

Algorithms

(Algorithm Analysis)

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- Worst-case, Best-case, and Average-case
- Asymptotic Notations

Complexity Analysis

HOME

Complexity analysis

- Framework for analyzing the efficiency/complexity of algorithms
e.g.: time complexity and space complexity
- Running time of a computer program depends on:
 - Algorithm
 - Input size
 - Input data distribution
 - Machine or computing system
 - Operating system
 - Compiler
 - Programming language
 - Coding
- We analyze the running time of algorithms using asymptotic analysis

Complexity analysis

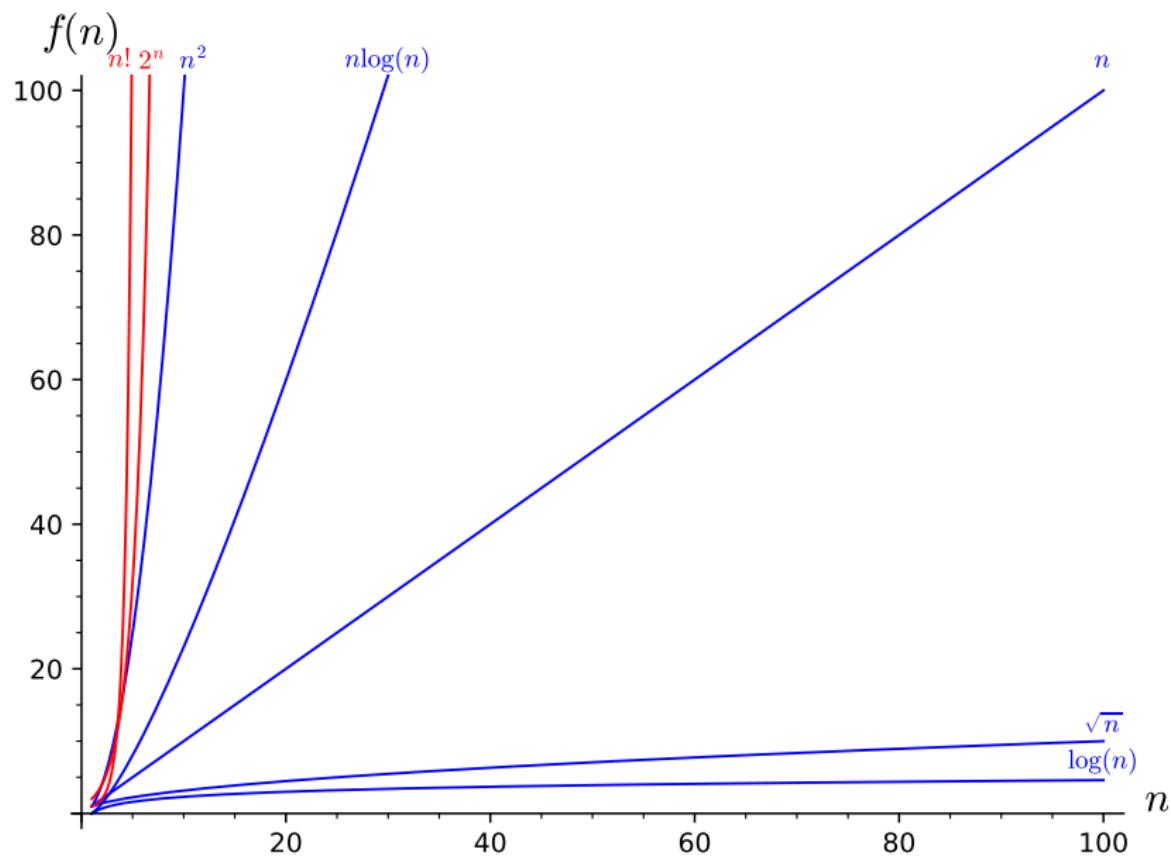
- Time complexity $T(n)$ is $\mathcal{O}(n^2)$
- Space complexity $S(n)$ is $\Theta(n)$
- Cache complexity $C(n)$ is $\mathcal{O}\left(\frac{n^2}{BM}\right)$
- Query complexity $Q(n)$ is $\Omega(n \log n)$ and $\mathcal{O}\left(n^{\log_2 3}\right)$

What do the notations $\Theta, \mathcal{O}, \Omega, o, \omega$ mean?

Complexity analysis

| Problem | Running time |
|---|------------------------------|
| Search in a sorted array | $\mathcal{O}(\log n)$ |
| Search in an unsorted array, Integer addition | $\mathcal{O}(n)$ |
| Generate primes | $\mathcal{O}(n \log \log n)$ |
| Sorting, Fast Fourier transform | $\mathcal{O}(n \log n)$ |
| Integer multiplication | $\mathcal{O}(n^2)$ |
| Matrix multiplication | $\mathcal{O}(n^3)$ |
| Linear programming | $\mathcal{O}(n^{3.5})$ |
| Primality test | $\mathcal{O}(n^{10})$ |
| Satisfiability problem | $\mathcal{O}(2^n)$ |
| Traveling salesperson problem | $\mathcal{O}((n - 1)!)$ |
| Sudoku, Chess, Checkers, Go | expo. class |
| Simulate problem, Halting problem | ∞ |
| Program correctness, Program equivalence | ∞ |
| Integral roots of a polynomial | ∞ |

Polynomial and exponential functions



Units for measuring running time

- **Basic operation** is the most important operation of the algorithm.
Each basic operation takes constant time.
 - Arithmetic operation ($\times, \div, +, -$)
 - Comparison operation ($<, \leq, =, \neq, >, \geq$)
 - Memory operation ($a \leftarrow b, C[i]$)
 - Function invocation and return

Units for measuring running time

```
SUM( $A[1 \dots n]$ )
```

```
sum  $\leftarrow$  0  
for  $i \leftarrow 1$  to  $n$  do  
| sum  $\leftarrow$  sum +  $A[i]$   
return sum
```

Runtime is a combination of

- $\approx n$ comparisons
- $\approx n$ additions
- $\approx n$ memory index accesses
- $\approx n$ assignments

Worst-case, best-case, and average-case analysis

- **Worst-case complexity $T_{\text{worst}}(n)$** of an algorithm.
Complexity for the **worst-case input** of size n for which the algorithm runs the longest among all possible inputs of that size.
- **Best-case complexity $T_{\text{best}}(n)$** of an algorithm.
Complexity for the **best-case input** of size n for which the algorithm runs the shortest among all possible inputs of that size.
- **Average-case complexity $T_{\text{avg}}(n)$** of an algorithm.
Complexity for a **typical or random input** of size n .
- **Amortized complexity $T_{\text{amortized}}(n)$** of an algorithm.
Average complexity for a sequence of operations.

Worst-case, best-case, and average-case analysis

Problem

What are the worst-case, best-case, and average-case analyses for the sequential search algorithm?

SEQUENTIAL-SEARCH($A[1 \dots n]$, key)

Input: An array A and search key key

Output: The index of the first element in A that matches key
or -1 if there are no matching elements

$i \leftarrow 1$

while $i \leq n$ **and** $A[i] \neq key$ **do**

| $i \leftarrow i + 1$

if $i \leq n$ **then return** i

else return -1

Worst-case, best-case, and average-case analysis

Solution

- $T_{\text{worst}}(n) = n$ ▷ Why?
- $T_{\text{best}}(n) = 1$ ▷ Why?
- $T_{\text{avg}}(n) = \begin{cases} \frac{n+1}{2} & \text{if search is successful,} \\ n & \text{if search is unsuccessful.} \end{cases}$ ▷ Why?

Let $p \in [0, 1]$ be the probability of successful search

The prob. of first match occurring at any position be the same

$$T_{\text{avg}}(n) = \left(1 \cdot \frac{p}{n} + 2 \cdot \frac{p}{n} + \cdots + n \cdot \frac{p}{n}\right) + n \cdot (1 - p)$$

Simplifying, $T_{\text{avg}}(n) = \frac{p(n+1)}{2} + n(1 - p).$

What do you get when you set $p = 1$ or $p = 0$?

Asymptotic Notations

HOME

Asymptotically positive functions

- Let $T(n)$ be **asymptotically positive**
i.e., $T(n) : \mathbb{R}^+ \rightarrow \mathbb{R}$ such that $\exists n_0 : \forall n \geq n_0, T(n) > 0$
- Number of computations $T(n)$ is asymptotically positive
Extra space $S(n)$ is asymptotically positive
Number of cache misses $C(n)$ is asymptotically positive
Number of queries $Q(n)$ is asymptotically positive

From hereon, we will implicitly assume that

$T(n)$ and other functions are asymptotically positive

Asymptotic notations (using limits)

Definition

- $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = 0 \Leftrightarrow f(n) \in o(g(n))$
- $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = \infty \Leftrightarrow f(n) \in \omega(g(n))$
- Suppose $0 < L < \infty$.
 $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = L \Rightarrow f(n) \in \Theta(g(n))$

But $f(n) \in \Theta(g(n)) \not\Rightarrow \lim_{n \rightarrow \infty} \frac{f(n)}{g(n)}$ exists

Example: $f(n) = n^2$ and $g(n) = (2 + \sin n)n^2$.

Asymptotic notations (using limits)

$$\lim_{n \rightarrow \infty} \frac{T(n)}{g(n)} = \begin{cases} 0 & \text{implies } T(n) \text{ has smaller growth rate than } g(n), \\ c & \text{implies } T(n) \text{ has same growth rate as } g(n), \\ \infty & \text{implies } T(n) \text{ has larger growth rate than } g(n). \end{cases}$$

$$\lim_{n \rightarrow \infty} \frac{T(n)}{g(n)} = \begin{cases} 0 & \text{implies } T(n) \in o(g(n)), \\ c & \text{implies } T(n) \in \Theta(g(n)), \\ \infty & \text{implies } T(n) \in \omega(g(n)). \end{cases}$$

Asymptotic notations (using sets)

Definition

- $\Theta(g(n)) = \{f(n) \mid \exists c_1, c_2 > 0, \exists n_0 : \forall n \geq n_0, 0 \leq c_1 \cdot g(n) \leq f(n) \leq c_2 \cdot g(n)\}$
- $\mathcal{O}(g(n)) = \{f(n) \mid \exists c > 0, \exists n_0 : \forall n \geq n_0, 0 \leq f(n) \leq c \cdot g(n)\}$
- $\Omega(g(n)) = \{f(n) \mid \exists c > 0, \exists n_0 : \forall n \geq n_0, 0 \leq c \cdot g(n) \leq f(n)\}$
- $o(g(n)) = \{f(n) \mid \boxed{\forall c > 0}, \exists n_0 : \forall n \geq n_0, 0 \leq f(n) < c \cdot g(n)\}$
- $\omega(g(n)) = \{f(n) \mid \boxed{\forall c > 0}, \exists n_0 : \forall n \geq n_0, 0 \leq c \cdot g(n) < f(n)\}$

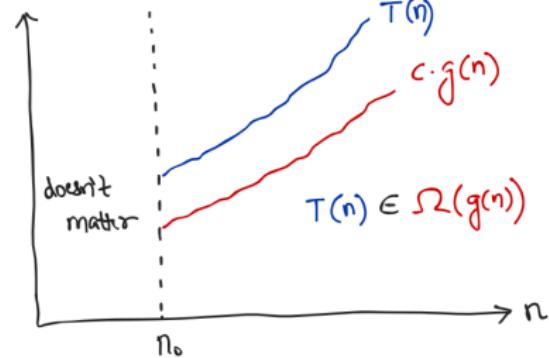
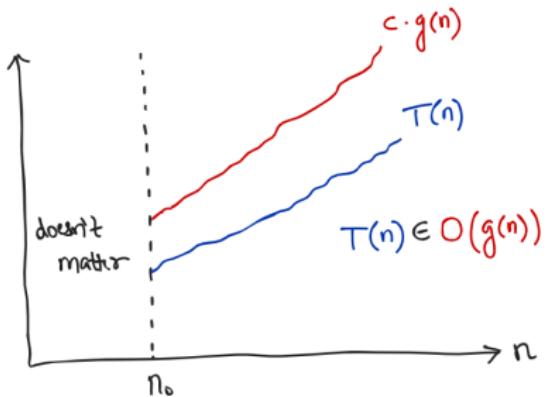
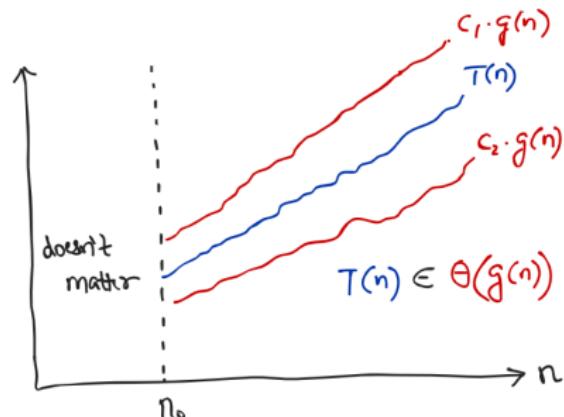
Computing limits with L'Hôpital's rule

Suppose functions f and g are differentiable on an open interval I
except possibly at a point c contained in I .

Suppose $\lim_{x \rightarrow c} f(x) = \lim_{x \rightarrow c} g(x) = 0$ or $\pm\infty$ and $g'(x) \neq 0$ for
all x in I with $x \neq c$, and $\lim_{x \rightarrow c} \frac{f'(x)}{g'(x)}$ exists. Then

$$\boxed{\lim_{x \rightarrow c} \frac{f(x)}{g(x)} = \lim_{x \rightarrow c} \frac{f'(x)}{g'(x)}}$$

Asymptotic notations



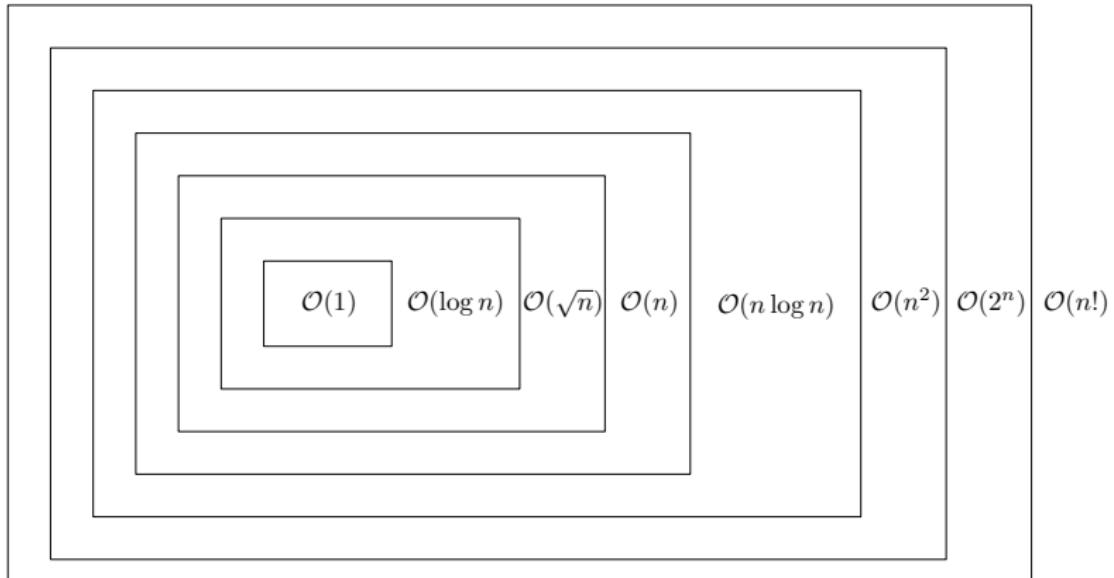
Asymptotic notations

| Notation | Meaning |
|---|---|
| $\mathcal{O}(g(n))$ at most $g(n)$ | Set of all functions with the same or lower order of growth as $g(n)$ $3n^2 \in \mathcal{O}(n^2)$, $n^2/17+n \in \mathcal{O}(n^2)$, $n(n-1)/2 \in \mathcal{O}(n^2)$ $n \in \mathcal{O}(n^2)$, $4\sqrt{n} + 3\log^2 n \in \mathcal{O}(n^2)$, $2000 \in \mathcal{O}(n^2)$ $n^3 \notin \mathcal{O}(n^2)$, $0.001n^{\pi-1} \notin \mathcal{O}(n^2)$, $n^4 + n + 1 \notin \mathcal{O}(n^2)$ |
| $\Omega(g(n))$ at least $g(n)$ | Set of all functions with the same or higher order of growth as $g(n)$ $3n^2 \in \Omega(n^2)$, $n^2/17+n \in \Omega(n^2)$, $n(n-1)/2 \in \Omega(n^2)$ $n^3 \in \Omega(n^2)$, $0.001n^{\pi-1} \in \Omega(n^2)$, $n^4 + n + 1 \in \Omega(n^2)$ $n \notin \Omega(n^2)$, $4\sqrt{n} + 3\log^2 n \notin \Omega(n^2)$, $2000 \notin \Omega(n^2)$ |
| $\Theta(g(n))$ same as $g(n)$ | Set of all functions with the same order of growth as $g(n)$ $3n^2 \in \Theta(n^2)$, $n^2/17+n \in \Theta(n^2)$, $n(n-1)/2 \in \Theta(n^2)$ |

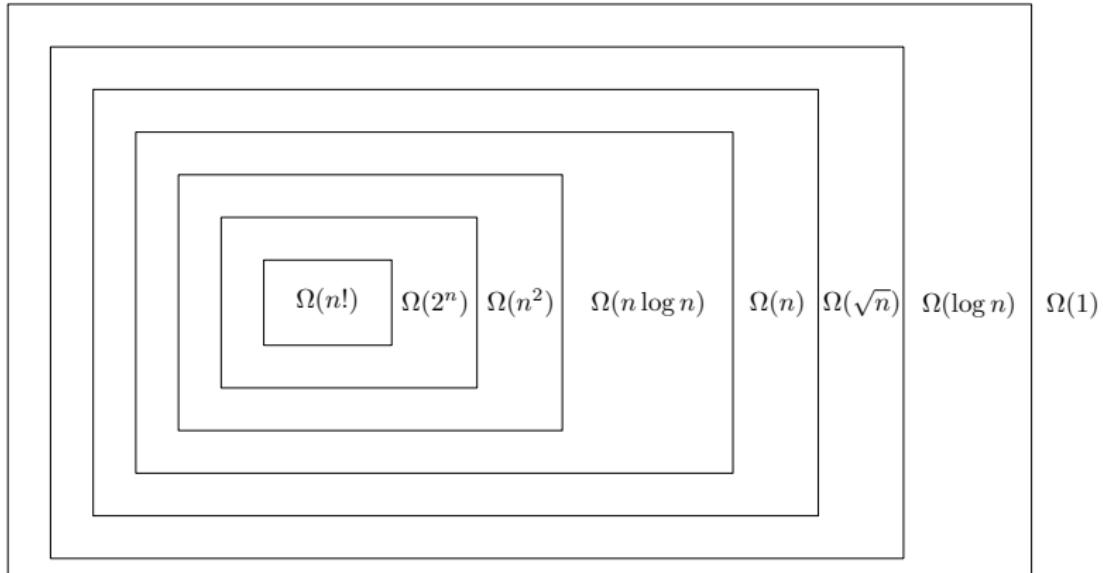
$\Theta()$ class

| | | | | | | | |
|-------------|------------------|--------------------|-------------|--------------------|---------------|---------------|--------------|
| $\Theta(1)$ | $\Theta(\log n)$ | $\Theta(\sqrt{n})$ | $\Theta(n)$ | $\Theta(n \log n)$ | $\Theta(n^2)$ | $\Theta(2^n)$ | $\Theta(n!)$ |
|-------------|------------------|--------------------|-------------|--------------------|---------------|---------------|--------------|

$\mathcal{O}()$ class



$\Omega()$ class



Asymptotic notations

Definition

Let us define the binary relations $\asymp, \prec, \preceq, \succ, \succeq$ over functions.

For two functions $f(n)$ and $g(n)$:

- $f(n) \asymp g(n) \iff f(n) \in \Theta(g(n))$
- $f(n) \preceq g(n) \iff f(n) \in \mathcal{O}(g(n))$
- $f(n) \prec g(n) \iff f(n) \in o(g(n))$
- $f(n) \succeq g(n) \iff f(n) \in \Omega(g(n))$
- $f(n) \succ g(n) \iff f(n) \in \omega(g(n))$

Asymptotic notations

| Notation | Reflexivity | Symmetry | Transitivity |
|----------|-------------|----------|--------------|
| \leq | ✓ | ✓ | ✓ |
| \prec | ✓ | ✗ | ✓ |
| \asymp | ✓ | ✗ | ✓ |
| \succ | ✗ | ✗ | ✓ |
| \geq | ✗ | ✗ | ✓ |

- $f(n) \preceq g(n) \Leftrightarrow g(n) \succeq f(n)$
- $f(n) \prec g(n) \Leftrightarrow g(n) \succ f(n)$
- $f(n) \asymp g(n) \Leftrightarrow f(n) \preceq g(n) \text{ and } f(n) \succeq g(n)$
- If $f_1(n) \preceq g_1(n)$ and $f_2(n) \preceq g_2(n)$, then
 $f_1(n) + f_2(n) \preceq \max(g_1(n), g_2(n))$
- How do you formally prove the propositions above?

Practice problems

Problem

Is it true that between any two functions, at least one of the five relations \asymp , \preceq , \prec , \succeq , \succ holds between them?

Solution

- No.
- Counterexample:

$$f(n) = n$$

$$g(n) = \begin{cases} 1 & \text{if } n \text{ is odd,} \\ n^2 & \text{if } n \text{ is even.} \end{cases}$$

Practice problems

Problem

Prove that for any two functions $f(n)$ and $g(n)$, $f(n) \asymp g(n)$ iff $f(n) \preceq g(n)$ and $f(n) \succeq g(n)$.

Solution

Part 1. $f(n) \asymp g(n) \Rightarrow f(n) \preceq g(n)$ and $f(n) \succeq g(n)$

- $0 \leq c_1 \cdot g(n) \leq f(n) \leq c_2 \cdot g(n), \forall n \geq n_0$
- This implies

$$0 \leq c_1 \cdot g(n) \leq f(n), \forall n \geq n_0 \quad (f(n) \succeq g(n))$$

$$0 \leq f(n) \leq c_2 \cdot g(n), \forall n \geq n_0 \quad (f(n) \preceq g(n))$$

Part 2. $f(n) \preceq g(n)$ and $f(n) \succeq g(n) \Rightarrow f(n) \asymp g(n)$

- $0 \leq c' \cdot g(n) \leq f(n), \forall n \geq n'_0 \quad (f(n) \succeq g(n))$
- $0 \leq f(n) \leq c'' \cdot g(n), \forall n \geq n''_0 \quad (f(n) \preceq g(n))$
- This implies

$$0 \leq c' \cdot g(n) \leq f(n) \leq c'' \cdot g(n), \forall n \geq \max(n'_0, n''_0)$$

Practice problems

Problem

Prove that for any two functions $f(n)$ and $g(n)$,
 $\max(f(n), g(n)) \asymp f(n) + g(n)$.

Solution

- Without loss of generality, we assume $\max(f(n), g(n)) = f(n)$.
- $\lim_{n \rightarrow \infty} \frac{f(n)}{f(n)} \leq \lim_{n \rightarrow \infty} \frac{f(n)+g(n)}{\max(f(n), g(n))} \leq \lim_{n \rightarrow \infty} \frac{2f(n)}{f(n)}$
 $\implies \lim_{n \rightarrow \infty} 1 \leq \lim_{n \rightarrow \infty} \frac{f(n)+g(n)}{\max(f(n), g(n))} \leq \lim_{n \rightarrow \infty} 2$
 $\implies 1 \leq \lim_{n \rightarrow \infty} \frac{f(n)+g(n)}{\max(f(n), g(n))} \leq 2$
 $\implies f(n) + g(n) \asymp \max(f(n), g(n))$

Practice problems

Problem

Is it true that for any two functions $f(n)$ and $g(n)$ such that $f(n) - g(n)$ is asymptotically positive, it is the case that $\max(f(n), g(n)) \asymp f(n) - g(n)$.

Solution

- No.
- Counterexample.
$$f(n) = n^2 + n$$
$$g(n) = n^2 + 1$$
- Note: You cannot choose the same function $f(n) = g(n)$ as the difference is not asymptotically positive.

Practice problems

Problem

Prove that for any two functions $f(n)$ and $g(n)$ and any constant $k \in \mathbb{R}^+$, $f(n) \asymp g(n) \Leftrightarrow (f(n))^k \asymp (g(n))^k$

Solution

Part 1. $f(n) \asymp g(n) \Rightarrow (f(n))^k \asymp (g(n))^k$

- $0 \leq c_1 \cdot g(n) \leq f(n) \leq c_2 \cdot g(n)$
 $0 \leq c_1^k \cdot (g(n))^k \leq (f(n))^k \leq c_2^k \cdot (g(n))^k$

Part 2. $(f(n))^k \asymp (g(n))^k \Rightarrow f(n) \asymp g(n)$

- $0 \leq c_1 \cdot (g(n))^k \leq (f(n))^k \leq c_2 \cdot (g(n))^k$
 $0 \leq (c_1)^{\frac{1}{k}} \cdot g(n) \leq f(n) \leq (c_2)^{\frac{1}{k}} \cdot g(n)$

Example: Polynomial class

Problem

Show that if $f(n)$ is a polynomial of degree k , that is,

$$T(n) = a_k n^k + a_{k-1} n^{k-1} + \cdots + a_1 n + a_0$$

and $a_k > 0$, then $T(n) \asymp n^k$.

Solution

For $n \geq 1$, we have $1 \leq n \leq n^2 \leq \cdots \leq n^k$.

We prove the theorem in two parts

1. Show that $T(n) \preceq n^k$
2. Show that $T(n) \succeq n^k$

Example: Polynomial class

Solution

Part 1. $T(n) \preceq n^k$

- $(a_k n^k + \cdots + a_1 n + a_0) \leq (|a_k| + \cdots + |a_1| + |a_0|) n^k = c_2 n^k$
- We have $T(n) \leq c_2 n^k$, where $c_2 > 0$, and $n_0 = 1$

Part 2. $T(n) \succeq n^k$

- Let $M = \max\left(\frac{|a_0|}{a_k}, \dots, \frac{|a_{k-1}|}{a_k}\right)$, $c_1 = \frac{a_k}{2}$, and $n_0 = 2kM$
- $$\begin{aligned} (a_k n^k + \cdots + a_1 n + a_0) \\ &= a_k n^k \left(1 + \frac{a_{k-1}}{a_k} \cdot \frac{1}{n} + \cdots + \frac{a_1}{a_k} \cdot \frac{1}{n^{k-1}} + \frac{a_0}{a_k} \cdot \frac{1}{n^k}\right) \\ &\geq a_k n^k \left(1 - \frac{M}{n_0} - \cdots - \frac{M}{n_0^{k-1}} - \frac{M}{n_0^k}\right) \\ &\geq a_k n^k \left(1 - \frac{kM}{n_0}\right) \\ &= c_1 n^k \end{aligned}$$

- We have $T(n) \geq c_1 n^k$, where $c_1 > 0$ and $n_0 > 0$

Example: Polynomial class

Problem

Show that if $f(n)$ is a polynomial of degree k , that is,

$$T(n) = a_k n^k + a_{k-1} n^{k-1} + \cdots + a_1 n + a_0$$

and $a_k > 0$, then $T(n) \asymp n^k$.

Solution

$$\begin{aligned}\lim_{n \rightarrow \infty} \frac{T(n)}{n^k} &= \lim_{n \rightarrow \infty} \left(\frac{a_k n^k + a_{k-1} n^{k-1} + \cdots + a_1 n + a_0}{n^k} \right) \\ &= \lim_{n \rightarrow \infty} \left(a_k + \frac{a_{k-1}}{n} + \cdots + \frac{a_1}{n^{k-1}} + \frac{a_0}{n^k} \right) \\ &= a_k \quad (\text{where, } 0 < a_k < \infty)\end{aligned}$$

This implies $T(n) \asymp n^k$

Example: Quadratic function

Problem

Show that $\frac{1}{2}n(n - 1) \asymp n^2$.

Solution

- Part 1. Show that $\frac{1}{2}n(n - 1) \preceq n^2$

$$\frac{1}{2}n(n - 1) = \frac{1}{2}n^2 - \frac{1}{2}n \leq \frac{1}{2}n^2 \text{ for } n_0 = 1$$

- Part 2. Show that $\frac{1}{2}n(n - 1) \succeq n^2$

$$\frac{1}{2}n(n - 1) = \frac{1}{2}n^2 - \frac{1}{2}n \geq \frac{1}{2}n^2 - \frac{1}{2}n \cdot \frac{1}{2}n = \frac{1}{4}n^2 \text{ for } n_0 = 2$$

- As $c_1 = \frac{1}{4}$, $c_2 = \frac{1}{2}$, and $n_0 = 2$, we have the result.

Solution

- $\lim_{n \rightarrow \infty} \frac{n(n-1)/2}{n^2} = \lim_{n \rightarrow \infty} \left(\frac{1}{2} - \frac{1}{2} \cdot \frac{1}{n} \right) = \frac{1}{2}$

- As limit is in $(0, \infty)$, we have the result

Sigma notation

$$\sum_{i \in \{i_1, i_2, \dots, i_n\}} f(i) = f(i_1) + f(i_2) + \dots + f(i_n)$$

Determining complexities from pseudocodes

SEQUENCE-OF-STATEMENTS

```
statement  $s_1$ 
statement  $s_2$ 
statement  $s_3$ 
```

$$\text{total time} = \text{time}(s_1) + \text{time}(s_2) + \text{time}(s_3)$$

IF-ELSE-LADDER

```
if condition1 then
| block  $b_1$ 
else if condition2 then
| block  $b_2$ 
else
| block  $b_3$ 
```

$$\text{total time} = \max(\text{time}(b_1), \text{time}(b_2), \text{time}(b_3))$$

Determining complexities from pseudocodes

LOOPS

```
for i ← 1 to m do  
| for j ← 1 to n do  
| | block b
```

$$\text{total time} = mn \times \text{time}(b)$$

(assuming block b takes the same time in every iteration)

FUNCTIONS

```
for i ← 1 to m do  
| for j ← 1 to n do  
| | F(i, j)
```

// Suppose this takes $\Theta(ij)$ time

$$\text{total time} = \sum_{i=1}^m \sum_{j=1}^n \text{time}(F(i, j))$$

Series sums

$$\sum_{i=1}^n 1 = 1 + 1 + 1 + \cdots + 1 = n$$

$$\sum_{i=1}^n i = 1 + 2 + 3 + \cdots + n = \frac{n(n+1)}{2}$$

$$\sum_{i=1}^n i^2 = 1^2 + 2^2 + 3^2 + \cdots + n^2 = \frac{n(n+1)(2n+1)}{6}$$

$$\sum_{i=1}^n i^3 = 1^3 + 2^3 + 3^3 + \cdots + n^3 = (\sum_{i=1}^n i)^2 = \frac{n^2(n+1)^2}{4}$$

$$\sum_{i=0}^n 2^i = 2^0 + 2^1 + 2^2 + \cdots + 2^n = 2^{n+1} - 1$$

$$\sum_{i=0}^n r^i = r^0 + r^1 + r^2 + \cdots + r^n = \frac{r^{n+1} - 1}{r - 1} \text{ for } r > 0$$

$$\sum_{i=1}^n \frac{1}{i} = \frac{1}{1} + \frac{1}{2} + \frac{1}{3} + \cdots + \frac{1}{n} \approx \ln n$$

$$\sum_{i=0}^n \frac{1}{2^i} = \frac{1}{2^0} + \frac{1}{2^1} + \frac{1}{2^3} + \cdots + \frac{1}{2^n} = 2 - \frac{1}{2^n}$$

$$\sum_{i=0}^n \frac{1}{r^i} = \frac{1}{r^0} + \frac{1}{r^1} + \frac{1}{r^3} + \cdots + \frac{1}{r^n} = \frac{1}{r^n} \cdot \frac{r^{n+1} - 1}{r - 1} \text{ for } r > 0$$

$$\sum_{i=2}^n \log i = \log 2 + \log 3 + \log 4 + \cdots + \log n = \Theta(n \log n)$$

$$\sum_{i=4}^n \log \log i = \log \log 4 + \log \log 5 + \log \log 6 + \cdots + \log \log n = \Theta(n \log \log n)$$

Important links: Brush up on your math skills

- Derivatives and differentiation rules
- List of integrals
- Maclaurin series
- List of mathematical series

Practice problems

Problem

Prove the following. Assume that the log function has base 2 unless explicitly mentioned otherwise.

$$\begin{aligned} \frac{1}{n} &\prec 1 \asymp 1 - \frac{1}{n} \asymp n^{\frac{1}{n}} \asymp n^{\frac{1}{\log n}} \asymp \sum_{i=1}^n \frac{1}{i^2} \asymp \sum_{i=1}^n \frac{1}{2^i} \prec \\ \log \log n &\asymp \sum_{\text{prime } p \leq n} \frac{1}{p} \prec \log n \asymp \log n^2 \asymp \sum_{i=1}^n \frac{1}{i} \prec \sqrt{n} \prec \\ n &\prec n \log n \asymp \sum_{i=1}^n \log i \asymp \log n! \prec n^2 \asymp \sum_{i=1}^n i \prec 2^n \asymp \\ \sum_{i=0}^n {}^n C_i &\prec n! \prec n^n \asymp (n+1)^n \prec n^{n+1} \end{aligned}$$

Practice problems

Problem

Prove that $\frac{1}{n} \prec 1$

Solution

- $\lim_{n \rightarrow \infty} \frac{1}{n} = 0$

Problem

Prove that $n^{\frac{1}{\log n}} \asymp 1$

Solution

- $\lim_{n \rightarrow \infty} n^{\frac{1}{\log n}}$
 $= \lim_{n \rightarrow \infty} (2^{\log n})^{\frac{1}{\log n}} = 2$

Practice problems

Problem

Prove that $n^{\frac{1}{n}} \asymp 1$

Solution

- $\lim_{n \rightarrow \infty} n^{\frac{1}{n}}$
 $= \lim_{n \rightarrow \infty} e^{\ln(n^{\frac{1}{n}})}$
 $= \lim_{n \rightarrow \infty} e^{\frac{\ln n}{n}}$ (use Maclaurin series for e^x)
 $= \lim_{n \rightarrow \infty} \left(1 + \sum_{i=1}^{\infty} \frac{\left(\frac{\ln n}{n}\right)^i}{i!}\right)$ (remove $i!$ & use upper bound)
 $\leq 1 + \lim_{n \rightarrow \infty} \sum_{i=1}^{\infty} \left(\frac{\ln n}{n}\right)^i$
 $= 1 + \lim_{x \rightarrow \infty} \sum_{i=1}^{\infty} \left(\frac{1}{x}\right)^i$ (set $x = \frac{n}{\ln n} > 1$)
 $= 1 + \lim_{x \rightarrow \infty} \frac{\frac{1}{x}}{\frac{1}{x}-1}$ (sum of geometric series)
 $= 1$

Practice problems

Problem

Prove that $\log n! \asymp n \log n$

Solution

- $$\begin{aligned} \lim_{n \rightarrow \infty} \frac{\log n!}{n \log n} &= \lim_{n \rightarrow \infty} \frac{\log(n \cdot (n-1) \cdots 1)}{n \log n} \\ &< \lim_{n \rightarrow \infty} \frac{\sum_{i=1}^{\infty} \log i}{n \log n} = \lim_{n \rightarrow \infty} \frac{\frac{1}{\ln 2} \sum_{i=1}^{\infty} \ln i}{n \log n} \\ &\approx \lim_{n \rightarrow \infty} \frac{\frac{1}{\ln 2} \int_{i=1}^{\infty} \ln i}{n \log n} = \lim_{n \rightarrow \infty} \frac{\frac{1}{\ln 2} [x \ln x - x + c]_1^n}{n \log n} \\ &= \lim_{n \rightarrow \infty} \frac{\frac{1}{\ln 2} (n \ln n - n + 1)}{n \log n} \approx \lim_{n \rightarrow \infty} \frac{\frac{1}{\ln 2} (n \ln n - n)}{n \log n} \\ &= \lim_{n \rightarrow \infty} \frac{1}{\ln 2} \cdot \left(1 - \frac{1}{\log n}\right) = 1.44269504089\dots \end{aligned}$$

Practice problems

Problem

Prove that $1 \prec \log \log n$

Solution

- $\lim_{n \rightarrow \infty} \frac{1}{\log \log n} = 0$

Problem

Prove that $\log \log n \prec \log n$

Solution

- $$\begin{aligned} & \lim_{n \rightarrow \infty} \frac{\log \log n}{\log n} \\ &= \lim_{n \rightarrow \infty} \frac{\frac{1}{n \ln n \ln 2}}{\frac{1}{n \ln 2}} \quad (\text{use L'Hôpital's rule and Wolfram Alpha}) \\ &= \lim_{n \rightarrow \infty} \frac{1}{\ln n} = 0 \end{aligned}$$

Practice problems

Problem

Evaluate the complexity of the Loooooooooop kernel. The many possible values for I_{start} , I_{end} , I_{incr} , J_{start} , J_{end} , and J_{incr} are given in the table. *start* and *end* are abbreviated as *s* and *e*.

Loooooooooop(n)

```
for i ← Istart; i ≤ Iend; Iincr do  
    for j ← Jstart; j ≤ Jend; Jincr do  
        | do nothing
```

| # | I_s | I_e | I_{incr} | J_s | J_e | J_{incr} |
|----|-------|-------|---------------------------|-------|-------|---------------------------|
| 1 | 2 | n | $i \leftarrow i + 2$ | 2 | n | $j \leftarrow j + 2$ |
| 2 | 2 | n | $i \leftarrow i + 2$ | 2 | n | $j \leftarrow j \times 2$ |
| 3 | 2 | n | $i \leftarrow i + 2$ | 2 | n | $j \leftarrow j^2$ |
| 4 | 2 | n | $i \leftarrow i \times 2$ | 2 | n | $j \leftarrow j + 2$ |
| 5 | 2 | n | $i \leftarrow i \times 2$ | 2 | n | $j \leftarrow j \times 2$ |
| 6 | 2 | n | $i \leftarrow i \times 2$ | 2 | n | $j \leftarrow j^2$ |
| 7 | 2 | n | $i \leftarrow i^2$ | 2 | n | $j \leftarrow j + 2$ |
| 8 | 2 | n | $i \leftarrow i^2$ | 2 | n | $j \leftarrow j \times 2$ |
| 9 | 2 | n | $i \leftarrow i^2$ | 2 | n | $j \leftarrow j^2$ |
| 10 | 2 | n | $i \leftarrow i + 2$ | 2 | i | $j \leftarrow j + 2$ |

| # | I_s | I_e | I_{incr} | J_s | J_e | J_{incr} |
|----|-------|-------|---------------------------|-------|-------|---------------------------|
| 11 | 2 | n | $i \leftarrow i + 2$ | 2 | i | $j \leftarrow j \times 2$ |
| 12 | 2 | n | $i \leftarrow i + 2$ | 2 | i | $j \leftarrow j^2$ |
| 13 | 2 | n | $i \leftarrow i \times 2$ | 2 | i | $j \leftarrow j + 2$ |
| 14 | 2 | n | $i \leftarrow i \times 2$ | 2 | i | $j \leftarrow j \times 2$ |
| 15 | 2 | n | $i \leftarrow i \times 2$ | 2 | i | $j \leftarrow j^2$ |
| 16 | 2 | n | $i \leftarrow i^2$ | 2 | i | $j \leftarrow j + 2$ |
| 17 | 2 | n | $i \leftarrow i^2$ | 2 | i | $j \leftarrow j \times 2$ |
| 18 | 2 | n | $i \leftarrow i^2$ | 2 | i | $j \leftarrow j^2$ |
| 19 | 2 | n | $i \leftarrow i + 2$ | 2 | i | $j \leftarrow i + j$ |
| 20 | 2 | n | $i \leftarrow i + 2$ | 2 | i | $j \leftarrow i \times j$ |

Practice problems

Problem

| Kernel | I_{start} | I_{end} | I_{incr} | J_{start} | J_{end} | J_{incr} |
|--------|-------------|-----------|----------------------|-------------|-----------|----------------------|
| 1 | 2 | n | $i \leftarrow i + 2$ | 2 | n | $j \leftarrow j + 2$ |

Solution

Time

$$\begin{aligned} &= \sum_{i \in \{2, 4, 6, \dots, 2\lfloor \frac{n}{2} \rfloor\}} \sum_{j \in \{2, 4, 6, \dots, 2\lfloor \frac{n}{2} \rfloor\}} 1 \\ &= \left(\sum_{i \in \{2, 4, 6, \dots, 2\lfloor \frac{n}{2} \rfloor\}} 1 \right) \cdot \left(\sum_{j \in \{2, 4, 6, \dots, 2\lfloor \frac{n}{2} \rfloor\}} 1 \right) \\ &= \lfloor \frac{n}{2} \rfloor \cdot \lfloor \frac{n}{2} \rfloor \\ &= \lfloor \frac{n}{2} \rfloor^2 \\ &\in \Theta(n^2) \end{aligned}$$

Practice problems

Problem

| Kernel | I_{start} | I_{end} | I_{incr} | J_{start} | J_{end} | J_{incr} |
|--------|-------------|-----------|----------------------|-------------|-----------|---------------------------|
| 2 | 2 | n | $i \leftarrow i + 2$ | 2 | n | $j \leftarrow j \times 2$ |

Solution

Time

$$\begin{aligned} &= \sum_{i \in \{2, 4, 6, \dots, 2 \lfloor \frac{n}{2} \rfloor\}} \sum_{j \in \{2^1, 2^2, 2^3, \dots, 2^{\lfloor \log n \rfloor}\}} 1 \\ &= \left(\sum_{i \in \{2, 4, 6, \dots, 2 \lfloor \frac{n}{2} \rfloor\}} 1 \right) \cdot \left(\sum_{j \in \{2^1, 2^2, 2^3, \dots, 2^{\lfloor \log n \rfloor}\}} 1 \right) \\ &= \lfloor \frac{n}{2} \rfloor \cdot \lfloor \log n \rfloor \\ &\in \Theta(n \log n) \end{aligned}$$

Practice problems

Problem

| Kernel | I_{start} | I_{end} | I_{incr} | J_{start} | J_{end} | J_{incr} |
|--------|-------------|-----------|----------------------|-------------|-----------|--------------------|
| 3 | 2 | n | $i \leftarrow i + 2$ | 2 | n | $j \leftarrow j^2$ |

Solution

Time

$$\begin{aligned} &= \sum_{i \in \{2, 4, 6, \dots, 2 \lfloor \frac{n}{2} \rfloor\}} \sum_{j \in \left\{2^{2^0}, 2^{2^1}, 2^{2^2}, \dots, 2^{2 \lfloor \log \lfloor \log n \rfloor \rfloor}\right\}} 1 \\ &= \left(\sum_{i \in \{2, 4, 6, \dots, 2 \lfloor \frac{n}{2} \rfloor\}} 1 \right) \cdot \left(\sum_{j \in \left\{2^{2^0}, 2^{2^1}, 2^{2^2}, \dots, 2^{2 \lfloor \log \lfloor \log n \rfloor \rfloor}\right\}} 1 \right) \\ &= \lfloor \frac{n}{2} \rfloor \cdot (\lfloor \log \lfloor \log n \rfloor \rfloor + 1) \\ &\in \Theta(n \log \log n) \end{aligned}$$

Practice problems

Problem

| Kernel | I_{start} | I_{end} | I_{incr} | J_{start} | J_{end} | J_{incr} |
|--------|-------------|-----------|----------------------|-------------|-----------|----------------------|
| 10 | 2 | n | $i \leftarrow i + 2$ | 2 | i | $j \leftarrow j + 2$ |

Solution

Time

$$= \sum_{i \in \{2, 4, 6, \dots, 2\lfloor \frac{n}{2} \rfloor\}} \sum_{j \in \{2, 4, 6, \dots, 2\lfloor \frac{i}{2} \rfloor\}} 1$$

$$= \sum_{i \in \{2, 4, 6, \dots, 2\lfloor \frac{n}{2} \rfloor\}} \left\lfloor \frac{i}{2} \right\rfloor$$

$$= 1 + 2 + 3 + \dots + \left\lfloor \frac{n}{2} \right\rfloor$$

$$= \frac{\left\lfloor \frac{n}{2} \right\rfloor \cdot (\left\lfloor \frac{n}{2} \right\rfloor + 1)}{2}$$

$$\in \Theta(n^2)$$

Practice problems

Problem

| Kernel | I_{start} | I_{end} | I_{incr} | J_{start} | J_{end} | J_{incr} |
|--------|-------------|-----------|----------------------|-------------|-----------|---------------------------|
| 11 | 2 | n | $i \leftarrow i + 2$ | 2 | i | $j \leftarrow j \times 2$ |

Solution

Time

$$\begin{aligned} &= \sum_{i \in \{2, 4, 6, \dots, 2 \lfloor \frac{n}{2} \rfloor\}} \sum_{j \in \{2^1, 2^2, 2^3, \dots, 2^{\lfloor \log i \rfloor}\}} 1 \\ &= \sum_{i \in \{2, 4, 6, \dots, 2 \lfloor \frac{n}{2} \rfloor\}} \lfloor \log i \rfloor \\ &= \sum_{i=1}^{\lfloor \frac{n}{2} \rfloor} \lfloor \log(2i) \rfloor = \sum_{i=1}^{\lfloor \frac{n}{2} \rfloor} \lfloor 1 + \log i \rfloor = \sum_{i=1}^{\lfloor \frac{n}{2} \rfloor} (1 + \lfloor \log i \rfloor) \\ &= \lfloor \frac{n}{2} \rfloor + \sum_{i=1}^{\lfloor \frac{n}{2} \rfloor} \lfloor \log i \rfloor \\ &\leq \lfloor \frac{n}{2} \rfloor + \log(\lfloor \frac{n}{2} \rfloor !) \\ &\in \Theta(n \log n) \end{aligned}$$

Practice problems

Problem

| Kernel | I_{start} | I_{end} | I_{incr} | J_{start} | J_{end} | J_{incr} |
|--------|-------------|-----------|----------------------|-------------|-----------|--------------------|
| 12 | 2 | n | $i \leftarrow i + 2$ | 2 | i | $j \leftarrow j^2$ |

Solution

Time

$$= \sum_{i \in \{2, 4, 6, \dots, 2 \lfloor \frac{n}{2} \rfloor\}} \sum_{j \in \left\{2^{2^0}, 2^{2^1}, 2^{2^2}, \dots, 2^{\lfloor \log \lfloor \log i \rfloor \rfloor}\right\}} 1$$

$$= \sum_{i \in \{2, 4, 6, \dots, 2 \lfloor \frac{n}{2} \rfloor\}} (\lfloor \log \lfloor \log i \rfloor \rfloor + 1)$$

$$= \sum_{i \in \{2, 4, 6, \dots, 2 \lfloor \frac{n}{2} \rfloor\}} \lfloor \log \lfloor \log i \rfloor \rfloor + \sum_{i \in \{2, 4, 6, \dots, 2 \lfloor \frac{n}{2} \rfloor\}} 1$$

$$\leq \int_{x=2}^n \log \log x \cdot dx + \lfloor \frac{n}{2} \rfloor$$

$$\leq \left(n \log \log n - \int \frac{1}{\log x} dx \right) + \lfloor \frac{n}{2} \rfloor \quad (\int u \cdot dv = uv - \int v \cdot du)$$

$$\in \Theta(n \log \log n)$$