CSE581 Computer Science Fundamentals: Theory

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P1 LOGIC: LECTURE 7a

Chapter 7 Introduction to Intuitionistic and Modal Logics

PART 5: Introduction to Modal Logics

Algebraic Semantics for modal S4 and S5

The **non-classical** logics can be divided in **two** groups: those that **rival** classical logic and those which **extend it**

The Lukasiewicz, Kleene, and intuitionistic logics are in the first group

The modal logics are in the **second** group

The **rival** logics **do not** differ from classical logic in terms of the language employed

The **rival** logics differ in that certain theorems or tautologies of classical logic are rendered **false**, or **not provable** in them



The most notorious example of the **rival** difference of logics based on the same language is the law of excluded middle

$$(A \cup \neg A)$$

This is **provable** in, and is a **tautology** of **classical** logic

But **is not** provable in, and **is not** tautology of the intuitionistic logic

It also **is not** a tautology under any of the extensional logics semantics we have discussed



Logics which **extend classical** logic sanction all the theorems of **classical** logic but, generally, **supplement** it in **two** ways

Firstly, the languages of these non-classical logics are **extensions** of those of classical logic

Secondly, the theorems of these non-classical logics supplement those of classical logic



Modal logics are enriched by the addition of two new connectives that represent the meaning of expressions "it is necessary that" and "it is possible that"

We use the notation:

- I for "it is necessary that" and
- C for "it is possible that"

Other notations commonly used are:

- ∇, N, L for "it is necessary that" and
- ♦, P, M for "it is possible that"



The symbols N, L, P, M or alike, are often used in computer science

The symbols ∇ and ⋄ were **first** to be used in modal logic literature

The symbols **I**, **C** come from **algebraic** and **topological** interpretation of modal logics

I corresponds to the topological **interior** of the set and **C** to its **closure**



The idea of a modal logic was first formulated by an American philosopher, C.I. Lewis in 1918

Lewis has proposed yet another interpretation of lasting consequences, of the logical implication

He created a notion of a **modal truth**, which lead to the notion of modal logic

He did it in an attempt to avoid, what some felt, the paradoxes of semantics for classical implication which accepts as true that a false sentence implies any sentence

Lewis' notions appeal to **epistemic** considerations and the whole area of modal logics bristles with **philosophical** difficulties and hence the numbers of modal logics have been **created**

Unlike the classical connectives, the modal connectives do not admit of truth-functional interpretation, i.e. do not accept the extensional semantics

This was the **reason** for which modal logics were **first** developed as proof systems, with intuitive notion of **semantics** expressed by the set of adopted axioms

The **first** definition of modal semantics, and hence the proofs of the **completeness** theorems came some 20 years later

It took yet another 25 years for discovery and development of the **second** and more general approach to the modal semantics

These are the two **established** ways of interpret modal connectives, i.e. to define the modal semantics



The historically, the **first** modal **semantics** is due to Mc Kinsey and Tarski (1944, 1946)

It is a **topological** semantics that provides a powerful mathematical interpretation of some of modal logics, namely modal S4 and S5

It connects the **modal** notion of necessity with the **topological** notion of the interior of a set, and the **modal** notion of possibility with the notion of the closure of a set

Our **choice** of symbols **I** and **C** for necessity and possibility **connectives** comes from this interpretation

The **topological** interpretation mathematically **powerful** as it is, is **less universal** in providing models for **other** modal logics



The most recent and the most **general** semantics is due to Kripke (1964). It uses the notion of possible worlds.

Roughly, we say that the formula **C***A* is **true** if *A* is **true** in **some** possible world, called actual world

The formula IA is true if A is true in every possible world

We present here a short version of the topological semantics in a form of algebraic models

We leave the **Kripke semantics** for the reader to **explore** from other, multiple sources



As we have already mentioned, modal logics were first **developed**, as was the intuitionistic logic, in a **form** of proof systems only

First Hilbert style **modal** proof system was published by Lewis and Langford in 1932

They presented a formalization for **two** modal logics, which they called S1 and S2

They also outlined three other proof systems, called S3, S4, and S5



Since then **hundreds** of **modal** logics have been **created**There are some **standard** books in the subject

These are, **between** the others:

Hughes and Cresswell (1969) for **philosophical** motivation for various modal logics and intuitionistic logic,

Bowen (1979) for a detailed and uniform study of **Kripke** models for modal logics,

Segeberg (1971) for excellent modal logics classification, Fitting (1983), for extended and uniform studies of automated proof methods for classes of modal logics



Hilbert Style Modal Proof Systems

Hilbert Style Modal Proof Systems

We present now Hilbert style formalization for S4 and S5 logics due to Mc Kinsey and Tarski (1948) and Rasiowa and Sikorski (1964)

We also discuss the **relationship** between S4 and S5, and between the intuitionistic logic and S4 modal logic, as first observed by Gödel

The formalizations stress the **connection** between S4, S5 and topological spaces which constitute **models** for them

Modal Language

Modal Language

We **add** two extra one argument connectives I and C to the propositional language $\mathcal{L}_{\{\cup,\cap,\Rightarrow,\neg\}}$, i.e. we adopt

$$\mathcal{L} = \mathcal{L}_{\{\cup,\cap,\Rightarrow,\neg,\textbf{I},\textbf{C}\}}$$

as the modal language. We read a formulas IA, CA as necessary A and possible A, respectively

The language $\mathcal{L}_{\{\cup,\cap,\Rightarrow,\neg,\mathbf{I},\mathbf{C}\}}$ is **common** to all modal logics

Modal logics differ on a **choice** of axioms and rules of inference, when studied as proof systems and on a **choice** of respective semantics



McKinsey, Tarski Proof Systems

As modal logics extend the classical logic, any modal logic contains **two groups** of axioms: classical and modal

McKinsey, Tarski (1948)

AG1 classical axioms

We **adopt** as classical axioms any **complete** set of axioms under classical semantics

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AG2 modal axioms

M1 (IA \Rightarrow A)

M2 (I(A \Rightarrow B) \Rightarrow (IA \Rightarrow IB))

M3 (IA \Rightarrow IIA)

M4 (CA \Rightarrow ICA)
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Modal S4 and S5

Rules of inference

$$(MP) \frac{A \; ; \; (A \Rightarrow B)}{B}$$
, and $(I) \frac{A}{IA}$

The modal rule (I) was introduced by Gödel and is referred to as a necessitation rule

We define modal proof systems S4 and S5 as follows

S4 =
$$(\mathcal{L}, \mathcal{F}, \text{ classical axioms}, M1 - M3, (MP), (I))$$

$$S5 = (\mathcal{L}, \mathcal{F}, \text{ classical axioms}, M1 - M4, (MP), (I))$$



Modal S4 and S5

Observe that the axioms of S5 extend the axioms of S4 and both system **share** the same inference rules, hence we have immediately the following

Fact For any formula $A \in \mathcal{F}$,

if $\vdash_{S4} A$, then $\vdash_{S5} A$



Rasiowa, Sikorski Proof Systems

It is often the case, as it is for S4 and S5, that **modal connectives** are definable by each other

We define them as follows

$$IA = \neg C \neg A$$
, and $CA = \neg I \neg A$

Language

We hence assume now that the language \mathcal{L} of Rasiowa, Sikorski modal proof systems contains only **one** modal connective

We **choose** it to be I and adopt the following language

$$\mathcal{L} = \mathcal{L}_{\{\cap,\cup,\Rightarrow,\neg,\textbf{I}\}}$$

There are, as before, **two groups** of axioms: **classical** and modal



Rasiowa, Sikorski Proof Systems

Rasiowa, Sikorski (1964)

AG1 classical axioms

We **adopt** as classical axioms any **complete** set of axioms under classical semantics

```
AG2 modal axioms
```

R1
$$((IA \cap IB) \Rightarrow I(A \cap B))$$

R2
$$(IA \Rightarrow A)$$

R3
$$(IA \Rightarrow IIA)$$

R4
$$I(A \cup \neg A)$$

R5
$$(\neg I \neg A \Rightarrow I \neg I \neg A)$$

Modal RS4 and RS5

Rules of inference

We adopt the Modus Ponens and an additional rule (RI)

$$(MP) \ \frac{A \ ; \ (A \Rightarrow B)}{B} \qquad \text{and} \qquad (RI) \ \frac{(A \Rightarrow B)}{(IA \Rightarrow IB)}$$

We define modal proof systems RS4 and RS5 as follows

$$RS4 = (\mathcal{L}, \mathcal{F}, \text{ classical axioms}, R1 - R4, (MP), (RI))$$

$$RS5 = (\mathcal{L}, \mathcal{F}, \text{ classical axioms}, R1 - R5, (MP), (RI))$$

Modal RS4 and RS5

Observe that the axioms of RS5 extend the axioms of RS4 and both systems **share** the same inference rules, hence we have immediately the following

Fact For any formula $A \in \mathcal{F}$,

if $\vdash_{RS4} A$, then $\vdash_{RS5} A$

The McKinsey, Tarski proof systems S4, S5 and Rasiowa, Sikorski proof systems RS4, RS5 are **complete** with the respect to **both** topological semantics, and Kripke semantics

We shortly discuss the topological semantics, and algebraic completeness theorems

We leave the Kripke semantics for the reader to **explore** from other, multiple sources

The **topological semantics** was initiated by McKinsey and Tarski in 1946, 1948 and consequently developed into a field of **Algebraic Logic**

The **algebraic** approach to logic is presented in detail in now classic algebraic logic books:

"Mathematics of Metamathematics", Rasiowa, Sikorski (1964),

"An Algebraic Approach to Non-Classical Logics", Rasiowa (1974)

We want to point out that the **first idea** of a connection between **modal** propositional logic and **topology** is due to Tang Tsao -Chen, (1938) and Dugunji (1940)



Here are some basic definitions

Boolean Algebra

An abstract algebra $\mathcal{B} = (B, 1, 0, \Rightarrow, \cap, \cup, \neg)$ is said to be a **Boolean algebra** if it is a distributive lattice and every element $a \in B$ has a complement $\neg a \in B$

Topological Boolean algebra

By a topological Boolean algebra we mean an abstract algebra

$$\mathcal{B} = (B, 1, 0, \Rightarrow, \cap, \cup, \neg, I)$$

where $(B, 1, 0, \Rightarrow, \cap, \cup, \neg)$ is a **Boolean algebra** and, moreover, the following conditions hold for any $a, b \in B$

$$I(a \cap b) = Ia \cap Ib$$
, $Ia \cap a = Ia$, $IIa = Ia$, and $I1 = 1$



The element la is called a interior of a

The element $\neg I \neg a$ is called a **closure** of a and will be **denoted** by Ca

Thus the operations I and C are such that

$$Ca = \neg I \neg a$$
 and $Ia = \neg C \neg a$

In this case we write the topological Boolean algebra as

$$\mathcal{B} = (B, 1, 0, \Rightarrow, \cap, \cup, \neg, I, C)$$

It is easy to prove that in in any topological Boolean algebra the following conditions hold for any $a, b \in B$

$$C(a \cup b) = Ca \cup Cb$$
, $Ca \cup a = Ca$, $CCa = Ca$ and $C0 = 0$



Example

Let X be a topological space with an interior operation I. Then the family $\mathcal{P}(X)$ of all subsets of X is a **topological** Boolean algebra with 1 = X, with the operation \Rightarrow defined by the formula

$$Y \Rightarrow Z = (X - Y) \cup Z$$
 for all subsets Y, Z of X

and with set-theoretical operations of union, intersection, complementation, and the interior operation *I*

Every sub algebra of this algebra is a **topological Boolean** algebra, called a **topological field of sets** or, more precisely, a **topological field** of subsets of *X*



Given a topological Boolean algebra

$$(B, 1, 0, \Rightarrow, \cap, \cup, \neg)$$

The element $a \in B$ is said to be **open** (**closed**) if a = Ia (a = Ca)

Clopen Topological Boolean Algebra

A topological Boolean algebra

$$\mathcal{B} = (B, 1, 0, \Rightarrow, \cap, \cup, \neg, I, C)$$

such that every **open** element is **closed** and every **closed** element is **open**, i.e. such that for any $a \in B$

$$Cla = la$$
 and $lCa = Ca$

is called a clopen topological Boolean algebra



S4, S5 Tautology

We loosely say that a formula *A* is a modal *S*4 **tautology** if and only if any topological Boolean algebra is a **model** for *A*

We say that A is a modal S5 tautology if and only if any clopen topological Boolean algebra is a model for A We put it formally as follows

Modal Algebraic Model

Modal Algebraic Model

For any formula A of a modal language $\mathcal{L}_{\{\cup,\cap,\Rightarrow,\neg,\mathbf{l},\mathbf{C}\}}$ and for any topological Boolean algebra

$$\mathcal{B} = (B, 1, 0, \Rightarrow, \cap, \cup, \neg, I, C)$$

the algebra \mathcal{B} is a **model** for the formula A and denote it by

$$\mathcal{B} \models A$$

if and only if $v^*(A) = 1$ holds for all variables assignments $v: VAR \longrightarrow B$

S4, S5 Tautology

Definition of S4 Tautology

A formula A is a modal S4 tautology and is denoted by

$$\models_{S4} A$$

if and only if for all **topological Boolean** algebras ${\cal B}$ we have that

$$\mathcal{B} \models A$$

Definition of S5 Tautology

A formula A is a modal S5 tautology and is denoted by

$$\models_{S5} A$$

if and only if for all **clopen** topological Boolean algebras ${\cal B}$ we have that

$$\mathcal{B} \models A$$



S4, S5 Completeness Theorem

We write $\vdash_{S4} A$ and $\vdash_{S5} A$ do denote **provability any** proof system for modal S4, S5 logics and in particular the proof systems defined here

Completeness Theorem

For any formula A of the modal language $\mathcal{L}_{\{\cup,\cap,\Rightarrow,\neg,\mathbf{I},\mathbf{C}\}}$

 $\vdash_{S4} A$ if and only if $\models_{S4} A$

 $\vdash_{S5} A$ if and only if $\models_{S5} A$

The completeness for S4, S4 follows directly from the following general Algebraic Completeness Theorems



S4 Algebraic Completeness Theorem

S4 Algebraic Completeness Theorem

For any formula A of the modal language $\mathcal{L}_{\{\cup,\cap,\Rightarrow,\neg,I,C\}}$ the following conditions are equivalent

- (i) ⊢_{S4} A
- (ii) $\models_{S4} A$
- (iii) A is valid in every topological field of sets $\mathcal{B}(X)$
- (iv) A is valid in every topological Boolean algebra \mathcal{B} with at most 2^{2^r} elements, where r is the number of all sub formulas of A
- (iv) $v^*(A) = X$ for every variable assignment v in the topological field of sets $\mathcal{B}(X)$ of all subsets of a dense-in-itself metric space $X \neq \emptyset$ (in particular of an n-dimensional Euclidean space X)

S4 Algebraic Completeness Theorem

S5 Algebraic Completeness Theorem

For any formula A of the modal language $\mathcal{L}_{\{\cup,\cap,\Rightarrow,\neg,I,C\}}$ the following conditions are equivalent

- (i) ⊢_{S5} A
- (ii) $\models_{S5} A$
- (iii) A is valid in every **clopen** topological field of sets $\mathcal{B}(X)$
- (iv) A is valid in every **clopen** topological Boolean algebra \mathcal{B} with at most 2^{2^r} elements, where r is the number of all sub formulas of A

S4 and S5 Decidability

The equivalence of conditions (i) and (iv) of the Algebraic Completeness Theorems proves the **semantical** decidability of modal S4 and S5

S4, S5 Decidability

Any complete S4, S5 proof system is **semantically decidable**, i.e. the following holds

$$\vdash_{S4} A$$
 if and only if $\mathcal{B} \models A$

for every topological Boolean algebra \mathcal{B} with at most 2^{2^r} elements, where r is the number of all sub formulas of A Similarly, we also have

$$\vdash_{S5} A$$
 if and only if $\mathcal{B} \models A$

for every **clopen** topological Boolean algebra \mathcal{B} with at most 2^{2^r} elements, where r is the number of all sub formulas of A



S4 and S5 Syntactic Decidability

S4, S5 Syntactic Decidability (Wasilewska 1967,1971)

Rasiowa stated in 1950 an **an open problem** of providing a cut-free RS type formalization for modal propositional S4 calculus

Wasilewska solved this open problem in 1967 and presented the result at the ASL Summer School and Colloquium in Mathematical Logic, Manchester, August 1969

It appeared in print as A Formalization of the Modal Propositional S4-Calculus, Studia Logica, North Holland, XXVII (1971)



S4 and S5 Syntactic Decidability

The paper also contained an algebraic proof of **completeness** theorem followed by **Gentzen** cut-elimination theorem, the **Hauptzatz**

The resulting implementation written in LISP-ALGOL was the first modal logic theorem prover created It was done with collaboration with B. Waligorski and the authors didn't think it to be worth a separate publication Its existence was only mentioned in the published paper

The S5 Syntactic Decidability follows from the one for S4 and the following **Embedding Theorems**



Modal S4 and Modal S5

The relationship between S4 and S5 was first established by Ohnishi and Matsumoto in 1957-59 and is as follows.

Embedding 1

For any formula $A \in \mathcal{F}$,

 $\models_{S4}A$ if and only if $\models_{S5}ICA$

 $\vdash_{S4} A$ if and only if $\vdash_{S5} ICA$

Embedding 2

For any formula $A \in \mathcal{F}$

 $\models_{S5}A$ if and only if $\models_{S4}ICIA$

 $\vdash_{S5}A$ if and only if \vdash_{S4} ICIA



On S4 derivable disjunction

In a classical logic it is possible for the disjunction $(A \cup B)$ to be a tautology when **neither** A **nor** B is a tautology This does not hold for the intuitionistic logic. We have a following theorem similar to the intuitionistic case to the for modal S4

Theorem McKinsey, Tarski (1948)

A disjunction $(IA \cup IB)$ is S4 **provable** if and only if either A or B S4 **provable**, i.e.

 $\vdash_{S4} (IA \cup IB)$ if and only if $\vdash_{S4} A$ or $\vdash_{S4} B$



S4 and Intuitionistic Logic, S5 and Classical Logic

As we have said in the introduction, Gödel was the first to consider the **connection** between the intuitionistic logic and a logic which was named later S4

Gödel's proof was purely **syntactic** in its nature, as the semantics for neither intuitionistic logic nor modal logicS4 had not been invented yet

The **algebraic** proof of this fact, was first published by McKinsey and Tarski in 1948

We define here the Gödel-Tarski **mapping** establishing the S4 and intuitionistic logic connection

We refer the reader to Rasiowa, Sikorski book "Mathematics of Metamathematics" (i965) for the algebraic proofs of its properties and respective theorems

Let \mathcal{L} be a propositional language of modal logic i.e the language

$$\mathcal{L} = \mathcal{L}_{\{\cap,\cup,\Rightarrow,\neg,\textbf{I}\}}$$

Such obtained language

$$\mathcal{L}_0 = \mathcal{L}_{\{\cap,\cup,\Rightarrow,\sim\}}$$

is a propositional language of the intuitionistic logic



In order to establish the connection between the languages

$$\mathcal{L}$$
 and \mathcal{L}_0

and hence between modal and intuitionistic logic, we consider a mapping f which to every formula $A \in \mathcal{F}_0$ of \mathcal{L}_0 assigns a formula $f(A) \in \mathcal{F}$ of \mathcal{L}

We define the **mapping** f as follows



Gödel - Tarski Mapping

Definition of Gödel-Tarski mapping

A function

$$f: \mathcal{F}_0 \to \mathcal{F}$$

such that

$$f(a) = Ia$$
 for any $a \in VAR$
 $f((A \Rightarrow B)) = I(f(A) \Rightarrow f(B))$
 $f((A \cup B)) = (f(A) \cup f(B))$
 $f((A \cap B)) = (f(A) \cap f(B))$
 $f(\sim A) = I \neg f(A)$

where A, B are any formulas in \mathcal{L}_0 is called a Gödel-Tarski mapping



Example

Example

Let A be a formula

$$((\sim A \cap \sim B) \Rightarrow \sim (A \cup B))$$

and f be the Gödel-Tarski mapping. We evaluate f(A) as follows

$$f((\sim A \cap \sim B) \Rightarrow \sim (A \cup B)) =$$

$$I(f(\sim A \cap \sim B) \Rightarrow f(\sim (A \cup B)) =$$

$$I((f(\sim A) \cap f(\sim B)) \Rightarrow f(\sim (A \cup B)) =$$

$$I((I \neg fA \cap I \neg fB) \Rightarrow I \neg f(A \cup B)) =$$

$$I((I \neg A \cap I \neg B) \Rightarrow I \neg (fA \cup fB)) =$$

$$I((I \neg A \cap I \neg B) \Rightarrow I \neg (A \cup B)$$

The following theorem established relationship between intuitionistic and modal S4 logics

Theorem

Let f be the Gödel-Tarski **mapping** For any formula A of intuitionistic language \mathcal{L}_0 ,

 $\vdash_{I} A$ if and only if $\vdash_{S4} f(A)$

where *I*, S4 denote any proof systems for intuitionistic and and S4 logic, respectively



Classical Logic and Modal S5

In order to establish the connection between the modal S5 and classical logics we consider the following G^fodel-Tarski mapping between the modal language $\mathcal{L}_{\{\cap,\cup,\Rightarrow,\neg,I\}}$ and its classical sub-language $\mathcal{L}_{\{\neg,\cap,\cup,\Rightarrow\}}$

With every **classical** formula A we associate a **modal** formula g(A) defined by induction on the length of A as follows:

$$g(a) = \mathbf{I}a, \quad g((A \Rightarrow B)) = \mathbf{I}(g(A) \Rightarrow g(B),)$$
 $g((A \cup B)) = (g(A) \cup g(B)), \quad g((A \cap B)) = (g(A) \cap g(B)),$ $g(\neg A) = \mathbf{I} \neg g(A)$

Classical Logic and Modal S5

The following theorem establishes **relationship** between classical and S5 logics

Theorem

Let *g* be the Gödel-Tarski mapping between

$$\mathcal{L}_{\{\neg,\cap,\cup,\Rightarrow\}}$$
 and $\mathcal{L}_{\{\cap,\cup,\Rightarrow,\neg,\mathbf{I}\}}$

For any formula **A** of $\mathcal{L}_{\{\neg,\cap,\cup,\Rightarrow\}}$,

$$\vdash_H A$$
 if and only if $\vdash_{S5} g(A)$

where *H*, *S*5 denote any proof systems for classical and and *S*5 modal logic, respectively

