CSE528 Computer Graphics: Theory, Algorithms, and Applications

Hong Qin Department of Computer Science Stony Brook University (SUNY at Stony Brook) Stony Brook, New York 11794-2424 Tel: (631)632-8450; Fax: (631)632-8334 qin@cs.stonybrook.edu http://www.cs.stonybrook.edu/~qin



Department of Computer Science Center for Visual Computing



K

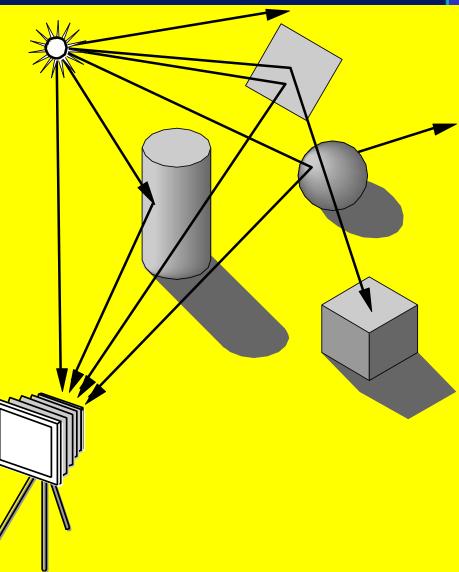




Department of Com Center for Visual

#### **Photo-realistic Rendering**

- Simple forward approach: Follow light rays from a point light source
- Can account for reflection and transmission (refraction) during ray transmission from a light source to image plane
- Not efficient!



Department of Computer Science Center for Visual Computing

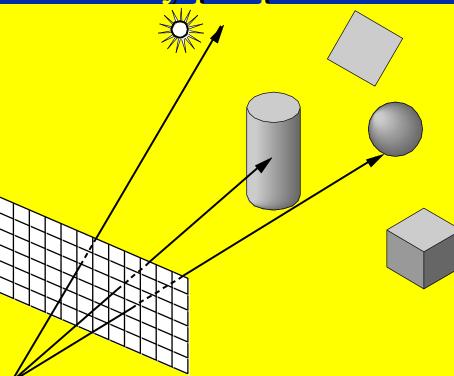
#### Computation

- Should be able to handle all physical interactions between objects and light rays
- Unfortunately, the direct, forward paradigm is not computational tractable at all
- Most rays do not affect what we see on the image plane, because those rays do not penetrate through the image plane at all
- Scattering produces many (infinite) additional rays
- Alternatives: ray-casting and ray-tracing



#### Ray Casting: Basic Principle

- Only rays that reach the eye matter
- Reverse direction and cast rays
- Need at least one ray per pixel



NY RR

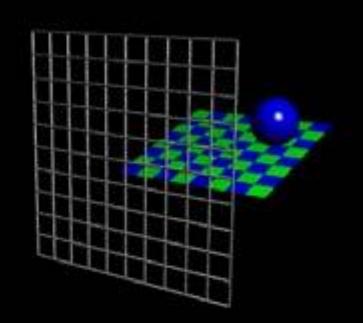
STATE UNIVERSITY OF NEW YORK

### Ray Casting: Basic Principles

camera

- Camera
- Pixel plane

• Scene

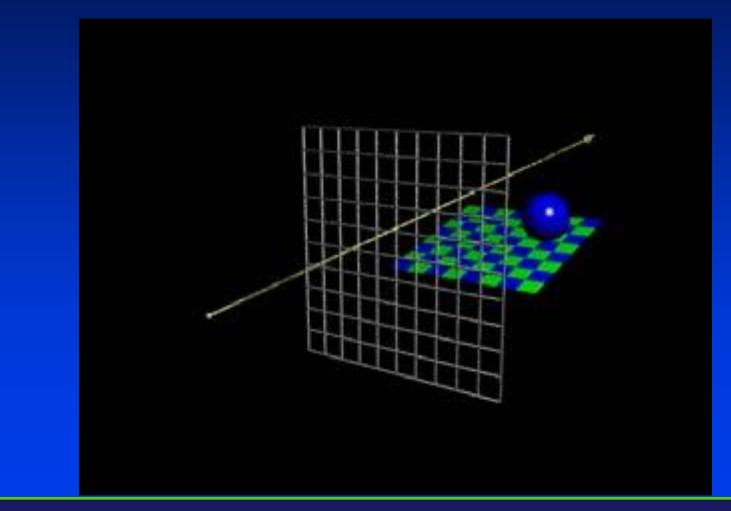




Department of Computer Science

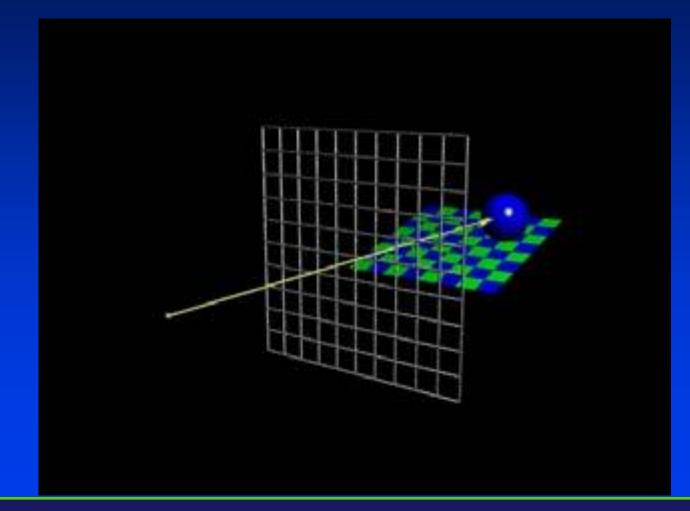
Center for Visual Computing

#### Ray Casting: Basic Principles





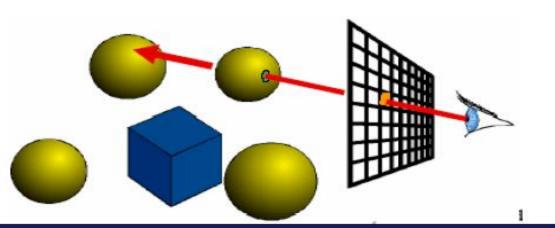
#### Ray Casting: Basic Principles



ST NY BR K

#### **Ray Casting**

- Also known as: Ray Shooting
- Complexity?
  - O (n \* m)
  - n: number of objects, m: number of pixels



ATE UNIVERSITY OF NEW YORK

#### Math for Ray Casting

$$P = P_0 + su$$

$$u = \frac{P_{pix} - P_{prp}}{\left|P_{pix} - P_{prp}\right|}$$

ST NY BR K

Department of Computer Science Center for Visual Computing

### **Global Approaches**

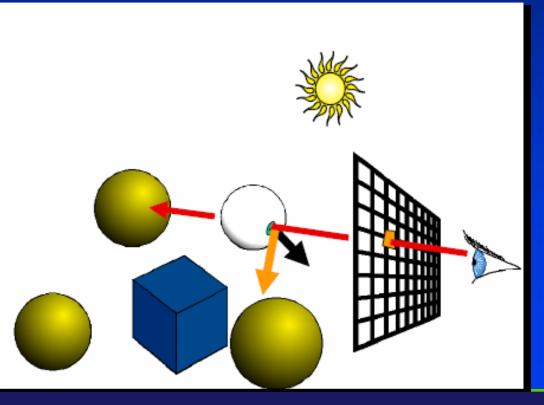
- Ray Tracing
- Radiosity
- Rendering equation





#### **Ray Tracing**

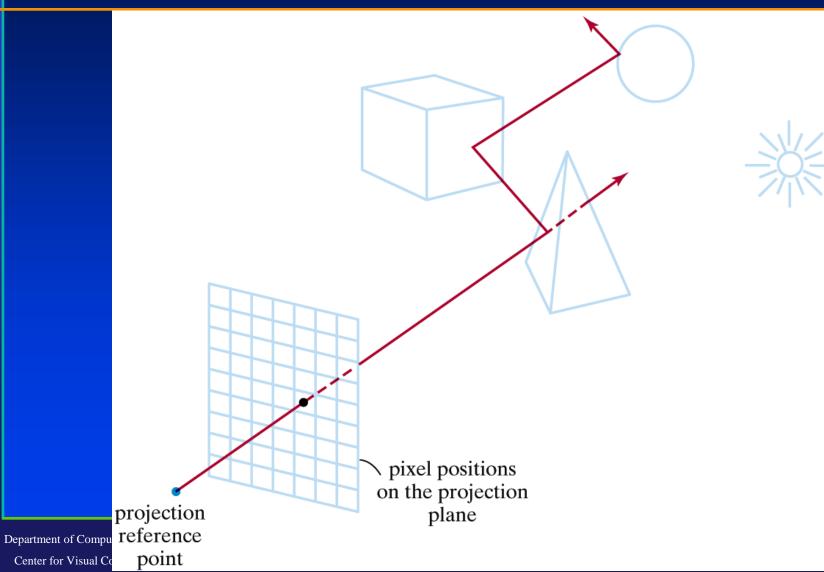
#### Ray can split and change directions



Department of Computer Science Center for Visual Computing



#### Ray-Tracing: Basic Principles



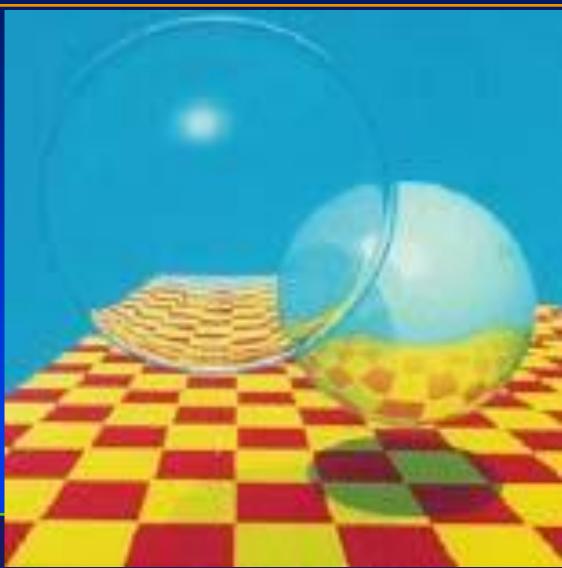
BR

SITY OF NEW YORK

#### Raycasting vs. Ray Tracing



# Ray Tracing





#### **Ray Tracing**





#### **Global Illumination**

- Lighting based on the full scene
- Lighting based on physics
- Traditionally represented by two algorithms
  - Ray Tracing 1980
  - Radiosity 1984
- More modern techniques include *photon mapping* and many variations of raytracing and radiosity ideas



Department of Computer Science Center for Visual Computing

# **Ray-Tracing**

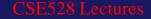
Department of Computer Science Center for Visual Computing



#### **Today's Topics**

 We will take a look at ray-tracing which can be used to generate extremely photo-realistic images

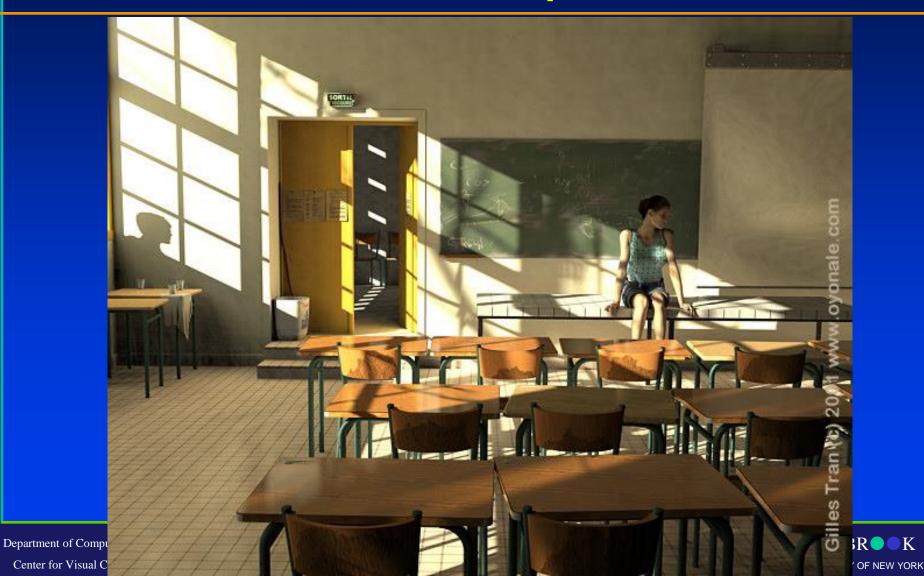








Κ



K

#### Ray Tracing

#### • Highly realistic images

Ray tracing enables correct simulation of light transport



Center for

#### Ray Tracing

- 3D image rendering
- Calculate the paths of light rays
- Nice-looking reflections, refractions, shadows





#### Ray Tracing Algorithm

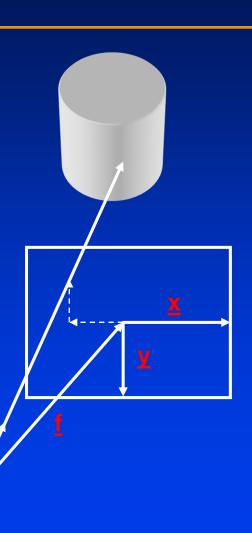
- Input:
  - Description of a 3D virtual scene
    - Described using triangles
  - Eye position and screen position
- Output:
  - 2D projection of the 3D scene onto screen



Department of Computer Science Center for Visual Computing

#### **Ray Generation**

- Important parameters
  - <u>o</u>: Origin (point of view)
  - $-\underline{f}$ : Vector to center of view, focal length
  - $-\underline{x}, \underline{y}$ : Span the viewing window
  - xres, yres: Image resolution





Department of Computer Science Center for Visual Computing

#### Ray Tracing: Basic Setup

- Assumption: empty space totally transparent
- Surfaces (geometric objects)
  - 3D geometric models of objects
- Optical surface characteristics (appearance)
  - Absorption, reflection, transparency, color, ....
- Illumination
  - Position, characteristics of light sources



Department of Computer Science Center for Visual Computing

#### **Fundamental Steps**

- Generation of primary rays
  - Rays from viewpoint into 3D scene
- Ray tracing & traversal
  - First intersection with scene geometry
- Shading
  - Light (radiance) send along primary ray
  - Compute incoming illumination with recursive rays



#### Ray Tracing Algorithm: First Step

• For each pixel in projection plane P

RayR

 $\overline{\mathbf{O}}$ 

- Cast ray from eye through current pixel to scene
- Intersect with each object in scene to find which object is visible

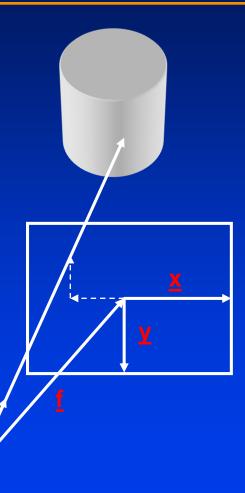
Plane P



#### Algorithm

for (x= 0; x < xres; x++)
for (y= 0; y < yres; y++)</pre>

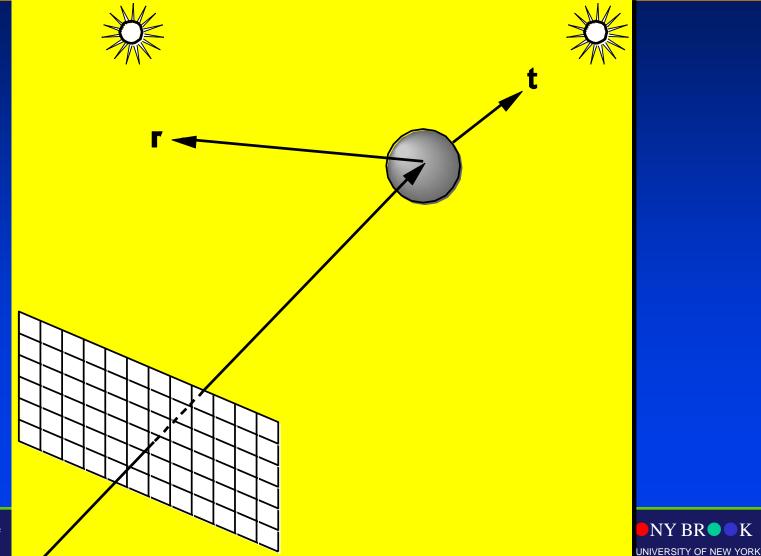
 $\frac{d}{d} = \frac{f}{d} + 2(x/xres - 0.5) \cdot x + 2(y/yres - 0.5) \cdot y +$ 



ST NY BR K

Department of Computer Science Center for Visual Computing

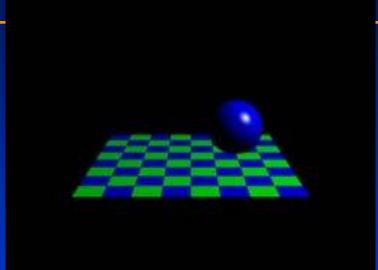
#### **Reflection and Transmission**

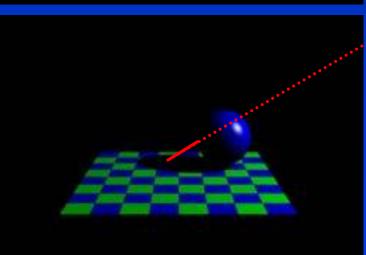


NY BR

K

## Shadow Ray





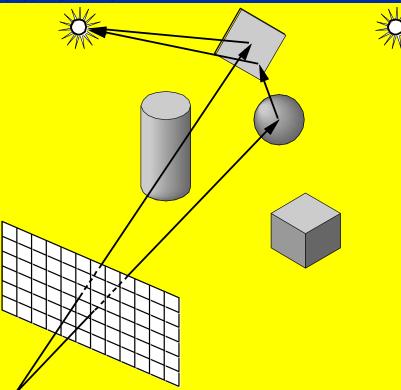
Department of Computer Science

Center for Visual Computing

STATE UNIVERSITY OF NEW YORK

#### Reflection

- Must follow shadow rays off reflecting or transmitting surfaces
- Process is recursive

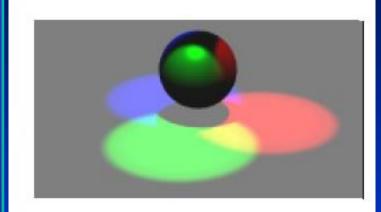


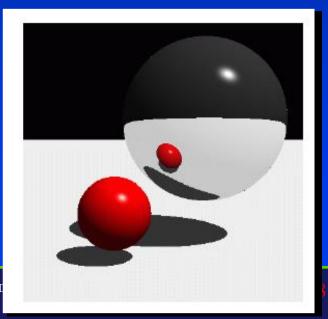
ST

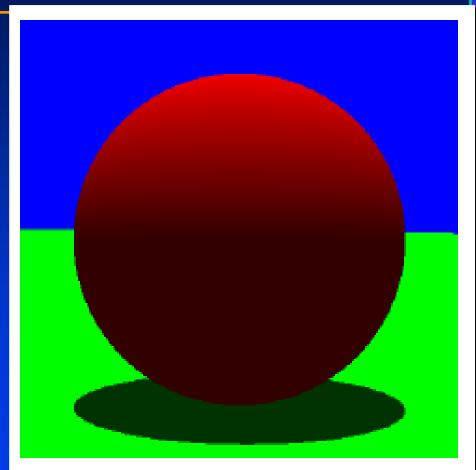
NY BR

STATE UNIVERSITY OF NEW YORK

#### More Examples on Shadow



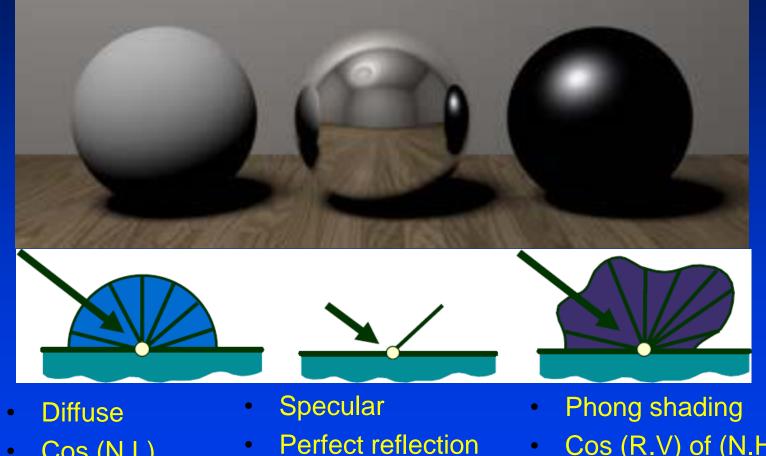




ST NY BR K

Lectures

#### **Ray Tracing**



- Cos (R.V) of (N.H) •
  - **Exponential n** •

Department of Computer Science

ullet

Center for Visual Computing

Cos (N.L)

Recursive

(N.V) = (N.R)

•

ullet

 $ST \rightarrow NY BR \rightarrow K$ STATE UNIVERSITY OF NEW YORK

# **Diffuse Surfaces**

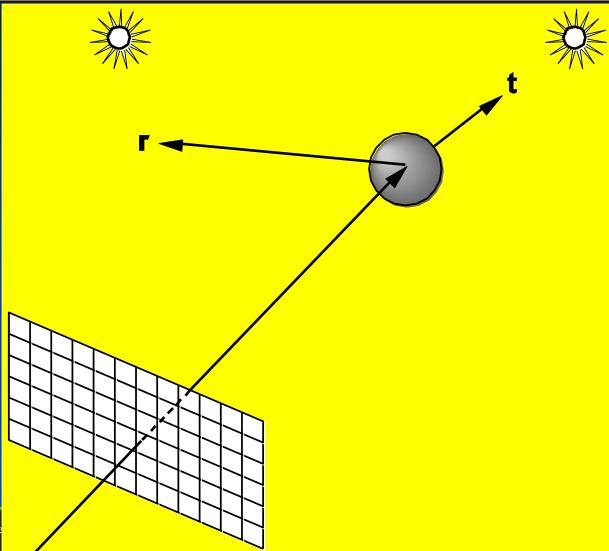
 Theoretically the scattering at each point of intersection generates an infinite number of new rays that should be traced (computational intractable, however)

 In practice, we only trace the transmitted and reflected rays but use the Phong model to compute shading at intersection points



Department of Computer Science Center for Visual Computing

#### **Reflection and Transmission**



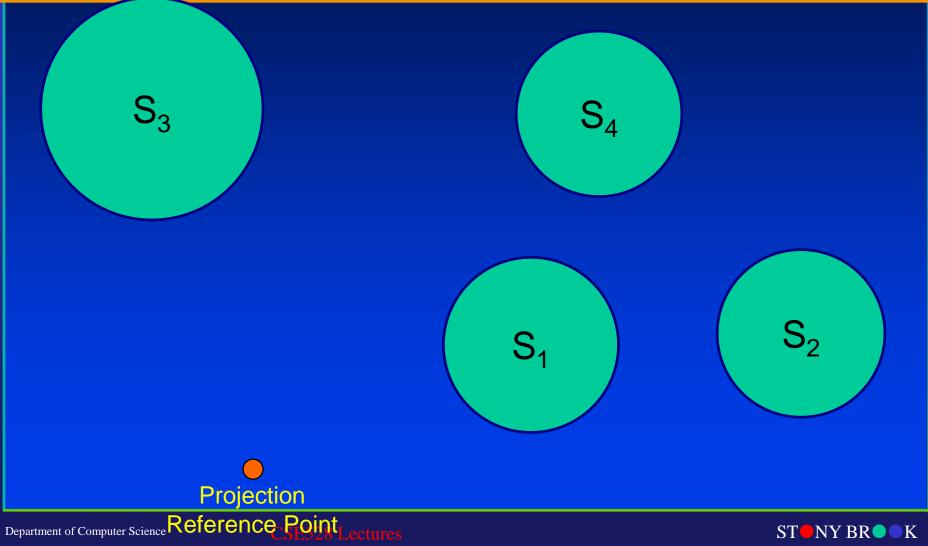
ST

NY BR

TATE UNIVERSITY OF NEW YORK

Department of Computer Science Center for Visual Computing

#### **Ray-Tracing Tree Example**



Center for Visual Computing

STATE UNIVERSITY OF NEW YORK

### **Basic Ray-Tracing**

- Ray tracing proceeds as follows:
  - Fire a single ray from each pixel position into the scene along the projection path (a simple ray-casting mechanism)
  - Determine which surfaces the ray intersects and order these by distance from the pixel
  - The nearest surface to the pixel is the visible surface for that pixel
  - Reflect a ray off the visible surface along the specular reflection angle
  - For transparent surfaces also send a ray through the surface in the refraction direction
  - Repeat the process for these secondary rays



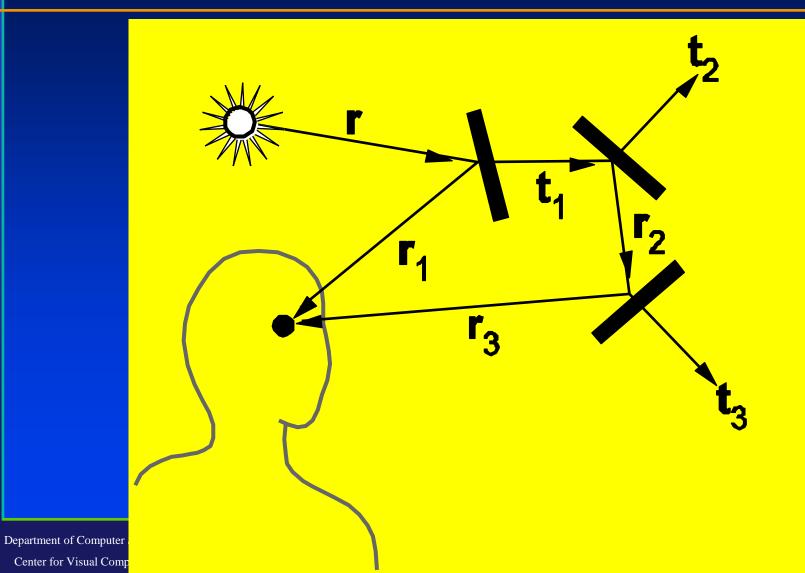
# **Ray-Tracing Tree**

- As the rays travel around the scene each intersected surface is added to a binary ray-tracing tree
  - The left branches in the tree are used to represent reflection paths
  - The right branches in the tree are used to represent transmission paths

The tree's nodes store the intensity at that surface
The tree is used to keep track of all contributions to a given pixel



# **Ray-Tracing Tree**



NY BR • K IVERSITY OF NEW YORK

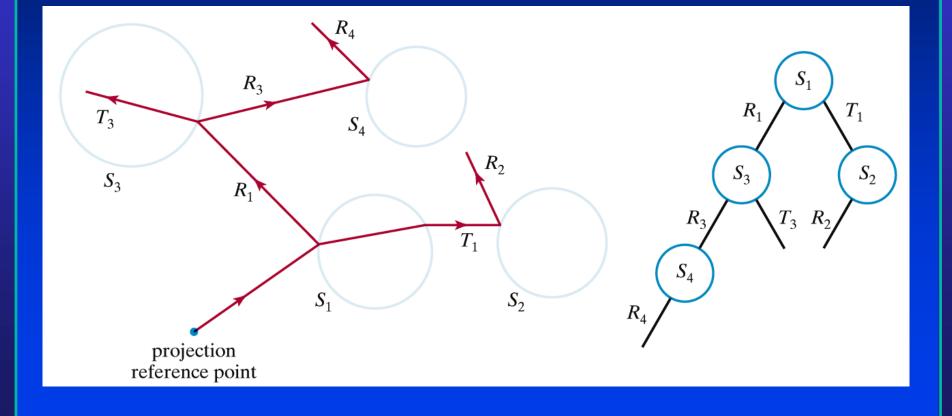
# **Ray-Tracing Tree**

**T**2 12 <mark>3</mark>



Department of Computer Science Center for Visual Computing

#### **Ray-Tracing Tree Example**



Department of Computer Science Center for Visual Computing

CSE528 Lectures

ST NY BR K

# **Building a Ray Tracer**

- Best expressed recursively
- Can remove recursion later
- Image-based approach and algorithms

   For each ray ......
- Find intersection with closest surface
  - Need the entire object database available
  - Complexity of calculation limits object types
- Compute lighting at surface
- Trace reflected and transmitted rays



#### **Terminating Ray-Tracing**

- We terminate a ray-tracing path when any one of the following conditions is satisfied:
  - The ray intersects no surfaces
  - The ray intersects a light source that is not a reflecting surface
  - A maximum allowable number of reflections have taken place

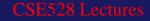


Department of Computer Science Center for Visual Computing

### When Do We Stop?

- Some light will be absorbed at each intersection
   Only keep track of amount left
- Ignore rays that go off to infinity
  - Put large sphere around the scene
- Count steps

Department of Computer Science Center for Visual Computing



#### **Recursive Ray Tracer**

color c = trace(point p, vector d, int step)

color local, reflected, transmitted; point q; normal n; if(step > max) return(background\_color);

Department of Computer Science Center for Visual Computing



#### **Recursive Ray Tracer**

q = intersect(p, d, status); if(status==light\_source) return(light\_source\_color); if(status==no\_intersection) return(background\_color);

n = normal(q); r = reflect(q, n); t = transmit(q, n);

> ST NY BR K STATE UNIVERSITY OF NEW YORK

Department of Computer Science Center for Visual Computing

#### **Recursive Ray Tracer**

local = phong(q, n, r);
reflected = trace(q, r, step+1);

transmitted = trace(q,t, step+1);

return(local+reflected+
transmitted);

ST NY BR K

Department of Computer Science Center for Visual Computing

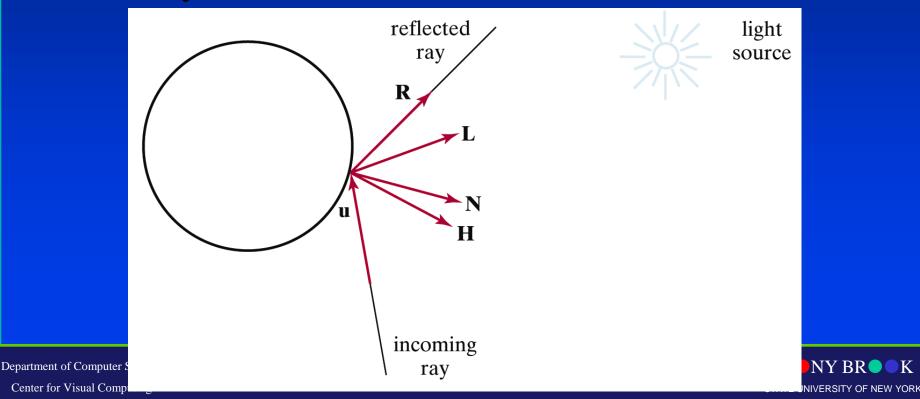
# Computing Intensities using Ray-Tracing Tree

- After the ray-tracing tree has been completed for a pixel, the intensity contributions shall be accumulated
- We start at the terminal nodes (leaves) of the tree
- The surface intensity at each node is attenuated by the distance from the parent surface and added to the intensity of the parent surface
- The sum of the attenuated intensities at the root node is assigned to the pixel



## Ray-Tracing & Illumination Models

 At each surface intersection the illumination model is invoked to determine the surface intensity contribution



## **Computing Intersections**

- Implicit objects
  - Quadrics
- Planes
- Polyhedra
- Parametric surfaces

Department of Computer Science Center for Visual Computing

#### Planes

#### $\mathbf{p} \cdot \mathbf{n} + \mathbf{c} = \mathbf{0}$

#### $\mathbf{p}(\mathbf{t}) = \mathbf{p}_0 + \mathbf{t} \mathbf{d}$

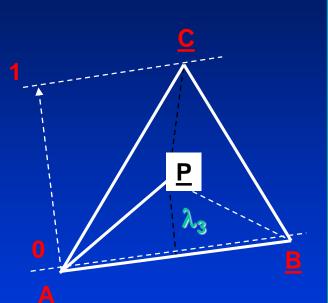
#### $\mathbf{t} = -(\mathbf{p}_0 \cdot \mathbf{n} + \mathbf{c})/\mathbf{d} \cdot \mathbf{n}$

Department of Computer Science Center for Visual Computing



## **Intersection Ray - Triangle**

- Barycentric coordinates
  - Non-degenerate triangle ABC  $\underline{P} = \lambda_1 \underline{A} + \lambda_2 \underline{B} + \lambda_3 \underline{C}$
  - $-\lambda_1\!+\!\lambda_2\!+\!\lambda_3\!=\!1$
  - $-\lambda_3 = \angle(APB) / \angle(ACB)$  etc
    - Relative area



• Hit iff all  $\lambda_i$  greater or equal than zero

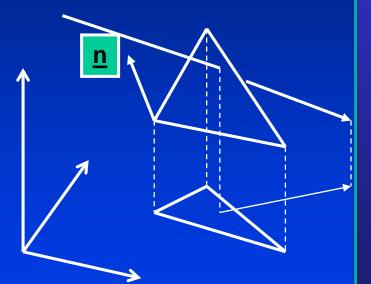


Department of Computer Science Center for Visual Computing

#### **Intersection Ray - Triangle**

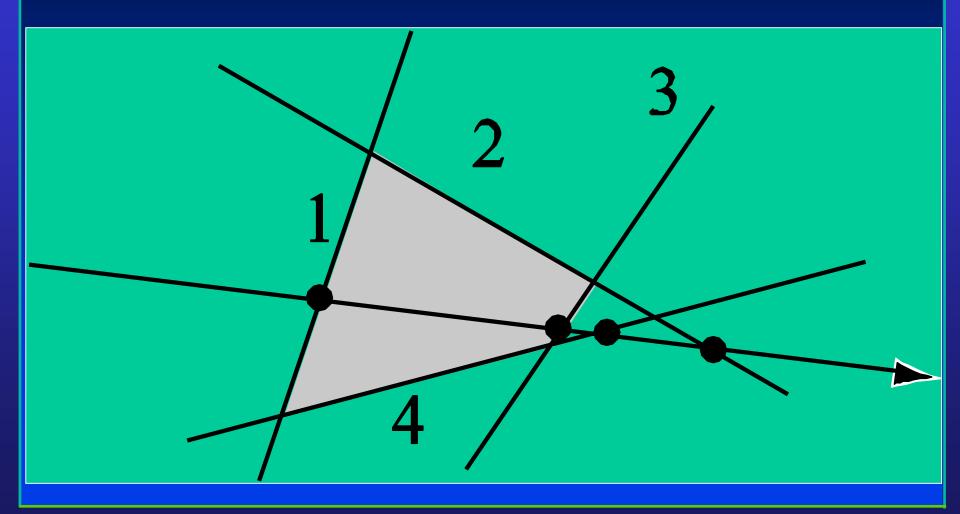
- Compute intersection with triangle plane
- Given the 3D intersection point
  - Project point into xy, xz, yz coordinate plane
  - Use coordinate plane that is most aligned
  - Coordinate plane and 2D vertices can be pre-computed







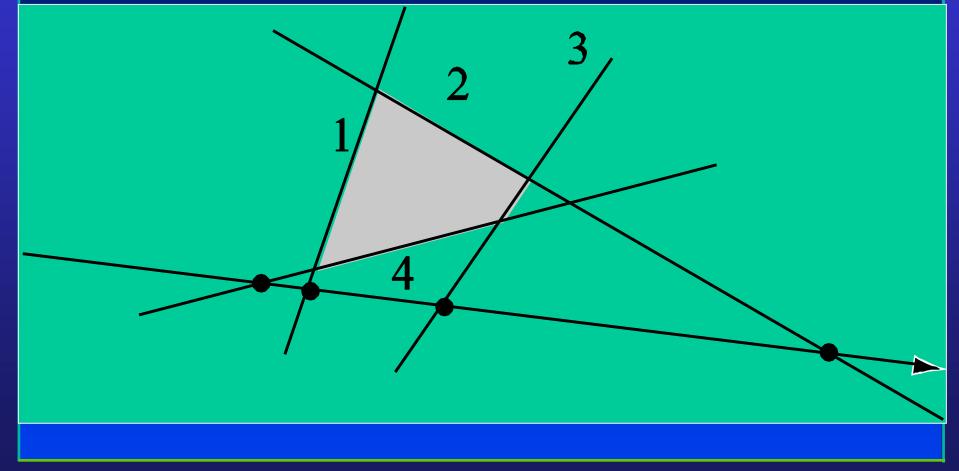
#### Ray Tracing a Polygon



Department of Computer Science Center for Visual Computing



# Ray Tracing a Polygon



Department of Computer Science Center for Visual Computing



#### Polyhedra

- Generally we want to intersect with closed objects such as polygons and polyhedra rather than planes
- Hence we have to worry about inside/outside testing
- For convex objects such as polyhedra there are some fast tests



Department of Computer Science Center for Visual Computing

## Ray Tracing Polyhedra

- If ray enters an object, it must enter a front facing polygon and leave a back facing polygon
- Polyhedron is formed by intersection of planes
- Ray enters at furthest intersection with front facing planes
- Ray leaves at closest intersection with back facing planes
- If entry is further away than exit, ray must miss the polyhedron



Department of Computer Science Center for Visual Computing

# Ray Casting a Sphere

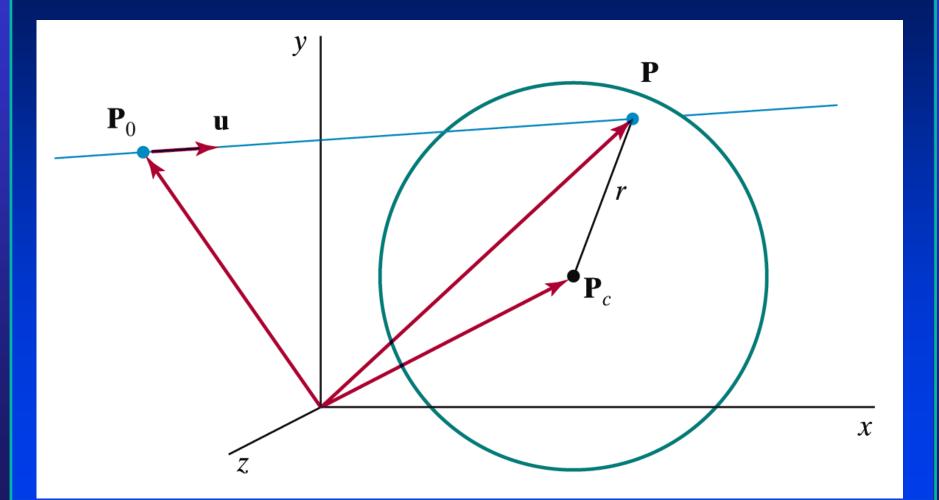
- Ray is parametric
- Sphere is quadric
- Resulting equation is a scalar quadratic equation which gives entry and exit points of ray (or no solution if ray misses)







#### Math for Ray Casting



Department of Computer Science Center for Visual Computing

CSE528 Lectures

ST NY BR K

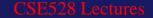
#### **Sphere Equation**

 $(\mathbf{p} - \mathbf{p}_c) \bullet (\mathbf{p} - \mathbf{p}_c) - \mathbf{r}^2 = \mathbf{0}$ 

#### $\mathbf{p}(\mathbf{t}) = \mathbf{p}_0 + \mathbf{t} \mathbf{d}$

# $\mathbf{p}_0 \cdot \mathbf{p}_0 t^2 + 2 \mathbf{p}_0 \cdot (\mathbf{d} - \mathbf{p}_0) t + (\mathbf{d} - \mathbf{p}_0) \cdot (\mathbf{d} - \mathbf{p}_0) - \mathbf{r}^2 = 0$

Department of Computer Science Center for Visual Computing





# **Ray Casting for Quadrics**

- Ray casting has become the standard way to visualize quadrics which are implicit surfaces in CSG systems
- Constructive Solid Geometry
  - Primitives are solids
  - Build objects with set operations
  - Union, intersection, set difference



Department of Computer Science Center for Visual Computing

## Quadrics

#### General quadric can be written as $\mathbf{p}^{\mathrm{T}}\mathbf{A}\mathbf{p} + \mathbf{b}^{\mathrm{T}}\mathbf{p} + \mathbf{c} = \mathbf{0}$

Substitute equation of ray  $\mathbf{p}(t) = \mathbf{p}_0 + t \mathbf{d}$ to get the quadratic equation



Department of Computer Science Center for Visual Computing

#### **Implicit Surfaces** Ray from $\mathbf{p}_0$ in direction $\mathbf{d}$ $\mathbf{p}(t) = \mathbf{p}_0 + t \mathbf{d}$ General implicit surface

 $\mathbf{f}(\mathbf{p}) = \mathbf{0}$ 

Solve scalar equation

f(p(t)) = 0

General case requires numerical methods

Department of Computer Science Center for Visual Computing



#### **Ray Tracing Acceleration**

- Intersect ray with all objects
  - Way too expensive
- Faster intersection algorithms – Little effect
- Less intersection computations
  - Space partitioning (often hierarchical)
    - Grid, octree, BSP or kd-tree, bounding volume hierarchy (BVH)
  - 5D partitioning (space and direction)

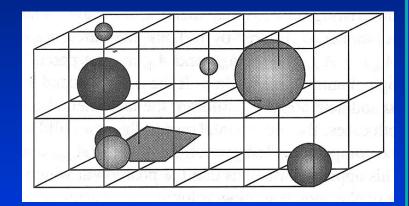
#### Grid: Issues

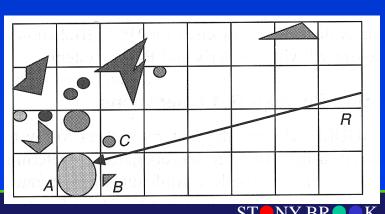
- Grid traversal
  - Requires enumeration of voxel along ray → 3D-DDA (Digital Differential Analyzer)
  - Simple and hardware-friendly
- Grid resolution
  - Strongly scene dependent
  - Cannot adapt to local density of objects
    - Problem: "Teapot in a stadium"
  - Possible solution: hierarchical grids



#### Spatial Partitioning: Grid Structure

- Building a grid structure
  - Start with bounding box
  - Resolution: often ~  $\sqrt[3]{n}$
  - Overlap or intersection test
- Traversal
  - -3D-DDA
  - Stop if intersection found in current voxel



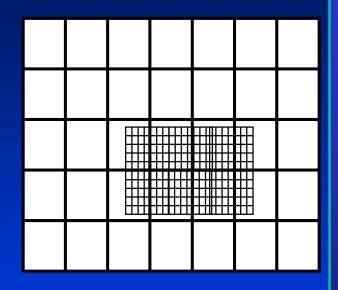


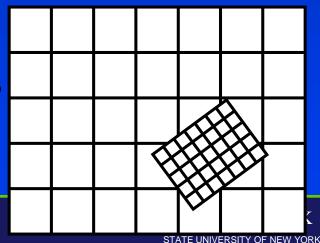


Department of Computer Science Center for Visual Computing

## **Hierarchical Grids**

- Simple building algorithm
  - Recursively create grids in high-density voxels
  - Problem: What is the right resolution for each level?
- Advanced algorithm
  - Separate grids for object clusters
  - Problem: What are good clusters?

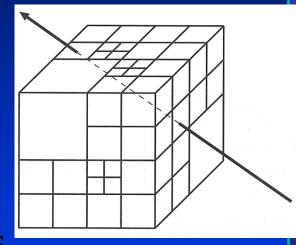




Department of Computer Science Center for Visual Computing

#### Octree

- Hierarchical space partitioning
  - Adaptively subdivide voxels into 8 equal sub-voxels recursively
  - Result in subdivision
- Problems



- Rather complex traversal algorithms
- Slow to refine complex regions



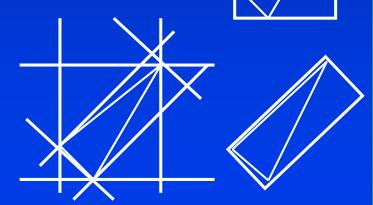
Department of Computer Science Center for Visual Computing

# **Bounding Volumes**

- Idea
  - Only compute intersection if ray hits bounding volume
- Possible bounding volumes
  - Sphere
  - Axis-aligned box
  - Non-axis-aligned box
  - Slabs









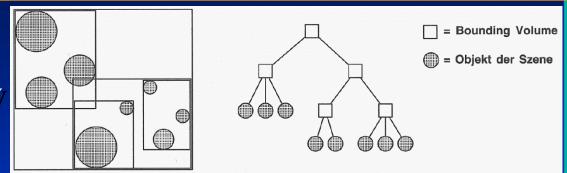
Department of Computer Science Center for Visual Computing

### **Bounding Volume Hierarchies**

- Idea:
  - Apply recursively
- Advantages:
  - Very good adaptivity
  - Efficient traversal O(log N)

#### Problems

- How to arrange bounding volumes?

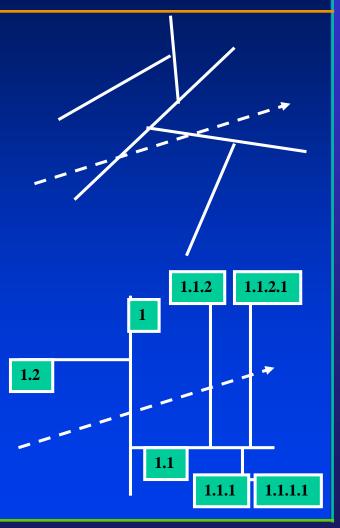




Department of Computer Science Center for Visual Computing

#### **BSP-Trees and Kd-Trees**

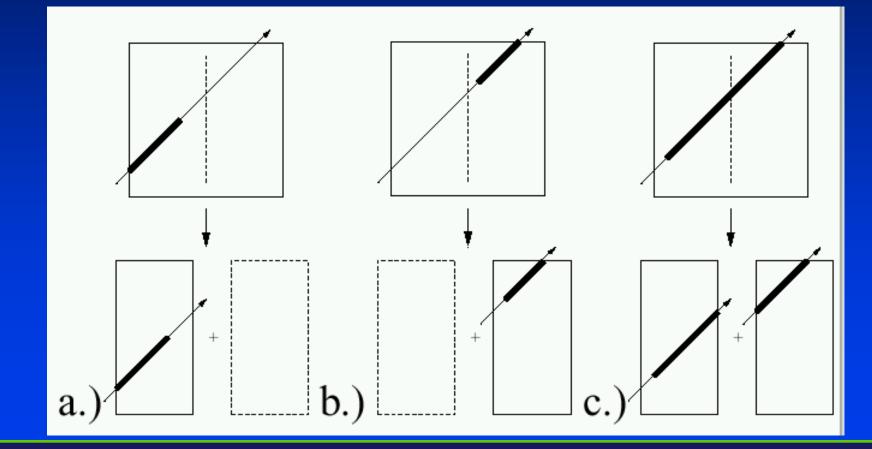
- Recursive space partitioning with half-spaces
- Binary Space Partition (BSP):
   Splitting with half-spaces in arbitrary position
- Kd-Tree
  - Splitting with axis-aligned half-spaces





Department of Computer Science Center for Visual Computing

#### **Kd-Tree Traversal**



Department of Computer Science Center for Visual Computing



#### **History of Intersection Algorithms**

#### Ray-geometry intersection algorithms

- Polygons:
- Quadrics, CSG:
- Recursive Ray Tracing:
- Tori:
- Bicubic patches:
- Algebraic surfaces:
- Swept surfaces:
- Fractals:
- **Deformations:**
- NURBS:
- Subdivision surfaces:
- Points

[Appel '68] [Goldstein & Nagel '71] [Whitted '79] [Roth '82] [Whitted '80, Kajiya '82, Benthin '04] [Hanrahan '82] [Kajiya '83, van Wijk '84] [Kajiya '83] [Barr '86] [Stürzlinger '98] [Kobbelt et al '98, Benthin '04]] [Schaufler et al.'00, Wald '05]]



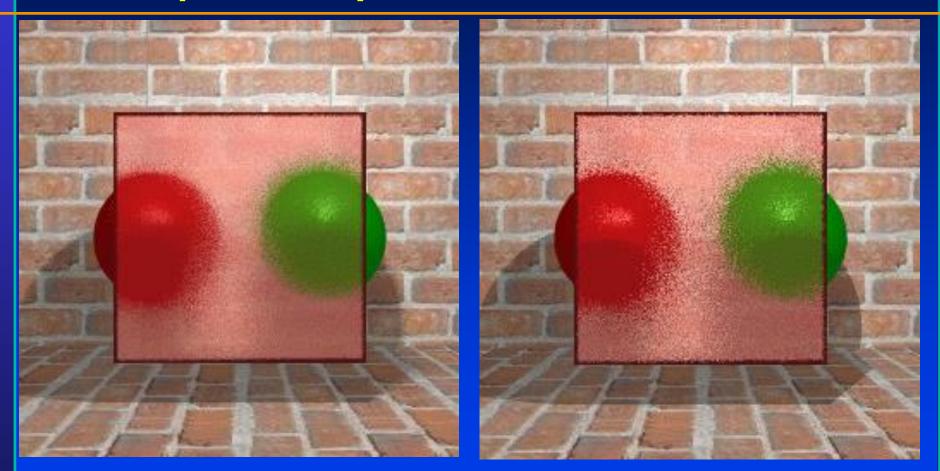
Department of Computer Science Center for Visual Computing

#### **Other Visual Effects**

Department of Computer Science Center for Visual Computing



## Transparency



#### 4 rays



Department of Computer Science Center for Visual Computing

CSE528 Lectures

ST NY BR K

#### Ray-Tracing & Transparent Surfaces

- For transparent surfaces we need to calculate a ray to represent the light refracted through the material
- The direction of the refracted ray is determined by the refractive index of the material
   Trefracted ray path
   Trefracted ray path

incoming

ray

#### **Geometric Optics**

$$R = u - (2u \cdot N)N$$

$$T = \frac{\eta_i}{\eta_r} u - \left(\cos\theta_r - \frac{\eta_i}{\eta_r}\cos\theta_i\right) N$$

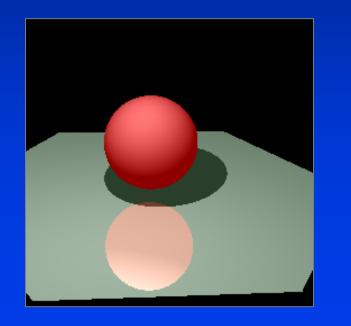
$$\cos\theta_r = \sqrt{1 - \left(\frac{\eta_i}{\eta_r}\right)^2 \left(1 - \cos^2\theta_i\right)}$$

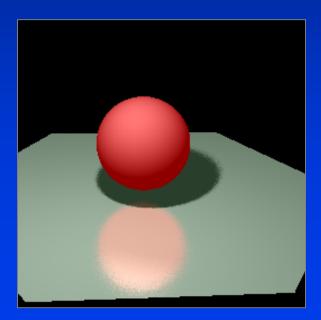
Department of Computer Science Center for Visual Computing



## **Gloss/Translucency**

• Blurry reflections and transmissions are produced by randomly perturbing the reflection and transmission rays from their "true" directions.

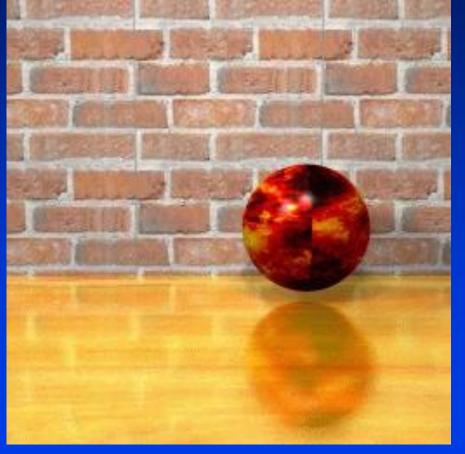


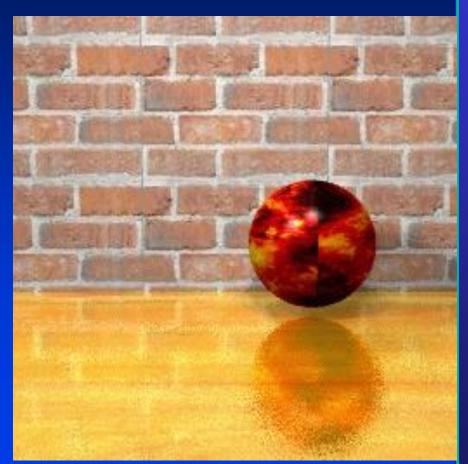






#### Reflection





#### 4 rays

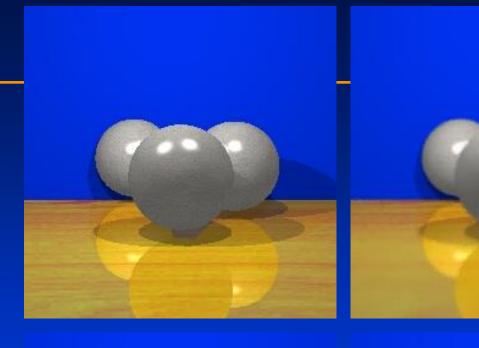


CSE528 Lectures



ST NY BR K STATE UNIVERSITY OF NEW YORK

#### Depth of Field

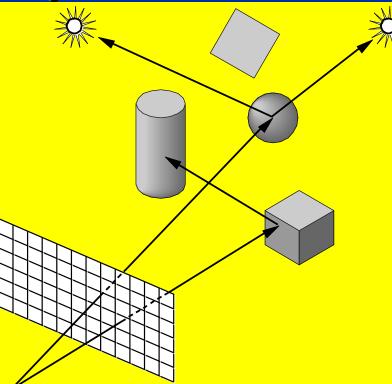






#### **Shadow Rays**

- Even if a point is visible, it will not be lit unless we can see a light source from that point
- Cast shadow rays

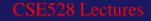


STATE UNIVERSITY OF NEW YORK

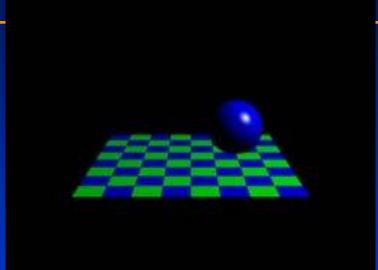
## The Shadow Ray

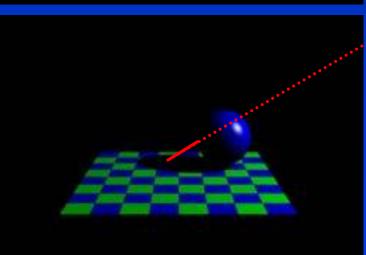
- The path from the intersection to the light source is known as the **shadow ray**
- If any object intersects the shadow ray between the surface and the light source then the surface is in shadow with respect to that source





# Shadow Ray





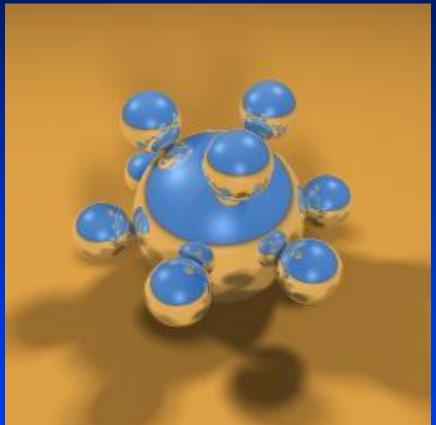
Department of Computer Science

Center for Visual Computing

STATE UNIVERSITY OF NEW YORK

#### Shadow Examples

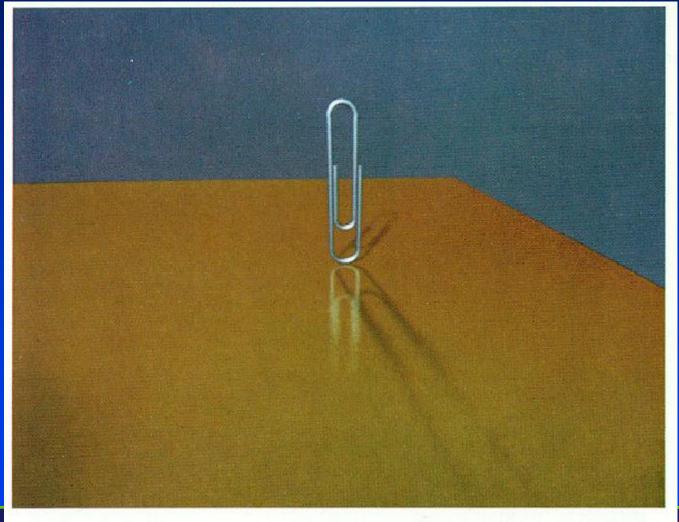




ST NY BR K STATE UNIVERSITY OF NEW YORK



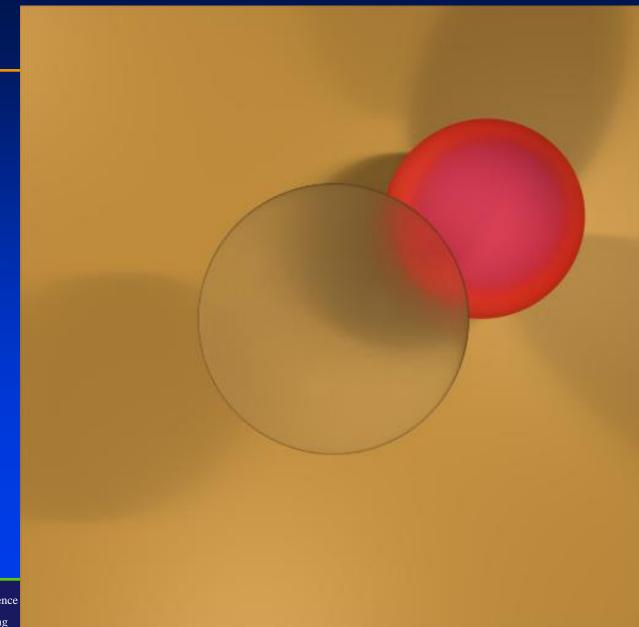
#### Shadow Examples



Department of Computer S Center for Visual Comp

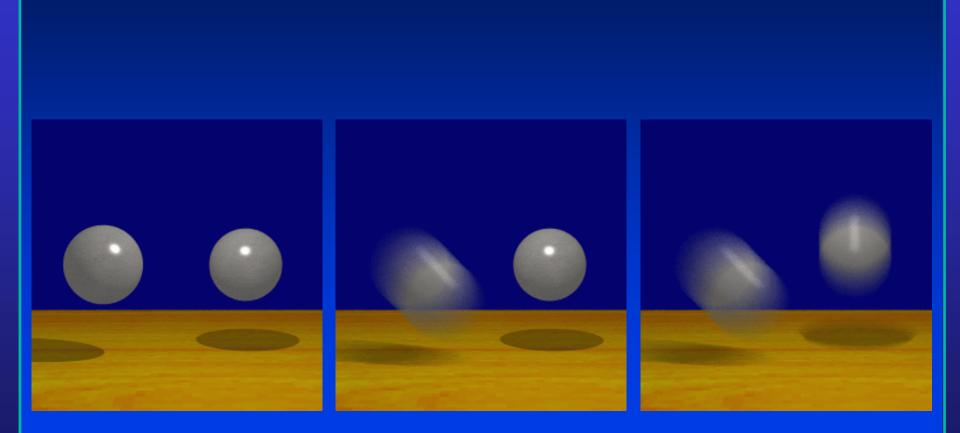
Fig. 17. Example of penumbrae and blurry reflection.

NY BR K NIVERSITY OF NEW YORK



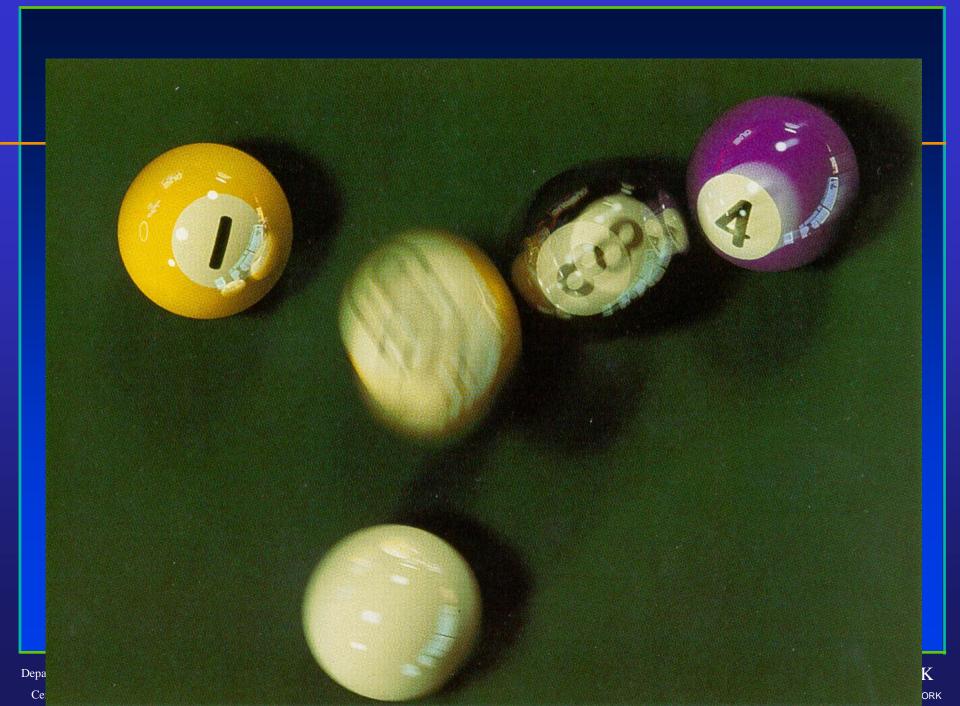


## **Motion Blurring**

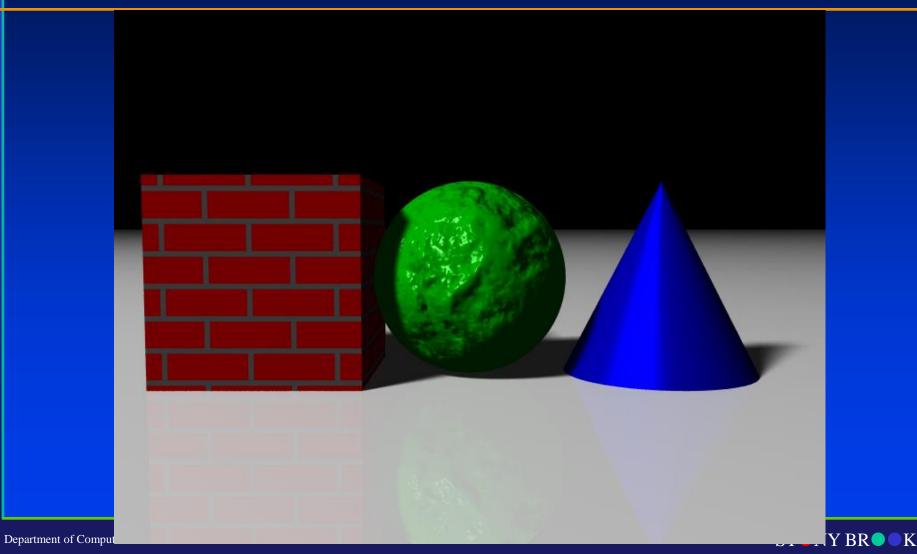








# **POV-Ray**



Center for Visual Computing

STATE UNIVERSITY OF NEW YORK



# Fog

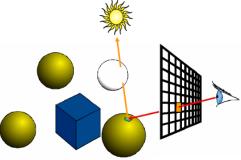




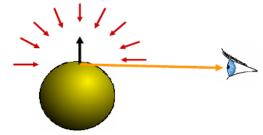


#### Summary

• Does Ray Tracing simulate Physics?



- Ray Tracing is full of (graphics) tricks
  - For example, shadows of transparent objects
    - Possible solutions: opaque, multiply by transparency color, then no refraction at all
- The rendering equation
  - Physics-correct



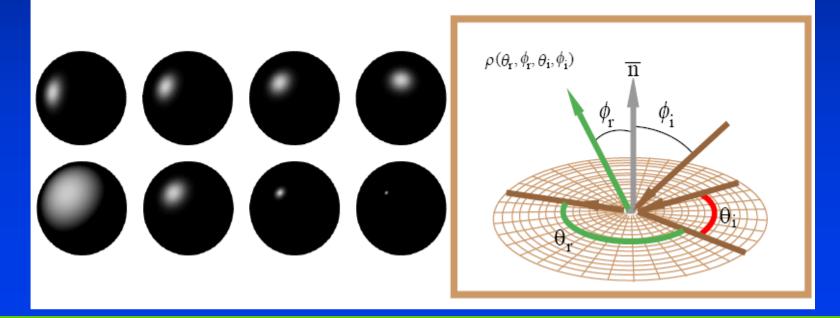
- Math. Framework for light-transport simulation
- Outgoing light in one direction is the integral of incoming light in all directions multiplied by reflectance property

Department of Computer Science Center for Visual Computing



#### Summary

• Reflectance properties, shading, and BRDF



Department of Computer Science Center for Visual Computing



# **Global Illumination**



ST NY BR K

## **Global Illumination**





## **Diffuse Surfaces**

- Theoretically the scattering at each point of intersection generates an infinite number of new rays that should be traced
- In practice, we only trace the transmitted and reflected rays but use the Phong model to compute shade at point of intersection
- Radiosity works best for perfectly diffuse (Lambertian) surfaces



Department of Computer Science Center for Visual Computing

#### Radiosity

- Ray tracing:
  - Models specular reflection easily
  - Diffuse lighting is more difficult
- Radiosity methods explicitly model light as an energy-transfer problem
  - Models diffuse inter-reflection easily
  - Shiny, specular surfaces more difficult



Department of Computer Science Center for Visual Computing

#### **Introduction: Radiosity**

- First lighting model: Phong
  - Still used in interactive graphics
  - Major shortcoming: local illumination!
- After Phong, two major approaches:
  - Ray Tracing
  - Radiosity



Department of Computer Science Center for Visual Computing

#### **Introduction:** Radiosity

- Ray Tracing: ad hoc approach to simulating optics
  - Deals well with specular reflection
  - Trouble with diffuse illumination
- Radiosity: theoretically rigorous simulation of light transfer
  - Very realistic images

But makes simplifying assumption: *only* diffuse interaction!



#### **Introduction: Radiosity**

- Ray Tracing:
  - Computes a *view-dependent* solution
  - End result: a picture
- Radiosity:
  - Models only diffuse interaction, so can compute a view-independent solution
  - End result: a 3-D model



Department of Computer Science Center for Visual Computing

#### Fundamentals of Radiosity

- Theoretical foundation: heat transfer
- Need system of equations that describes surface interreflections
- Simplifying assumptions:
  - Environment is closed
  - All surfaces are Lambertian reflectors



Department of Computer Science Center for Visual Computing

#### Radiosity

- Basic idea: represent surfaces in environment as many discrete *patches*
- A patch, or *element*, is a polygon over which light intensity is constant



#### Radiosity

- The *radiosity* of a surface is the rate at which energy leaves the surface
- Radiosity = rate at which the surface *emits* energy + rate at which the surface *reflects* energy
  - Notice: previous methods distinguish light sources from surfaces
  - In radiosity all surfaces can emit light
  - Thus: all emitters inherently have area

# Questions?

