

Relations

CSE 215: Foundations of Computer Science

Stony Brook University

<http://www.cs.stonybrook.edu/~liu/cse215>

Relations on sets

- A (binary) relation R from A to B is a subset of $A \times B$ (Section 1.3)
For $(x,y) \in A \times B$, x is related to y by R if, and only if, $(x,y) \in R$.
 R is a subset of all pairs (x,y) , x in A , y in B . $x R y \Leftrightarrow (x,y) \in R$
- **Example:**

A less-than relation on real numbers: relation L from \mathbf{R} to \mathbf{R} :

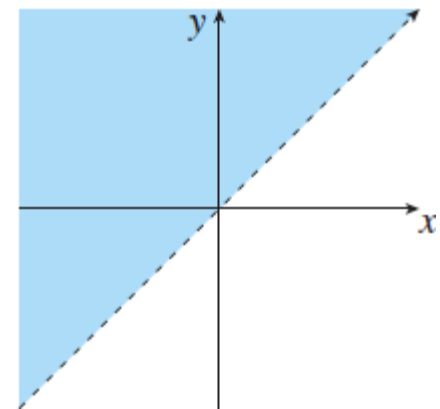
for all x and y in \mathbf{R} , $x L y \Leftrightarrow x < y$

Examples: $(-17) L (-14)$, $(-17) L (-10)$, $(-35) L 1$

The graph of L

as a subset of Cartesian plane $\mathbf{R} \times \mathbf{R}$:

It includes all points (x, y) with $y > x$,
that is, all points above the line $x = y$.



Relations: example 1

- **The congruence modulo 2 relation:**

Define relation E from \mathbf{Z} to \mathbf{Z} : for all $(m, n) \in \mathbf{Z} \times \mathbf{Z}$,

$$m E n \Leftrightarrow m - n \text{ is even.}$$

- **Examples:** $4 E 0$ because $4 - 0 = 4$ and 4 is even.

$2 E 6$ because $2 - 6 = -4$ and -4 is even.

$3 E (-3)$ because $3 - (-3) = 6$ and 6 is even.

- Prove that if n is any odd integer, then $n E 1$.

Proof: Suppose n is any odd integer.

Then $n = 2k + 1$ for some integer k .

By definition of E , $n E 1 \Leftrightarrow n - 1$ is even.

By substitution, $n - 1 = (2k + 1) - 1 = 2k$.

3 Since k is an integer, $2k$ is even. That is, $n - 1$ is even. Hence $n E 1$.

Relations: example 2

- **A relation on a power set:**

$X = \{a, b, c\}$, $P(X) = \{\emptyset, \{a\}, \{b\}, \{c\}, \{a, b\}, \{a, c\}, \{b, c\}, \{a, b, c\}\}$

Define relation S from $P(X)$ to $P(X)$: (textbook says $P(X)$ to Z)

for all sets A and B in $P(X)$,

$A S B \Leftrightarrow A$ has at least as many elements as B .

- **Examples:**

$\{a, b\} S \{b, c\}$

$\{a\} S \emptyset$ because $\{a\}$ has one element, \emptyset has zero elements, $1 \geq 0$.

$\{c\} S \{a\}$

Inverse of a relation, and an example

- Let R be a relation from A to B .

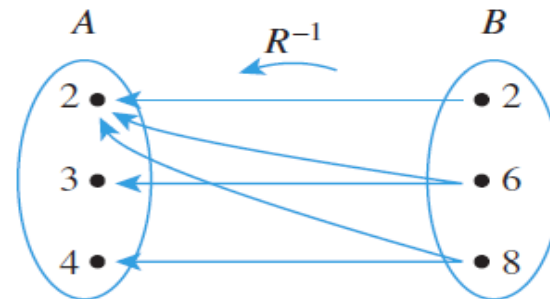
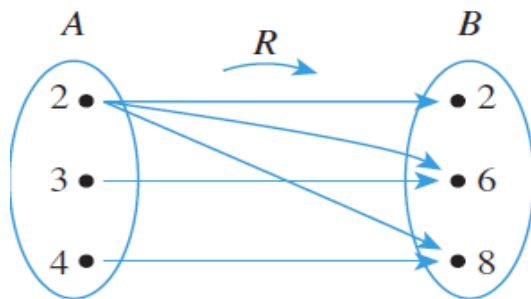
The **inverse relation** R^{-1} from B to A :

$$R^{-1} = \{(y, x) \in B \times A \mid (x, y) \in R\}.$$

For all $x \in A, y \in B, (y, x) \in R^{-1} \Leftrightarrow (x, y) \in R$. Logical

- Example:** $A = \{2, 3, 4\}, B = \{2, 6, 8\}$, R is the “divides” relation from A to B : for all $(x, y) \in A \times B, x R y \Leftrightarrow x \mid y$ (x divides y).

$$R = \{(2, 2), (2, 6), (2, 8), (3, 6), (4, 8)\} \quad R^{-1} = \{(2, 2), (6, 2), (8, 2), (6, 3), (8, 4)\}$$



For all $(y, x) \in B \times A, y R^{-1} x \Leftrightarrow y$ is a multiple of x .

Inverse of a relation: example 2

- R from \mathbf{R} to \mathbf{R} : for all $(x, y) \in \mathbf{R} \times \mathbf{R}$, $x R y \Leftrightarrow y = 2 \cdot |x|$.

R and R^{-1} in the Cartesian plane:

$$R = \{(x, y) \mid y = 2|x|\}$$

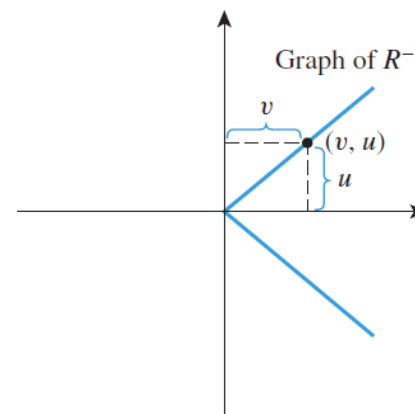
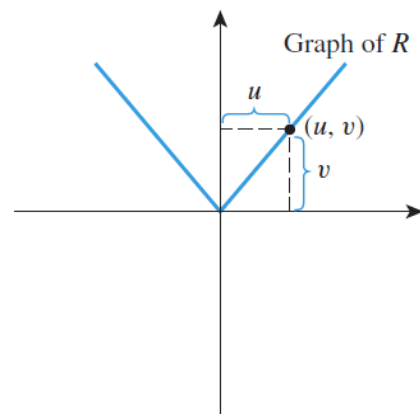
x	y
0	0
1	2
-1	2
2	4
-2	4

1st coordinate 2nd coordinate

$$R^{-1} = \{(y, x) \mid y = 2|x|\}$$

y	x
0	0
2	1
2	-1
4	2
4	-2

1st coordinate 2nd coordinate



R^{-1} is not a function because, for instance, both $(2, 1)$ and $(2, -1)$ are in R^{-1} .

Directed graph of a relation

- **A relation on a set A** is a relation from A to A.

Arrow diagram of the relation can be made into a **directed graph**.

For all points x and $y \in A$,

there is an arrow from x to $y \iff x R y \iff (x, y) \in R$

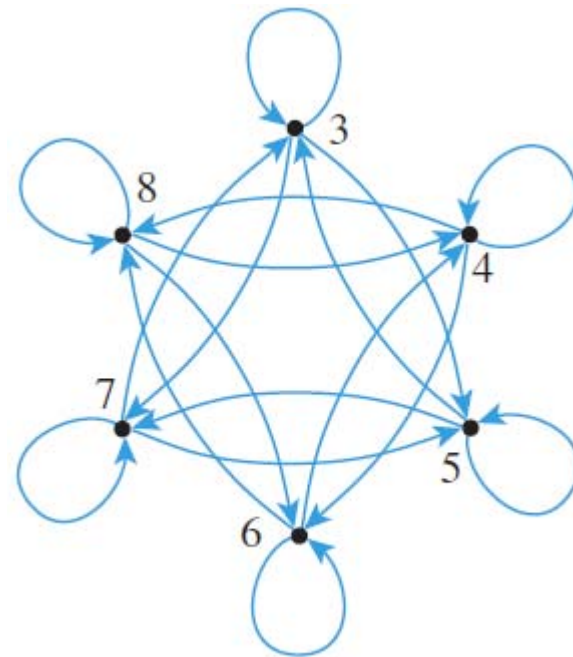
- **Example:**

Let $A = \{3, 4, 5, 6, 7, 8\}$.

Define relation R on A:

for all x and $y \in A$,

$x R y \iff 2 \mid (x - y)$



N-ary relations and relational databases

- Given sets A_1, A_2, \dots, A_n , an **n-ary relation** R on $A_1 \times A_2 \times \dots \times A_n$ is a subset of $A_1 \times A_2 \times \dots \times A_n$.
 - Special cases: 2-ary, 3-ary, 4-ary, called binary, ternary, quaternary
- **Example database:** $(a_1, a_2, a_3, a_4) \in R \Leftrightarrow$ a patient with patient ID a_1 , name a_2 , was admitted on date a_3 , with primary diagnosis a_4

Examples: (011985, John Schmidt, 120111, asthma)
(244388, Sarah Wu, 010310, broken leg)
(574329, Tak Kurosawa, 120111, pneumonia)

In the database language SQL:

```
SELECT PatientID, Name FROM S WHERE AdmissionDate = 120111
```

011985 John Schmidt, 574329 Tak Kurosawa

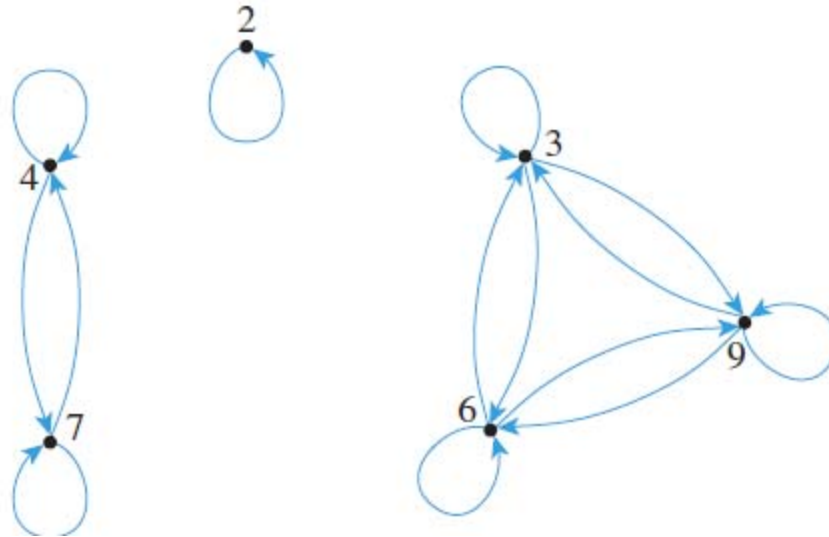
```
setof((x.PatientID, x.Name), x in S, x.AdmissionDate == 120111) da  
{(x.PatientID, x.Name) for x in S if x.AdmissionDate == 120111} da/py  
{(x.PatientID, x.Name): x in S, x.AdmissionDate = 120111} da ideal
```


Reflexivity, symmetry, and transitivity

- Properties of relations
- An example first:

Let $A = \{2, 3, 4, 6, 7, 9\}$. Define a relation R on A :

for all x and $y \in A$, $x R y \Leftrightarrow 3 \mid (x - y)$.



R is reflexive, symmetric, and transitive, to be defined next

Reflexivity, symmetry, and transitivity

- Let R be a relation on a set A .
 1. R is **reflexive** iff for all $x \in A$, $x R x$, that is, $(x,x) \in R$
 2. R is **symmetric** iff for all $x, y \in A$, if $x R y$ then $y R x$
 3. R is **transitive** iff for all $x, y, z \in A$, if $x R y$ and $y R z$ then $x R z$
- Directed graph properties:
 1. **Reflexive**: each point of the graph has a loop by itself.
 2. **Symmetric**: whenever there is an arrow from one point to a second, there is an arrow from the second point back to the first.
 3. **Transitive**: whenever there is an arrow from one point to a second and from the second point to a third, there is an arrow from the first point to the third.

Reflexivity, symmetry, and transitivity: not

- R is not reflexive \Leftrightarrow

there is x in A such that $x \not R x$, that is, $(x, x) \notin R$.

- R is not symmetric \Leftrightarrow

there are x and y in A such that $x R y$ but $y \not R x$,
that is, $(x, y) \in R$ but $(y, x) \notin R$.

- R is not transitive \Leftrightarrow

there are x, y and z in A such that $x R y$ and $y R z$ but $x \not R z$,
that is, $(x, y) \in R$ and $(y, z) \in R$ but $(x, z) \notin R$

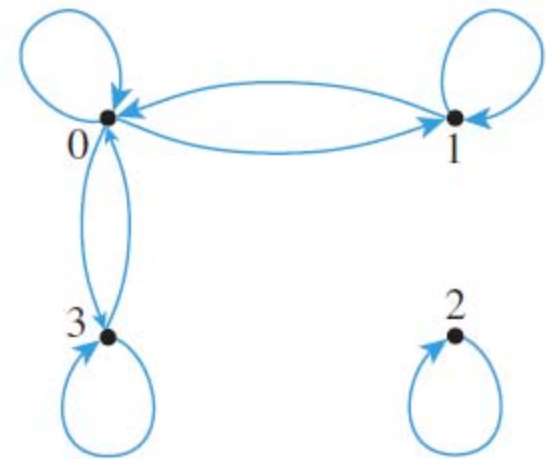
Properties of relations: example 1

- Let $A = \{0, 1, 2, 3\}$.

$$R = \{(0, 0), (0, 1), (0, 3), (1, 0), (1, 1), (2, 2), (3, 0), (3, 3)\}$$

R is **reflexive**:

There is a loop at each point of the graph.



R is **symmetric**: Whenever there is an arrow from one point of to a second,

there is an arrow from the second point back to the first.

R is **not transitive**: There is an arrow from 1 to 0 and

an arrow from 0 to 3, but there is no arrow going from 1 to 3.

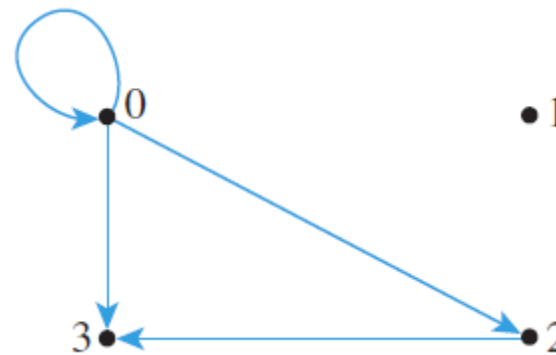
Properties of relations: example 2

- Let $A = \{0, 1, 2, 3\}$.

$$S = \{(0, 0), (0, 2), (0, 3), (2, 3)\}$$

S is **not reflexive**:

There is no loop at 1.



S is **not symmetric**:

There is an arrow from 0 to 2 but not from 2 to 0.

S is **transitive!**

Properties of relations: example 3

- Let $A = \{0, 1, 2, 3\}$.

$$T = \{(0, 1), (2, 3)\}$$



T is **not reflexive**:

There is no loop at 0.

T is **not symmetric**:

There is an arrow from 0 to 1 but not from 1 to 0.

T is **transitive**:

The transitivity condition is vacuously true for T .

Properties of relations: example 4

- **Equality relation** on real numbers, **an infinite set**

R is a relation on real numbers, **for all real numbers x and y,**

$$x R y \Leftrightarrow x = y$$

R is **reflexive**: For all $x \in \mathbb{R}$, $x R x$ ($x=x$).

R is **symmetric**: For all $x, y \in \mathbb{R}$, if $x R y$ then $y R x$
(if $x = y$ then $y = x$).

R is **transitive**: For all $x, y, z \in \mathbb{R}$, if $x R y$ and $y R z$ then $x R z$
(if $x = y$ and $y = z$ then $x = z$).

Properties of relations: example 5

- **Less-than relation:** For all $x, y \in \mathbb{R}$, $x R y \Leftrightarrow x < y$.

R is **not reflexive**: R is reflexive iff, $\forall x \in \mathbb{R}, x R x$.

By definition of R, this means that $\forall x \in \mathbb{R}, x < x$.

This is false: $\exists x = 0 \in \mathbb{R}$ such that $x \not< x$.

R is **not symmetric**: R is symmetric iff $\forall x, y \in \mathbb{R}$, if $x R y$ then $y R x$

By definition of R, this means that $\forall x, y \in \mathbb{R}$, if $x < y$ then $y < x$

This is false: $\exists x = 0, y = 1 \in \mathbb{R}$ such that $x < y$ and $y \not< x$.

R is **transitive**: R is transitive iff $\forall x, y, z \in \mathbb{R}$, if $x R y, y R z$, then $x R z$

By definition of R, this means $\forall x, y, z \in \mathbb{R}$, if $x < y, y < z$, then $x < z$

Properties of relations: example 6

- **Congruence modulo 3**

For all x and $y \in \mathbb{Z}$, $m T n \Leftrightarrow 3 \mid (m - n)$.

T is **reflexive**: Suppose m is any integer. [*We must show that $m T m$.*]
 $m - m = 0$. And $3 \mid 0$ because $0 = 3 \cdot 0$.

Hence $3 \mid (m - m)$. By definition of T , $m T m$

T is **symmetric**: Suppose m and n are integers that satisfy $m T n$.
[*We must show that $n T m$.*]

By definition of T , $m T n$ implies $3 \mid (m - n)$.

By definition of “divides,” $m - n = 3k$, for some integer k .

Multiplying both sides by -1 gives $n - m = 3(-k)$.

Since $-k$ is an integer, this equation shows $3 \mid (n - m)$.

By definition of T , $n T m$.

Properties of relations: example 6 (II)

- **Congruence modulo 3**

For all $x, y \in \mathbb{Z}$, $m T n \Leftrightarrow 3 \mid (m - n)$.

T is **transitive**: Suppose m, n , and p are any integers that satisfy $m T n$ and $n T p$. [*We must show that $m T p$.*]

By definition of T , $m T n$ and $n T p$ means $3 \mid (m - n)$ and $3 \mid (n - p)$.

By definition of “divides,” this means $m - n = 3r$ and $n - p = 3s$,
for some integers r and s .

Adding the two equations gives $(m - n) + (n - p) = 3r + 3s$,
and simplifying gives that $m - p = 3(r + s)$.

Since $r + s$ is an integer, this equation shows $3 \mid (m - p)$.

By definition of T , $m T p$.

The transitive closure of a relation

- Let A be a set and R a relation on A . **The transitive closure of R** is the relation R^t on A that satisfies the following three properties:

1. R^t is transitive

2. $R \subseteq R^t$

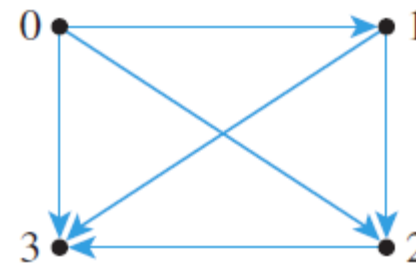
3. If S is any other transitive relation that contains R , then $R^t \subseteq S$

- Example:**

$$A = \{0, 1, 2, 3\}$$

$$R = \{(0, 1), (1, 2), (2, 3)\}$$

$$R^t = \{(0, 1), (0, 2), (0, 3), \\ (1, 2), (1, 3), (2, 3)\}$$



Equivalence relations

- **An example first:** Given a partition of a set A (Section 6), **the relation induced by the partition**, R , is defined on A as follows: for all $x, y \in A$,

$$x R y \Leftrightarrow \exists \text{ subset } A_i \text{ of the partition, } x \in A_i \text{ and } y \in A_i.$$

- **Example:** $A = \{0, 1, 2, 3, 4\}$. Consider partition: $\{0, 3, 4\}$, $\{1\}$, $\{2\}$

$0 R 3$ because both 0 and 3 are in $\{0, 3, 4\}$ $3 R 0$ because both 3 and 0 are in $\{0, 3, 4\}$

$0 R 4$ because both 0 and 4 are in $\{0, 3, 4\}$ $4 R 0$ because both 4 and 0 are in $\{0, 3, 4\}$

$3 R 4$ because both 3 and 4 are in $\{0, 3, 4\}$ $4 R 3$ because both 4 and 3 are in $\{0, 3, 4\}$

$0 R 0$ because both 0 and 0 are in $\{0, 3, 4\}$ $3 R 3$ because both 3 and 3 are in $\{0, 3, 4\}$

$4 R 4$ because both 4 and 4 are in $\{0, 3, 4\}$

$1 R 1$ because both 1 and 1 are in $\{1\}$

$2 R 2$ because both 2 and 2 are in $\{2\}$

$$R = \{ (0, 0), (0, 3), (0, 4), (1, 1), (2, 2), (3, 0), (3, 3), (3, 4), (4, 0), (4, 3), (4, 4) \}$$

Relation induced by a partition

- Let A be a set with a partition. Let R be the relation induced by the partition. **Then R is reflexive, symmetric, and transitive.**

Proof: for finite partition but same for infinite except for notation

Suppose A is a set with a partition A_1, A_2, \dots, A_n ,

$$A_i \cap A_j = \emptyset \text{ whenever } i \neq j, \text{ and } A_1 \cup A_2 \cup \dots \cup A_n = A.$$

For all $x, y \in A$, $x R y \iff \exists$ set A_i in the partition, $x \in A_i$ and $y \in A_i$

(Reflexive) Suppose $x \in A$. Since $A_1 \cup A_2 \cup \dots \cup A_n = A$, $x \in A_i$ for some i .

That is, \exists set A_i , $x \in A_i$ and $x \in A_i$. By definition of R , **$x R x$.**

(Symmetric) Suppose x and y are in A and $x R y$.

Then by definition of R , \exists set A_i in the partition, $x \in A_i$ and $y \in A_i$.

Then, \exists set A_i , $y \in A_i$ and $x \in A_i$. By definition of R , **$y R x$.**

Relation induced by a partition (II)

(Transitive) Suppose x , y , and z are in A and $x R y$ and $y R z$.

Then by definition of R , \exists sets A_i and A_j in the partition such that x and y are in A_i , and y and z are in A_j .

Suppose $A_i \neq A_j$. [We will deduce a contradiction.]

Then $A_i \cap A_j = \emptyset$ since $\{A_1, A_2, A_3, \dots, A_n\}$ is a partition of A .

But y is in A_i and y is in A_j . Thus $A_i \cap A_j \neq \emptyset$. Contradicts $A_i \cap A_j = \emptyset$.

Thus $A_i = A_j$.

So, x , y , and z are all in A_i .

That is, \exists set A_i , $x \in A_i$ and $z \in A_i$. By definition of R , $x R z$.

Equivalence relation

- Let A be a set, R be a relation on A . R is an **equivalence relation** if, and only if, R is reflexive, symmetric, and transitive.
- **Example:** $X = \{\{1\}, \{2\}, \{3\}, \{1,2\}, \{1,3\}, \{2,3\}, \{1,2,3\}\}$

$$A R B \Leftrightarrow \text{the least element of } A = \text{the least element of } B$$

Prove that R is an equivalence relation on X :

(Reflexive) Suppose A is a nonempty subset of $\{1, 2, 3\}$

The least element of $A =$ the least element of A . By definition of R , $A R A$.

(Symmetric) Suppose A and B are nonempty subsets of $\{1, 2, 3\}$ and $A R B$.

By $A R B$, the least element of $A =$ the least element of B .

By symmetry of equality, $B R A$.

(Transitive) Suppose A, B, C are nonempty subsets of $\{1, 2, 3\}$, $A R B$ and $B R C$.

By $A R B$, the least element of $A =$ the least element of B . By $B R C$,

the least element of $B =$ the least element of C . By transitivity of equality,

the least element of $A =$ the least element of C . So $A R C$.

Equivalence classes

- Let A be a set, R be an equivalence relation on A . For each a in A , the **equivalence class of a** (the **class of a**) is the set of all x in A such that x is related to a by R .

$$[a] = \{x \in A \mid x R a\}$$

- Example:** Let $A = \{0, 1, 2, 3, 4\}$, and R be a relation on A :

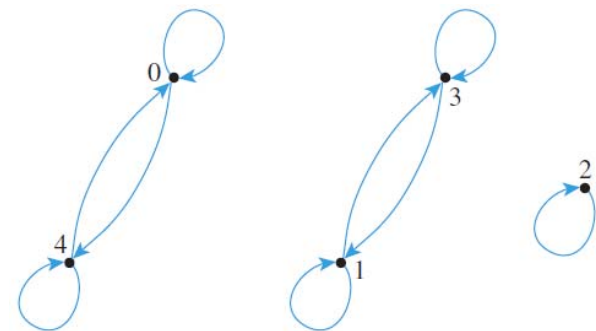
$$R = \{(0,0), (0,4), (1,1), (1,3), (2,2), (3,1), (3,3), (4,0), (4,4)\}$$

R is an equivalence relation: check.

$$[0] = \{x \in A \mid x R 0\} = \{0, 4\}. [4] = \text{same}$$

$$[1] = \{x \in A \mid x R 1\} = \{1, 3\}. [3] = \text{same}$$

$$[2] = \{x \in A \mid x R 2\} = \{2\}$$



$\{0, 4\}$, $\{1, 3\}$ and $\{2\}$ are distinct equivalence classes

Equivalence classes: example 2

- **Equivalence classes of a relation on a set of subsets**

$$X = \{\{1\}, \{2\}, \{3\}, \{1,2\}, \{1,3\}, \{2,3\}, \{1,2,3\}\}$$

$$A R B \iff \text{the least element of } A = \text{the least element of } B$$

R is an equivalence relations (proved 3 slides back)

$$[\{1\}] = \{\{1\}, \{1,2\}, \{1,3\}, \{1,2,3\}\}.$$

$$[\{1,2\}] = [\{1,3\}] = [\{1,2,3\}] = \text{same}$$

$$[\{2\}] = \{\{2\}, \{2,3\}\}. \quad [\{2,3\}] = \text{same}$$

$$[\{3\}] = \{\{3\}\}$$

Equivalence classes: example 3

- **Equivalence classes of the identity relation**

Let A be any set. Let R be a relation on A : For all x and y in A ,

$$x R y \Leftrightarrow x = y$$

R is an equivalence relation: easy to prove.

Given any a in A , the class of a is:

$$[a] = \{x \in A \mid x R a\} = \{a\}$$

because the only element of A that equals a is a .

Equivalence classes: example proof 1

- Let A be a set, R be an equivalence relation on A , and a and b be elements of A . If $a R b$, then $[a] = [b]$.

Proof: $[a] = [b] \Leftrightarrow [a] \subseteq [b] \text{ and } [b] \subseteq [a]$.

1. Proof of $[a] \subseteq [b]$:

Let $x \in [a]$. Then $x R a$, by definition of $[a]$.

$a R b$ by hypothesis \rightarrow by transitivity of R , $x R b \rightarrow x \in [b]$

2. Proof of $[b] \subseteq [a]$:

Let $x \in [b]$. Then $x R b$, by definition of $[b]$.

$b R a$ by hypothesis and symmetry \rightarrow by transitivity of R , $x R a$
 $\rightarrow x \in [a]$

Equivalence classes: example proof 2

- Let A be a set, R be an equivalence relation on A , and a and b are elements of A . Either $[a] \cap [b] = \emptyset$ or $[a] = [b]$.

Proof:

Suppose A is a set, R is an equivalence relation on A , a and b are elements of A , and $[a] \cap [b] \neq \emptyset$. [We must show $[a] = [b]$]

Since $[a] \cap [b] \neq \emptyset$, $\exists x$ in A such that $x \in [a] \cap [b]$

$\rightarrow x \in [a]$ and $x \in [b] \rightarrow$ so $x R a$ and $x R b$

By symmetry and transitivity, $a R b \rightarrow [a] = [b]$.

- If R is an equivalence relation on A , then the **distinct equivalence classes of R form a partition of A** : union of those classes is all of A , and intersection of any two distinct classes is empty.

Equivalence classes: example 4

- Let R be the relation of **congruence modulo 3** on \mathbf{Z} :

$$\text{for all } m \text{ and } n \text{ in } \mathbf{Z}, m R n \Leftrightarrow 3 \mid (m-n) \Leftrightarrow m \equiv n \pmod{3}.$$

For each integer a ,

$$\begin{aligned} [a] &= \{x \in \mathbf{Z} \mid 3 \mid (x-a)\} = \{x \in \mathbf{Z} \mid x-a = 3k, \text{ for some integer } k\} \\ &= \{x \in \mathbf{Z} \mid x = 3k + a, \text{ for some integer } k\}. \end{aligned}$$

$$\begin{aligned} [0] &= \{x \in \mathbf{Z} \mid x = 3k + 0, \text{ for some integer } k\} \\ &= \{\dots - 9, -6, -3, 0, 3, 6, 9, \dots\} = [3] = [-3] = [6] = [-6] = \dots \end{aligned}$$

$$\begin{aligned} [1] &= \{x \in \mathbf{Z} \mid x = 3k + 1, \text{ for some integer } k\} \\ &= \{\dots - 8, -5, -2, 1, 4, 7, 10, \dots\} = [4] = [-2] = [7] = [-5] = \dots \end{aligned}$$

$$\begin{aligned} [2] &= \{x \in \mathbf{Z} \mid x = 3k + 2, \text{ for some integer } k\} \\ &= \{\dots - 7, -4, -1, 2, 5, 8, 11, \dots\} = [5] = [-1] = [8] = [-4] = \dots \end{aligned}$$

Some terminologies

- Let R be an equivalence relation on a set A , S be an equivalence class of R . A **representative** of the class S is any element a in A such that $[a] = S$.

- Let m and n be integers, and let d be a positive integer.

m is congruent to n modulo d , $m \equiv n \pmod{d}$, iff $d \mid (m-n)$.

That is,

$$m \equiv n \pmod{d} \iff d \mid (m - n)$$

Example:

$$12 \equiv 7 \pmod{5} \text{ because } 12 - 7 = 5 = 5 \cdot 1 \rightarrow 5 \mid (12 - 7)$$

Equivalence classes: example 6

- **Rational numbers are equivalence classes**

Let A be the set of all ordered pairs of integers for which the second element of the pair is nonzero: $A = \mathbb{Z} \times (\mathbb{Z} - \{0\})$

R is a relation on A : for all $(a, b), (c, d) \in A$,

$$(a, b) R (c, d) \Leftrightarrow ad = bc \quad (a/b=c/d)$$

R is an equivalence relation.

Example:

$$[(1, 2)] = \{(1, 2), (-1, -2), (2, 4), (-2, -4), (3, 6), (-3, -6), \dots\}$$

$$\frac{1}{2} = \frac{-1}{-2} = \frac{2}{4} = \frac{-2}{-4} = \frac{3}{6} = \frac{-3}{-6} \text{ and so forth.}$$

Modular arithmetic

- **Example:** 12-hour analog clock
5 o'clock + 10 hours: $(5 + 10) \bmod 12 = 15 \bmod 12 = 3$
- **Properties of congruence modulo n ,**
to do arithmetic modulo n .
- **Equivalence classes of integers modulo n ,**
and extend arithmetic to add and multiply such classes, \mathbb{Z}_n
- Applications to cryptography: encrypt/decrypt messages.
RSA: prime factors and modulo arithmetic.
Too hard to find large prime factors—hundreds of digits.

Euclid algorithm and applications

- Euclid algorithm finding GCD

We've seen at the end of the topics on number theory
(and even did extra-credit programming, a few lines)

- More proofs and uses

These use modular arithmetic.

Partial order relations

- **Antisymmetry**

Let R be a relation on a set A .

R is **antisymmetric** if, and only if,

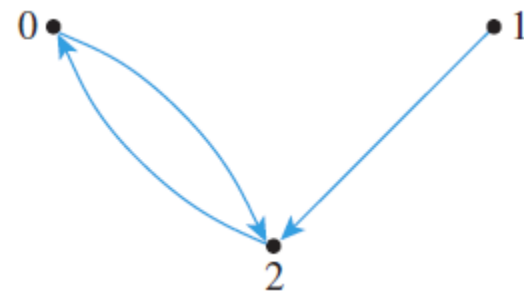
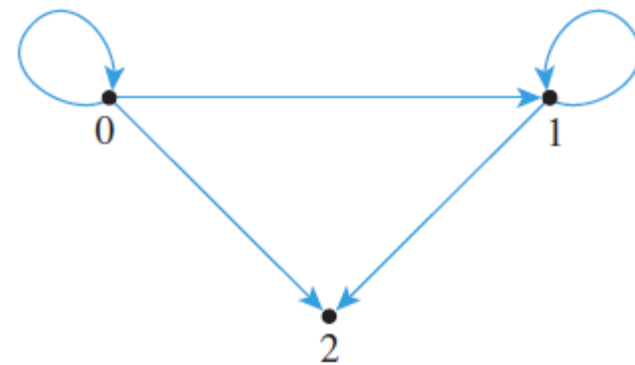
for all a and b in A ,

if $a R b$ and $b R a$, then $a = b$

R is **not antisymmetric** \Leftrightarrow

there are a and b in A such that

$a R b$ and $b R a$ but $a \neq b$



$0 R 2$ and $2 R 0$ but $0 \neq 2$

Antisymmetry: examples using “divides”

- **Example 1:** For all $a, b \in \mathbb{Z}^+$, $a R_1 b \Leftrightarrow a \mid b$.

R_1 is **antisymmetric**: Suppose $a, b \in \mathbb{Z}^+$ has $a R_1 b$ and $b R_1 a$.

[We must show that $a = b$]

By definition of R_1 , $a \mid b$ and $b \mid a \rightarrow b = k_1 a$ and $a = k_2 b$, for $k_1, k_2 \in \mathbb{Z}$
 $\rightarrow b = k_1 k_2 b$

Dividing both sides by b gives $k_1 k_2 = 1 \rightarrow k_1 = k_2 = 1 \rightarrow a = b$

- **Example 2:** For all $a, b \in \mathbb{Z}$, $a R_2 b \Leftrightarrow a \mid b$.

R_2 is **not antisymmetric**:

Counterexample: $a = 2$ and $b = -2 \rightarrow a \neq b$

$a \mid b$ because $-2 = (-1) \cdot 2 \rightarrow a R_2 b$

$b \mid a$ because $2 = (-1)(-2) \rightarrow b R_2 a$

Partial order relations

- Let R be a relation on a set A . R is a **partial order relation** if, and only if, R is **reflexive**, **antisymmetric**, and **transitive**.

(no cycles besides self cycles)

(partial order vs. total order)

- Example:** The “Subset” (\subseteq) relation on sets.

Let A be a set of sets. Define \subseteq relation on A :

For all $U, V \in A$, $U \subseteq V \Leftrightarrow$ for all x , if $x \in U$ then $x \in V$.

\subseteq is a partial order

Proof: (**Antisymmetric**) for all sets U and V in A ,

if $U \subseteq V$ and $V \subseteq U$ then $U = V$ (by definition of equality of sets)

Partial order relations: example 2

- The “less than or equal to” (\leq) relation on \mathbf{R} :

for all x and y in \mathbf{R} , $x \leq y \Leftrightarrow x < y$ or $x = y$.

\leq is a partial order relation

Proof:

(Reflexive) $x \leq x$ means that $x < x$ or $x = x$, and $x = x$ is true.

Thus $x \leq x$ for all real numbers.

(Antisymmetric) for all x and y in \mathbf{R} , if $x \leq y$ and $y \leq x$ then $x = y$.

(Transitive) for all x , y , and z in \mathbf{R} , if $x \leq y$ and $y \leq z$ then $x \leq z$.

example 3: Lexicographic order

- Order in an English dictionary:

compare letters one by one from left to right in words.

- Let A be a set (of letters, etc) with a partial order relation R .

Let S be a set of strings over A . Define relation \preceq on S :

For any 2 strings in S , $a_1a_2\dots a_m$ and $b_1b_2\dots b_n$, where $m, n \in \mathbf{Z}^+$,

1. If $m \leq n$ and $a_i = b_i$ for **all** $i=1, 2, \dots, m$, then $a_1a_2\dots a_m \preceq b_1b_2\dots b_n$
2. If for **some integer** k with $k \leq m$, $k \leq n$, and $k \geq 1$, $a_i = b_i$ for **all** $i=1, 2, \dots, k-1$, and $a_k \neq b_k$, but $a_k R b_k$ then $a_1a_2\dots a_m \preceq b_1b_2\dots b_n$.
3. If ϵ is the **null string**, and s is any string in S , then $\epsilon \preceq s$.

(messy, complex cases)

If no strings are related other than by these three conditions, then

\preceq is a **partial order** relation (called **lexicographic order for S**).

Lexicographic order: example

- Let $A = \{x, y\}$. Let R be the partial order relation on A :

$$R = \{(x, x), (x, y), (y, y)\}.$$

Let S be the set of all strings over A , and \preceq the lexicographic order for S that corresponds to R .

Examples:

$$x \preceq xx$$

$$x \preceq xy$$

$$yxy \preceq yxyxxx$$

$$x \preceq y$$

$$xx \preceq xyx$$

$$xxxxy \preceq xy$$

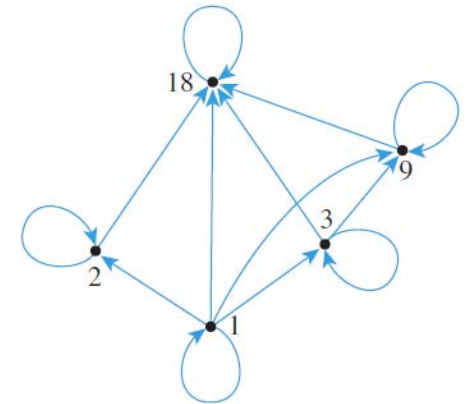
$$\varepsilon \preceq x$$

$$\varepsilon \preceq xyxyyx$$

Hasse diagrams

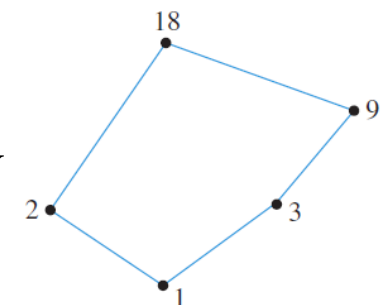
- A **Hasse diagram** is a graph to present a partial order relation
- **Example:** Let $A = \{1, 2, 3, 9, 18\}$. Consider relation $|$ on A :
For all $a, b \in A$, $a | b \Leftrightarrow b = k \cdot a$ for some integer k .

Draw a directed graph of the relation,
such that all arrows except loops point up.



Remove

1. loops at all vertices
2. arrows that are implied by the transitive property
3. direction indicators on the arrows



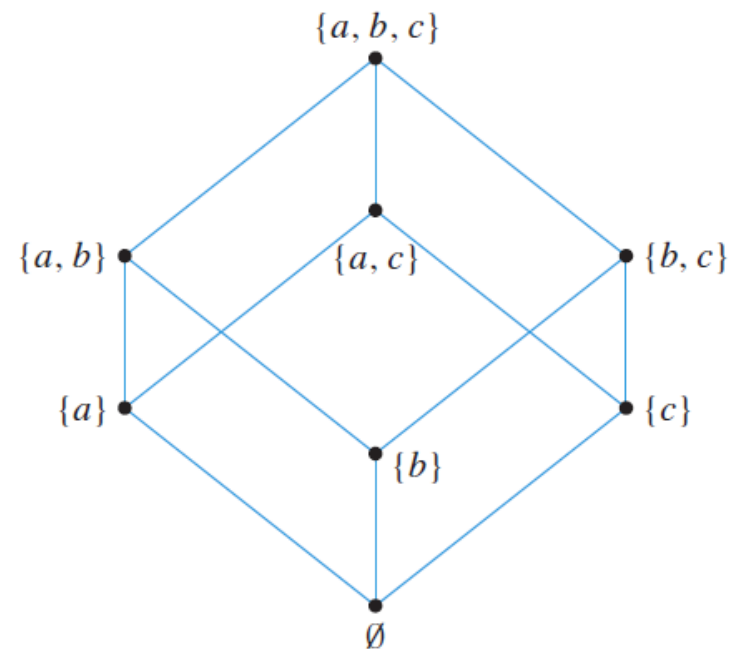
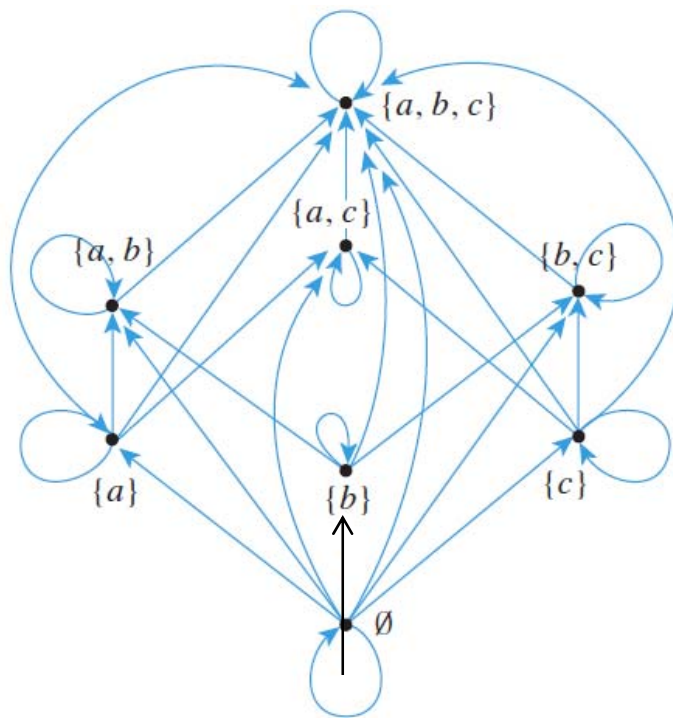
Hasse diagrams: example

- The “subset” relation \subseteq on set $P(\{a, b, c\})$:

for all U and V in $P(\{a, b, c\})$, $U \subseteq V \Leftrightarrow \forall x, \text{ if } x \in U \text{ then } x \in V$

Draw directed graph of \subseteq such that all arrows except loops point up.

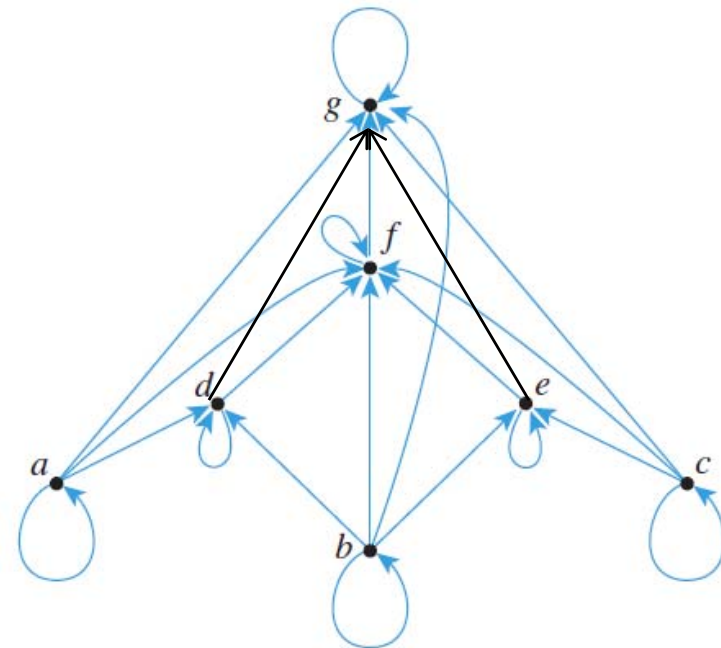
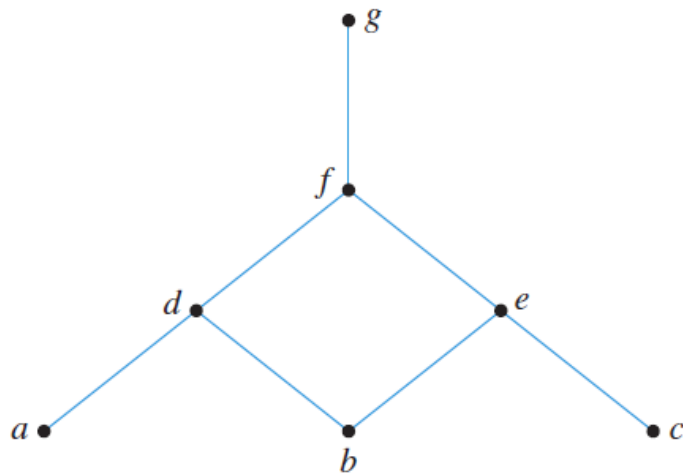
Remove all loops, unnecessary arrows, and direction indicators.



Hasse diagrams: back to directed graph

- **Obtain original directed graph from Hasse diagram:**

1. Insert direction markers on the edges, making all arrows point up.
2. For each pair of arrows from one point to a second and from that second point to a third, add an arrow from the first point to the third; **do so repeatedly until no more can be added.**
3. Add loops at each vertex.



Partially and totally ordered sets

- Let \preceq be a partial order relation on a set A . Elements a and b of A are **comparable** if, and only, either $a \preceq b$ or $b \preceq a$.
Otherwise, a and b are **noncomparable**.
- Let R is a partial order relation on a set A . If **every two elements in A are comparable**, then R is a **total order relation** on A .
- Hasse diagram for a total order relation is a single vertical “chain”.
- Set A is called a **partially ordered set** (or **poset**) with respect to a relation \preceq if, and only if, \preceq is a **partial order relation on A** .
- Set A is called a **totally ordered set** with respect to a relation \preceq if, and only, A is a poset with respect to \preceq and \preceq is a **total order**.

Partially and totally ordered sets (II)

- Let A be a poset with respect to a relation \preceq . Subset B of A is called a **chain** if, and only if, each pair of elements in B is comparable.
- The **length** of a chain is one less than the number of elements in the chain.

- **Example:**

Chain of subsets

The set $P(\{a, b, c\})$ is partially ordered with respect to \subseteq .

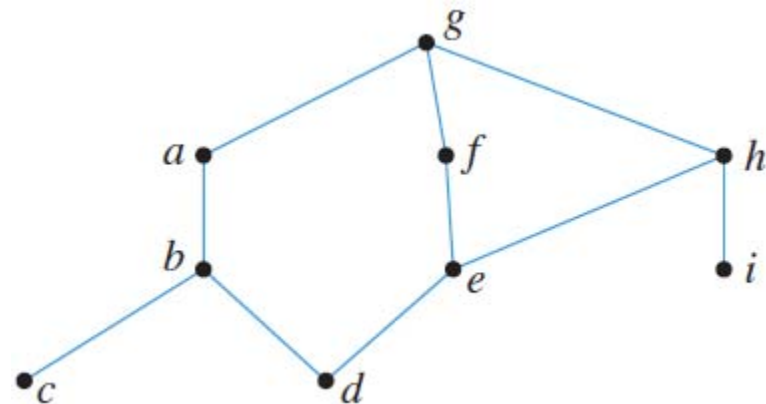
A chain of length 3: $\emptyset \subseteq \{a\} \subseteq \{a, b\} \subseteq \{a, b, c\}$

Partially and totally ordered sets (III)

- An element a in A is called a **maximal element** of A if, and only if, for all b in A , either $b \preceq a$ or b and a are not comparable.
- An element a in A is called a **greatest element** of A if, and only if, for all b in A , $b \preceq a$. **maximum**
- An element a in A is called a **minimal element** of A if, and only if, for all b in A , either $a \preceq b$ or b and a are not comparable.
- An element a in A is called a **least element** of A if, and only if for all b in A , $a \preceq b$. **minimum**

- **Example:**

- a maximal element g
- greatest element: also g
- minimal elements: c, d, i
- there is no least element



Topological sorting (partial to total order)

- Given partial order relations \preceq and \preceq' on a set A ,
 \preceq' is **compatible** with \preceq if, and only if,
for all a and b in A , if $a \preceq b$ then $a \preceq' b$.
- Given partial order relations \preceq and \preceq' on a set A ,
 \preceq' is a **topological sorting** for \preceq if, and only if,
 \preceq' is a total order that is compatible with \preceq .
- **Example:** $P(\{a, b, c\})$ with partial order \subseteq

Total order: $\emptyset, \{a\}, \{b\}, \{c\}, \{a, b\}, \{a, c\}, \{b, c\}, \{a, b, c\}$

Topological sorting: algorithm

- **Constructing a topological sorting**
 1. Pick any minimal element x in A with respect to \preceq .
[Such an element exists since A is nonempty.]
 2. Set $A' = A - \{x\}$
 3. Repeat steps a to c while $A' \neq \emptyset$:
 - a. Pick any minimal element y in A' .
 - b. Define $x \preceq' y$.
 - c. Set $A' = A' - \{y\}$ and $x = y$.