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# Normalized cuts and image segmentation

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*“Normalized Cuts and Image Segmentation”, IEEE Trans. PAMI, August 2000*

# Outline

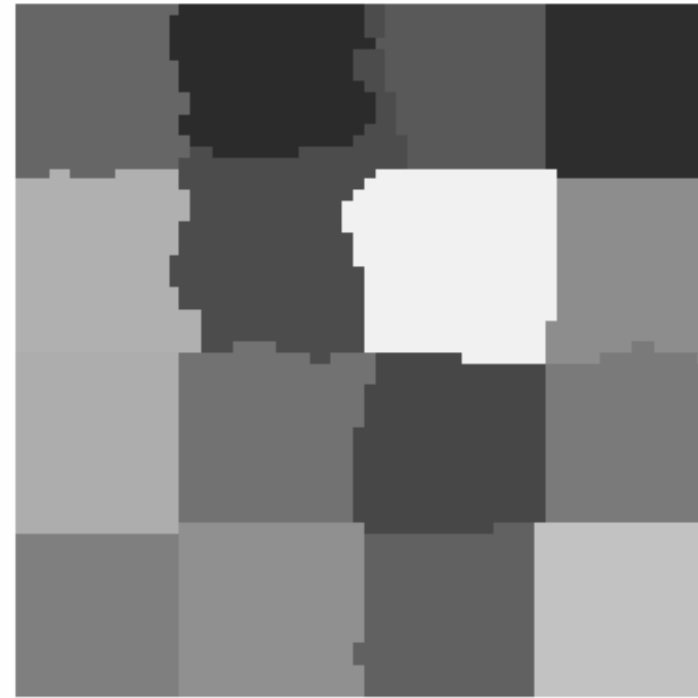
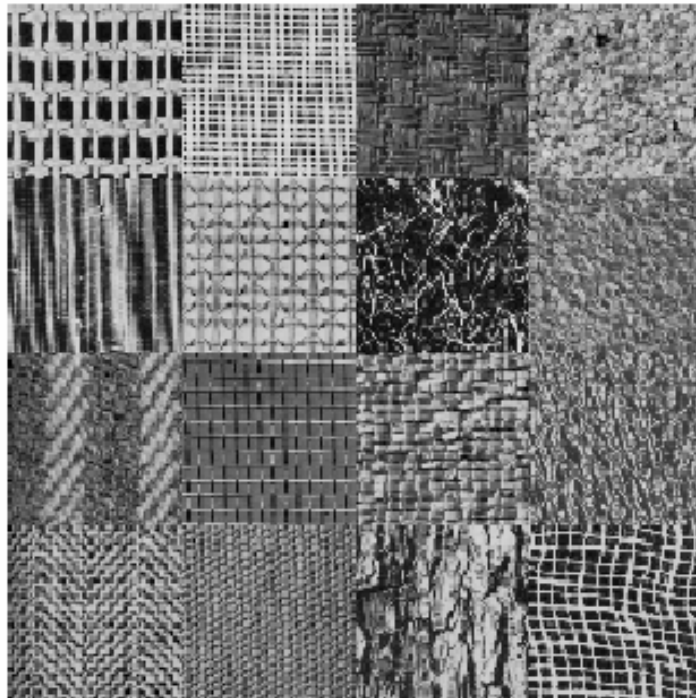
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1. Image segmentation methods overview
  - i. Definition
  - ii. Complete & partial segmentation
  - iii. Gestalt laws of perceptual organization
  - iv. Image segmentation methods
2. Normalized cut
  - i. Basic idea
  - ii. Grouping method
  - iii. Experiment
  - iv. Comparison
3. Conclusion

# What is image segmentation?

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Image segmentation is the process of dividing an image into parts that have a strong correlation with objects or areas of the real world.

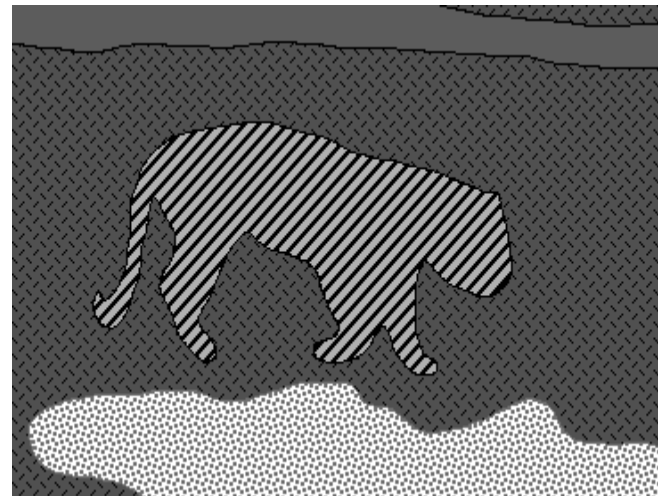


# Complete vs. partial segmentation

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Complete segmentation - divides an image into non-overlapping regions that match to the real world objects.

Cooperation with higher processing levels which use specific knowledge of the problem domain is necessary.

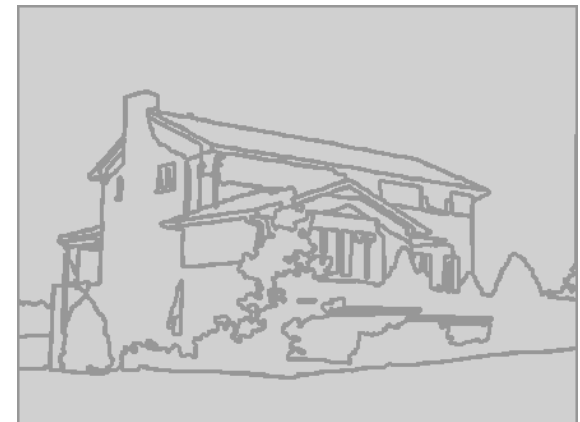


# Complete vs. partial segmentation

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Partial segmentation- in which regions do not correspond directly with image objects.

Image is divided into separate regions that are homogeneous with respect to a chosen property such as brightness, color, texture, etc.



# Gestalt laws of perceptual organization

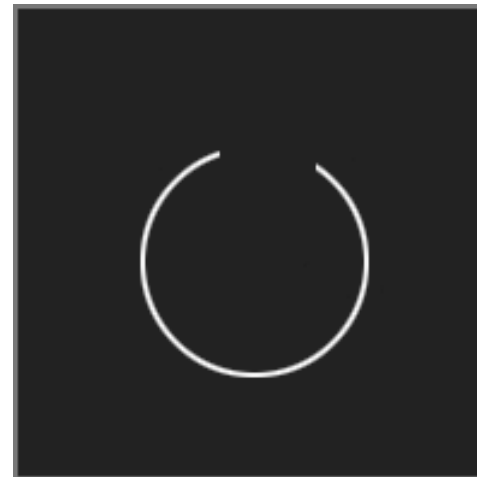
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The emphasis in the Gestalt approach was on the **configuration** of the elements.

**Proximity:** Objects that are closer to one another tend to be grouped together.



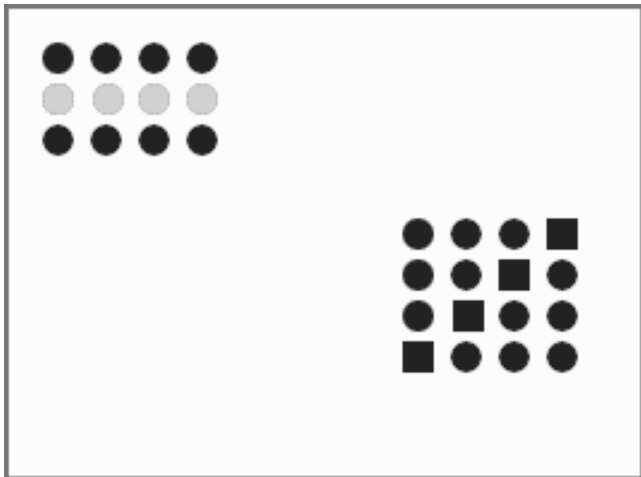
**Closure:** Humans tend to enclose a space by completing a contour and ignoring gaps.



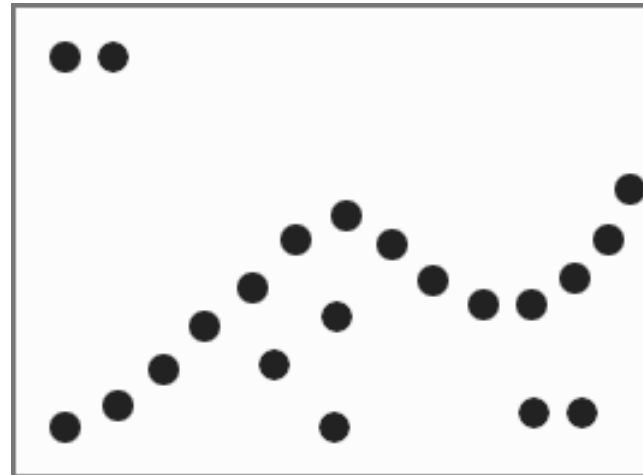
# Gestalt laws of perceptual organization

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**Similarity:** Elements that look similar will be perceived as part of the same form. (color, shape, texture, and motion).



**Continuation:** Humans tend to continue contours whenever the elements of the pattern establish an implied direction.



# Image segmentation methods

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1. Local filtering approaches
2. Active contour methods
3. Region growing and splitting techniques
4. Global optimization approaches based on energy functional



# Local filtering approaches

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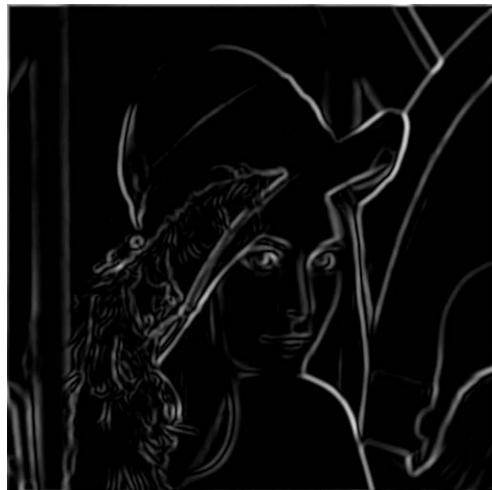
Such as canny edge detector



original image  
(Lena)



norm of  
the gradient



thresholding



thinning

# Local filtering approaches

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Only makes use of local information and cannot guarantee continuous closed edge contours



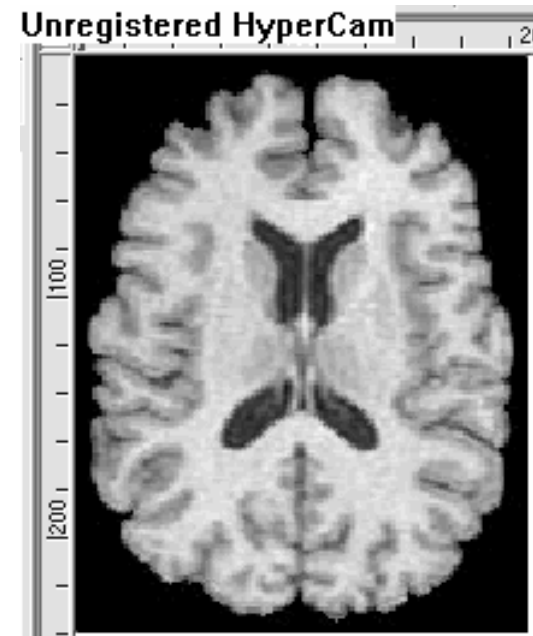
# Active contour method (Snakes)

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$$E(C) = \alpha \int_0^1 |C'(s)|^2 ds + \beta \int_0^1 |C''(s)|^2 ds - \lambda \int_0^1 |\nabla I(C(s))| ds$$

The internal energies emanate from the shape of the snakes-smoothness

The external energy attracts the snake to contours with large image gradients.



# Region growing and splitting techniques

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Such as K-means, mean shift, EM algorithms



# Region based methods

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An advantage of region based methods is that it tests the statistics inside the region

However it often generates irregular boundaries and small holes.



# Global optimization approaches based on energy functional

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Suppose that we are given a blurred image  $g$ :

$$g = Aw + n$$

where  $A$  is a blurring operator,  $w$  is the unblurred image,  $n$  is the noise.

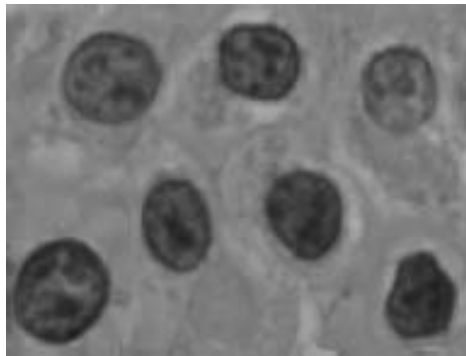
Mumford-Shah energy functional:

$$E(w, \Gamma) = \beta \iint_{\Omega} (g - w)^2 dx dy + \alpha \iint_{\Omega/\Gamma} \|\nabla w\|^2 dx dy + \gamma \|\Gamma\|$$

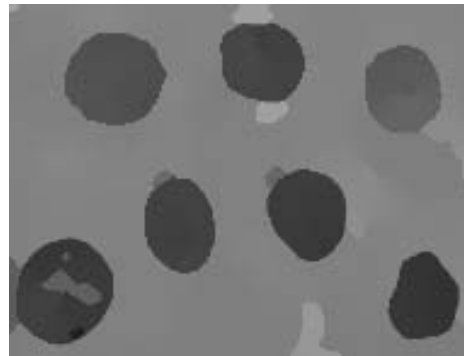
- data fidelity
- region smoothness
- boundary compactness

# Mumford-Shah energy segmentation

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Original



segmented results



boundaries

# Normalized cut method

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## **Goal:**

Perceptually significant groups are detected first while small variations and details are treated later.

Different image features: intensity, color, texture, contour continuity, motion are treated in one uniform framework



# Normalized cut method

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## Basic idea:

Propose a new graph-theoretic criterion for measuring the goodness of an image partition

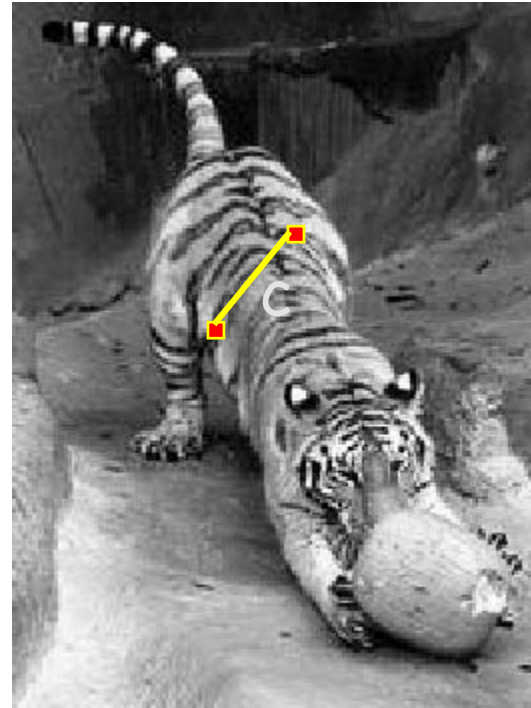
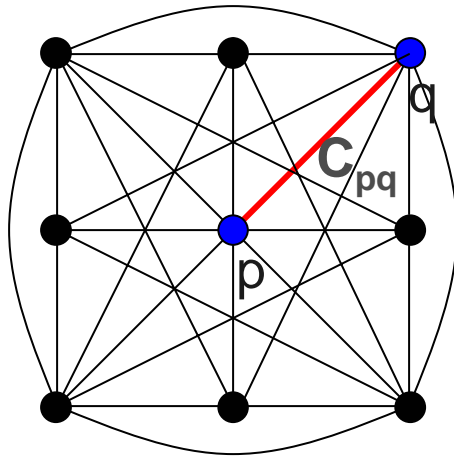
The minimization of this criterion can be formulated/approximated as a generalized eigenvalue problem.

## Two questions:

1. What is a precise criterion for a good partition?
2. How can such a partition be computed efficiently?

# Images as graphs

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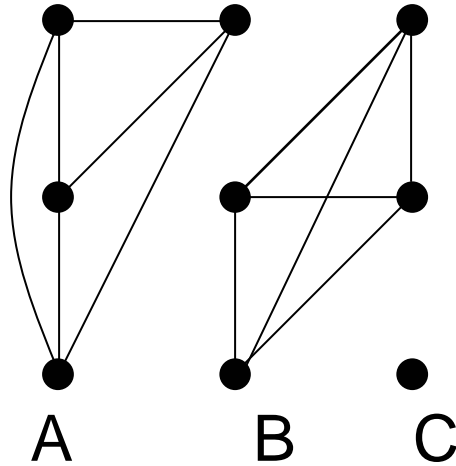


## *Fully-connected* graph

- node for every pixel
- link between *every* pair of pixels,  $p, q$
- cost  $c_{pq}$  for each link
  - $c_{pq}$  measures *similarity*
    - » similarity is *inversely proportional* to difference in color, position and some other features

# Segmentation by Graph Cuts

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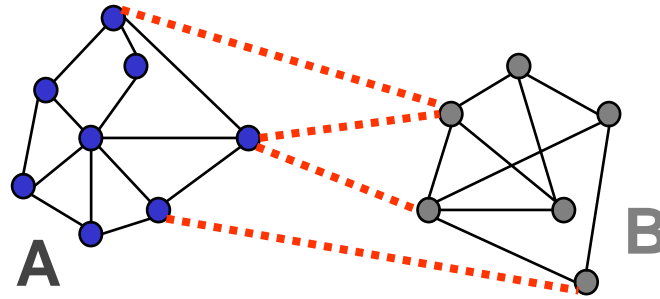


## Break Graph into Segments

- Delete links that cross between segments
- Easiest to break links that have low cost
  - similar pixels should be in the same segments
  - dissimilar pixels should be in different segments

# Cuts in a graph

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## Link Cut

- set of links whose removal makes a graph disconnected
- cost of a cut:

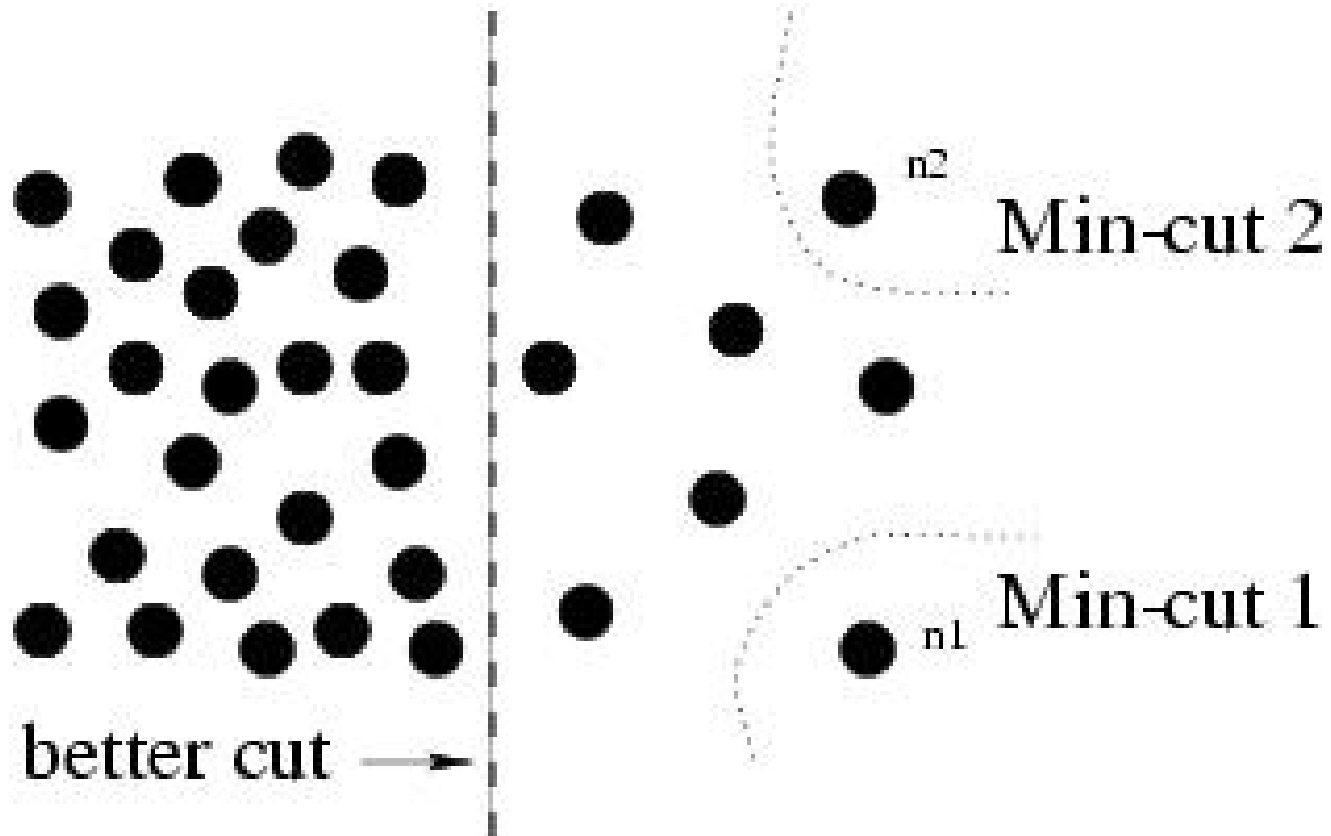
$$cut(A, B) = \sum_{p \in A, q \in B} c_{p,q}$$

## Find minimum cut

Well studied problem, and efficient algorithms exist

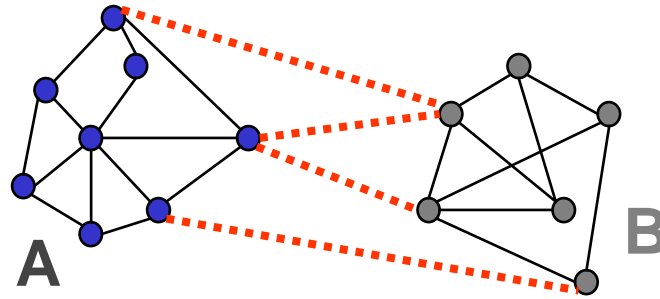
# But min cut is not always the best cut...

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# Cuts in a graph

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## Normalized Cut

- a cut penalizes large segments
- fix by normalizing for size of segments

$$Ncut(A, B) = \frac{cut(A, B)}{volume(A)} + \frac{cut(A, B)}{volume(B)}$$

- Volume(A) = sum of costs of all edges that touch A

$$assoc(A, V) = \sum_{u \in A, t \in V} c(u, t)$$

# Normalize Cut in Matrix Form

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$\mathbf{W}$  is the cost matrix :  $\mathbf{W}(i, j) = c_{i,j}$ ;

$\mathbf{D}$  is the sum of costs from node  $i$ :  $\mathbf{D}(i, i) = \sum_j \mathbf{W}(i, j)$ ;  $\mathbf{D}(i, j) = 0$

Can write normalized cut as: (NP-complete)

$$Ncut(A, B) = \frac{\mathbf{y}^T (\mathbf{D} - \mathbf{W}) \mathbf{y}}{\mathbf{y}^T \mathbf{D} \mathbf{y}}, \quad \text{with } \mathbf{y}_i \in \{1, -b\}, \mathbf{y}^T \mathbf{D} \mathbf{1} = 0.$$

- Solution given by “generalized” eigenvalue problem:

$$(\mathbf{D} - \mathbf{W}) \mathbf{y} = \lambda \mathbf{D} \mathbf{y}$$

- Solved by converting to standard eigenvalue problem:

$$\mathbf{D}^{-\frac{1}{2}} (\mathbf{D} - \mathbf{W}) \mathbf{D}^{-\frac{1}{2}} \mathbf{z} = \lambda \mathbf{z}, \quad \text{where } \mathbf{z} = \mathbf{D}^{\frac{1}{2}} \mathbf{y}$$

- Solution of the continuous version problem corresponds to second smallest eigenvector
  - Not optimal!

# Recursive two-way Ncut grouping algorithm

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1. Given an image or image sequence, set up a weighted graph  $\mathbf{G}=(\mathbf{V}, \mathbf{E})$  and set the weight on the edge connection two nodes to be a measure of the similarity between the two nodes.
2. Solve  $(\mathbf{D}-\mathbf{W})\mathbf{x}=\lambda\mathbf{D}\mathbf{x}$  for eigenvectors with the smallest eigenvalues.
3. Use the eigenvector with the second smallest eigenvalue to bipartition the graph.
4. Decide if the current partition should be subdivided and recursively repartition the segmented parts if necessary.

Decide if the current partition should be subdivided by checking the stability of the cut, and make sure Ncut is below the pre-specified value



# Simultaneous K-way cut

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Use all of the top eigenvectors to simultaneously obtain a K-way partition.

1. A simple clustering method, such as k-means, is used to obtain an over-segmentation of the image into  $k'$  groups
2. (a) Greedy pruning: iteratively merge two segments as a time until only k segments are left, minimizing the k-way Ncut criterion

$$Ncut_k = \frac{cut(A_1, V - A_1)}{assoc(A_1, V)} + \frac{cut(A_2, V - A_2)}{assoc(A_2, V)} + \dots + \frac{cut(A_k, V - A_k)}{assoc(A_k, V)}$$

(b) Global recursive cut

From the initial segments, build a condensed graph. Based on this graph, recursively bipartition according to Ncut criterion, either with the generalized eigenvector system or with exhaustive search in discrete domain.

# Example: Brightness image

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1. Using the brightness value of the pixels and their spatial location as the weight:

$$w_{ij} = e^{-\frac{|F(i)-F(j)|}{\sigma_I^2}} * \begin{cases} e^{-\frac{\|X(i)-X(j)\|_2}{\sigma_X^2}} & \text{if } \|X(i)-X(j)\|_2 < r \\ 0 & \text{otherwise} \end{cases}$$

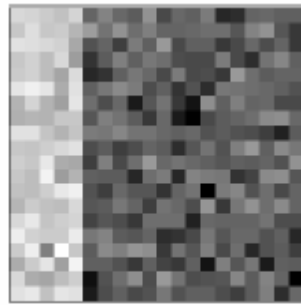
2. Can represent different type of segmentation
3. Solve for the eigenvectors for the second smallest eigenvector:

$$D^{-\frac{1}{2}}(D-W)D^{-\frac{1}{2}}x = \lambda x$$

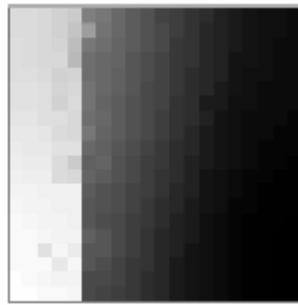
4. Somehow choose a splitting point to discretize the eigenvector to get the bipartition

# Experiments – simple synthetic images

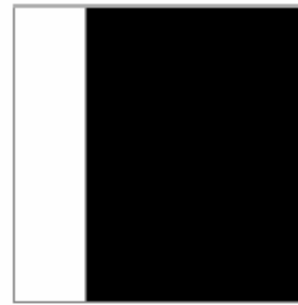
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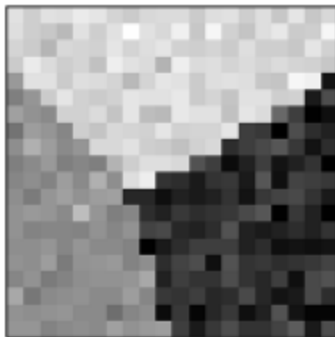
(a)



(b)



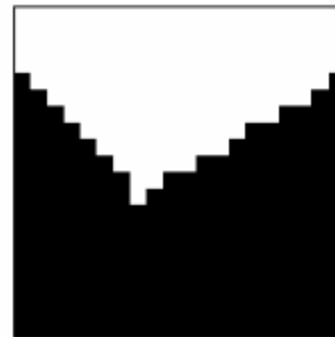
(c)



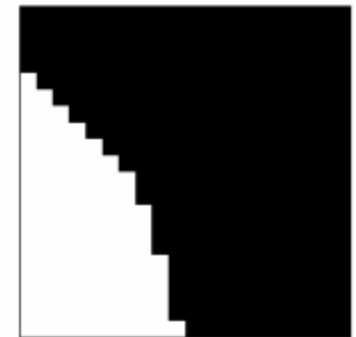
(a)



(b)



(c)



(d)

# Experiments: gray image

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(a)



(b)



(c)



(d)



(e)



(f)



(g)



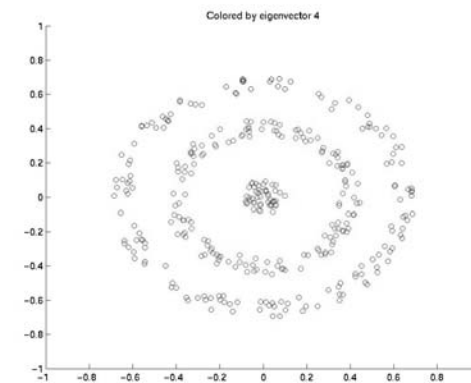
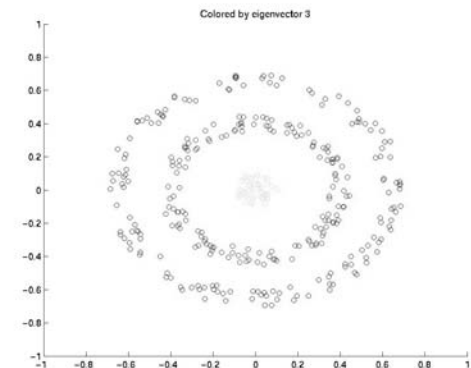
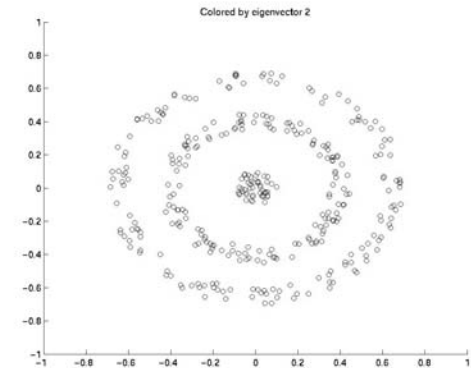
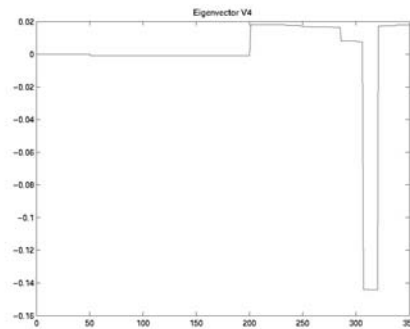
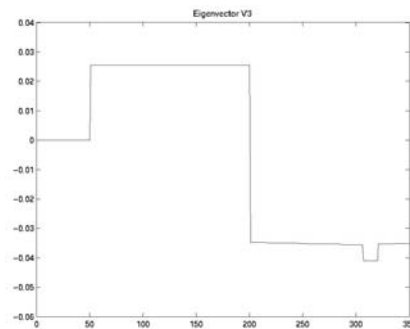
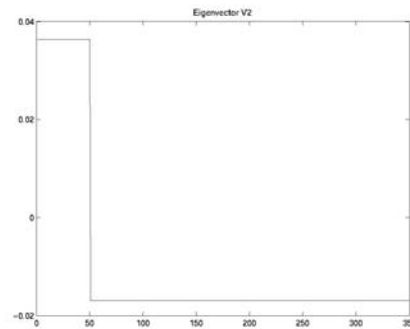
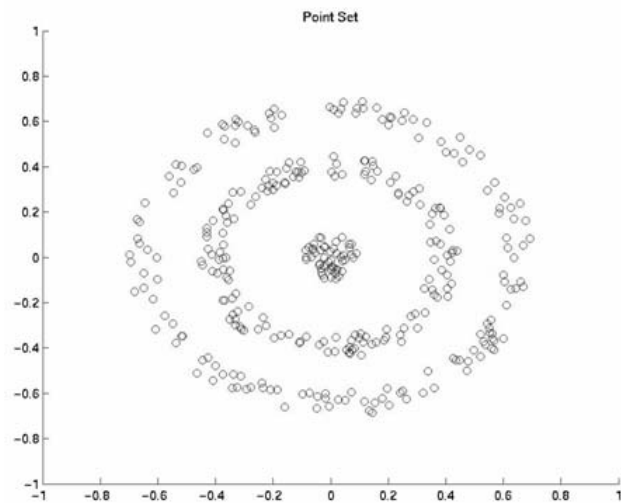
(h)



(i)

# More Experiments

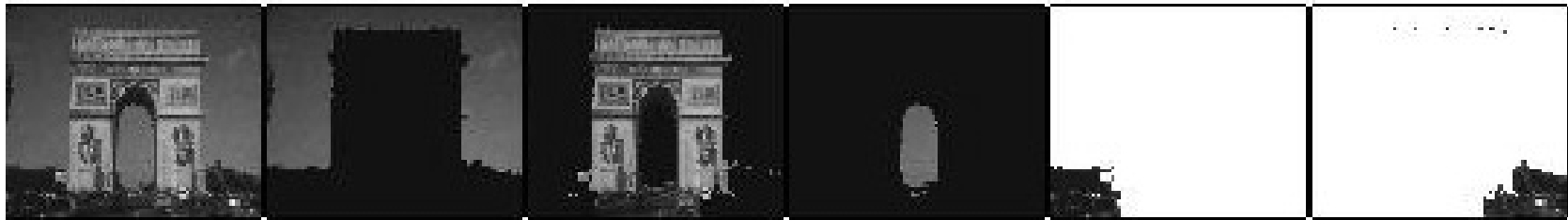
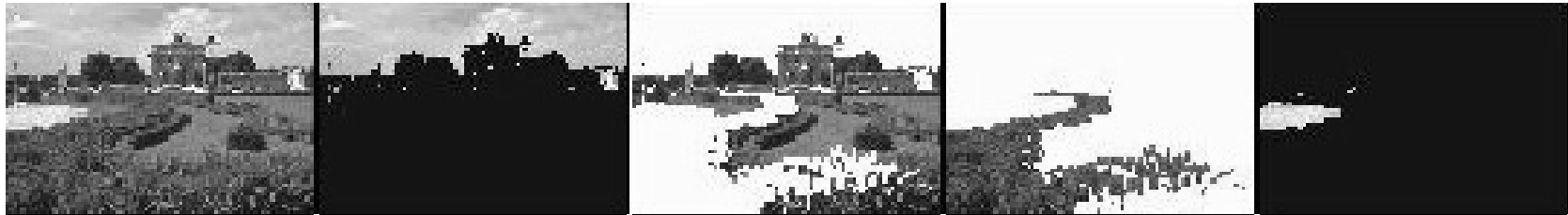
## 1. Spatial point set



5/20/2003

# Color Image Segmentation

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# Other experiments & issues

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## 1. Can also handle:

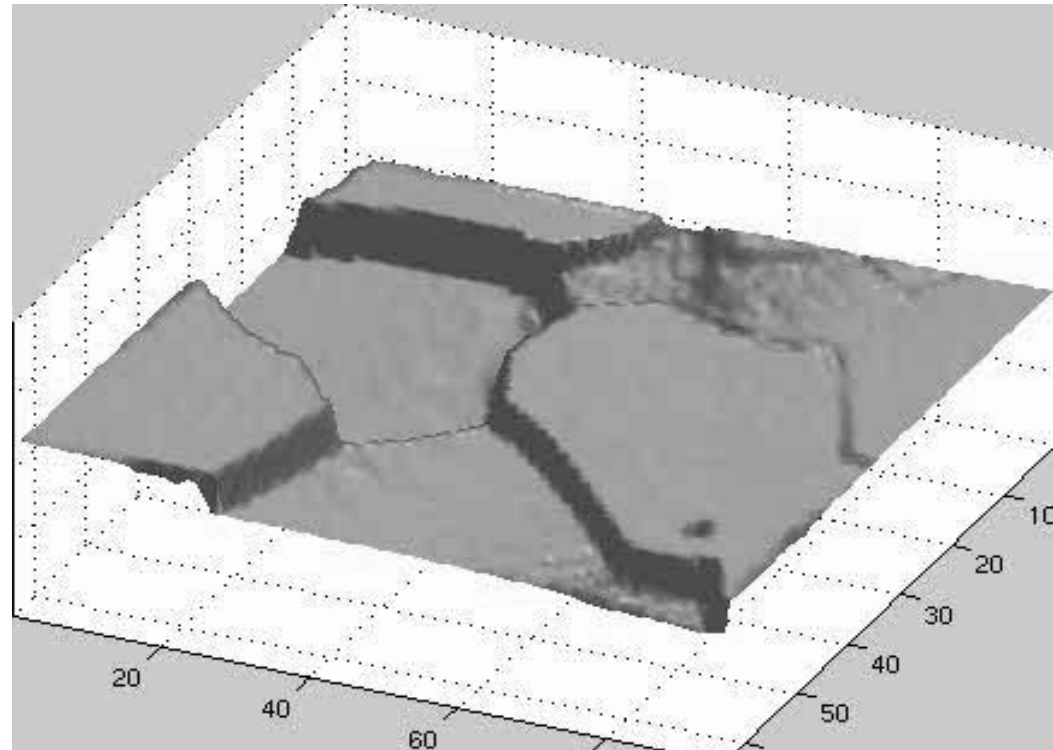
- Texture segmentation
- Motion segmentation

Need proper similarity definition

## 2. General problem of defining feature similarity incorporating many cues/features is non-trivial

# Interpretation as a Dynamical System

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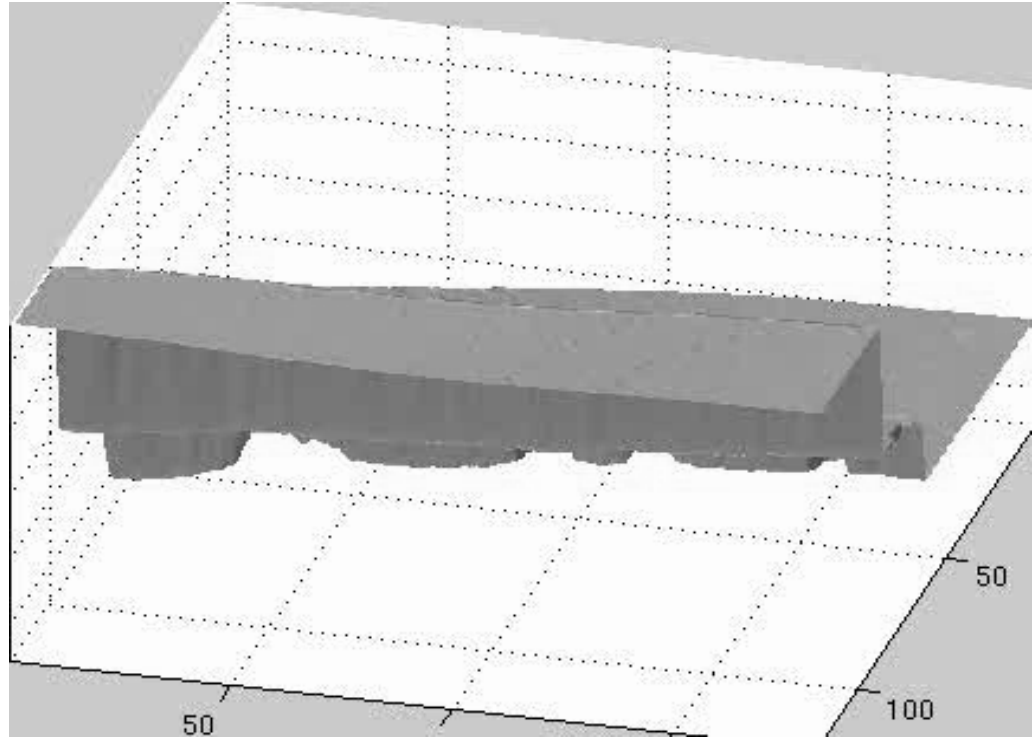
Treat the links as springs and shake the system

- elasticity proportional to cost
- vibration “modes” correspond to segments



# Interpretation as a Dynamical System

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Results based on a photo

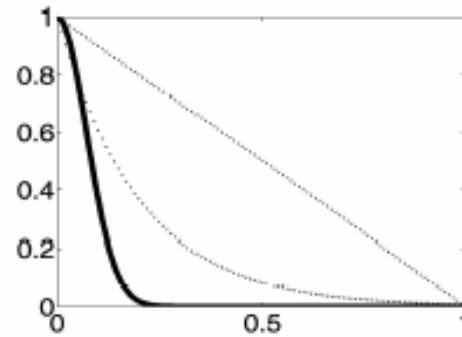
# Relationship to other graph theoretic approaches

	← Finding Clumps		Finding Splits →
Discrete Formulation	<b>Average Association</b>  $\text{Assoc}(A,A)/ A  + \text{Assoc}(B,B)/ B $	<b>Normalized Cut</b> $\text{Cut}(A,B)/\text{assoc}(A,V) + \text{Cut}(A,B)/\text{assoc}(B,V)$ $= 2 - (\text{assoc}(A,A)/\text{assoc}(A,V) + \text{assoc}(B,B)/\text{assoc}(B,V))$	<b>Average Cut</b>  $\text{Cut}(A,B)/ A  + \text{Cut}(A,b)/ B $
Continuous Formulation	$Wx = \lambda x$	$(D-W)x = \lambda Dx$	$(D-W)x = \lambda x$

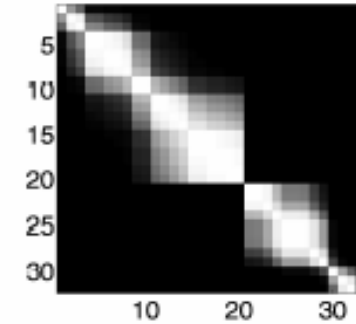
$$\min \frac{\text{cut}(A,B)}{|A|} + \frac{\text{cut}(A,B)}{|B|} \neq \max \frac{\text{assoc}(A,A)}{|A|} + \frac{\text{assoc}(B,B)}{|B|}$$

# Comparison 1

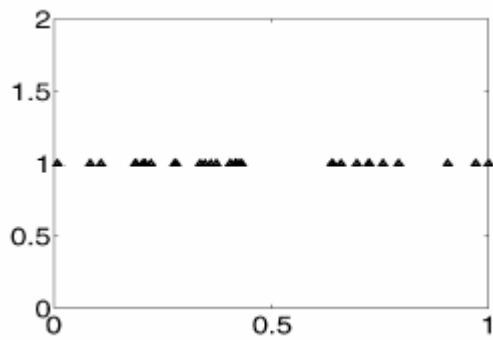
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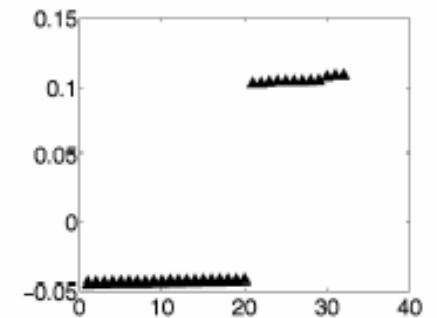
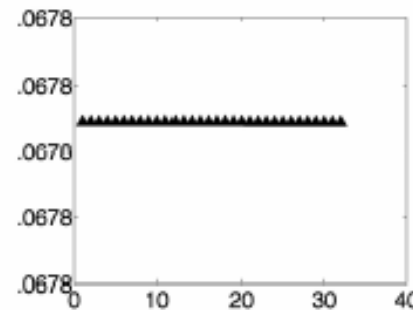
(a)



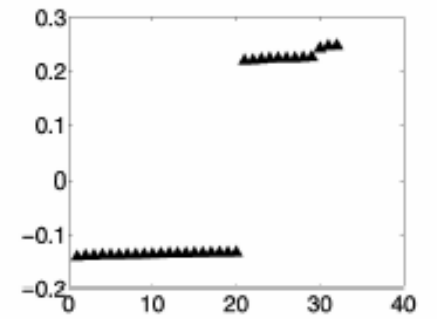
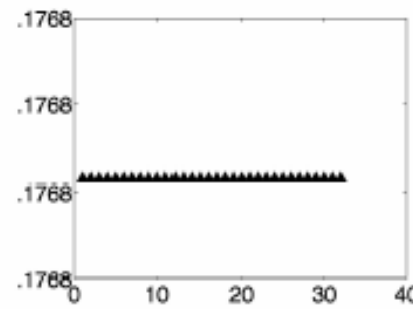
(b)



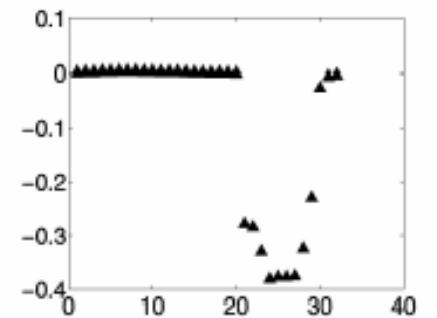
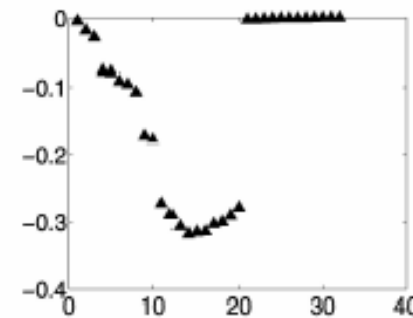
Normalized Cut:  
 $(D - W)x = \lambda Dx$   
 $Wx = (1 - \lambda)Dx$



Average Cut:  
 $(D - W)x = \lambda x$

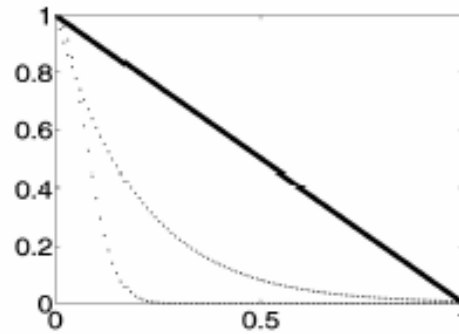


Average Association:  
 $Wx = \lambda x$

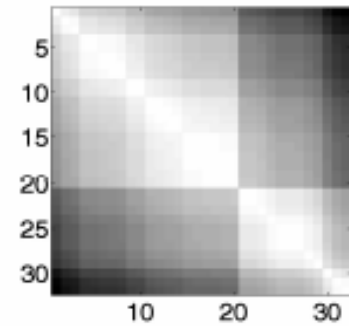


# Comparison 2

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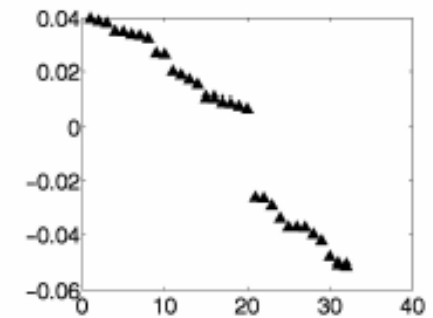
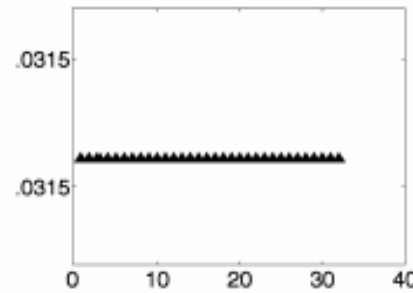


(a)

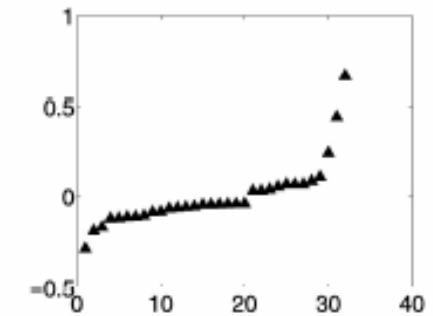
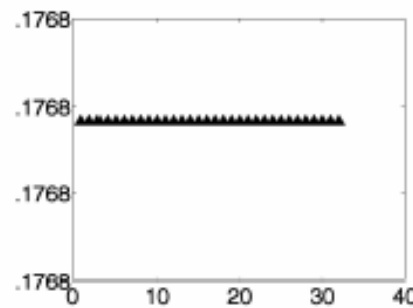


(b)

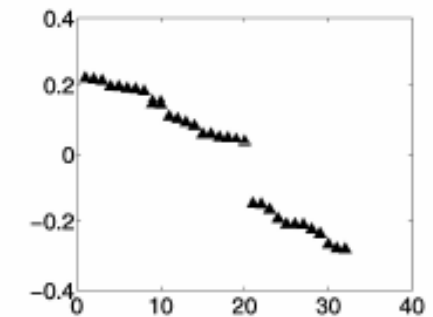
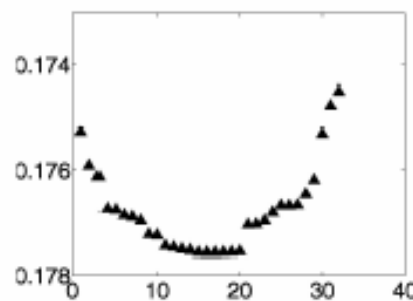
Normalized Cut:  
 $(D - W)x = \lambda Dx$   
 $Wx = (1 - \lambda)Dx$



Average Cut:  
 $(D - W)x = \lambda x$

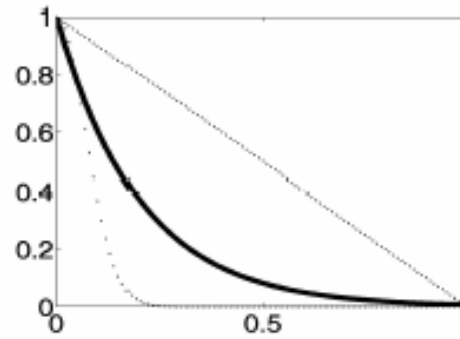


Average Association:  
 $Wx = \lambda x$

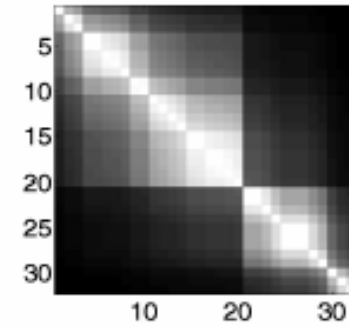


# Comparison 3

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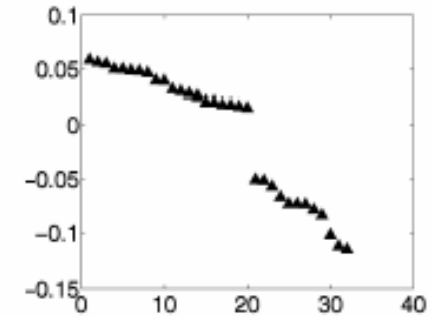
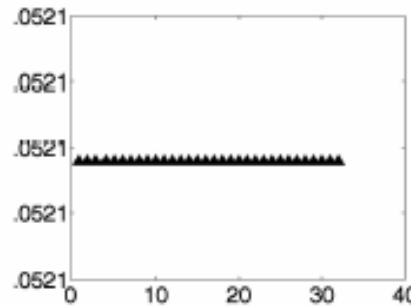


(a)

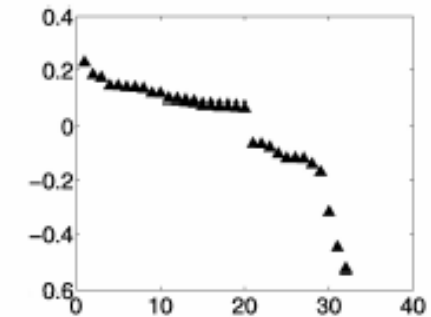
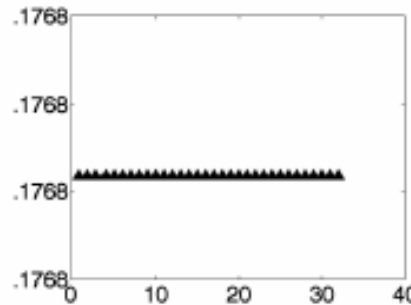


(b)

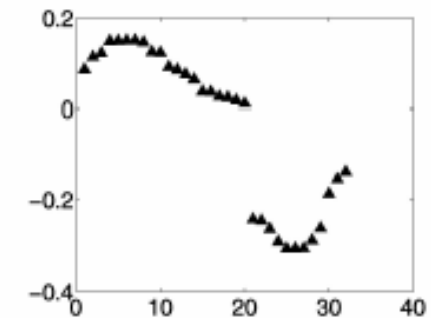
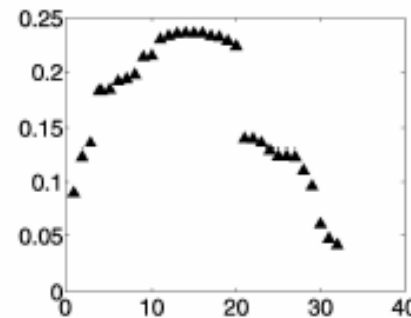
Normalized Cut:  
 $(D - W)x = \lambda Dx$   
 $Wx = (1 - \lambda)Dx$



Average Cut:  
 $(D - W)x = \lambda x$



Average Association:  
 $Wx = \lambda x$



# Conclusion

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- Image segmentation problem
- Normalized cut presents a new optimality criterion for partitioning a graph into clusters.
- Ncut is normalized measure of disassociation and minimizing it is equivalent to maximizing association.
- The discrete problem corresponding to Min Ncut is NP-Complete.
- We solve an approximate version of the MinNcut problem by converting it into a generalized eigenvector problem.
- Nice results in image segmentation