

Cse547

- Chapter 1
- Problem 16 GENERALIZATION



Question



Use the Repertoire method to solve the general five-parameter recurrence:

$$g(1) = \alpha$$

$$g(2n+j) = 3g(n) + \xi n^2 + \gamma n + \beta_j,$$

for $j = 0, 1$ and $n \geq 1$ and $n \in \mathbb{N}$

Solution



Convert recursive formula into R:

$$g(1) = \alpha$$

$$g(2n+0) = 3g(n) + \xi n^2 + \gamma n + \beta_0$$

$$g(2n+1) = 3g(n) + \xi n^2 + \gamma n + \beta_1$$

(for $n \in \mathbb{N}$ and $n \geq 1$)



Procedure

- Compute few initial values for R
- Observe values and guess a general format for the required closed form
- Use Repertoire method to find exact closed formula
- If fails at some point, use Binary Expansion



Initial Values for R

$$g(1) = \alpha$$

$$\begin{aligned} g(2) &= g(2(1) + 0) = 3g(1) + \mathcal{E} + \gamma + \beta_0 \\ &= 3\alpha + \mathcal{E} + \gamma + \beta_0 \end{aligned}$$

$$\begin{aligned} g(3) &= g(2(1) + 1) = 3g(1) + \mathcal{E} + \gamma + \beta_1 \\ &= 3\alpha + \mathcal{E} + \gamma + \beta_1 \end{aligned}$$

$$\begin{aligned} g(4) &= g(2(2) + 0) = 3g(2) + 4\mathcal{E} + 2\gamma + \beta_0 \\ &= 9\alpha + 7\mathcal{E} + 5\gamma + 4\beta_0 \end{aligned}$$

$$\begin{aligned} g(5) &= g(2(2) + 1) = 3g(2) + 4\mathcal{E} + 2\gamma + \beta_1 \\ &= 9\alpha + 7\mathcal{E} + 5\gamma + 3\beta_0 + \beta_1 \end{aligned}$$



Initial Values for R

$$\begin{aligned}g(6) &= g(2(3) + 0) = 3g(3) + 9\xi + 3\gamma + \beta_0 \\ &= 9\alpha + 12\xi + 6\gamma + \beta_0 + 3\beta_1\end{aligned}$$

$$\begin{aligned}g(7) &= g(2(3) + 1) = 3g(3) + 9\xi + 3\gamma + \beta_1 \\ &= 9\alpha + 12\xi + 6\gamma + 4\beta_1\end{aligned}$$

$$\begin{aligned}g(8) &= g(2(4) + 0) = 3g(4) + 16\xi + 4\gamma + \beta_0 \\ &= 27\alpha + 37\xi + 19\gamma + 13\beta_0\end{aligned}$$

$$\begin{aligned}g(9) &= g(2(4) + 1) = 3g(4) + 16\xi + 4\gamma + \beta_1 \\ &= 27\alpha + 37\xi + 19\gamma + 12\beta_0 + \beta_1\end{aligned}$$

$$\begin{aligned}g(10) &= g(2(5) + 0) = 3g(5) + 25\xi + 5\gamma + \beta_0 \\ &= 27\alpha + 46\xi + 20\gamma + 10\beta_0 + 3\beta_1\end{aligned}$$



Finding General Form of CF

n	g(n)
1	$\alpha + o\varepsilon + o\gamma + o\beta_0 + o\beta_1$
2	$3\alpha + \varepsilon + \gamma + \beta_0 + o\beta_1$
3	$3\alpha + \varepsilon + \gamma + o\beta_0 + \beta_1$
4	$9\alpha + 7\varepsilon + 5\gamma + 4\beta_0 + o\beta_1$
5	$9\alpha + 7\varepsilon + 5\gamma + 3\beta_0 + \beta_1$
6	$9\alpha + 12\varepsilon + 6\gamma + \beta_0 + 3\beta_1$
7	$9\alpha + 12\varepsilon + 6\gamma + o\beta_0 + 4\beta_1$
8	$27\alpha + 37\varepsilon + 19\gamma + 13\beta_0 + o\beta_1$



Finding General Form of CF

n	g(n)
9	$27\alpha + 37\varepsilon + 19\gamma + 12\beta_0 + \beta_1$
10	$27\alpha + 46\varepsilon + 20\gamma + 10\beta_0 + 3\beta_1$



Guessing the CF

$$g(n) = A(n)\alpha + B(n)\varepsilon + C(n)\gamma + D(n)\beta_0 + E(n)\beta_1$$

For all $n \in \mathbb{N}$ and $n \geq 1$, n can be written in the form $(2^k + h)$, where, 2^k is the highest power of 2, not exceeding n , and $(0 \leq h < 2^k)$. (Here $h \in \mathbb{N}$). From our table we can observe that when $n = 2^k + h$, then the coefficient for $\alpha = 3^k$.

Example, let $n=10$, then $n = 2^3 + 2$, and the coefficient for α after computing $g(n)$ is, $3^3 = 27$.



Exact CF Using Repertoire Method

CASE I: $g(n) = A(n)$

CASE II: $g(n) = 1$

CASE III: $g(n) = n$

x CASE IV: $g(n) = n^2$

x CASE V: $g(n) = n^3$

for all $n \in \mathbb{N}$



CASE I: $g(n) = A(n)$

Let: $\epsilon = \gamma = \beta_0 = \beta_1 = 0$ and $\alpha = 1$

In this case our general formula becomes:

$$g(n) = A(n)1 + B(n)0 + C(n)0 + D(n)0 + E(n)0$$

$$g(n) = A(n)$$

for all $n \in \mathbb{N}$



CASE I: $g(n) = A(n)$

So, now the recurrence relation becomes:

$$A(1) = \alpha$$

$$A(2n+j) = 3A(n)$$

for $j = 0, 1$ and $n \geq 1$ and $n \in \mathbb{N}$

[Recall: $g(2n+j) = 3g(n) + \xi n^2 + \gamma n + \beta_j$]



CASE I: $g(n) = A(n)$

Now we want to prove that:

$$A(2^k + h) = 3^k \alpha \text{ for all } k \geq 0$$

(Here $0 \leq h < 2^k$ and $h \in \mathbb{N}$)

It is easy to prove by induction that:

$$A(2^k + h) = 3^k \alpha \text{ for all } k \geq 0$$



CASE I: $g(n) = A(n)$: Induction Proof

$$A(1) = 1, A(2n) = 3A(n), A(2n+1) = 3A(n), A(n) = 3^k \text{ for } n = 2^k + h \text{ where } 0 \leq h < 2^k$$

Base case: $k=0 \Rightarrow n = 1 + h, h < 1$ so $n = 1$

$$g(n) = A(n) \text{ and } g(1) = 1 \Rightarrow A(1) = 1$$

$$A(1) = 3^0 = 1$$

[YES]

Assume $A(2^{k-1} + h) = 3^{k-1}, 0 \leq h < 2^{k-1}$

To prove: $A(2^k + h) = 3^k, 0 \leq h < 2^k$



CASE I: $g(n) = A(n)$: Induction Proof

Case 'n' even ($n = 2n_1$): $2^k + h = 2n_1$ iff h is even

$$\Rightarrow n_1 = 2^{k-1} + h/2$$

$$A(2n_1) = A(2^k + h) = 3A(n_1) = 3A(2^{k-1} + h/2)$$

$$= 3 \cdot 3^{k-1} = 3^k$$

[YES]

Case 'n' odd ($n = 2n_1 + 1$): $2^k + h = 2n_1 + 1$ iff h is odd

$$\Rightarrow n_1 = 2^{k-1} + (h-1)/2$$

$$A(2n_1 + 1) = A(2^k + h) = 3A(n_1) = 3A(2^{k-1} + (h-1)/2)$$

$$= 3 \cdot 3^{k-1} = 3^k$$

[YES]



CASE II: $g(n) = 1$

We have that $g(n)=1$, for all $n \in \mathbb{N}$.

From $g(1) = \alpha$, we find that $g(1) = 1 = \alpha$. So $\alpha = 1$.

$$g(2n+0) = 3g(n) + \xi n^2 + \gamma n + \beta_0$$

$$1 = 3(1) + \xi n^2 + \gamma n + \beta_0$$

$$1 = 3 + \xi n^2 + \gamma n + \beta_0$$

$$1 - 3 = \xi n^2 + \gamma n + \beta_0$$

$$0 = \xi n^2 + \gamma n + \beta_0 + 2, \text{ for all } n \in \mathbb{N}$$

Hence, $\xi = \gamma = 0$ and $\beta_0 = -2$



CASE II: $g(n) = 1$

$$g(2n+1) = 3g(n) + \xi n^2 + \gamma n + \beta_1$$


$$1 = 3(1) + \xi n^2 + \gamma n + \beta_1$$

$$1 = 3 + \xi n^2 + \gamma n + \beta_1$$

$$1 - 3 = \xi n^2 + \gamma n + \beta_1$$

$$0 = \xi n^2 + \gamma n + \beta_1 + 2, \text{ for all } n \in \mathbb{N}$$

Hence, $\xi = \gamma = 0$ and $\beta_1 = -2$



CASE II: $g(n) = 1$

Plugging the values of α , ξ , γ , β_0 and β_1 into the general form of the CF:

$$g(n) = A(n)\alpha + B(n)\xi + C(n)\gamma + D(n)\beta_0 + E(n)\beta_1$$
$$1 = A(n) - 2D(n) - 2E(n), \text{ for all } n \in \mathbb{N}.$$



CASE III: $g(n) = n$

We have that $g(n) = n$, for all $n \in \mathbb{N}$.

From $g(1) = \alpha$, we find that $g(1) = 1 = \alpha$. So $\alpha = 1$.

$$g(2n+0) = 3g(n) + \xi n^2 + \gamma n + \beta_0$$

$$2n = 3n + \xi n^2 + \gamma n + \beta_0$$

$$0 = 3n - 2n + \xi n^2 + \gamma n + \beta_0$$

$$0 = \xi n^2 + n + \gamma n + \beta_0$$

$$0 = \xi n^2 + (\gamma+1)n + \beta_0, \text{ for all } n \in \mathbb{N}.$$

Hence $\gamma = -1$, $\xi = \beta_0 = 0$



CASE III: $g(n) = n$

We have that $g(n)=n$, for all n

$$g(2n+1) = 3g(n) + \xi n^2 + \gamma n + \beta_1$$

$$2n + 1 = 3n + \xi n^2 + \gamma n + \beta_1$$

$$1 = 3n - 2n + \xi n^2 + \gamma n + \beta_1$$

$$1 = \xi n^2 + n + \gamma n + \beta_1$$

$$0 = \xi n^2 + (\gamma+1)n - 1 + \beta_1, \text{ for all } n \in \mathbb{N}.$$

Hence, $\gamma = -1$, $\xi = 0$, $\beta_1 = 1$



CASE III: $g(n) = n$

Plugging the values of α , ξ , γ , β_0 and β_1 into the general form of the CF:

$$g(n) = A(n)\alpha + B(n)\xi + C(n)\gamma + D(n)\beta_0 + E(n)\beta_1$$
$$n = A(n) - C(n) + E(n), \text{ for all } n \in \mathbb{N}$$



CASE IV: $g(n) = n^2$

We have that $g(n) = n^2$, for all n .

From $g(1) = \alpha$, we deduce that $g(1) = 1^2 = 1 = \alpha$. So, $\alpha = 1$.

$$g(2n+1) = 3g(n) + \xi n^2 + \gamma n + \beta_1$$

$$(2n+1)^2 = 3n^2 + \xi n^2 + \gamma n + \beta_1$$

$$4n^2 + 1 + 4n = 3n^2 + \xi n^2 + \gamma n + \beta_1$$

$$n^2 + 1 + 4n = \xi n^2 + \gamma n + \beta_1$$

$$0 = (\xi - 1)n^2 + (\gamma - 4)n + \beta_1, \text{ for all } n \in \mathbb{N}.$$

Hence $\xi = 1, \gamma = 4, \beta_1 = 1$



CASE IV: $g(n) = n^2$

$$g(2n+0) = 3g(n) + \xi n^2 + \gamma n + \beta_0$$

$$(2n+0)^2 = 3n^2 + \xi n^2 + \gamma n + \beta_0$$

$$4n^2 = 3n^2 + \xi n^2 + \gamma n + \beta_0$$


$$0 = (\xi - 1)n^2 + \gamma n + \beta_0$$

$$\Rightarrow \xi = 1, \gamma = 0, \beta_0 = 0$$

But for $g(2n+1)$ we just obtained:

$$\xi = 1, \gamma = 4, \beta_1 = 1$$

Contradiction! Method fails!



CASE V: $g(n) = n^3$

We get a similar contradiction here too

(No need to proceed beyond first contradiction)



Binary Expansion Technique

Let us consider the case when the input value to R is of the form $2n+0 = 2n$. Let the binary representation of $2n$ be:

$$(b_m, b_{m-1}, \dots, b_1, b_0)_2$$

This means $2n = 2^m b_m + \dots + 2b_1 + b_0$

We observe that $b_0 = 0$, because $2n$ is even

Dividing by 2:

$$n = 2^{m-1} b_m + \dots + b_1 \quad \text{or} \quad n = (b_m, b_{m-1}, \dots, b_1)_2$$

... Fact [1]



Binary Expansion Technique

Now, let us consider the case when the input value to R is of the form $(2n+1)$. Let the binary representation of $(2n+1)$ be:

$$(b_m, b_{m-1}, \dots, b_1, b_0)_2$$

$$\text{So, } (2n+1) = 2^m b_m + 2^{m-1} b_{m-1} + \dots + 2b_1 + b_0$$

Since $2n+1$ is an odd number $b_0 = 1$



Binary Expansion Technique

$$2n+1 = 2^m b_m + \dots + 2b_1 + b_0$$

$$2n+1 = 2^m b_m + \dots + 2b_1 + 1$$

$$2n = 2^m b_m + \dots + 2b_1$$

$$n = 2^{m-1} b_m + \dots + b_1$$

This means that $n = (b_m, \dots, b_1)_2$. . . Fact [2]

From [1] & [2], we observe same representation for both even/odd n . So, the CF need not have 2 cases



Binary Expansion Technique

$$g(2n+0) = g(2n+1) = g((b_m, b_{m-1}, \dots, b_1, b_0)_2)$$
$$= 3g((b_m, b_{m-1}, \dots, b_1)_2) + \xi[(b_m, b_{m-1}, \dots, b_1)_2]^2 + \gamma(b_m, b_{m-1}, \dots, b_1)_2 + \beta_{b_0}$$

$$= 3\{3g((b_m, b_{m-1}, \dots, b_2)_2) + \xi[(b_m, b_{m-1}, \dots, b_2)_2]^2 + \gamma(b_m, b_{m-1}, \dots, b_2)_2 + \beta_{b_1}\} + \xi[(b_m, b_{m-1}, \dots, b_1)_2]^2 + \gamma(b_m, b_{m-1}, \dots, b_1)_2 + \beta_{b_0}$$

OBSERVATION:

$\beta_{b_0} = \beta_1$ if $b_0 = 1$

And

$\beta_{b_0} = \beta_0$ if $b_0 = 0$

Similarly,

$\beta_{b_1} = \beta_1$ if $b_1 = 1$

And

$\beta_{b_1} = \beta_0$ if $b_1 = 0$



Binary Expansion Technique

$$\begin{aligned} & g((b_m, b_{m-1}, \dots, b_1, b_0)_2) \\ &= 3^2 g((b_m, b_{m-1}, \dots, b_2)_2) + 3\xi[(b_m, b_{m-1}, \dots, b_2)_2]^2 + 3\gamma(b_m, \\ & \quad b_{m-1}, \dots, b_2)_2 + 3\beta_{b_1} + \xi[(b_m, b_{m-1}, \dots, b_1)_2]^2 + \gamma(b_m, b_{m-1}, \\ & \quad \dots, b_1)_2 + \beta_{b_0} \\ &= 3^2 \{ 3g((b_m, b_{m-1}, \dots, b_3)_2) + \xi[(b_m, b_{m-1}, \dots, b_3)_2]^2 + \gamma(b_m, \\ & \quad b_{m-1}, \dots, b_3)_2 + \beta_{b_2} \} + 3\xi[(b_m, b_{m-1}, \dots, b_2)_2]^2 + 3\gamma(b_m, b_{m-1}, \\ & \quad \dots, b_2)_2 + 3\beta_{b_1} + \xi[(b_m, b_{m-1}, \dots, b_1)_2]^2 + \gamma(b_m, b_{m-1}, \dots, \\ & \quad b_1)_2 + \beta_{b_0} \end{aligned}$$



Binary Expansion Technique

(repeated)

$$= 3^2 \{ 3g((b_m, b_{m-1}, \dots, b_3)_2) + \xi[(b_m, b_{m-1}, \dots, b_3)_2]^2 + \gamma(b_m, b_{m-1}, \dots, b_3)_2 + \beta_{b_2} \} + 3\xi[(b_m, b_{m-1}, \dots, b_2)_2]^2 + 3\gamma(b_m, b_{m-1}, \dots, b_2)_2 + 3\beta_{b_1} + \xi[(b_m, b_{m-1}, \dots, b_1)_2]^2 + \gamma(b_m, b_{m-1}, \dots, b_1)_2 + \beta_{b_0}$$

$$= 3^3 g((b_m, b_{m-1}, \dots, b_3)_2) + 3^2 \xi[(b_m, b_{m-1}, \dots, b_3)_2]^2 + 3^2 \gamma(b_m, b_{m-1}, \dots, b_3)_2 + 3^2 \beta_{b_2} + 3\xi[(b_m, b_{m-1}, \dots, b_2)_2]^2 + 3\gamma(b_m, b_{m-1}, \dots, b_2)_2 + 3\beta_{b_1} + \xi[(b_m, b_{m-1}, \dots, b_1)_2]^2 + \gamma(b_m, b_{m-1}, \dots, b_1)_2 + \beta_{b_0}$$



Binary Expansion Technique

(repeated)

$$= 3^3 g((b_m, b_{m-1}, \dots, b_3)_2) + 3^2 \mathcal{E}[(b_m, b_{m-1}, \dots, b_3)_2]^2 + 3^2 \gamma(b_m, b_{m-1}, \dots, b_3)_2 + 3^2 \beta_{b_2} + 3 \mathcal{E}[(b_m, b_{m-1}, \dots, b_2)_2]^2 + 3 \gamma(b_m, b_{m-1}, \dots, b_2)_2 + 3 \beta_{b_1} + \mathcal{E}[(b_m, b_{m-1}, \dots, b_1)_2]^2 + \gamma(b_m, b_{m-1}, \dots, b_1)_2 + \beta_{b_0}$$

Generalizing:

$$g((b_m, b_{m-1}, \dots, b_1, b_0)_2) \\ = 3^m g((b_m)_2) + \mathcal{E}\{3^{m-1}[(b_m)_2]^2 + 3^{m-2}[(b_m b_{m-1})_2]^2 + 3^0[(b_m \dots b_1)_2]^2\} \\ + \gamma\{3^{m-1}(b_m)_2 + 3^{m-2}(b_m b_{m-1})_2 + \dots + 3^0(b_m, \dots, b_1)_2\} + 3^{m-1} \beta_{b_{m-1}} + \\ 3^{m-2} \beta_{b_{m-2}} + \dots + 3^1 \beta_{b_1} + 3^0 \beta_{b_0}$$



Binary Expansion Technique

Input value “1” is represented by only one bit “ b_m ”. In this case $b_m = 1$.

Also, the only time when b_m is 0, is when the decimal number itself is 0. Any natural number is going to have $b_m = 1$.

Since the input to R are all natural numbers, therefore, in our computation $b_m = 1$.

So, $g(b_m) = g(1) = \alpha$



Binary Expansion Technique

$$g((b_m, b_{m-1}, \dots, b_1, b_0)_2)$$
$$= 3^m \alpha + \xi \{ 3^{m-1} [(b_m)_2]^2 + 3^{m-2} [(b_m b_{m-1})_2]^2 + 3^0 [(b_m \dots b_1)_2]^2 \} +$$
$$\gamma \{ 3^{m-1} (b_m)_2 + 3^{m-2} (b_m b_{m-1})_2 + \dots + 3^0 (b_m, \dots, b_1)_2 \} + 3^{m-1} \beta_{b_{m-1}}$$
$$+ 3^{m-2} \beta_{b_{m-2}} + \dots + 3^1 \beta_{b_1} + 3^0 \beta_{b_0}$$

$$= 3^m \alpha + \xi \{ \sum 3^{m-i} [(b_m \dots b_{m-i+1})_2]^2 \} + \gamma \{ \sum 3^{m-i} (b_m \dots b_{m-i+1})_2 \}$$
$$+ \sum 3^{m-i} \beta_{b_{m-i}}$$

(i = 1 to m)



Example

$n = 8$: Binary representation: $(1000)_2$

$m = 3$, $b_0 = 0$, $b_1 = 0$, $b_2 = 0$ and $b_3 = b_m = 1$

$$\begin{aligned} \text{CF: } g((b_m, b_{m-1}, \dots, b_1, b_0)_2) \\ = 3^m \alpha + \xi \{ \sum 3^{m-i} [(b_m \dots b_{m-i+1})_2]^2 \} + \gamma \{ \sum 3^{m-i} (b_m \dots b_{m-i+1})_2 \} \\ + \sum 3^{m-i} \beta_{b_{m-i}} \end{aligned}$$

$$\begin{aligned} g((1000)_2) = 3^3 \alpha + \xi \sum 3^{3-i} (b_3 \dots b_{3-i+1})^2 + \gamma \sum 3^{3-i} (b_3 \dots b_{3-i+1}) \\ + \sum 3^{3-i} \beta_{b_{3-i}} \end{aligned}$$

Example

$$g((1000)_2) = 3^3\alpha + \xi \sum 3^{3-i} (b_3 \dots b_{3-i+1})^2 + \gamma \sum 3^{3-i} (b_3 \dots b_{3-i+1}) + \sum 3^{3-i} \beta_{b_{3-i}}$$

$$= 3^3\alpha + \xi \{ 3^{3-1} [(b_3 \dots b_{3-1+1})_2]^2 + 3^{3-2} [(b_3 \dots b_{3-2+1})_2]^2 + 3^{3-3} [(b_3 \dots b_{3-3+1})_2]^2 \} + \gamma \{ 3^{3-1} (b_3 \dots b_{3-1+1})_2 + 3^{3-2} (b_3 \dots b_{3-2+1})_2 + 3^{3-3} (b_3 \dots b_{3-3+1})_2 \} + 3^{3-1} \beta_{b_{3-1}} + 3^{3-2} \beta_{b_{3-2}} + 3^{3-3} \beta_{b_{3-3}}$$

$$= 3^3\alpha + \xi \{ 3^2 [(1)_2]^2 + 3^1 [(10)_2]^2 + 3^0 [(100)_2]^2 \} + \gamma \{ 3^2 (1)_2 + 3^1 (10)_2 + 3^0 (100)_2 \} + 3^2 \beta_0 + 3^1 \beta_0 + 3^0 \beta_0$$

Example

(repeated)

$$= 3^3\alpha + \varepsilon\{3^2[(1)_2]^2 + 3^1[(10)_2]^2 + 3^0[(100)_2]^2\} + \gamma\{3^2(1)_2 + 3^1(10)_2 + 3^0(100)_2\} + 3^2\beta_0 + 3^1\beta_0 + 3^0\beta_0$$

$$= 27\alpha + \varepsilon\{9[1] + 3[4] + [16]\} + \gamma\{9(1) + 3(2) + 1(4)\} + 9\beta_0 + 3\beta_0 + \beta_0$$

$$= 27\alpha + 37\varepsilon + 19\gamma + 13\beta_0$$

D	b ₂	b ₁	b ₀
0	0	0	0
1	0	0	1
2	0	1	0
3	0	1	1
4	1	0	0
5	1	0	1
6	1	1	0
7	1	1	1



Finding General Form of CF

n	g(n)
1	$\alpha + o\varepsilon + o\gamma + o\beta_0 + o\beta_1$
2	$3\alpha + \varepsilon + \gamma + \beta_0 + o\beta_1$
3	$3\alpha + \varepsilon + \gamma + o\beta_0 + \beta_1$
4	$9\alpha + 7\varepsilon + 5\gamma + 4\beta_0 + o\beta_1$
5	$9\alpha + 7\varepsilon + 5\gamma + 3\beta_0 + \beta_1$
6	$9\alpha + 12\varepsilon + 6\gamma + \beta_0 + 3\beta_1$
7	$9\alpha + 12\varepsilon + 6\gamma + o\beta_0 + 4\beta_1$
8	$27\alpha + 37\varepsilon + 19\gamma + 13\beta_0 + o\beta_1$