

# Chapter 3 INTEGER FUNCTIONS

## FLOOR

For any  $x \in \mathbb{R}$  (real)  
we define:

$\lfloor x \rfloor$  = the greatest integer  
less than or equal  $x$

## CEILING

$\lceil x \rceil$  = the least integer greater than  
or equal to  $x$

### SYMBOLIC

FLOOR  
 $\lfloor x \rfloor = \max \{ a \in \mathbb{Z} : a \leq x \}$

CEILING  
 $\lceil x \rceil = \min \{ a \in \mathbb{Z} : a \geq x \}$

unique  
max =  
greatest

unique  
min =  
the least

$P_1 = (\{ a \in \mathbb{Z} : a \leq x \}, \leq)$  i.e.  $P_1$  has unique max "greatest"

$P_2 = (\{ a \in \mathbb{Z} : a \geq x \}, \leq)$  i.e.  $P_2$  has unique min "least"

FACT For any  $x \in \mathbb{R}$ ,  $L[x]$ ,  $\lceil x \rceil$  exist and are unique

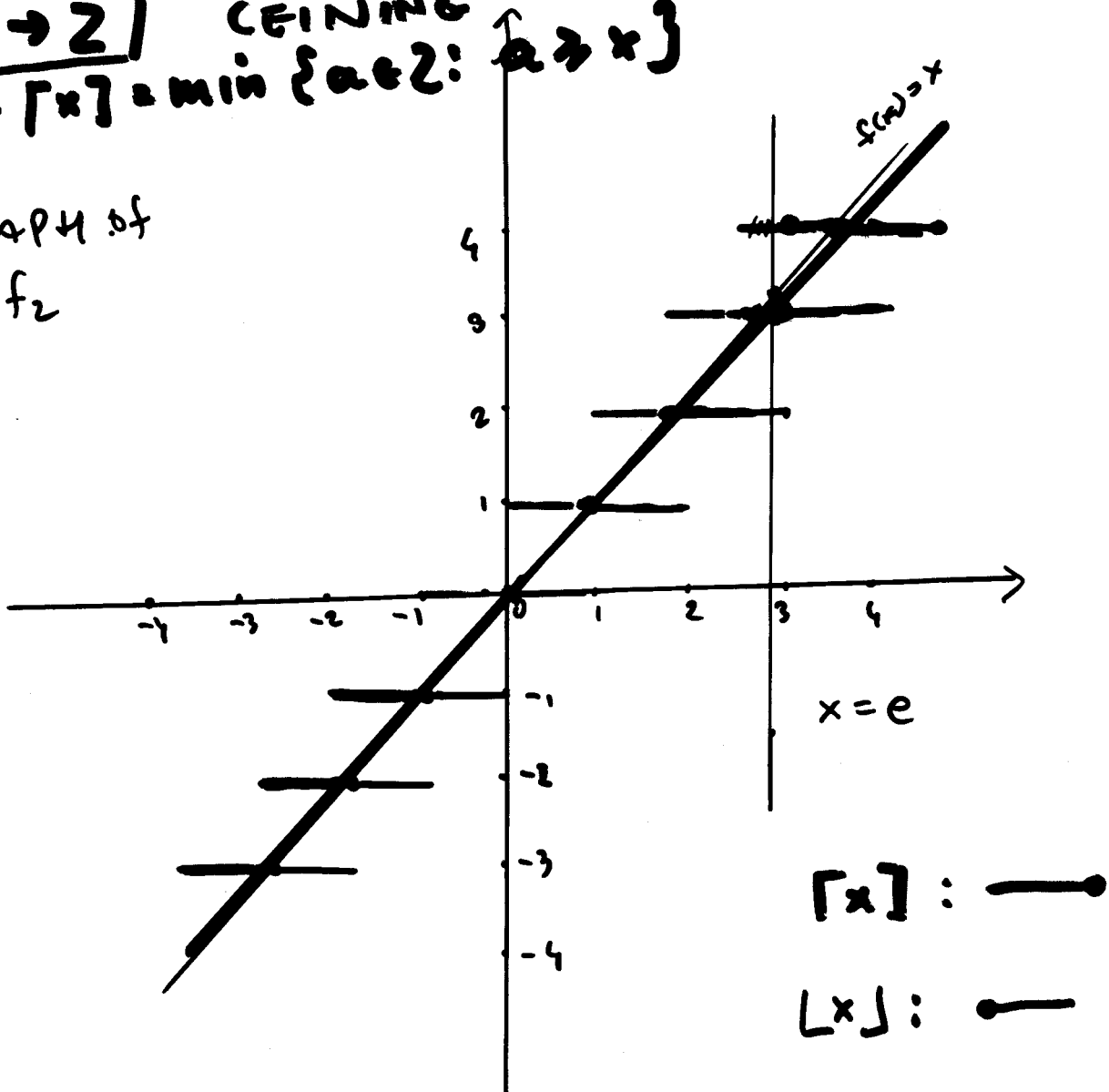
We can hence define functions

$f_1: \mathbb{R} \rightarrow \mathbb{Z}$  FLOOR

$f_1(x) = L[x] = \max\{a \in \mathbb{Z} : a \leq x\}$  and

$f_2: \mathbb{R} \rightarrow \mathbb{Z}$  CEILING  
 $f_2(x) = \lceil x \rceil = \min\{a \in \mathbb{Z} : a \geq x\}$

GRAPH of  
 $f_1, f_2$



We read

$$L[e] = 2 \quad \lceil e \rceil = 3$$

# PROPERTIES of $\lfloor x \rfloor, \lceil x \rceil$

①  $\lfloor x \rfloor = x$  iff  $x \in \mathbb{Z}$   
 $\lceil x \rceil = x$  iff  $x \in \mathbb{Z}$

②  $x-1 < \lfloor x \rfloor \leq x \leq \lceil x \rceil < x+1$

③  $\lfloor -x \rfloor = -\lceil x \rceil, \lceil -x \rceil = -\lfloor x \rfloor$

④  $\lceil x \rceil - \lfloor x \rfloor = \begin{cases} 0 & x \in \mathbb{Z} \\ 1 & x \notin \mathbb{Z} \end{cases} = [x \notin \mathbb{Z}]$

↑ characteristic function

## MORE PROPERTIES

$x \in \mathbb{R}, n \in \mathbb{Z}$

notation

5.  $\lfloor x \rfloor = n$  iff  $n \leq x < n+1$

6.  $\lceil x \rceil = n$  iff  $x-1 < n \leq x$

7.  $\lfloor x \rfloor = n$  iff  $n-1 < x \leq n$

8.  $\lceil x \rceil = n$  iff  $x \leq n < x+1$

9.  $\lfloor x+n \rfloor = \lfloor x \rfloor + n$

iff (by 5)  $n \in \mathbb{Z}$

but  $\lfloor nx \rfloor \neq n \lfloor x \rfloor$

$n=2, x=\frac{1}{2}$

$\lfloor 2 \cdot \frac{1}{2} \rfloor = 1 \neq 2 \lfloor \frac{1}{2} \rfloor = 0$

Directly from definition true!

$\lfloor x \rfloor \leq x \leq \lceil x \rceil + 1$

Applied with  $\Delta$   
 $\lfloor x \rfloor + n \leq x + n \leq \lceil x \rceil + n + 1$

# MORE PROPERTIES

$x \in \mathbb{R}, n \in \mathbb{Z}$   
 (Insert  $\lfloor x \rfloor, \{x\}$ )

- ⑩  $x < n$  iff  $\lfloor x \rfloor < n$
- ⑪  $n < x$  iff  $n < \lceil x \rceil$
- ⑫  $x \leq n$  iff  $\lceil x \rceil \leq n$
- ⑬  $n \leq x$  iff  $n \leq \lfloor x \rfloor$

Proof of ⑩

$\rightarrow$  let  $x < n$ , so  $\lfloor x \rfloor < n$  as  $\lfloor x \rfloor \leq x$   
 $\leftarrow$  let  $\lfloor x \rfloor < n$  by ⑩  $x-1 < \lfloor x \rfloor$  i.e.  $x < \lfloor x \rfloor + 1$   
 by  $\lfloor x \rfloor \leq n$  we get  $\lfloor x \rfloor + 1 \leq n$  so we get  
 $x < \lfloor x \rfloor + 1 \leq n$  and  $x < n$ .

FACTORIAL PART of  $x$ ;  $\{x\}$

$\{x\} = x - \lfloor x \rfloor$

~~Answer method:~~  
 Integer  
 $0 \leq \{x\} < 1$

Write

$$x = \{x\} + \lfloor x \rfloor$$

$$x = \lfloor x \rfloor + \{x\}$$

FACT 1

Integer

and  $0 \leq \theta < 1$

A  $x = n + \theta, n \in \mathbb{Z}$   
 then  $n = \lfloor x \rfloor$  and  $\theta = \{x\}$

⑤  $\lfloor x \rfloor = n$  iff  $n \leq x < n+1$   
 $x = n + \theta$  then  
 $n \leq x < n+1$  iff  $\lfloor x \rfloor = n$   
 we get  
 $x = \lfloor x \rfloor + \theta$  so  $\theta = \{x\}$

We proved

$$\lfloor Lx + n \rfloor = \lfloor Lx \rfloor + n, \quad n \in \mathbb{Z}, x \in \mathbb{R}$$

Question

WHAT happens when we consider

$$\lfloor Lx + y \rfloor, \quad x \in \mathbb{R}, y \in \mathbb{R}$$

$$\begin{aligned} 0 \leq \{x\} < 1 \\ 0 \leq \{y\} < 1 \end{aligned}$$

Let's look.

$$x = \lfloor Lx \rfloor + \{x\}, \quad y = \lfloor Ly \rfloor + \{y\}$$

$$\begin{aligned} \lfloor Lx + y \rfloor &= \lfloor \lfloor Lx \rfloor + \lfloor Ly \rfloor + \{x\} + \{y\} \rfloor \\ &\stackrel{\textcircled{1}}{=} \lfloor \lfloor Lx \rfloor + \lfloor Ly \rfloor + \{x\} + \{y\} \rfloor \end{aligned}$$

and  $0 \leq \{x\} + \{y\} < 2$  so we

FACT

get

$$\lfloor Lx + y \rfloor = \begin{cases} \lfloor Lx \rfloor + \lfloor Ly \rfloor & \text{when } 0 \leq \{x\} + \{y\} < 1 \\ \lfloor Lx \rfloor + \lfloor Ly \rfloor + 1 & \text{when } 1 \leq \{x\} + \{y\} < 2 \end{cases}$$

# EXAMPLE

① FIND  $\lceil \log_2 35 \rceil$

Observe

$$2^5 < 35 \leq 2^6$$

$$\log_2 2^5 < \log_2 35 \leq \log_2 2^6$$

$$5 < \log_2 35 \leq 6$$

⑦

$$\lceil x \rceil = n$$

iff

$$n-1 < x \leq n$$

We get

$$\lceil \log_2 35 \rceil = 6$$

② FIND  $\lceil \log_2 32 \rceil$

$$2^4 < 32 \leq 2^5$$

by ⑦ we get

$$4 < \log_2 32 \leq 5$$

$$\lceil \log_2 32 \rceil = 5$$

EXAMPLE

FIND  $\lfloor \log_2 35 \rfloor$ ,  $\lfloor \log_2 32 \rfloor$

Observe

$$2^5 \leq 35 < 2^6$$

$$5 \leq \log_2 35 < 6$$

$$\lfloor \log_2 35 \rfloor = 5$$

⑥  
 $\lfloor x \rfloor = n$  iff  
 $n \leq x < n+1$

$$\log_2 32 = 5$$

$$\lfloor \log_2 32 \rfloor = 5 = \lfloor \log_2 32 \rfloor$$

OBSERVE:

$$35 = (100011)_2$$

35 has ⑥ digits in binary expansion and  $\lfloor \log_2 35 \rfloor = ⑤$

$$\lfloor \log_2 35 \rfloor = 5$$

QUESTION:

is it TRUE/FALSE ? NO!

# digits of b.exp of  $n \neq \lfloor \log_2 n \rfloor$

$$32 = (100000)_2$$

$$\text{and } \lfloor \log_2 32 \rfloor = 5 \neq 6$$

# QUESTION:

Can we develop a connection (formula) between  $\lfloor \log_2 n \rfloor$  and # of digits ( $m$ ) in the binary representation of  $n$ ? ( $n > 0$ )

**YES** Let  $n > 0, n \in \mathbb{N}$  such that  $n$  has  $m$  BITS in binary representation. Hence we have

$$2^{m-1} \leq n < 2^m$$
$$n = \underbrace{a_{m-1} 2^{m-1} + \dots + a_1 2^1 + a_0}_{m\text{-digits}}$$

$$m-1 \leq \log_2 n < m$$

iff

$$\lfloor \log_2 n \rfloor = m-1$$

and

$$m = \lfloor \log_2 n \rfloor + 1$$

$$n = 35$$

$$m = \lfloor \log_2 35 \rfloor + 1 = 5 + 1 = 6$$

Exercise

DO THE SAME FOR

$$\lfloor \log_2 n \rfloor$$

$$n = 32$$

$$m = \lfloor \log_2 32 \rfloor + 1 = 5 + 1 = 6$$



**EXERCISE**

PROVE that

$$\forall (x \in \mathbb{R} \wedge x \geq 0) \lfloor \sqrt{\lfloor x \rfloor} \rfloor = \lfloor \sqrt{x} \rfloor$$

i.e

$$\forall x (x \in \mathbb{R} \wedge x \geq 0 \Rightarrow \lfloor \sqrt{\lfloor x \rfloor} \rfloor = \lfloor \sqrt{x} \rfloor)$$

or just simply

**FACT 2**

$$\lfloor \sqrt{\lfloor x \rfloor} \rfloor = \lfloor \sqrt{x} \rfloor \quad \text{for all } x \in \mathbb{R}, x \geq 0$$

Proof Take  $\lfloor \sqrt{\lfloor x \rfloor} \rfloor$ .

First we get rid of outside  $\lfloor \rfloor$  + of  $\sqrt{\phantom{x}}$  and then of  $\lfloor x \rfloor$

LET  $m = \lfloor \sqrt{\lfloor x \rfloor} \rfloor$  iff ③

$\lfloor x \rfloor = m$  iff  $m \leq x < m+1$

$$m \leq \sqrt{\lfloor x \rfloor} < m+1$$

$$m^2 \leq \lfloor x \rfloor < (m+1)^2$$

use:  $n \leq x$  iff  $n \leq \lfloor x \rfloor$   
get:  $m^2 \leq x$   
use:  $\lfloor x \rfloor < n$  iff  $x < n$   
 $x \leq (m+1)^2$

$$m^2 \leq x < (m+1)^2$$

STOP

iff  $m = m$  and

LET  $\lfloor \sqrt{x} \rfloor = n$  iff

$$n \leq \sqrt{x} < n+1$$

$$n^2 \leq x < (n+1)^2$$

$$\lfloor \sqrt{\lfloor x \rfloor} \rfloor = \lfloor \sqrt{x} \rfloor$$

ed.

We prove in a similar way (Exercise!) <sup>10</sup>  
FACT 3

$$\lceil \sqrt{\lfloor x \rfloor} \rceil = \lfloor \sqrt{x} \rfloor \quad \text{for all } x \in \mathbb{R}, x \geq 0$$

QUESTION:  $\sqrt{x}$  is a particular  $f: \mathbb{R}^+ \rightarrow \mathbb{R}$   
 $f(x) = \sqrt{x}$

CAN we have a SIMILAR property for other functions  $f: \mathbb{R} \rightarrow \mathbb{R}$  (which?)

ANSWER: YES. when  $f$  is monotonic and continuous and increasing: i.e. we will prove:

FACT 4

Let  $f: \mathbb{R}' \rightarrow \mathbb{R}$  (maybe  $\mathbb{R}' = \mathbb{R}$ ,  $\mathbb{R}' = \mathbb{R}^+$  etc.)  
 $f \Rightarrow f(x)$  be such that  $f$  is CONTINUOUS, MONOTONIC and INCREASING on its domain  $\mathbb{R}'$ .

If additionally  $f$  has the following property

(P)

If  $f(x) \in \mathbb{Z}$ , then  $x \in \mathbb{Z}$

then

$$\lfloor f(x) \rfloor = \lfloor f(\lfloor x \rfloor) \rfloor \quad \text{and}$$

$$\lceil f(x) \rceil = \lceil f(\lceil x \rceil) \rceil \quad \text{for all}$$

$x \in \mathbb{R}'$  for which (P) holds

Proof

$$\boxed{f(\lceil x \rceil) = \lceil f(x) \rceil}$$

under the assumptions  
① + monot + increasing  
+ continuous

①  $x = \lceil x \rceil$  we get  
 $\lceil f(x) \rceil = \lceil f(\lceil x \rceil) \rceil$  trivial  $x \in \mathbb{Z}$  step 1]

②  $x \neq \lceil x \rceil$ . By definition  $x < \lceil x \rceil$  and  
by monotonicity  $f(x) \leq f(\lceil x \rceil)$ , and by  
non-decreasing  $\lceil \cdot \rceil$  ( $x < y$  then  $\lceil x \rceil \leq \lceil y \rceil$ )  
we get

$$\boxed{\lceil f(x) \rceil \leq \lceil f(\lceil x \rceil) \rceil}$$

Now we show

that " $<$ " is impossible - hence we will  
have " $=$ ". Assume  $\lceil f(x) \rceil < \lceil f(\lceil x \rceil) \rceil$ .

By  $x < \lceil x \rceil$  we get

$$f(x) < \lceil f(x) \rceil < \lceil f(\lceil x \rceil) \rceil$$

$f$  is continuous, then there is  $y$ , such  
that  $f(y) = \lceil f(x) \rceil$  and

$$f(x) < f(y) < f(\lceil x \rceil)$$

$f$  cont  
and monod  
increas

so this holds when  $x < y < \lceil x \rceil$  But  $x < \lceil x \rceil$

so we get  $\boxed{x \leq y < \lceil x \rceil}$  ① (there is such  $y$ !)

But  $f(y) = \lceil f(x) \rceil$  i.e.  $f(y) \in \mathbb{Z}$ , hence

by ①, we get:  $\boxed{y \in \mathbb{Z}}$  ② There is no  $y \in \mathbb{Z}$ .

① + ② are contradictory!  $x < y < \lceil x \rceil$  QED

Special CASE of FACT 4 (for  $\lfloor \cdot \rfloor$ )

$$\left\lfloor \frac{x+m}{n} \right\rfloor = \left\lfloor \frac{\lfloor x \rfloor + m}{n} \right\rfloor$$

$$\left\lceil \frac{x+m}{n} \right\rceil = \left\lceil \frac{\lceil x \rceil + m}{n} \right\rceil$$

$$f(x) = \frac{x+m}{n}$$

$$n, m \in \mathbb{Z} \\ n > 0$$

FACT 5

$$f(x) = \frac{x}{n} + \frac{m}{n}$$

line with  $a$   
positive  $a$

$$y = ax + b$$

Example

Take  $m = 0$ ,  $n = 10$

Evaluate

$$\left\lfloor \left\lfloor \left\lfloor \frac{x}{10} \right\rfloor / 10 \right\rfloor / 10 \right\rfloor = \left\lfloor \left\lfloor \frac{x/10}{10} \right\rfloor / 10 \right\rfloor$$

$$= \left\lfloor \left\lfloor \frac{x}{100} \right\rfloor / 10 \right\rfloor = \left\lfloor \frac{x}{1000} \right\rfloor$$

Dividing  $x$  three times by 10 and throwing off digits is the same as dividing  $x$  by 1000 and throwing out the remainder.

# Integers in the INTERVALS

Interval (closed)

$$[\alpha, \beta] = \{x \in \mathbb{R} : \alpha \leq x \leq \beta\}$$

STANDARD NOTATION

$$= [\alpha.. \beta] \leftarrow \text{BOOK NOTATION}$$

We use book notation, because  $[p(w)]$  denotes (in the book) the characteristic function

$$(\alpha, \beta) = \{x \in \mathbb{R} : \alpha < x < \beta\} = [\alpha.. \beta)$$

OPEN INTERVAL

$$[\alpha, \beta) = \{x \in \mathbb{R} : \alpha \leq x < \beta\} = [\alpha.. \beta)$$

$$(\alpha, \beta] = \{x \in \mathbb{R} : \alpha < x \leq \beta\} = (\alpha.. \beta]$$

HALF OPEN INTERVAL

## PROBLEM

$$A = \{n \in \mathbb{Z} : \alpha \leq n \leq \beta\}$$

FIND  $|A|$

HOW MANY ARE THERE INTEGERS IN THE INTERVALS OF REAL NUMBERS

WE BRING BACK OUR  $\lceil \cdot \rceil, \lfloor \cdot \rfloor$  PROPERTIES 14

$$d \leq n < \beta \quad \text{iff} \quad \lceil d \rceil \leq n < \lceil \beta \rceil$$

$$d < n \leq \beta \quad \text{iff} \quad \lfloor d \rfloor < n \leq \lfloor \beta \rfloor$$

$[d \dots \beta)$  contains exactly  $\lceil \beta \rceil - \lceil d \rceil$  integers

$(d \dots \beta]$  contains  $\lfloor \beta \rfloor - \lfloor d \rfloor$  integers

$[d \dots \beta]$  contains  $\lfloor \beta \rfloor - \lceil d \rceil + 1$  int.

and we must assume  $d \neq \beta$  to evaluate:

$(d \dots \beta)$  contains  $\lceil \beta \rceil - \lfloor d \rfloor - 1$

(because  $(d \dots d) \ni \emptyset$  and can't contain  $-1$  int)

INTERVAL # INTEGERS

RESTRICTIONS

$$[d \dots \beta] \quad \lfloor \beta \rfloor - \lceil d \rceil + 1$$

$$d \leq \beta$$

$$[d \dots \beta) \quad \lceil \beta \rceil - \lceil d \rceil$$

$$d < \beta$$

$$(d \dots \beta] \quad \lfloor \beta \rfloor - \lfloor d \rfloor$$

$$d \leq \beta$$

$$(d \dots \beta) \quad \lceil \beta \rceil - \lfloor d \rfloor - 1$$

$$d < \beta$$

# CASINO PROBLEM

There is a roulette wheel with 1,000 slots (numbered 1 ... to 1,000)

IF the number  $n$  that comes up on a spin is divisible by  $\lfloor \sqrt[3]{n} \rfloor$  i.e.

$$\lfloor \sqrt[3]{n} \rfloor \mid n$$

THEN  $n$  is a WINNER.

In the game casino pays \$5 if you are the winner; but the loser has to pay \$1.

CAN we expect to make money if we play this game?

Let's compute AVERAGE winnings i.e. amount we win (or lose) per play

$W$  - # of winners

$L = 1000 - W$  # of losers

If Each number comes once during 1000 plays, we win  $5W$  and lose  $L$  dollars

**AVERAGE WINNINGS in 1000 plays**

$$\frac{5W-L}{1000} = \frac{5W - (1000 - W)}{1000} = \frac{6W - 1000}{1000}$$

We have advantage if

$$\frac{6W - 1000}{1000} > 0, \quad 6W > 1000, \quad \boxed{W > 167}$$

**ANSWER:** If there is 167 or more winners (and each number comes up only once) then we have the advantage, otherwise the CASINO wins.

**PROBLEM:**

How to count the number of WINNERS among 1 to 1000

**METHOD:**

Use summation

$$W = \sum_{n=1}^{1000} [n \text{ is a winner}]$$

character function



**BOOK SOLUTION**

**QUESTIONS** ① What does it mean? 17  
17a

①  $W = \sum_{n=1}^{1000} [n \text{ is a winner}] = \sum [L\sqrt{n}] |n|$

② =  $\sum [k = L\sqrt{n}] [k|n] [1 \leq n \leq 1000]$   
 ③ why ① = ② + ③ what is  $[k = L\sqrt{n}] \cdot [k|n] \cdot [1 \leq n \leq 1000]$

③ =  $\sum_{k=1}^{k_{max}} [k^3 \leq n < (k+1)^3] [n = km] [1 \leq n \leq 1000]$   
 explain equivalence

④ = ① +  $\sum [k^3 \leq n < (k+1)^3] [1 \leq k < 10]$   
 TRANSITION

⑤ =  $1 + \sum_{k,m} [m \in [k^2 \dots \frac{(k+1)^3}{k}]] [1 \leq k < 10]$   
 How we change  $\sum_{k,m}$  to  $\sum_{k,m}$ ?  
 How we get  $1 + \sum$ ?

⑥ =  $1 + \sum_{k,m} [m \in [k^2 \dots \frac{(k+1)^3}{k}]] [1 \leq k < 10]$   
 what is this? explain TRANSITION!

⑦ =  $1 + \sum_{1 \leq k < 10} (\lceil k^2 + 3k + 3 + \frac{1}{k} \rceil - \lceil k^2 \rceil)$   
 decide

⑧ =  $1 + \sum_{1 \leq k < 10} (3k+4) = 1 + \frac{7+31}{2} \cdot 9 = 172$

**BOOK COMMENT:** the only "difficult" maneuver is the decision between lines ⑤ and ⑥ to treat  $n \leq 1000$  as a special case.  
 (The exp.  $k^3 \leq n < (k+1)^3$  does not combine easily with  $1 \leq n \leq 1000$  when  $k = 10$ )