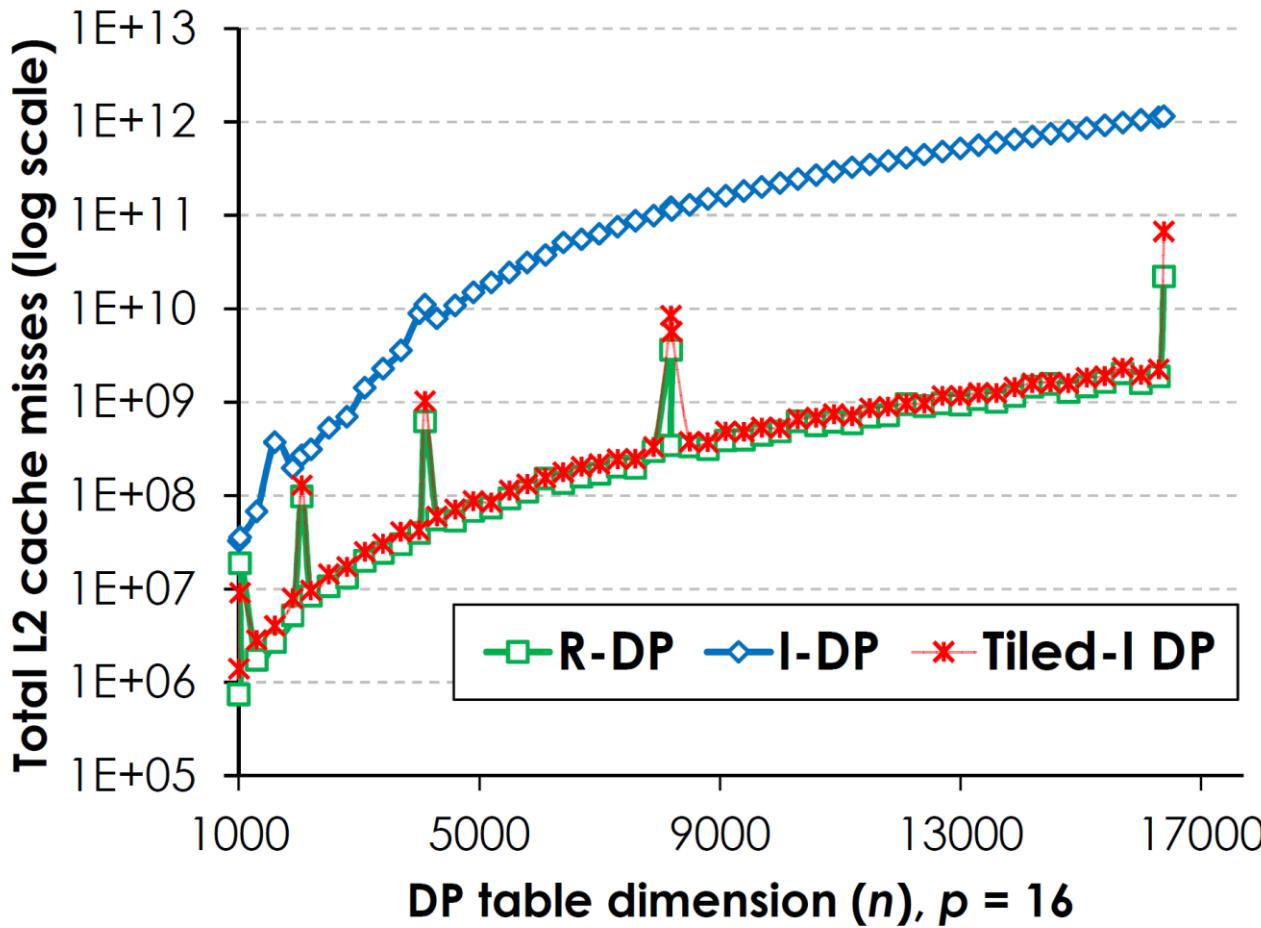


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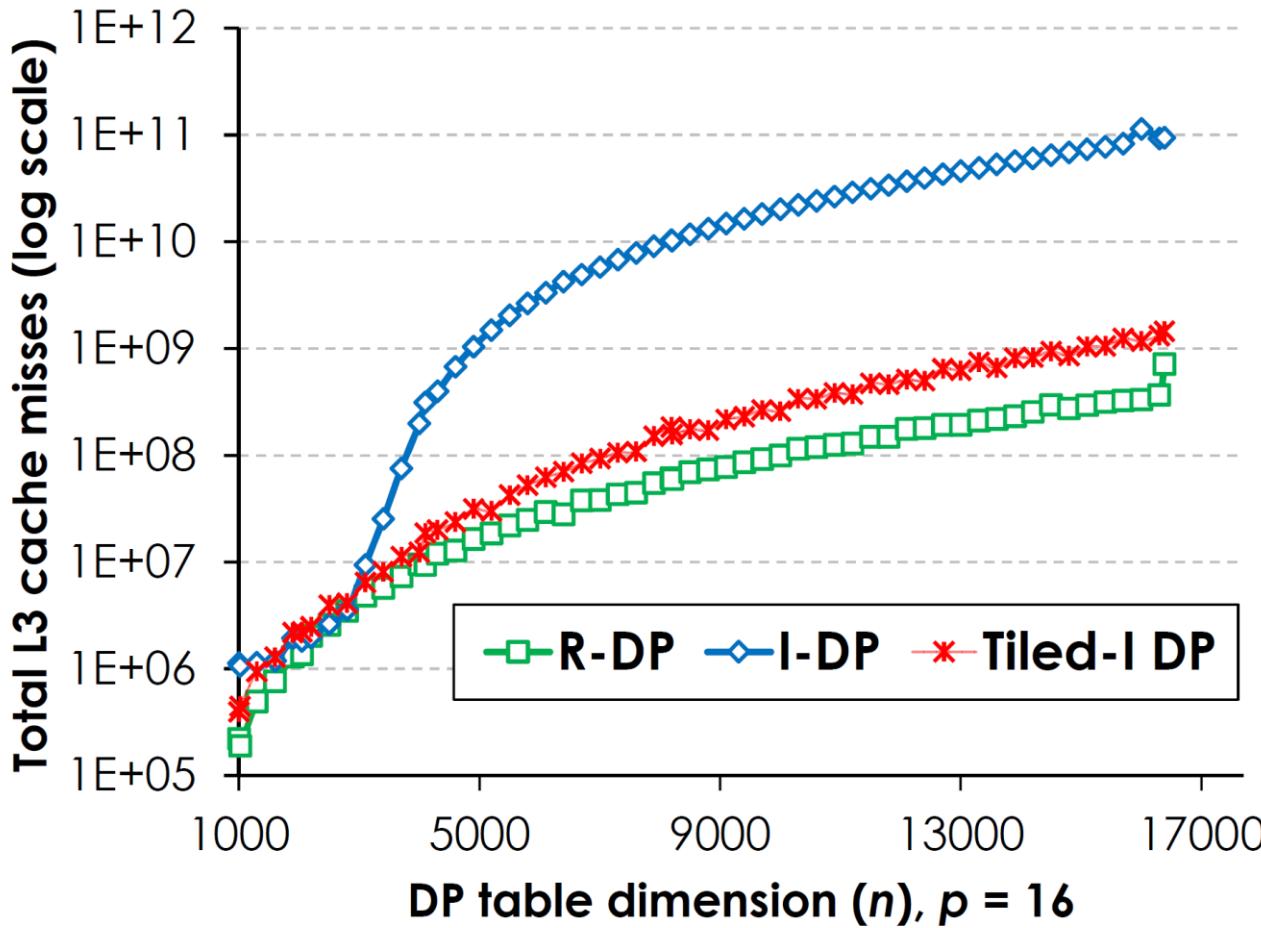


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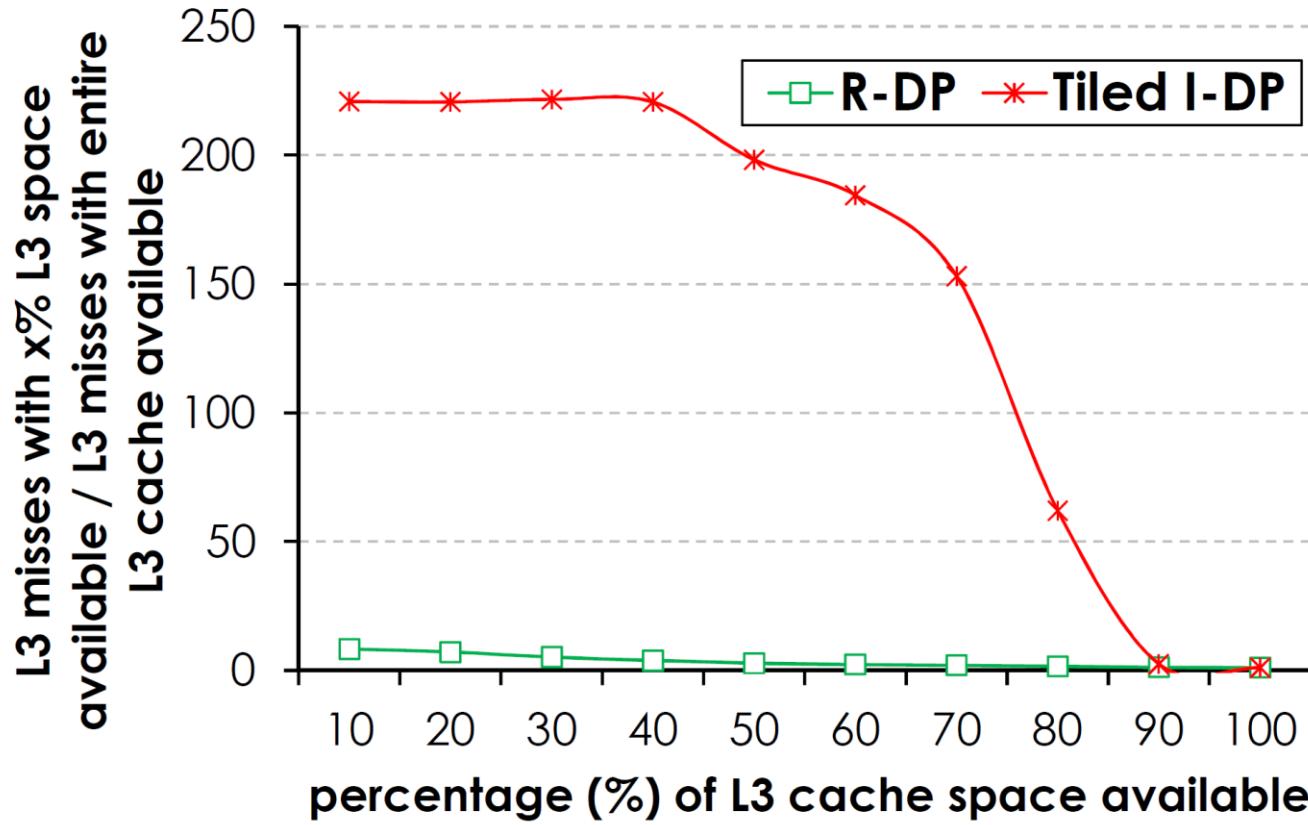


\*Run on a dual-socket (2 × 8 cores) 2.7 GHz Intel Sandy Bridge with private 32KB L1 and 256KB L2 caches, a shared 20MB L3 cache per socket and 32GB RAM.

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R-DP: recursive divide-&-conquer (BASE\_SIZE =  $64 \times 64$ ),

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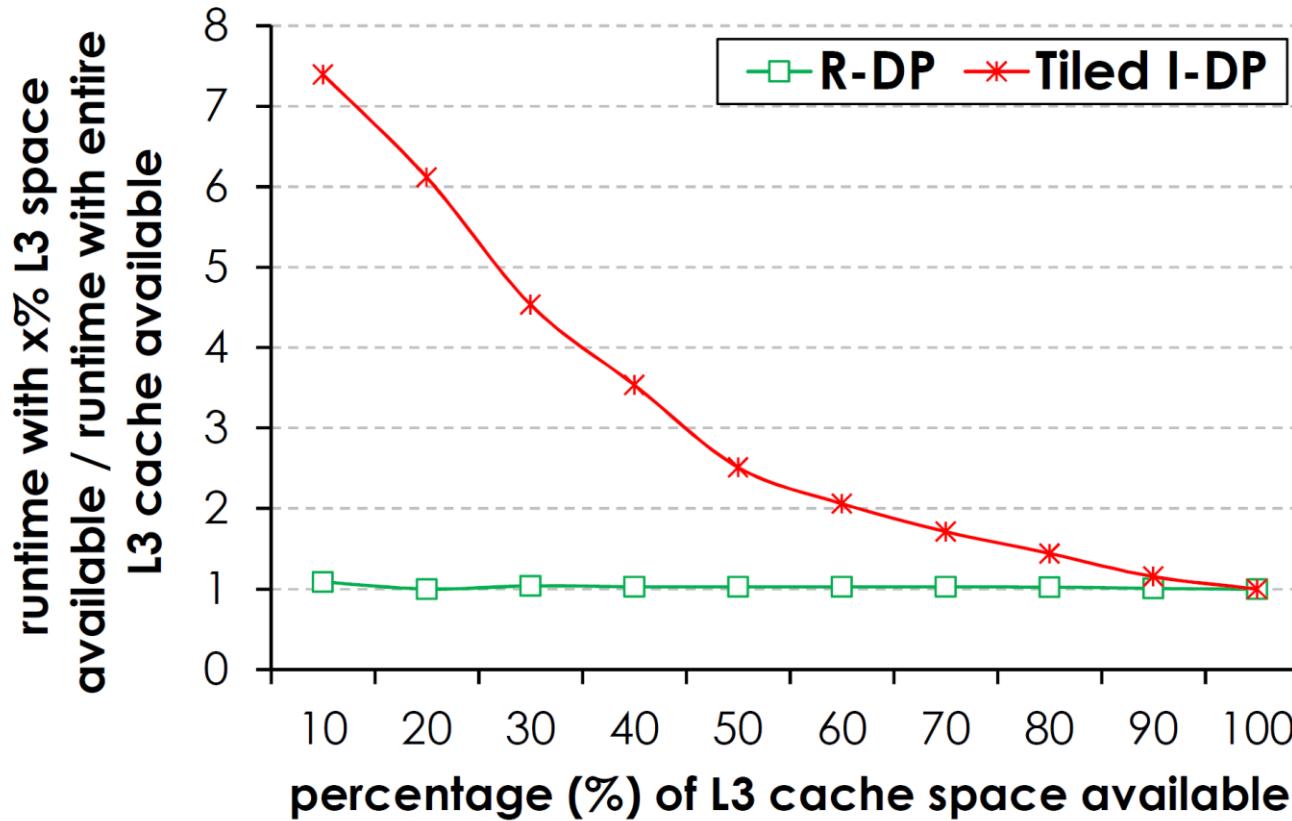


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# Dynamic Programming vs. Divide-and-Conquer

- Dynamic programming, like the divide-and-conquer method, solves problems by combining solutions to subproblems
- Divide-and-conquer algorithms
  - partition the problem into disjoint subproblems,
  - solve the subproblems recursively, and
  - then combine their solutions to solve the original problem
- In contrast, dynamic programming applies when the subproblems overlap — that is, when subproblems share subsubproblems
- A dynamic-programming algorithm solves each subsubproblem just once and then saves its answer in a table, thereby avoiding the work of recomputing the answer every time it solves each subsubproblem

# Elements of Dynamic Programming

An optimization problem must have the following two ingredients for dynamic programming to apply.

- 1) Optimal substructure
  - an optimal solution to the problem contains within it optimal solutions to subproblems
- 2) Overlapping subproblems
  - subproblems share subsubproblems and/or subsubsubproblems and/or subsubsubsubproblems, and so on

# Dynamic Programming

When developing a dynamic-programming algorithm, we follow a sequence of four steps:

- 1) Characterize the structure of an optimal solution.
- 2) Recursively define the value of an optimal solution.
- 3) Compute the value of an optimal solution, typically in a bottom-up fashion.
- 4) Construct an optimal solution from computed information.

If we need only the value of an optimal solution, and not the solution itself, then we can omit step 4.

If we perform step 4, we sometimes maintain additional information during step 3 so that we can easily construct an optimal solution.

# Longest Common Subsequence (LCS)

A *subsequence* of a sequence  $X$  is obtained by deleting zero or more symbols from  $X$ .

Example:

$$X = abcba$$

$Z = bca \leftarrow$  obtained by deleting the 1<sup>st</sup> ' $a$ ' and the 2<sup>nd</sup> ' $b$ ' from  $X$

A *Longest Common Subsequence (LCS)* of two sequences  $X$  and  $Y$  is a sequence  $Z$  that is a subsequence of both  $X$  and  $Y$ , and is the longest among all such subsequences.

Given  $X$  and  $Y$ , the *LCS problem* asks for such a  $Z$ .

## LCS: Optimal Substructure

Given two sequences:  $X = \langle x_1, x_2, \dots, x_m \rangle$  and  $Y = \langle y_1, y_2, \dots, y_n \rangle$

Let  $Z = \langle z_1, z_2, \dots, z_k \rangle$  be any LCS of  $X$  and  $Y$ .

For  $0 \leq i \leq m$ , let  $X_i = \langle x_1, x_2, \dots, x_i \rangle$ . We define  $Y_i$  and  $Z_i$  similarly.

Then

(1) If  $x_m = y_n$ ,

then  $z_k = x_m = y_n$  and  $Z_{k-1}$  is an LCS of  $X_{m-1}$  and  $Y_{n-1}$ .

(2) If  $x_m \neq y_n$ ,

then  $z_k \neq x_m$  implies that  $Z$  is an LCS of  $X_{m-1}$  and  $Y$ .

(3) If  $x_m \neq y_n$ ,

then  $z_k \neq y_n$  implies that  $Z$  is an LCS of  $X$  and  $Y_{n-1}$ .

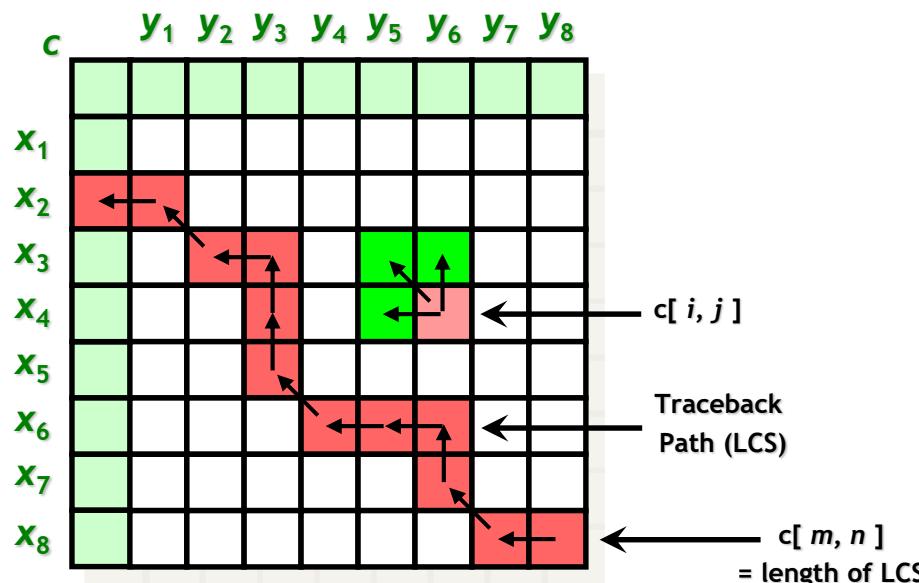
# LCS: Recurrence

Given two sequences:  $X = \langle x_1, x_2, \dots, x_m \rangle$  and  $Y = \langle y_1, y_2, \dots, y_n \rangle$

For  $0 \leq i \leq m$  and  $0 \leq j \leq n$ ,

let  $c[i, j]$  be the length of an LCS of  $X_i$  and  $Y_j$ . Then

$$c[i, j] = \begin{cases} 0, & \text{if } i = 0 \vee j = 0, \\ c[i - 1, j - 1] + 1, & \text{if } i, j > 0 \wedge x_i = y_j, \\ \max\{c[i, j - 1], c[i - 1, j]\}, & \text{otherwise.} \end{cases}$$



# LCS: Bottom-up DP

**LCS-LENGTH (  $X, Y$  )**

1.  $m \leftarrow X.length$
2.  $n \leftarrow Y.length$
3.  $b[1 \dots m, 1 \dots n] \leftarrow \text{new table}$ ,  $c[0 \dots m, 0 \dots n] \leftarrow \text{new table}$
4. **for**  $i \leftarrow 1$  **to**  $m$
5.      $c[i, 0] \leftarrow 0$
6.     **for**  $j \leftarrow 0$  **to**  $n$
7.          $c[0, j] \leftarrow 0$
8.     **for**  $i \leftarrow 1$  **to**  $m$
9.         **for**  $j \leftarrow 1$  **to**  $n$
10.             **if**  $x_i = y_j$
11.                  $c[i, j] \leftarrow c[i - 1, j - 1] + 1$
12.                  $b[i, j] \leftarrow \text{“↖”}$
13.             **elseif**  $c[i - 1, j] \geq c[i, j - 1]$
14.                  $c[i, j] \leftarrow c[i - 1, j]$
15.                  $b[i, j] \leftarrow \text{“↑”}$
16.             **else**  $c[i, j] \leftarrow c[i, j - 1]$
17.                  $b[i, j] \leftarrow \text{“←”}$

Running time =  $\Theta(mn)$

## LCS: Bottom-up DP

$j$	0	1	2	3	4	5	6
$i$	$y_j$	$B$	$D$	$C$	$A$	$B$	$A$
0	$x_i$						
1	$A$						
2	$B$						
3	$C$						
4	$B$						
5	$D$						
6	$A$						
7	$B$						

# LCS: Bottom-up DP

$j$	0	1	2	3	4	5	6
$i$	$y_j$	$B$	$D$	$C$	$A$	$B$	$A$
0	$x_i$	0	0	0	0	0	0
1	$A$	0					
2	$B$	0					
3	$C$	0					
4	$B$	0					
5	$D$	0					
6	$A$	0					
7	$B$	0					

# LCS: Bottom-up DP

$j$	0	1	2	3	4	5	6
$i$	$y_j$	$B$	$D$	$C$	$A$	$B$	$A$
$x_i$	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0
1	$A$	0	0	0	0	1	1
2	$B$	0					
3	$C$	0					
4	$B$	0					
5	$D$	0					
6	$A$	0					
7	$B$	0					

# LCS: Bottom-up DP

$j$	0	1	2	3	4	5	6
$i$	$y_j$	$B$	$D$	$C$	$A$	$B$	$A$
$x_i$	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0
1	$A$	0	0	0	0	1	1
2	$B$	0	1	← 1	← 1	1	2
3	$C$	0					
4	$B$	0					
5	$D$	0					
6	$A$	0					
7	$B$	0					

Annotations in the table:

- Green arrows ( $\uparrow$ ) indicate matches between  $x_i$  and  $y_j$ .
- Red arrows ( $\leftarrow$ ) indicate matches between  $x_i$  and  $y_j$ , where  $y_j$  is part of a local subsequence.
- Blue numbers ( $1, 2$ ) indicate matches between  $x_i$  and  $y_j$ , where  $y_j$  is part of a global subsequence.

# LCS: Bottom-up DP

$j$	0	1	2	3	4	5	6
$i$	$y_j$	$B$	$D$	$C$	$A$	$B$	$A$
$x_i$	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0
1	$A$	0	0	0	0	1	1
2	$B$	0	1	← 1	← 1	1	2
3	$C$	0	1	1	2	← 2	2
4	$B$	0					
5	$D$	0					
6	$A$	0					
7	$B$	0					

Annotations in the table:

- Green arrows point up from row 3 to row 2.
- Red arrows point left from row 2 to row 1.
- Blue arrows point left from row 3 to row 2.
- Red numbers 1, 2, and 3 are placed in cells (2,1), (3,2), and (3,3) respectively.
- Blue numbers 1, 2, and 2 are placed in cells (3,4), (3,5), and (3,6) respectively.
- Red numbers 1, 2, and 1 are placed in cells (4,2), (4,3), and (4,4) respectively.
- Blue numbers 1, 2, and 2 are placed in cells (5,3), (5,4), and (5,5) respectively.

# LCS: Bottom-up DP

$j$	0	1	2	3	4	5	6
$i$	$y_j$	$B$	$D$	$C$	$A$	$B$	$A$
$x_i$	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0
1	$A$	0	0	0	0	1	1
2	$B$	0	1	← 1	← 1	1	2
3	$C$	0	1	1	2	← 2	2
4	$B$	0	1	1	2	2	3
5	$D$	0					
6	$A$	0					
7	$B$	0					

Annotations in the table:

- Green arrows ( $\uparrow$ ) indicate matches between  $x_i$  and  $y_j$ .
- Red arrows ( $\leftarrow$ ) indicate matches between  $x_i$  and  $y_{j-1}$ .
- Blue numbers ( $\leftarrow 1$ ,  $\leftarrow 2$ ) indicate matches between  $x_i$  and  $y_{j-2}$ .

# LCS: Bottom-up DP

$j$	0	1	2	3	4	5	6
$i$	$y_j$	$B$	$D$	$C$	$A$	$B$	$A$
0	$x_i$	0	0	0	0	0	0
1	$A$	0	0	0	0	1	1
2	$B$	0	1	← 1	← 1	1	2
3	$C$	0	1	1	2	2	2
4	$B$	0	1	1	2	2	3
5	$D$	0	1	2	2	3	3
6	$A$	0					
7	$B$	0					

The table illustrates the bottom-up dynamic programming solution for the Longest Common Subsequence (LCS) problem. The rows represent the sequence  $x_i$  and the columns represent the sequence  $y_j$ . The values in the cells indicate the length of the LCS up to that point. Colored arrows show the path of decisions:

- Green Upward Arrows:** Indicate matches where  $x_i = y_j$ .
- Red Leftward Arrows:** Indicate matches where  $x_i \neq y_j$  and the previous character in  $x_i$  is included in the subsequence.
- Cyan Leftward Arrows:** Indicate matches where  $x_i \neq y_j$  and the previous character in  $y_j$  is included in the subsequence.

# LCS: Bottom-up DP

$j$	0	1	2	3	4	5	6
$i$	$y_j$	$B$	$D$	$C$	$A$	$B$	$A$
$x_i$	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0
1	$A$	0	0	0	0	1	1
2	$B$	0	1	← 1	← 1	1	2
3	$C$	0	1	1	2	2	2
4	$B$	0	1	1	2	2	3
5	$D$	0	1	2	2	2	3
6	$A$	0	1	2	2	3	4
7	$B$	0					

Annotations in the table:

- Green arrows point upwards from row 0 to row 1.
- Red arrows point leftwards from row 1 to row 2.
- Blue arrows point leftwards from row 2 to row 3.
- Green arrows point upwards from row 3 to row 4.
- Red arrows point leftwards from row 4 to row 5.
- Green arrows point upwards from row 5 to row 6.
- Red arrows point leftwards from row 6 to row 7.
- Red numbers (1, 2, 3, 4) are placed in the cells corresponding to the last column of each row.

# LCS: Bottom-up DP

$j$	0	1	2	3	4	5	6
$i$	$y_j$	$B$	$D$	$C$	$A$	$B$	$A$
$x_i$	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0
1	$A$	0	0	0	0	1	1
2	$B$	0	1	← 1	← 1	1	2
3	$C$	0	1	1	2	2	2
4	$B$	0	1	1	2	2	3
5	$D$	0	1	2	2	2	3
6	$A$	0	1	2	2	3	4
7	$B$	0	1	2	2	3	4

# LCS: Bottom-up DP

$j$	0	1	2	3	4	5	6
$i$	$y_j$	$B$	$D$	$C$	$A$	$B$	$A$
$x_i$	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0
1	$A$	0	0	0	0	1	1
2	$B$	0	1	← 1	← 1	1	2
3	$C$	0	1	1	2	2	2
4	$B$	0	1	1	2	2	3
5	$D$	0	1	2	2	2	3
6	$A$	0	1	2	2	3	4
7	$B$	0	1	2	2	3	4

# LCS: Bottom-up DP

$j$	0	1	2	3	4	5	6
$i$	$y_j$	$B$	$D$	$C$	$A$	$B$	$\textcircled{A}$
$x_i$	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0
1	$A$	0	0	0	0	1	1
2	$B$	0	1	← 1	← 1	1	2
3	$C$	0	1	1	2	2	2
4	$B$	0	1	1	2	2	3
5	$D$	0	1	2	2	2	3
6	$\textcircled{A}$	0	1	2	2	3	4
7	$B$	0	1	2	2	3	4

Annotations in the table:

- Green arrows point up from row 0 to row 1.
- Red arrows point left from row 1 to row 2.
- Blue arrows point left from row 2 to row 3.
- Green arrows point up from row 3 to row 4.
- Red arrows point left from row 4 to row 5.
- Green arrows point up from row 5 to row 6.
- Red arrows point left from row 6 to row 7.
- Red numbers (1, 2, 3) are placed in cells where green arrows point left.
- Blue numbers (1, 2, 3) are placed in cells where blue arrows point left.
- Cells in row 6, column 7 and row 7, column 7 are shaded gray.

# LCS: Bottom-up DP

$j$	0	1	2	3	4	5	6
$i$	$y_j$	$B$	$D$	$C$	$A$	$B$	$\textcircled{A}$
$x_i$	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0
1	$A$	0	0	0	0	1	1
2	$B$	0	1	← 1	← 1	1	2
3	$C$	0	1	1	2	2	2
4	$B$	0	1	1	2	2	3
5	$D$	0	1	2	2	2	3
6	$\textcircled{A}$	0	1	2	2	3	4
7	$B$	0	1	2	2	3	4

Annotations in the table:

- Green arrows point up from row 0 to row 1.
- Red arrows point left from row 1 to row 2.
- Blue arrows point left from row 2 to row 3.
- Green arrows point up from row 3 to row 4.
- Red arrows point left from row 4 to row 5.
- Green arrows point up from row 5 to row 6.
- Red arrows point left from row 6 to row 7.
- Cells containing red numbers (1, 2, 3, 4) indicate matches or matches followed by a mismatch.
- Cells containing blue numbers (1, 2, 3) indicate matches followed by a insertion.
- Cells containing green numbers (1, 2, 3, 4) indicate matches.
- Cells shaded in gray represent the final LCS sequence.

# LCS: Bottom-up DP

$j$	0	1	2	3	4	5	6
$i$	$y_j$	$B$	$D$	$C$	$A$	$\textcircled{B}$	$\textcircled{A}$
0	$x_i$	0	0	0	0	0	0
1	$A$	0	0	0	0	1	1
2	$B$	0	1	← 1	← 1	1	2
3	$C$	0	1	1	2	2	2
4	$\textcircled{B}$	0	1	1	2	2	3
5	$D$	0	1	2	2	2	3
6	$\textcircled{A}$	0	1	2	2	3	4
7	$B$	0	1	2	2	3	4

Annotations in the table:

- Green arrows point up or left, indicating matches or matches followed by insertions.
- Red arrows point right or down, indicating matches followed by deletions.
- Blue arrows point left, indicating matches followed by insertions.
- Red numbers (1, 2, 3, 4) are circled in red and highlighted with gray boxes, likely marking specific states or steps in the dynamic programming process.

# LCS: Bottom-up DP

$j$	0	1	2	3	4	5	6
$i$	$y_j$	$B$	$D$	$C$	$A$	$\textcircled{B}$	$\textcircled{A}$
$x_i$	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0
1	$A$	0	0	0	0	1	1
2	$B$	0	1	← 1	← 1	1	2
3	$C$	0	1	1	2	← 2	2
4	$\textcircled{B}$	0	1	1	2	2	3
5	$D$	0	1	2	2	2	3
6	$\textcircled{A}$	0	1	2	2	3	4
7	$B$	0	1	2	2	3	4

Annotations in the table:

- Green arrows point up or left, indicating matches or matches followed by insertions.
- Red arrows point right or down, indicating matches followed by deletions.
- Blue arrows point left, indicating matches followed by insertions.
- Red numbers (1, 2, 3, 4) are circled in red and highlighted with a red oval at the bottom-right corner of the cell (row 6, column 6).
- Grey shading covers the last two columns of each row (from row 4 to row 7).

# LCS: Bottom-up DP

$j$	0	1	2	3	4	5	6
$i$	$y_j$	$B$	$D$	$\textcircled{C}$	$A$	$\textcircled{B}$	$\textcircled{A}$
$x_i$	0	0	0	0	0	0	0
1	$A$	0	0	0	0	1	1
2	$B$	0	1	$\leftarrow 1$	$\leftarrow 1$	1	2
3	$\textcircled{C}$	0	1	1	$\nwarrow$	2	2
4	$\textcircled{B}$	0	1	1	2	2	$\leftarrow 3$
5	$D$	0	1	2	2	3	3
6	$\textcircled{A}$	0	1	2	2	3	4
7	$B$	0	1	2	2	3	4

Annotations in the table:

- Green arrows ( $\uparrow$ ) indicate matches between  $x_i$  and  $y_j$ .
- Red arrows ( $\nwarrow$ ,  $\leftarrow$ ) indicate matches between  $y_j$  and  $x_i$ .
- Blue arrows ( $\leftarrow$ ) indicate matches between  $x_i$  and  $y_j$  where  $y_j$  is a boundary character.
- Red numbers (1, 2, 3, 4) are circled in red and placed in specific cells to highlight certain states or steps in the dynamic programming process.
- Grey shaded regions highlight specific subproblems or states in the DP table.

# LCS: Bottom-up DP

$j$	0	1	2	3	4	5	6
$i$	$y_j$	$B$	$D$	$\textcircled{C}$	$A$	$\textcircled{B}$	$\textcircled{A}$
$x_i$	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0
1	$A$	0	0	0	0	1	1
2	$B$	0	1	← 1	← 1	1	2
3	$\textcircled{C}$	0	1	1	2	2	2
4	$\textcircled{B}$	0	1	1	2	2	3
5	$D$	0	1	2	2	3	3
6	$\textcircled{A}$	0	1	2	2	3	4
7	$B$	0	1	2	2	3	4

Annotations in the table:

- Green arrows ( $\uparrow$ ) indicate matches between  $x_i$  and  $y_j$ .
- Red arrows ( $\leftarrow$ ) indicate matches between  $x_i$  and  $y_{j-1}$ .
- Blue arrows ( $\leftarrow$ ) indicate matches between  $x_{i-1}$  and  $y_j$ .
- Grey shaded cells represent states where no match was found.
- Red numbers (1, 2, 3, 4) are circled in red, indicating specific steps or states of interest.

# LCS: Bottom-up DP

$j$	0	1	2	3	4	5	6
$i$	$y_j$	(B)	D	(C)	A	(B)	(A)
$x_i$	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0
1	A	0	0	0	0	1	1
2	(B)	0	1	1	1	2	2
3	(C)	0	1	1	2	2	2
4	(B)	0	1	1	2	2	3
5	D	0	1	2	2	3	3
6	(A)	0	1	2	2	3	4
7	B	0	1	2	2	3	4

Annotations in the table:

- Green arrows point upwards from row 0 to row 1.
- Red arrows point leftwards from column 0 to column 1.
- Blue arrows point leftwards from column 1 to column 2.
- Red circles highlight cells (2,1), (3,2), (4,3), and (6,4).
- Grey shaded regions highlight columns 1, 2, and 4.

# LCS: Bottom-up DP

$j$	0	1	2	3	4	5	6
$i$	$y_j$	(B)	D	(C)	A	(B)	(A)
$x_i$	0	0	0	0	0	0	0
1 A	0	0	0	0	1	1	1
2 (B)	0	1	1	1	1	2	2
3 (C)	0	1	1	2	2	2	2
4 (B)	0	1	1	2	2	3	3
5 D	0	1	2	2	2	3	3
6 (A)	0	1	2	2	3	3	4
7 B	0	1	2	2	3	4	4

Annotations in the table:

- Green arrows point up or left, indicating matches or matches followed by insertions.
- Red arrows point right or down, indicating matches followed by deletions.
- Blue arrows point left, indicating matches followed by insertions.
- Red numbers (1, 2, 3, 4) are circled in red and have arrows pointing to them from the bottom-left, indicating the steps in the dynamic programming process.

# LCS: Constructing an LCS

PRINT-LCS (  $b, X, i, j$  )

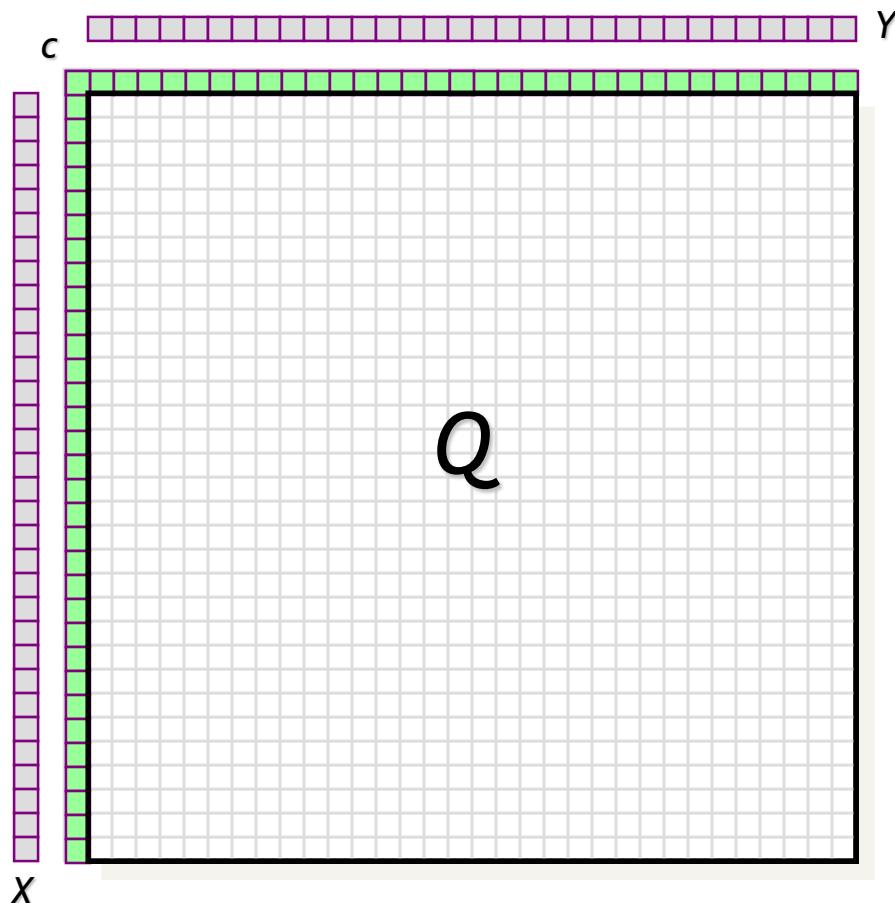
1.     *if*  $i = 0$  *or*  $j = 0$
2.     *return*
3.     *if*  $b[i,j] = “↖”$
4.         PRINT-LCS (  $b, X, i - 1, j - 1$  )
5.         print  $x_i$
6.     *elseif*  $b[i,j] = “↑”$
7.         PRINT-LCS (  $b, X, i - 1, j$  )
8.     *else* PRINT-LCS (  $b, X, i, j - 1$  )

Running time =  $O(m + n)$

# LCS: Linear Space with Recursive Divide-&-Conquer

$$Q \equiv c[1 \dots n, 1 \dots n]$$

$$\underline{n = 2^q}$$



■ stored values

# LCS: Linear Space with Recursive Divide-&-Conquer

$$Q \equiv c[1 \dots n, 1 \dots n]$$

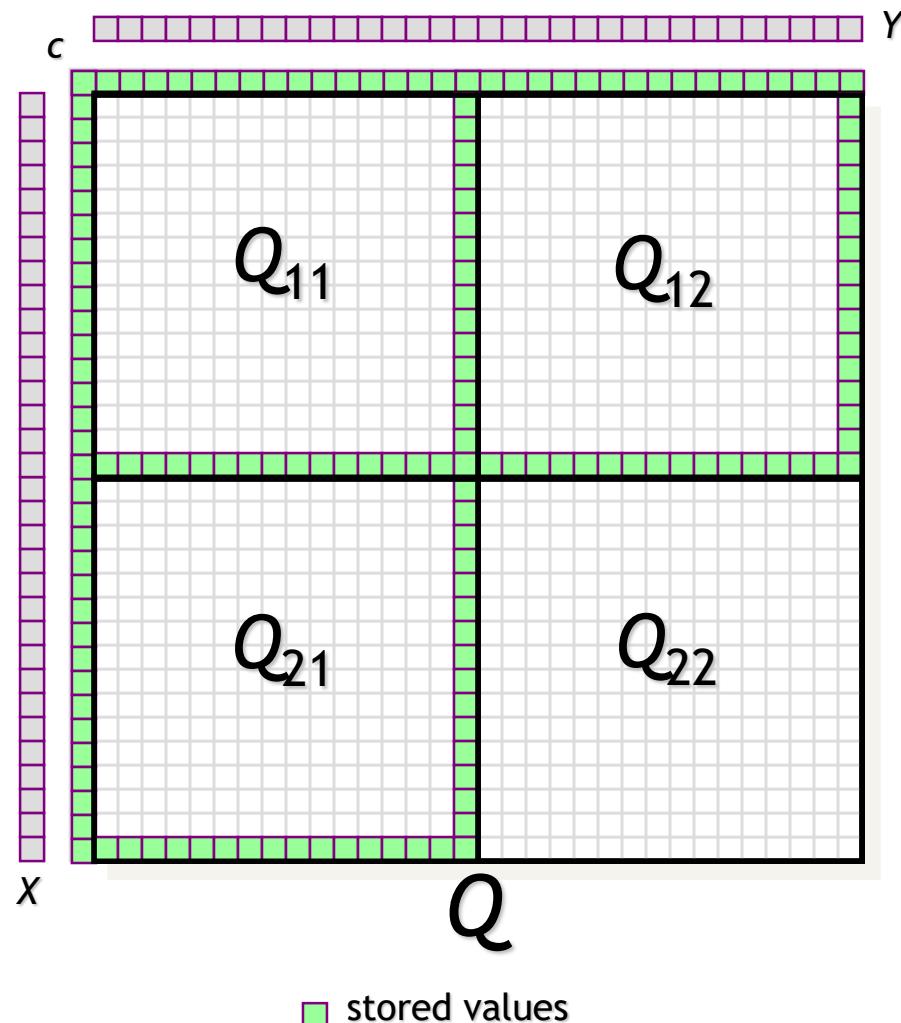
$$\underline{n = 2^q}$$

## 1. Decompose Q:

Split  $Q$  into four quadrants.

## 2. Forward Pass ( Generate Boundaries ):

Generate the right and the bottom boundaries of the quadrants recursively.  
( of at most 3 quadrants )



# LCS: Linear Space with Recursive Divide-&-Conquer

$$Q \equiv c[1 \dots n, 1 \dots n]$$

$$n = 2^q$$

## 1. Decompose Q:

Split  $Q$  into four quadrants.

## 2. Forward Pass ( Generate Boundaries ):

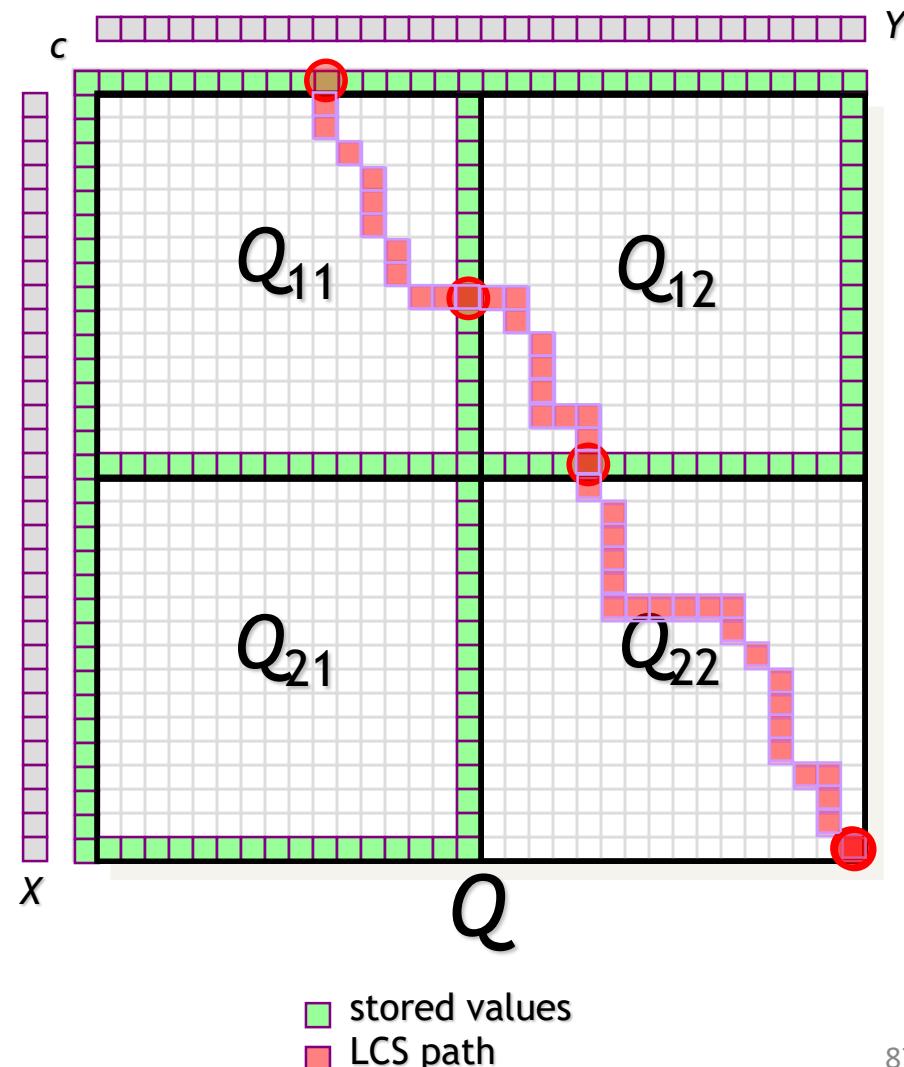
Generate the right and the bottom boundaries of the quadrants recursively.  
( of at most 3 quadrants )

## 3. Backward Pass ( Extract LCS-Path Fragments ):

Extract LCS-Path fragments from the quadrants recursively.  
( from at most 3 quadrants )

## 4. Compose LCS-Path:

Combine the LCS-Path fragments.



■ stored values  
■ LCS path

## Optimal Binary Search Trees (OPBST)

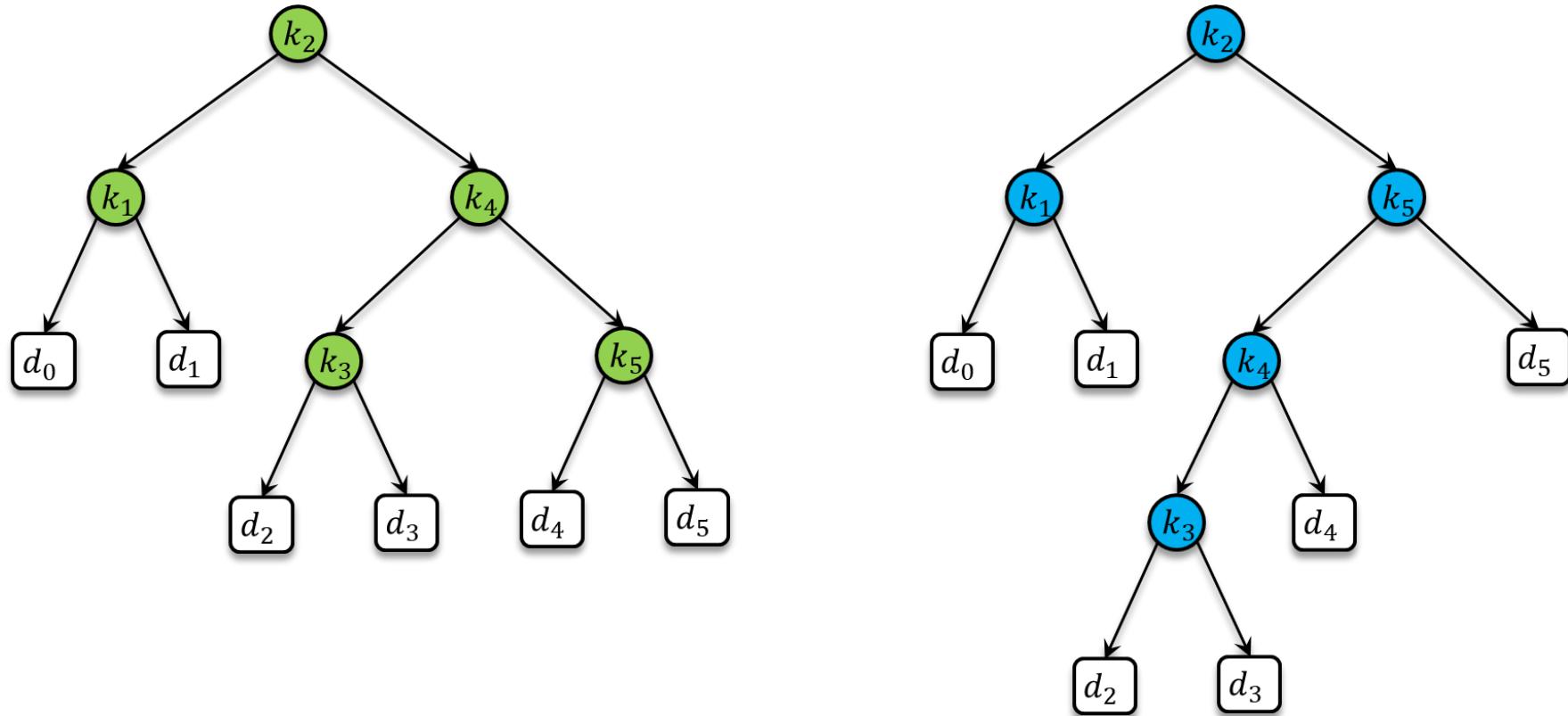
- Given (1) a sequence  $K = \langle k_1, k_2, \dots, k_n \rangle$  of  $n$  distinct key in sorted order (so that  $k_1 < k_2 < \dots < k_n$ ),
- (2) for  $i \in [1, n]$ , probability  $p_i$  that a search will be for  $k_i$ ,
  - (3) for  $i \in [1, n - 1]$ , probability  $q_i$  that a search will be for a key (say,  $d_i$ ) between  $k_i$  and  $k_{i+1}$ ,
  - (4) probability  $q_0$  that a search will be for a key (say,  $d_0$ ) smaller than  $k_1$ , and
  - (5) probability  $q_n$  that a search will be for a key (say,  $d_n$ ) larger than  $k_n$ .

So,  $\sum_{i=1}^n p_i + \sum_{i=0}^n q_i = 1$

Construct a binary search tree  $T$  from keys in  $K$  such that the following expected search cost in  $T$  is minimized:

$$\sum_{i=1}^n (\text{depth}(k_i) + 1). p_i + \sum_{i=0}^n (\text{depth}(d_i) + 1). q_i$$

# Optimal Binary Search Trees (OPBST)

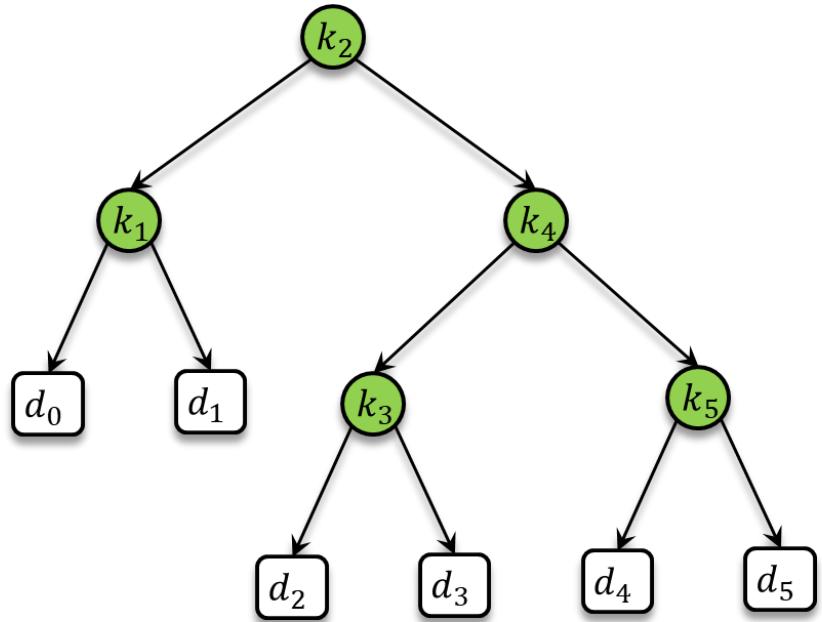


$k_i$	$k_1$	$k_2$	$k_3$	$k_4$	$k_5$
$p_i$	0.15	0.10	0.05	0.10	0.20

$d_i$	$d_0$	$d_1$	$d_2$	$d_3$	$d_4$	$d_5$
$q_i$	0.05	0.10	0.05	0.05	0.05	0.10

# Optimal Binary Search Trees (OPBST)



node	depth	probability	contribution
$k_1$	1	0.15	0.30
$k_2$	0	0.10	0.10
$k_3$	2	0.05	0.15
$k_4$	1	0.10	0.20
$k_5$	2	0.20	0.60
$d_0$	2	0.05	0.15
$d_1$	2	0.10	0.30
$d_2$	3	0.05	0.20
$d_3$	3	0.05	0.20
$d_4$	3	0.05	0.20
$d_5$	3	0.10	0.40
Total			2.80

# Optimal Binary Search Trees (OPBST)

node	depth	probability	contribution
$k_1$	1	0.15	0.30
$k_2$	0	0.10	0.10
$k_3$	3	0.05	0.20
$k_4$	2	0.10	0.30
$k_5$	1	0.20	0.40
$d_0$	2	0.05	0.15
$d_1$	2	0.10	0.30
$d_2$	4	0.05	0.25
$d_3$	4	0.05	0.25
$d_4$	3	0.05	0.20
$d_5$	2	0.10	0.30
Total			2.75

node	depth	probability	contribution
$k_1$	1	0.15	0.30
$k_2$	0	0.10	0.10
$k_3$	3	0.05	0.20
$k_4$	2	0.10	0.30
$k_5$	1	0.20	0.40
$d_0$	2	0.05	0.15
$d_1$	2	0.10	0.30
$d_2$	4	0.05	0.25
$d_3$	4	0.05	0.25
$d_4$	3	0.05	0.20
$d_5$	2	0.10	0.30
Total			2.75

## OPBST: Recurrence

Let  $w(i, j) = \sum_{l=i}^j p_l + \sum_{l=i-1}^{j-1} q_l$  for  $1 \leq i \leq j \leq n$ .

Let  $e(i, j)$  = expected cost of searching an optimal binary search tree containing the keys  $k_i, \dots, k_j$ .

Then  $e(1, n)$  = expected cost of searching an optimal binary search tree containing  $k_1, \dots, k_n$  (i.e., containing all keys).

If  $k_r$  is the root of an optimal subtree containing  $k_i, \dots, k_j$ , then

$$\begin{aligned} e(i, j) &= p_r + \{e(i, r - 1) + w(i, r - 1)\} \\ &\quad + \{e(r + 1, j) + w(r + 1, j)\} \\ &= e(i, r - 1) + e(r + 1, j) + w(i, j) \end{aligned}$$

Hence,

$$e(i, j) = \begin{cases} q_{i-1}, & \text{if } j = i - 1, \\ \min_{i \leq r \leq j} \{e(i, r - 1) + e(r + 1, j) + w(i, j)\}, & \text{if } i < j. \end{cases}$$

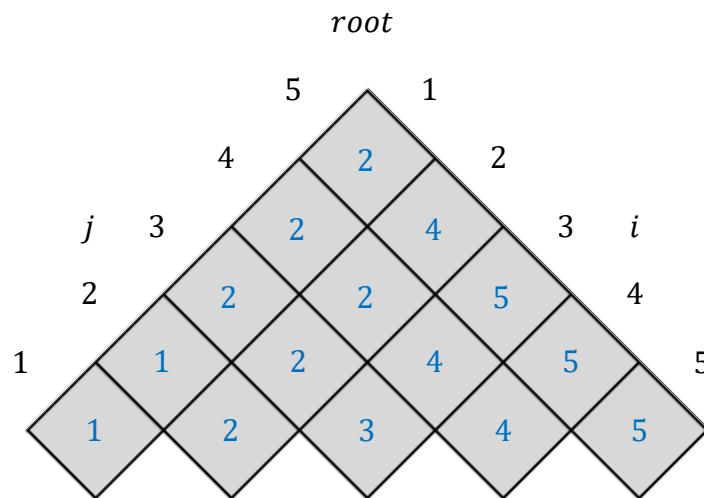
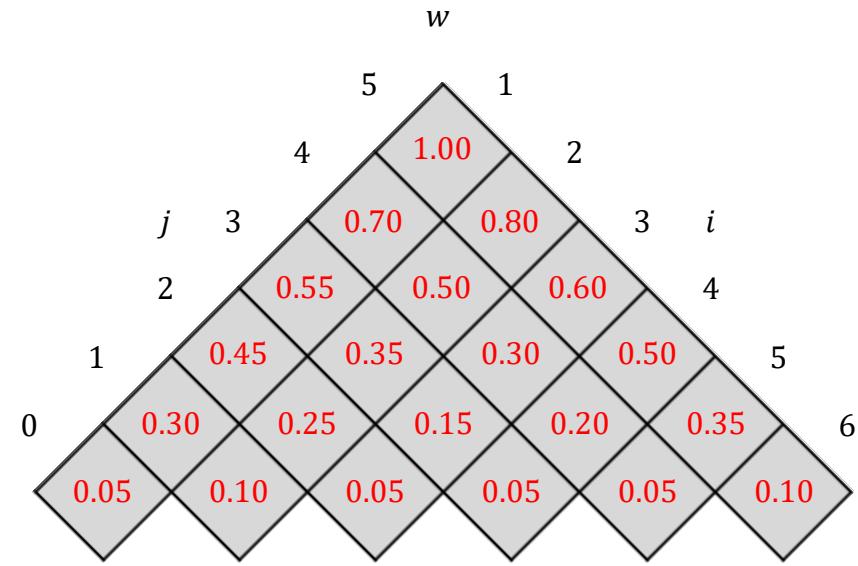
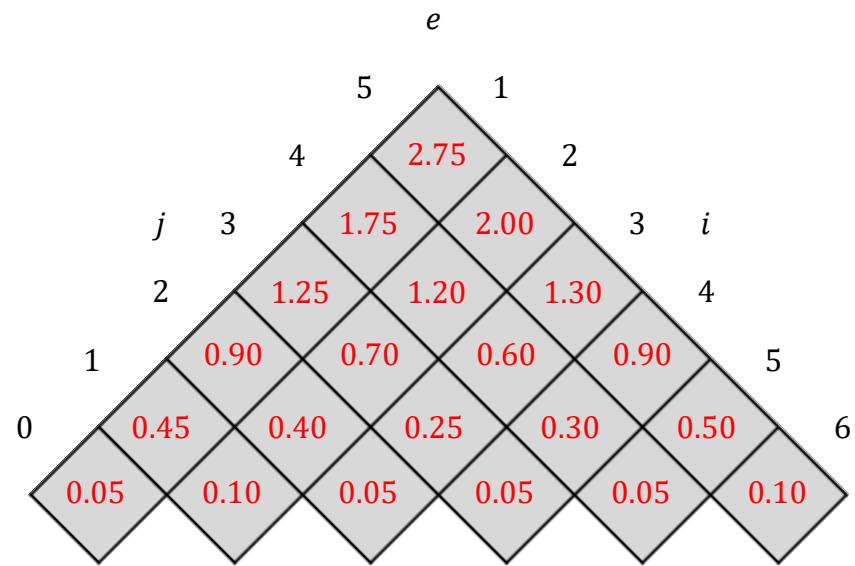
# OPBST: Bottom-up DP (Cubic Time)

*OPTIMAL-BST ( p, q, n )*

1.  $e[1..n + 1, 0..n] \leftarrow$  new table,
- $w[1..n + 1, 0..n] \leftarrow$  new table,
- $root[1..n, 1..n] \leftarrow$  new table
2. **for**  $i \leftarrow 1$  **to**  $n + 1$  **do**
3.      $e[i, i - 1] \leftarrow q_{i-1}$
4.      $w[i, i - 1] \leftarrow q_{i-1}$
5. **for**  $l \leftarrow 1$  **to**  $n$  **do**
6.     **for**  $i \leftarrow 1$  **to**  $n - l + 1$  **do**
7.          $j \leftarrow i + l - 1$
8.          $e[i, i] \leftarrow \infty$
9.          $w[i, j] \leftarrow w[i, j - 1] + p_j + q_j$
10.       **for**  $r \leftarrow i$  **to**  $j$  **do**
11.              $t \leftarrow e[i, r - 1] + e[r + 1, j] + w[i, j]$
12.             **if**  $t < e[i, j]$  **then**
13.                  $e[i, j] \leftarrow t$
14.                  $root[i, j] \leftarrow r$
15.     **return**  $e$  **and**  $root$

Running time =  $\Theta(n^3)$

## OPBST: Bottom-up DP (Cubic Time)



# OPBST: Bottom-up DP (Quadratic Time)

*OPTIMAL-BST ( p, q, n )*

1.  $e[1..n + 1, 0..n] \leftarrow$  new table,
- $w[1..n + 1, 0..n] \leftarrow$  new table,
- $root[1..n, 1..n] \leftarrow$  new table
2. **for**  $i \leftarrow 1$  **to**  $n + 1$  **do**
3.      $e[i, i - 1] \leftarrow q_{i-1}$
4.      $w[i, i - 1] \leftarrow q_{i-1}$
5. **for**  $l \leftarrow 1$  **to**  $n$  **do**
6.     **for**  $i \leftarrow 1$  **to**  $n - l + 1$  **do**
7.          $j \leftarrow i + l - 1$
8.          $e[i, j] \leftarrow \infty$
9.          $w[i, j] \leftarrow w[i, j - 1] + p_j + q_j$
10.       **for**  $r \leftarrow root[i, j - 1]$  **to**  $root[i + 1, j]$  **do**
11.            $t \leftarrow e[i, r - 1] + e[r + 1, j] + w[i, j]$
12.           **if**  $t < e[i, j]$  **then**
13.                $e[i, j] \leftarrow t$
14.                $root[i, j] \leftarrow r$
15.     **return**  $e$  **and**  $root$

Running time =  $\Theta(n^2)$

# Longest Increasing Subsequence (LIS)

An *Increasing Subsequence*  $L$  of a given sequence  $A = \langle a_1, a_2, \dots, a_n \rangle$  of numbers is obtained by deleting zero or more numbers from  $A$  such that every number  $x \in L$  is larger than the number immediately preceding  $x$  in  $L$ .

A *Longest Increasing Subsequence (LIS)* of  $A$  has the maximum length among all increasing subsequences of  $A$ .

# Longest Increasing Subsequence (LIS)

Let's augment the given sequence  $A = \langle a_1, a_2, \dots, a_n \rangle$  to include a sentinel value  $a_0 = -\infty$ . Thus  $\langle a_0, a_1, a_2, \dots, a_n \rangle$  is our augmented sequence.

Let  $LIS(i)$  be the length of the longest increasing subsequence of  $\langle a_i, a_{i+1}, \dots, a_n \rangle$  that starts at  $a_i$ .

Then

$$LIS(i) = 1 + \max_{i < j \leq n} \{ LIS(j) \mid a_j > a_i \}$$

Running time =  $\Theta(n^2)$ .

# Subset Sum

Given an array  $A[1..n]$  of  $n$  positive integers and a target integer  $T$ , determine if any subset of the numbers in  $A$  sum up to  $T$ .

# Subset Sum

Given an array  $A[1..n]$  of  $n$  positive integers and a target integer  $T$ , determine if any subset of the numbers in  $A$  sum up to  $T$ .

Let  $S(i, t)$  be *True* iff some subset of  $A[i..n]$  adds up to  $t$ .

Then

$$S(i, t) = \begin{cases} \text{True}, & \text{if } t = 0, \\ \text{False}, & \text{if } t < 0 \text{ or } i > n, \\ S(i + 1, t) \vee S(i + 1, t - A[i]), & \text{otherwise.} \end{cases}$$

Running time =  $\Theta(nT)$ .

The resulting DP algorithm is called a *pseudo-polynomial time algorithm* because its running time depends on the numeric value of the input.

# The Knapsack Problem

You have a knapsack of integer weight capacity  $W$ .

There are  $n$  items to pick from with the  $i^{th}$  item having weight  $w_i$  and value  $v_i$ , where  $1 \leq i \leq n$ . All weight values are integers.

You need to pickup the most valuable combination of items that fit in your knapsack

## **Unbounded Knapsack:**

Pick up as many copies of each item as you want.

## **0/1 Knapsack:**

Pick up at most one copy of each item.

# The Knapsack Problem

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**Unbounded Knapsack:**

Pick up as many copies of each item as you want.

Let  $K(w)$  = maximum value achievable with a knapsack of capacity  $w$ .

Then  $K(w) = \max_{i:w_i \leq w} \{K(w - w_i) + v_i\}$

Running time =  $\Theta(nW)$ .

# The Knapsack Problem

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**0/1 Knapsack:**

Pick up at most one copy of each item.

Let  $K(w, i) =$  maximum value achievable with a knapsack of capacity  $w$  and items  $1, 2, \dots, i$ .

Then  $K(w, i) = \max\{K(w - w_i, i - 1) + v_i, K(w, i - 1)\}$

Running time =  $\Theta(nW)$ .