# cse581 Computer Science Fundamentals: Theory

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# **TCB - LECTURE 3**

# TCB - THEORY OF COMPUTATION BASICS

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PART 3: Special types of Binary Relations PART 4: Finite and Infinite Sets PART 5: Some Fundamental Proof Techniques

#### Theory of Computation BASICS

PART 6: Closures and Algorithms PART 7: Alphabets and languages PART 8: Finite Representation of Languages

# **TCB - LECTURE 3**

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PART 8: Finite Representation of Languages Regular Languages

# Finite Representation of Languages Introduction

We can **represent** a finite language by **finite means** for example listing all its elements

Languages are often infinite and so a natural question arises if a **finite representation** is possible and when it is possible when a language is infinite

The representation of languages by **finite specifications** is a central issue of the theory of computation

Of course we have to define first formally what do we mean by representation by finite specifications, or more precisely by a finite representation

Idea of Finite Representation

We start with an example: let

 $L = \{a\}^* \cup (\{b\} \circ \{a\}^*) = \{a\}^* \cup (\{b\}\{a\}^*)$ 

Observe that by definition of Kleene's star

 $\{a\}^* = \{e, a, aa, aaa \dots\}$ 

and L is an infinite set

 $L = \{e, a, aa, aaa ...\} \cup \{b\}\{e, a, aa, aaa ...\}$ 

 $L = \{e, a, aa, aaa \dots\} \cup \{b, ba, baa, baaa \dots\}$ 

 $L = \{e, a, b, aa, ba, aaa baa, \ldots\}$ 

Idea of Finite Representation

The expression  $\{a\}^* \cup (\{b\}\{a\}^*)$  is built out of a finite number of **symbols**:

 $\{, \}, (, ), *, \cup$ 

and describe an infinite set

 $L = \{e, a, b, aa, ba, aaa baa, \ldots\}$ 

We write it in a **simplified form** - we skip the set symbols {, } as we know that languages are **sets** and we have

 $a^* \cup (ba^*)$ 

Idea of Finite Representation

We will call such expressions as

 $a^* \cup (ba^*)$ 

a finite representation of a language L

The idea of the finite representation is to use symbols

(, ), \*,  $\cup$ ,  $\emptyset$ , and symbols  $\sigma \in \Sigma$ 

to write expressions that describe the language L

### Example of a Finite Representation

Let L be a language defined as follows

 $L = \{w \in \{0, 1\}^* : w \text{ has two or three occurrences of } 1$ the first and the second of which are not consecutive }

The language L can be expressed as

 $L = \{0\}^*\{1\}\{0\}^*\{0\} \circ \{1\}\{0\}^*(\{1\}\{0\}^* \cup \emptyset^*)$ 

We will define and write the finite representation of L as

 $L = 0^* 10^* 010^* (10^* \cup \emptyset^*)$ 

We call expression above (and others alike) a **regular** expression

### Question

Can we **finitely represent** all languages over an alphabet  $\Sigma \neq \emptyset$ ?

### Observation

O1. Different languages must have different representations

**O2.** Finite representations are finite strings over a finite set, so we have that

there are  $\aleph_0$  possible finite representations

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**O3.** There are **uncountably** many, precisely exactly C = |R|) of possible languages over any alphabet  $\Sigma \neq \emptyset$ **Proof** 

For any  $\Sigma \neq \emptyset$  we have proved that

 $|\Sigma^*| = \aleph_0$ 

By definition of language

# $L \subseteq \Sigma^*$

so there are as many languages as subsets of  $\Sigma^*$  that is as many as

$$|2^{\Sigma^*}| = 2^{\aleph_0} = C$$

### Question

Can we **finitely represent** all languages over an alphabet  $\Sigma \neq \emptyset$ ?

#### Answer

#### No, we can't

By **O2** and **O3** there are countably many (exactly  $\aleph_0$ ) possible finite representations and there are uncountably many (exactly *C*) possible languages over any  $\Sigma \neq \emptyset$ 

This proves that

NOT ALL LANGUAGES CAN BE FINITELY REPRESENTED

#### Moreover

There are **uncountably** many and exactly as many as Real numbers, i.e. *C* languages that **can not** be finitely represented

We can **finitely represent** only a small, **countable** portion of languages

We define and study here only two classes of languages:

**REGULAR** and **CONTEXT FREE** languages

### **Regular Expressions Definition**

# Definition

We define a  ${\mathcal R}$  of regular expressions over an alphabet  $\Sigma$  as follows

 $\mathcal{R} \subseteq (\Sigma \cup \{(, ), \emptyset, \cup, *\})^*$  and  $\mathcal{R}$  is the smallest set such that **1.**  $\emptyset \in \mathcal{R}$  and  $\Sigma \subseteq \mathcal{R}$ , i.e. we have that

 $\emptyset \in \mathcal{R}$  and  $\forall_{\sigma \in \Sigma} (\sigma \in \mathcal{R})$ 

**2.** If  $\alpha, \beta \in \mathcal{R}$ , then

 $(\alpha\beta) \in \mathcal{R}$  concatenation

 $(\alpha \cup \beta) \in \mathcal{R}$  union

 $\alpha^* \in \mathcal{R}$  Kleene's Star

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# **Regular Expressions Theorem**

### Theorem

The set  $\mathcal{R}$  of **regular expressions** over an alphabet  $\Sigma$  is countably infinite

# Proof

**Observe** that the set  $\Sigma \cup \{(, ), \emptyset, \cup, *\}$  is non-empty and finite, so the set  $(\Sigma \cup \{(, ), \emptyset, \cup, *\})^*$  is countably infinite, and by definition

# $\mathcal{R} \subseteq (\Sigma \cup \{(, ), \emptyset, \cup, *\})^*$

hence we  $|\mathcal{R}| \leq \aleph_0$ 

The set  $\mathcal{R}$  obviously includes an infinitely countable set

 $\emptyset, \ \emptyset \emptyset, \ \emptyset \emptyset \emptyset, \ \dots, \dots,$ 

what proves that  $|\mathcal{R}| = \aleph_0$ 

# **Regular Expressions**

# Example

Given  $\Sigma = \{0, 1\}$ , we have that

- **1.**  $\emptyset \in \mathcal{R}, 1 \in \mathcal{R}, 0 \in \mathcal{R}$
- **2.**  $(01) \in \mathcal{R}$   $1^* \in \mathcal{R}$ ,  $0^* \in \mathcal{R}$ ,  $\emptyset^* \in \mathcal{R}$ ,  $(\emptyset \cup 1) \in \mathcal{R}, \ldots$ ,  $\ldots$ ,  $(((0^* \cup 1^*) \cup \emptyset)1)^* \in \mathcal{R}$

Shorthand Notation when writing regular expressions we will keep only essential parenthesis

For example, we will write

 $((0^* \cup 1^* \cup \emptyset)1)^* \text{ instead of } (((0^* \cup 1^*) \cup \emptyset)1)^*$  $1^*01^* \cup (01)^* \text{ instead of } ((((1^*0)1^*) \cup (01)^*)$ 

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# Regular Expressions and Regular Languages

We use the regular expressions from the set  $\mathcal{R}$  as a **representation** of languages

Languages **represented** by regular expressions are called **regular languages** 

Regular Expressions and Regular Languages

The idea of the representation is explained in the following

#### Example

The regular expression (written in a shorthand notion)

 $1^*01^* \cup (01)^*$ 

represents a language

 $L = \{1\}^* \{0\} \{1\}^* \cup \{01\}^*$ 

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# Definition of Representation

# Definition

The **representation relation** between regular expressions and languages they **represent** is establish by a **function**  $\mathcal{L}$  such that if  $\alpha \in \mathcal{R}$  is any regular expression, then  $\mathcal{L}(\alpha)$  is the **language represented** by  $\alpha$ 

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#### **Definition of Representation**

#### **Formal Definition**

The function  $\mathcal{L}: \mathcal{R} \longrightarrow 2^{\Sigma^*}$  is defined recursively as follows

- **1.**  $\mathcal{L}(\emptyset) = \emptyset$ ,  $\mathcal{L}(\sigma) = \{\sigma\}$  for all  $\sigma \in \Sigma$
- **2.** If  $\alpha, \beta \in \mathcal{R}$ , then

 $\mathcal{L}(\alpha\beta) = \mathcal{L}(\alpha) \circ \mathcal{L}(\beta)$  concatenation  $\mathcal{L}(\alpha \cup \beta) = \mathcal{L}(\alpha) \cup \mathcal{L}(\beta)$  union  $\mathcal{L}(\alpha^*) = \mathcal{L}(\alpha)^*$  Kleene's Star

**Regular Language Definition** 

### Definition

A language  $L \subseteq \Sigma^*$  is regular

if and only if

L is represented by a regular expression, i.e.

when there is  $\alpha \in \mathcal{R}$  such that  $L = \mathcal{L}(\alpha)$ 

where  $\mathcal{L}: \mathcal{R} \longrightarrow 2^{\Sigma^*}$  is the **representation function** 

We use a shorthand notation

$$L = \alpha$$
 for  $L = \mathcal{L}(\alpha)$ 

#### E1

Given  $\alpha \in \mathcal{R}$ , for  $\alpha = ((a \cup b)^*a)$ 

Evaluate *L* over an alphabet  $\Sigma = \{a, b\}$ , such that  $L = \mathcal{L}(\alpha)$ We write

 $\alpha = ((a \cup b)^*a)$ 

in the shorthand notation as

 $\alpha = (a \cup b)^* a$ 

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We evaluate  $L = (a \cup b)^* a$  as follows

 $\mathcal{L}((a \cup b)^*a) = \mathcal{L}((a \cup b)^*) \circ \mathcal{L}(a) = \mathcal{L}((a \cup b)^*) \circ \{a\} =$ 

$$(\mathcal{L}(a \cup b))^* \{a\} = (\mathcal{L}(a) \cup \mathcal{L}(b))^* \{a\} = (\{a\} \cup \{b\})^* \{a\}$$

**Observe** that

$$({a} \cup {b})^{*}{a} = {a, b}^{*}{a} = \Sigma^{*}{a}$$

so we get

$$\mathsf{L} = \mathcal{L}((\mathsf{a} \cup \mathsf{b})^*\mathsf{a}) = \mathsf{\Sigma}^*\{\mathsf{a}\}$$

 $L = \{w \in \{a, b\}^* : w \text{ ends with } a\}$ 

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**E2** Given  $\alpha \in \mathcal{R}$ , for  $\alpha = ((c^*a) \cup (bc^*)^*)$ **Evaluate**  $L = \mathcal{L}(\alpha)$ , i.e describe  $L = \alpha$ 

We write  $\alpha$  in the shorthand notation as

 $\alpha = \mathbf{c}^* \mathbf{a} \cup (\mathbf{b} \mathbf{c}^*)^*$ 

and evaluate  $L = c^* a \cup (bc^*)^*$  as follows

 $\mathcal{L}((c^*a \cup (bc^*)^*) = \mathcal{L}(c^*a) \cup (\mathcal{L}(bc^*))^* = \{c\}^*\{a\} \cup (\{b\}\{c\}^*)^*$ 

and we get that

 $L = \{c\}^* \{a\} \cup (\{b\} \{c\}^*)^*$ 

**E3** Given  $\alpha \in \mathcal{R}$ , for

 $\alpha = (0^* \cup (((0^*(1 \cup (11)))((00^*)(1 \cup (11)))^*)0^*))$ Evaluate  $L = \mathcal{L}(\alpha)$ , i.e describe the language  $L = \alpha$ We write  $\alpha$  in the shorthand notation as

 $\alpha = 0^* \cup 0^* (1 \cup 11) ((00^* (1 \cup 11))^*) 0^*$ 

and evaluate

 $L = \mathcal{L}(\alpha) = 0^* \cup 0^* \{1, 11\} (00^* \{1, 11\})^* 0^*$ 

**Observe** that  $00^*$  contains at least one 0 that separates  $0^{\{1,11\}}$  on the left with  $(00^*(\{1,11\})^*$  that follows it, so we get that

 $L = \{w \in \{0, 1\}^* : w \text{ does not contain a substring } 111\}$ 

# Class RL of Regular Languages

### Definition

Class **RL** of regular languages over an alphabet  $\Sigma$  contains all L such that  $L = \mathcal{L}(\alpha)$  for certain  $\alpha \in \mathcal{R}$ , i.e.

 $\mathbf{RL} = \{ L \subseteq \Sigma^* : L = \mathcal{L}(\alpha) \text{ for certain } \alpha \in \mathcal{R} \}$ 

#### Theorem

There  $\aleph_0$  regular languages over  $\Sigma \neq \emptyset$  i.e.

 $|\mathbf{RL}| = \aleph_0$ 

#### Proof

By definition that each regular language is  $L = \mathcal{L}(\alpha)$  for certain  $\alpha \in \mathcal{R}$  and the interpretation function  $\mathcal{L} : \mathcal{R} \longrightarrow 2^{\Sigma^*}$ has an infinitely countable domain, hence  $|\mathbf{RL}| = \aleph_0$ 

# Class **RL** of Regular Languages

We can also think about languages in terms of **closure** and get immediately from definitions the following

### Theorem

Class **RL** of regular languages is the **closure** of the set of languages

 $\{\{\sigma\}: \quad \sigma \in \Sigma\} \cup \{\emptyset\}$ 

with respect to union, concatenation and Kleene Star

# Languages that are NOT Regular

Given an alphabet  $\Sigma \neq \emptyset$ 

We have just proved that there are  $\aleph_0$  regular languages, and we have also there are *C* of all languages over  $\Sigma \neq \emptyset$ , so we have the following

# Fact

There is C languages that are not regular

# **Natural Questions**

Q1 How to prove that a given language is regular?

A1 Find a regular expression  $\alpha$ , such that  $L = \alpha$ , i.e.  $L = \mathcal{L}(\alpha)$ 

# Languages that are NOT Regular

Q2 How to prove that a given language is not regular?

# A2 Not easy!

There is a Theorem, called Pumping Lemma which provides a criterium for proving that a given language

# is not regular

E1 A language

$$L = 0^* 1^*$$

is **is regular** as it is given by a regular expression  $\alpha = 0^*1^*$ **E2** We will prove, using the Pumping Lemma that the language

 $L = \{0^n 1^n : n \ge 1, n \in N\}$ 

is not regular

# PROBLEMS

# Some REGULAR LANGUAGES Problems



### Problem 1

Consider the following languages over  $\Sigma = \{a, b\}$ 

$$L_1 = \{ w \in \Sigma^{\star} : \exists u \in \Sigma\Sigma(w = uu^R u) \}$$

$$L_2 = \{ w \in \Sigma^{\star} : ww = www \}$$

**Part 1:** Prove that  $L_1$  is a finite set

Give example of 3 words  $w \in L_1$ 

#### Solution

We evaluate first the set  $\Sigma\Sigma = \{a, b\}\{a, b\} = \{aa, bb, ab, ba\}$ 

 $\Sigma\Sigma$  is a **finite set**, hence the set  $B = \{uyu : u, y \in \Sigma\Sigma\}$ 

is also a **finite set** and by definition  $L_1 \subseteq B$ 

This proves that L<sub>1</sub> must be a finite set

We evaluated that  $\Sigma\Sigma = \{a, b\}\{a, b\} = \{aa, bb, ab, ba\}$ We defined  $L_1 = \{w \in \Sigma^* : \exists u \in \Sigma\Sigma(w = uu^R u)\}$ By evaluation we have that

### $L_1 = \{aaaaaa, abbaab, baabba, bbbbbb\}$

**Part 2:** Give examples of 2 words over  $\Sigma$  such that  $w \notin L_1$ **Solution**  $a \notin L_1$ ,  $bba \notin L_1$ There are countably infinitely many words that **are not** in  $L_1$ 

Part 3 Consider now the following language

 $L_2 = \{w \in \{a, b\}^* : ww = www\}$ 

Show that  $L_2 \neq \emptyset$ 

**Solution**  $e \in L_2$ , as ee = eee

In fact, *e* is the only word with this property, hence

 $L_2 = \{e\}$ 

**Part 4** Show that the set  $(\Sigma^* - L_2)$  is infinite **Solution**  $\Sigma^*$  is countably infinite,  $L_2$  is finite, so (basic theorem)  $(\Sigma^* - L_2)$  is countably infinite

Any  $w \in \Sigma^*$ , such that  $w \neq e$  is in  $(\Sigma^* - L_2)$ 

#### Problem 2

Given expressions (written in a short hand notation)

 $\alpha_1 = \emptyset^* \cup a^* \cup b^* \cup a \cup b \cup (a \cup b)^*$ 

 $\alpha_2 = (a \cup b)^* b(a \cup b)^*$ 

**Part 1** Re-write  $\alpha_1$  as a **simpler** expression representing the same language

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List properties you used in your solution

Describe the language  $L = \mathcal{L}(\alpha_1)$ 

Solution We first evaluate

 $\mathcal{L}(\alpha_1) = \mathcal{L}(\emptyset^* \cup a^* \cup b^* \cup a \cup b \cup (a \cup b)^*)$  $= e \cup \{a\}^* \cup \{b\}^* \cup \{a\} \cup \{b\} \cup (\{a\} \cup \{b\})^* = \Sigma^*$ 

This is true because of the properties:

 $({a} \cup {b})^* = {a, b}^* = \Sigma^*$  and

 $\{a\} \subseteq \{a\}^{\star}, \ \{b\} \subseteq \{b\}^{\star}, \ \{a\}^{\star} \subseteq \Sigma^{\star}, \ \{b\}^{\star} \subseteq \Sigma^{\star}$ and we know that for any sets *A*, *B*, if  $A \subseteq B$ , then  $A \cup B = B$  $\mathcal{L}(\alpha_1) = \Sigma^{\star} = (\{a\} \cup \{b\})^{\star} = \mathcal{L}((a \cup b)^{\star})$ We hence simplify  $\alpha_1$  as follows

 $\alpha_1 = \emptyset^* \cup a^* \cup b^* \cup a \cup b \cup (a \cup b)^* = (a \cup b)^*$ 

Part 2 Given

$$\alpha_2 = (a \cup b)^* b (a \cup b)^*$$

**Re-write**  $\alpha_2$  as a **simpler** expression representing the same language

**Describe** the language  $L = \mathcal{L}(\alpha_2)$ 

**Solution**  $\alpha_2$  can not be simplified, but we can use property  $(\{a\} \cup \{b\})^* = \Sigma^*$  to describe informally the language determined by  $\alpha_2$  as

$$L = \mathcal{L}(\alpha_2) = \Sigma^{\star} b \Sigma^{\star}$$

**Remember** that informal description  $\Sigma^* b \Sigma^*$  is not a regular expression - but just an **useful notation** 

### Problem 3

Let  $\Sigma = \{a, b\}$  and a language  $L \subseteq \Sigma^*$  be defined as follows:

 $L = \{w \in \Sigma^{\star} : w \text{ contains no more then two } a's\}$ 

Write a regular expression  $\alpha$ , such that  $\mathcal{L}(\alpha) = L$ . Use shorthand notation. **Explain** shortly your answer.

# Solution

 $\alpha = b^* \cup b^* a b^* \cup b^* a b^* a b^*$ 

# Explanation

b\* contains 0 of a's (case n=0)
b\*ab\* contains 1 occurrence of a (case n=1)
b\*ab\*ab\* contains 2 occurrence of a (case n=2)

# **Problem 4**

Let  $\Sigma = \{a, b\}$ The language  $L \subseteq \Sigma^*$  is defined as follows:  $L = \{w \in \Sigma^* : \text{ the number of } b \text{ 's in } w \text{ is divisible by 4 } \}$ Write a regular expression  $\alpha$ , such that  $\mathcal{L}(\alpha) = L$ 

You can use **shorthand notation**. Explain shortly your answer

# Solution

 $\alpha = a^*(a^*ba^*ba^*ba^*ba^*)^*$ 

**Observe** that the regular expression  $a^*ba^*ba^*ba^*ba^*$ describes a string  $w \in \Sigma^*$  with **exactly four** b 's

The regular expression

```
(a*ba*ba*ba*ba*)*
```

represents multiples of  $w \in \Sigma^*$  with **exactly four** *b* is and hence words in which a number of *b* is is **divisible by** 4

**Observe** that 0 is divisible by 4, so we need to add the case of 0 number of *b* is, i.e. we need to include words

e, a, aa, aaa, , ...

We do so by concatenating  $(a^*ba^*ba^*ba^*ba^*)^*$  with  $a^*$  and get

 $L = a^*(a^*ba^*ba^*ba^*ba^*)^*$ 

### **Problem 5**

Let L be a language defines as follows

 $L = \{w \in \{a, b\}^* : P(w)\}$ 

for the property P(w) defined as follows

P(w): between any two a's in  $w \in \{a, b\}^*$  there is an **even** number of **consecutive** b's

1. **Describe** a regular expression *r* such that  $\mathcal{L}(r) = L$ Remark that 0 is an even number, hence  $a^* \in L$  and

 $r = b^* \cup b^* a^* b^* \cup b^* (a(bb)^* a)^* b^* = b^* a^* b^* \cup b^* (a(bb)^* a)^* b^*$ 

#### Problem 6

Let  $\Sigma$  be any alphabet,  $L_1, L_2$  two languages over  $\Sigma$  such that  $e \in L_1$  and  $e \in L_2$ 

Show that

 $(L_1\Sigma^{\star}L_2)^{\star}=\Sigma^{\star}$ 

### Solution

By definition,  $L_1 \subseteq \Sigma^*$ ,  $L_2 \subseteq \Sigma^*$  and  $\Sigma^* \subseteq \Sigma^*$ 

Hence

 $(L_1\Sigma^*L_2)\subseteq\Sigma^*$ 

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Now we use the following property:

# Property

For any languages  $L_1.L_2$ , if  $L_1 \subseteq L_2$ , then  $L_1^* \subseteq L_2^*$ and obtain that  $(L_1 \Sigma^* L_2)^* \subseteq \Sigma^{**} = \Sigma^*$ , i.e. we proved that

 $(L_1\Sigma^{\star}L_2)^{\star} \subseteq \Sigma^{\star}$ 

We have to show now that also

 $\Sigma^{\star} \subseteq (L_1 \Sigma^{\star} L_2)^{\star}$ 

Let  $w \in \Sigma^*$ , we have that also  $w \in (L_1 \Sigma^* L_2)^*$  because w = ewe and  $e \in L_1$  and  $e \in L_2$ . We have hence **proved** that

 $(L_1\Sigma^{\star}L_2)^{\star}=\Sigma^{\star}$ 

# Problem 7

Let  $\mathcal{L}$  be a function that associates with any regular expression  $\alpha$  the regular language  $L = \mathcal{L}(\alpha)$ 

**1.** Evaluate  $L = \mathcal{L}(\alpha)$  for  $\alpha = (a \cup b)^* a$ 

# Solution

**2** Describe a property that defines the language  $L = \mathcal{L}((a \cup b)^* a)$ 

### Solution

 $L = \{a, b\}^* \{a\} = \Sigma^* \{a\} = \{w \in \{a, b\}^* : w \text{ ends with } a \}$ 

### **General Problem**

#### **General Problem**

Given a language L over  $\Sigma$  and a word  $w \in \Sigma^*$ , HOW to RECOGNIZE whether

 $w \in L$  or  $w \notin L$ 

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Next SUBJECT

Automata - LANGUAGE RECOGNITION devices