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CHAPTER 7 SLIDES

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PART 1: Intuitionictic Logic: Philosophical Motivation

Intuitionistic logic has developed as a result of certain philosophical views on the foundation of mathematics, known as intuitionism
Intuitionism was originated by L. E. J. Brouwer in 1908

The first Hilbert style formalization of the intuitionistic logic, formulated as a proof system, is due to A. Heyting (1930)
We **present** a Hilbert style proof system *I* that is equivalent to the Heyting's original formalization

We also **discuss** the **relationship** between intuitionistic and classical logic.



There have been several successful attempts at creating semantics for the intuitionistic logic

The most recent called Kripke models were defined by Kripke in 1964

The **first** intuitionistic semantics was defined in a form of **pseudo-Boolean** algebras by McKinsey and Tarski in years 1944 - 1946

Their **algebraic** approach to intuitionistic and classical semantics was followed by many authors and developed into a **new field** of **Algebraic Logic**

The pseudo- Boolean algebras are called also

Heyting algebras to memorize his **first** accepted formalization

of the intuitionistic logic as a proof system

An uniform presentation of **algebraic models** for classical, intuitionistic and modal logics S4, S5 was first given in a now classic **algebraic logic** book:

"Mathematics of Metamathematics", Rasiowa, Sikorski (1964)

The main **goal** of this chapter is to give a presentation of the intuitionistic logic formulated as Hilbert and Gentzen proof systems

We also discuss its **algebraic** semantics and the fundamental theorems that establish the relationship between classical and intuitionistic propositional logics



Intuitionists' view-point on the **meaning** of the basic logical and set theoretical concepts used in mathematics **is different** from that of most mathematicians use in their research

The basic **difference** between the intuitionist and classical mathematician lies in the **interpretation** of the word exists For example, let A(x) be a statement in the arithmetic of natural numbers. For the mathematicians the sentence $\exists x A(x)$ is **true** if it is a theorem of arithmetic

If a mathematician **proves** sentence $\exists x A(x)$ this **does not** always mean that he is able to indicate a method of construction of a natural number n such that A(n) holds



Moreover, the mathematician often obtains the **proof** of the existential sentence $\exists x A(x)$ by **proving** first a sentence

$$\neg \forall x \ \neg A(x)$$

Next he makes use of a classical tautology

$$(\neg \forall x \ \neg A(x)) \Rightarrow \exists x A(x))$$

By applying Modus Ponens he obtains the **proof** of the existential sentence

$$\exists x A(x)$$

For the intuitionist such method is **not acceptable**, for it **does not** give any method of **constructing** a number n such that A(n) holds



For this reason the intuitionist do not accept the classical tautology

$$(\neg \forall x \ \neg A(x)) \Rightarrow \exists x A(x))$$

as intuitionistic tautology or as as an intuitionistically provable sentence

We denote by $\vdash_I A$, $\models_I A$ that a formula A is intuitionistically **provable**, and is intuitionistic **tautology**, respectively

The **proof system** *I* for the intuitionistic logic has to be such that

$$\mu_{I} (\neg \forall x \neg A(x)) \Rightarrow \exists x A(x))$$

and the **intuitionistic semantics** / has to be such that

$$\not\models_I (\neg \forall x \ \neg A(x)) \Rightarrow \exists x A(x))$$



The intuitionists interpret **differently** the meaning of propositional connectives

Intuitionistic implication

The intuitionistic implication $(A \Rightarrow B)$ is considered to be **true** if there exists a method by which a proof of B can be **deduced** from the proof of A For **example**, in the case of the implication

$$i(\neg \forall x \ \neg A(x)) \Rightarrow \exists x A(x))$$

there is no general method which, from a proof of the sentence

$$(\neg \forall x \neg A(x))$$

permits us to obtain an intuitionistic proof of the sentence

$$\exists x A(x)$$



Intuitionistic negation

The sentence $\neg A$ is considered intuitionistically true only if the **acceptance** of the sentence A leads to **absurdity**

As a result of above understanding of negation and implication we have that in the intuitionistic proof system /

$$\vdash_{I} (A \Rightarrow \neg \neg A)$$
 but $\nvdash_{I} (\neg \neg A \Rightarrow A)$

Consequently, the intuitionistic **semantics** / has to be such that

$$\models_{I} (A \Rightarrow \neg \neg A)$$
 and $\not\models_{I} (\neg \neg A \Rightarrow A)$



Intuitionistic disjunction

The intuitionist regards a **disjunction** $(A \cup B)$ as **true** only if **one** of the sentences A, B is **true** and there is a method

by which it is possible to find out which of them is true

As a consequence a classical law of excluded middle

$$(A \cup \neg A)$$

is not acceptable by the intuitionists

This means that the the intuitionistic proof system / must be such that

$$r_I (A \cup \neg A)$$

and the intuitionistic semantics / has to be such that

$$\not\models_I (A \cup \neg A)$$



PART 2: Intuitionistic Proof System /

Algebraic Semantics and Completeness Theorem

We define now a Hilbert style **proof system** / with a set of axioms that is due to Rasiowa (1959). We adopted this axiomatization for two reasons

First reason is that it is the most natural and appropriate set of axioms to carry the the algebraic proof of the **completeness theorem**

Second reason is that they clearly describe the main **difference** between **intuitionistic** and **classical** logic Namely, by **adding** to *I* the only one more axiom

$$(A \cup \neg A)$$

we get a **complete** formalization for classical logic



Here are the components if the proof system I

Language

We adopt a propositional language

$$\mathcal{L} = \mathcal{L}_{\{\cup,\cap,\Rightarrow,\neg\}}$$

with the set of formulas \mathcal{F}

Axioms

A1
$$((A \Rightarrow B) \Rightarrow ((B \Rightarrow C) \Rightarrow (A \Rightarrow C)))$$

A2
$$(A \Rightarrow (A \cup B))$$

A3
$$(B \Rightarrow (A \cup B))$$

A4
$$((A \Rightarrow C) \Rightarrow ((B \Rightarrow C) \Rightarrow ((A \cup B) \Rightarrow C)))$$

A5
$$((A \cap B) \Rightarrow A)$$

A6
$$((A \cap B) \Rightarrow B)$$

A7
$$((C \Rightarrow A) \Rightarrow ((C \Rightarrow B) \Rightarrow (C \Rightarrow (A \cap B)))$$



A7
$$((C \Rightarrow A) \Rightarrow ((C \Rightarrow B) \Rightarrow (C \Rightarrow (A \cap B)))$$

A8 $((A \Rightarrow (B \Rightarrow C)) \Rightarrow ((A \cap B) \Rightarrow C))$
A9 $(((A \cap B) \Rightarrow C) \Rightarrow (A \Rightarrow (B \Rightarrow C)),$

A10
$$(A \cap \neg A) \Rightarrow B)$$
,

A11
$$((A \Rightarrow (A \cap \neg A)) \Rightarrow \neg A),$$

where A, B, C are any formulas in \mathcal{L}

Rules of inference

We adopt the Modus Ponens

$$(MP) \; \frac{A \; ; \; (A \Rightarrow B)}{B}$$

as the only rule of inference

A proof system

$$\mathbf{I} = (\mathcal{L}, \mathcal{F} A1 - A11, (MP))$$

for axioms A1 - A11 defined above is called a Hilbert style formalization for intuitionistic propositional logic

We introduce, as usual, the notion of a **formal proof** in *I* and denote by

$$\vdash_I A$$

the fact that a formula A has a formal **proof** in I or that A is **provable** in I



Algebraic Semantics and Completeness Theorem

We present now a short version of Tarski, Rasiowa, and Sikorski psedo-Boolean algebra semantics

We also discuss the algebraic **completeness theorem** for the intuitionistic propositional logic

We leave the **Kripke semantics** for the reader to **explore** from other, multiple sources

Here are some basic definitions

Relatively Pseudo-Complemented Lattice (Birkhoff, 1935)

A lattice

$$(B,\cap,\cup)$$

is said to be relatively pseudo-complemented if and only if for any elements $a, b \in B$, there exists the **greatest** element c, such that

$$a \cap c \leq b$$

Such greatest element c is denoted by $a \Rightarrow b$ and called the **pseudo-complement** of a **relative** to b



Directly from definition we have that

(*)
$$x \le a \Rightarrow b$$
 if and only if $a \cap x \le b$ for all $x, a, b \in B$

This equation (*) can serve as the **definition** of the relative pseudo-complement $a \Rightarrow b$

Fact

Every relatively pseudo-complemented lattice (B, \cap, \cup) has the **greatest** element, called a unit element and denoted by 1 **Proof**

Observe that $a \cap x \le a$ for all $x, a \in B$

By (*) we have that $x \le a \Rightarrow a$ for all $x \in B$

This means that $a \Rightarrow a$ is the greatest element in the lattice (B, \cap, \cup) . We write it as

$$a \Rightarrow a = 1$$



Definition

An abstract algebra

$$\mathcal{B} = (B, 1, \Rightarrow, \cap, \cup)$$

is said to be a **relatively pseudo-complemented lattice** if and only if (B, \cap, \cup) is relatively pseudo-complemented lattice with the relative pseudo-complement \Rightarrow defined by the equation

(*)
$$x \le a \Rightarrow b$$
 if and only if $a \cap x \le b$ for all $x, a, b \in B$

and with the unit element 1



Relatively Pseudo-complemented Set Lattices

Consider a **topological** space X with an interior operation I Let $\mathcal{G}(X)$ be the class of all open subsets of X and $\mathcal{G}^*(X)$ be the class of all both dense and open subsets of X. Then the algebras

$$(\mathcal{G}(X), X, \cup, \cap, \Rightarrow), (\mathcal{G}^*(X), X, \cup, \cap, \Rightarrow)$$

where \cup , \cap are set-theoretical operations of union, intersection, and \Rightarrow is defined by

$$Y \Rightarrow Z = I(X - Y) \cup Z$$

are relatively pseudo-complemented lattices
Clearly, all sub algebras of these algebras are also relatively pseudo-complemented lattices They are typical examples of relatively pseudo-complemented lattices

Pseudo - Boolean Algebra (Heyting Algebra)

An algebra

$$\mathcal{B} = (B, 1, 0, \Rightarrow, \cap, \cup, \neg)$$

is said to be a pseudo - Boolean algebra if and only if

$$(B, 1, \Rightarrow, \cap, \cup)$$

is a relatively pseudo-complemented lattice in which a zero element 0 exists and ¬ is a one argument operation defined as follows

$$\neg a = a \Rightarrow 0$$

The operation — is called a **pseudo-complementation**The **pseudo - Boolean** algebras are also called **Heyting**algebras to stress their connection to the **intuitionistic** logic



Let X be **topological** space with an interior operation ILet G(X) be the class of all open subsets of XThen

$$(\mathcal{G}(X), X, \emptyset, \cup, \cap, \Rightarrow, \neg)$$

where \cup , \cap are set-theoretical operations of union, intersection, and \Rightarrow is defined by

$$Y \Rightarrow Z = I(X - Y) \cup Z$$

and - is defined as

$$\neg Y = Y \Rightarrow \emptyset = I(X - Y)$$
, for all $Y \subseteq X$

is a pseudo - Boolean algebra

Every sub algebra of $\mathcal{G}(X)$ is also a pseudo-Boolean algebra. They are called **pseudo-fields of sets**



The following theorem states that pseudo-fields are typical examples of pseudo - Boolean algebras.

The theorems of this type are often called **Stone Representation Theorems** to remember an American mathematician H. M. Stone

Stone was one of the **first** to initiate the investigations of **relationship** between **logic** and general **topology** in the article

"The Theory of Representations for Boolean Algebras", Trans. of the Amer.Math, Soc 40, 1936



Representation Theorem (McKinsey, Tarski, 1946)

For every **pseudo - Boolean** algebra

$$\mathcal{B} = (B, 1, 0, \Rightarrow, \cap, \cup, \neg)$$

there exists a monomorphism h of \mathcal{B} into a **pseudo-field** $\mathcal{G}(X)$ of all open subsets of a compact topological \mathcal{T}_0 space X

Intuitionistic Algebraic Model

We say that a formula A is an intuitionistic tautology if and only if

any **pseudo-Boolean** algebra \mathcal{B} is a **model** for A

This kind of **models** because their connection to abstract algebras are called **algebraic models**We put it formally as follows.

Intuitionistic Algebraic Model

Intuitionistic Algebraic Model

Let A be a formula of the language $\mathcal{L}_{\{\cup,\cap,\Rightarrow,\neg\}}$ and let

$$\mathcal{B} = (B, 1, 0, \Rightarrow, \cap, \cup, \neg)$$

be a pseudo - Boolean algebra

We say that the algebra ${\cal B}$ is a **model** for the formula ${\cal A}$ and denote it by

$$\mathcal{B} \models A$$

if and only if $v^*(A) = 1$ holds for all variables assignments

$$v: VAR \longrightarrow B$$



Intuitionistic Tautology

Intuitionistic Tautology

The formula A is an **intuitionistic tautology** and is denoted by

$$\models_I A$$

if and only if

 $\mathcal{B} \models A$ for all pseudo-Boolean algebras \mathcal{B}

In **Algebraic Logic** the notion of tautology is often defined using a notion

"a formula A is valid in an algebra B"

It is formally defined as follows



Intuitionistic Tautology

Definition

A formula A is valid in a pseudo-Boolean algebra

$$\mathcal{B} = (B, 1, 0, \Rightarrow, \cap, \cup, \neg)$$

if and only if $v^*(A) = 1$ holds for all variables assignments $v : VAR \longrightarrow B$

Directly from definitions we get the following

Fact

For any formula A,

 $\models_I A$ if and only if A is **valid**

in all pseudo-Boolean algebras *B*

The **Fact** is often used as an equivalent **definition** of the intuitionistic tautology



Intuitionistic Completeness

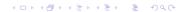
We write now $\vdash_I A$ to denote **any** proof system for the intuitionistic propositional logic, and in particular the Rasiowa (1959) proof system we have defined

Intuitionistic Completeness Theorem (Mostowski 1948)

For any formula A of $\mathcal{L}_{\{\cup, \cap, \Rightarrow, \neg\}}$,

 $\vdash_{l} A$ if and only if $\models_{l} A$

The intuitionistic completeness theorem follows directly from the general **algebraic completeness theorem** that combines results of of Mostowski (1958), Rasiowa (1951) and Rasiowa-Sikorski (1957)



Algebraic Completeness

Algebraic Completeness Theorem

For any formula A he following conditions are equivalent

- (i) ⊢_/ A
- (ii) |=₁ A
- (iii) A is valid in every pseudo-Boolean algebra

$$(\mathcal{G}(X), X, \emptyset, \cup, \cap, \Rightarrow, \neg)$$

of open subsets of any topological space X

(iv) A is valid in every pseudo-Boolean algebra \mathcal{B} with at most 2^{2^r} elements, where r is the number of all sub formulas of A

Moreover, each of the conditions (i) - (iv) is equivalent to the following one.

(v) A is valid in the pseudo-Boolean algebra $(\mathcal{G}(X), X, \emptyset, \cup, \cap, \Rightarrow, \neg)$ of open subsets of a dense-in -itself metric space $X \neq \emptyset$ (in particular of an n-dimensional Euclidean space X)



Chapter 7 Introduction to Intuitionistic and Modal Logics

PART 3: Intuitionistic Tautologies and Connection with Classical Tautologies

Intuitionistic Tautologies

Here are some important **basic** classical tautologies that are also intuitionistic **tautologies**

$$(A \Rightarrow A)$$

$$(A \Rightarrow (B \Rightarrow A))$$

$$(A \Rightarrow (B \Rightarrow (A \cap B)))$$

$$((A \Rightarrow (B \Rightarrow C)) \Rightarrow ((A \Rightarrow B) \Rightarrow (A \Rightarrow C)))$$

$$(A \Rightarrow \neg \neg A)$$

$$\neg (A \cap \neg A)$$

$$((\neg A \cup B) \Rightarrow (A \Rightarrow B))$$

Of course, all of logical axioms A1 - A11 of the proof system I are also classical and intuitionistic tautologies

Intuitionistic Tautologies

Here are some **more** of important classical tautologies that are intuitionistic **tautologies**

$$((\neg A \cup B) \Rightarrow (A \Rightarrow B))$$
8. $(\neg (A \cup B) \Rightarrow (\neg A \cap \neg B))$
 $((\neg A \cap \neg B) \Rightarrow (\neg (A \cup B))$
 $((\neg A \cup \neg B) \Rightarrow \neg (A \cap B))$
 $((A \Rightarrow B) \Rightarrow (\neg B \Rightarrow \neg A))$
 $((A \Rightarrow \neg B) \Rightarrow (B \Rightarrow \neg A))$
 $(\neg A \Rightarrow \neg \neg A)$
 $(\neg A \Rightarrow \neg \neg A)$
 $(\neg A \Rightarrow B) \Rightarrow (A \Rightarrow \neg B)$
 $((C \Rightarrow A) \Rightarrow ((C \Rightarrow (A \Rightarrow B)) \Rightarrow (C \Rightarrow B))$

Intuitionistic Tautologies

Here are some important classical tautologies that are not intuitionistic tautologies

$$(A \cup \neg A)$$

$$(\neg \neg A \Rightarrow A)$$

$$((A \Rightarrow B) \Rightarrow (\neg A \cup B))$$

$$(\neg (A \cap B) \Rightarrow (\neg A \cup \neg B))$$

$$((\neg A \Rightarrow B) \Rightarrow (\neg B \Rightarrow A))$$

$$((\neg A \Rightarrow \neg B) \Rightarrow (B \Rightarrow A))$$

$$((A \Rightarrow B) \Rightarrow A) \Rightarrow A)$$

Connection Between Classical and Intuitionistic Logics

Connection Between Classical and Intuitionistic Logics

The first **connection** is quite obvious

It was proved by Rasiowa, Sikorski in 1964 that by adding the axiom

A12
$$(A \cup \neg A)$$

to the set of of logical axioms A1 - A11 of the proof system I we obtain a proof system C that is **complete** with respect to classical semantics

This proves the following

Theorem 1

Every formula that is intuitionistically derivable is also classically derivable, i.e. the implication

If
$$\vdash_I A$$
 then $\vdash_C A$

holds for any $A \in \mathcal{F}$



We write $\models A$ and $\models_I A$ to denote that A is a classical and intuitionistic tautology, respectively.

As both proof systems I and C are **complete** under respective semantics, we can re-write **Theorem 1** as the following **relationship** between **classical** and **intuitionistic** tautologies

Theorem 2

For any formula $A \in \mathcal{F}$,

If $\models_{I} A$, then $\models A$



The next **relationship** shows how to obtain intuitionistic tautologies from the classical tautologies and vice versa. The following has been proved by Glivenko in 1929 and independently by Tarski in 1938

Theorem 3 (Glivenko, Tarski)

For any formula $A \in \mathcal{F}$,

A is classically provable if and only if $\neg \neg A$ is intuitionistically provable, i.e.

 $\vdash A$ if and only if $\vdash_I \neg \neg A$

where we use symbol ⊢ for classical provability



Theorem 4 (Tarski, 1938)

For any formula $A \in \mathcal{F}$,

A is a classical tautology if and only if $\neg \neg A$ is an intuitionistic tautology, i.e.

 $\models A$ if and only if $\models_I \neg \neg A$

Theorem 5 (Gödel, 1931)

For any formulas $A, B \in \mathcal{F}$,

a formula $(A \Rightarrow \neg B)$ is classically provable if and only if it is intuitionistically provable, i.e.

$$\vdash (A \Rightarrow \neg B)$$
 if and only if $\vdash_I (A \Rightarrow \neg B)$



Theorem 6 (Gödel, 1931) For any formula $A, B \in \mathcal{F}$, If A contains **no connectives** except \cap and \neg , then A is classically provable if and only if it is intuitionistically provable, i.e

 $\vdash A$ if and only if $\vdash_i A$



By the completeness of classical and intuitionisctic logics we get the following semantic version of Gödel's Theorems 5, 6

Theorem 7

A formula $(A \Rightarrow \neg B)$ is a classical tautology if and only if it is an intuitionistic tautology, i.e.

$$\models (A \Rightarrow \neg B)$$
 if and only if $\models_I (A \Rightarrow \neg B)$

Theorem 8

If a formula A contains no connectives except \cap and \neg , then

$$\models A$$
 if and only if $\models_I A$



On intuitionistically derivable disjunction

In classical logic it is possible for the disjunction

 $(A \cup B)$

to be a **tautology** when neither **A** nor **B** is a **tautology**

The tautology $(A \cup \neg A)$ is the simplest example

This does not hold for the intuitionistic logic

This fact was **stated** without the proof by Gödel in 1931 and **proved** by Gentzen in 1935 via his proof system **LI** which was discussed shortly in chapter 6 and is covered in detail in this chapter and the next set of slides



On intuitionistically derivable disjunction

The following theorem was announced without proof by Gödel in 1931 and proved by Gentzen in 1935

Theorem 9 (Gödel, Gentzen)

A disjunction $(A \cup B)$ is intuitionistically provable if and only if either A or B is intuitionistically provable i.e.

$$\vdash_{I} (A \cup B)$$
 if and only if $\vdash_{I} A$ or $\vdash_{I} B$

We obtain, via the **Completeness Theorems** the following semantic version of the above

Theorem 10

A disjunction $(A \cup B)$ is intuitionistic tautology if and only if either A or B is intuitionistic tautology, i.e.

$$\models_{I} (A \cup B)$$
 if and only if $\models_{I} A$ or $\models_{I} B$



Chapter 7 Introduction to Intuitionistic and Modal Logics

Slides Set 2

PART 4: Gentzen Sequent System LI

Gentzen Sequent System LI

G. Gentzen formulated in 1935 a first syntactically decidable (in propositional case) proof systems for classical and intuitionistic logics

He proved their equivalence with their well established, respective Hilbert style formalizations

He **named** his classical system **LK** (K for Klassisch) and intuitionistic system **LI** (I for Intuitionistisch)



Gentzen Sequent System LI

In order to prove the **completeness** of the system **LK** and to prove the **adequacy** of **LI** he introduced a special inference rule, called **cut** rule that **corresponds** to the **Modus Ponens** rule in **Hilbert** style proof systems

Then, as the next step he proved the now famous **Hauptzatz**, called in English the **Cut Elimination Theorem**

Gentzen Sequent System LI

Gentzen original proof system LI is a particular case of his proof system LK for the classical logic

Both of them are presented in chapter 6 together with the original Gentzen's proof of the **Hauptzatz** for both, **LK** and **LI** proof systems

The elimination of the cut rule and the structure of other rules makes it possible to define effective automatic procedures for **proof** search, what is impossible in a case of the Hilbert style systems

LI Sequents

The Gentzen system LI is defined as follows.

Let

$$SQ = \{ \Gamma \longrightarrow \Delta : \Gamma, \Delta \in \mathcal{F}^* \}$$

be the set of all Gentzen sequents built out of the formulas of the language

$$\mathcal{L} = \mathcal{L}_{\{\cup,\cap,\Rightarrow,\neg\}}$$

and the additional Gentzen arrow symbol ---

We assume that all LI sequents are elements of a following subset ISQ of the set SQ of all sequents

$$ISQ = \{\Gamma \longrightarrow \Delta : \Delta \text{ consists of at most one formula } \}$$

The set *ISQ* is called the set of all **intuitionistic sequents**; the **LI** sequents



Axioms of LI

Logical Axioms of **LI** consist of any sequent from the set ISQ which contains a formula that appears on both sides of the sequent arrow \longrightarrow , i.e any sequent of the form

$$\Gamma, A, \Delta \longrightarrow A$$

for $\Gamma, \Delta \in \mathcal{F}^*$

The set inference rules of **LI** is divided into two groups: the **structural rules** and the **logical rules**

There are three **Structural Rules** of **LI**: Weakening, Contraction and Exchange

Weakening structural rule

$$(weak \rightarrow) \quad \frac{\Gamma \longrightarrow \Delta}{A, \ \Gamma \longrightarrow \Delta}$$
$$(\rightarrow weak) \quad \frac{\Gamma \longrightarrow}{\Gamma \longrightarrow A}$$

A is called the weakening formula

Remember that Δ contains at most one formula

Contraction structural rule

$$(contr \rightarrow) \quad \frac{A, A, \ \Gamma \longrightarrow \Delta}{A, \ \Gamma \longrightarrow \Delta}$$

A is called the contraction formula

Remember that \triangle contains at most one formula

The rule below is **not VALID** for **LI**; we list it as it is used in the classical case

$$(\rightarrow contr) \quad \frac{\Gamma \longrightarrow \Delta, A, A}{\Gamma \longrightarrow \Delta, A}$$



Exchange structural rule

(exch
$$\rightarrow$$
) $\frac{\Gamma_1, A, B, \Gamma_2 \longrightarrow \Delta}{\Gamma_1, B, A, \Gamma_2 \longrightarrow \Delta}$

Remember that \triangle contains at most one formula

The rule below is **not VALID** for **LI**; we list it as it is used in the classical case

$$(\rightarrow exch) \quad \frac{\Delta \longrightarrow \Gamma_1, A, B, \Gamma_2}{\Delta \longrightarrow \Gamma_1, B, A, \Gamma_2}.$$

Logical Rules

Conjunction rules

$$(\cap \to) \quad \frac{A,B,\ \Gamma \longrightarrow \Delta}{(A\cap B),\ \Gamma \longrightarrow \Delta},$$

$$(\to \cap) \quad \frac{\Gamma \longrightarrow A \; ; \; \Gamma \longrightarrow B}{\Gamma \longrightarrow (A \cap B)}$$

Remember that Δ contains at most one formula

Disjunction rules

$$(\rightarrow \cup)_{1} \quad \frac{\Gamma \longrightarrow A}{\Gamma \longrightarrow (A \cup B)}$$

$$(\rightarrow \cup)_{2} \quad \frac{\Gamma \longrightarrow B}{\Gamma \longrightarrow (A \cup B)}$$

$$(\cup \rightarrow) \quad \frac{A, \ \Gamma \longrightarrow \Delta \ ; \ B, \ \Gamma \longrightarrow \Delta}{(A \cup B), \ \Gamma \longrightarrow \Delta}$$

Remember that \triangle contains at most one formula

Implication rules

$$(\rightarrow \Rightarrow) \quad \frac{A, \ \Gamma \longrightarrow B}{\Gamma \longrightarrow (A \Rightarrow B)}$$
$$(\Rightarrow \rightarrow) \quad \frac{\Gamma \longrightarrow A \ ; \ B, \ \Gamma \longrightarrow \Delta}{(A \Rightarrow B), \ \Gamma \longrightarrow \Delta}$$

Remember that \triangle contains at most one formula

Gentzen System LI

Negation rules

$$(\neg \rightarrow) \quad \frac{\Gamma \longrightarrow A}{\neg A, \ \Gamma \longrightarrow}$$

$$(\rightarrow \neg) \quad \frac{A, \Gamma \longrightarrow}{\Gamma \longrightarrow \neg A}$$

We define the Gentzen system LI as

$$LI = (\mathcal{L}, ISQ, LA, Structural rules, Logical rules)$$



LI Completeness

The completeness of the **cut-free** LI follows directly from LI **Hauptzatz** proved in chapter 6 and the **intuitionistic completeness** (Mostowski 1948)

Completeness of LI

For any sequent $\Gamma \longrightarrow \Delta \in ISQ$,

 $\vdash_{LI} \Gamma \longrightarrow \Delta$ if and only of $\models_I \Gamma \longrightarrow \Delta$

In particular, for any formula A,

 $\vdash_{LI} A$ if and only of $\models_I A$



Intuitionistic Disjunction

The particular form the following theorem was stated without the proof by Gödel in 1931

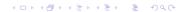
The theorem proved by Gentzen in 1935 via Hauptzatz and we follow his proof

Intuitionistically Derivable Disjunction

For any formulas $A, B \in \mathcal{F}$,

$$\vdash_{LI} (A \cup B)$$
 if and only if $\vdash_{LI} A$ or $\vdash_{LI} B$

In particular, a disjunction $(A \cup B)$ is intuitionistically **provable** in any proof system I if and only if either A or B is intuitionistically **provable** in I



Intuitionistic Disjunction

Proof of

$$\vdash_{LI} (A \cup B)$$
 if and only if $\vdash_{LI} A$ or $\vdash_{LI} B$

Assume $\vdash_{LI} (A \cup B)$

This equivalent to $\vdash_{LI} \longrightarrow (A \cup B)$

The last step in the proof of \longrightarrow $(A \cup B)$ in **LI** must be the application of the rule $(\rightarrow \cup)_1$ to the sequent $\longrightarrow A$, or the application of the rule $(\rightarrow \cup)_2$ to the sequent $\longrightarrow B$

There is no other possibilities

We have proved that $\vdash_{LI} (A \cup B)$ implies $\vdash_{LI} A$ or $\vdash_{LI} B$

The inverse implication is obvious by respective applications of rules $(\to \cup)_1$ or $(\to \cup)_2$ to the sequents $\to A$ or $\to B$



Search for proofs in **LI** is a much more complicated process then the one in classical logic systems **RS** or **GL** defined in chapter 6

Here, as in any other Gentzen style proof system, proof search procedure consists of building the **decomposition** trees

Remark 1

In **RS** the decomposition tree T_A of any formula A is always unique

Remark 2

In **GL** the "blind search" defines, for any formula **A** a **finite** number of decomposition trees,

Nevertheless, it can be proved that the search can be reduced to examining only **one** of them, due to the **absence** of structural rules



Remark 3

In **LI** the structural rules play a **vital role** in the proof construction and hence, in the proof search

The fact that a given **decomposition** tree ends with an **non-axiom leaf does not** always imply that the proof **does not** exist

It might only imply that our search strategy was not good

The problem of **deciding** whether a given formula *A* **does**, or **does not** have a proof in **LI** becomes more complex then in the case of Gentzen system for classical logic

Before we define a heuristic method of searching for proof and deciding whether such a proof exists or not we make some observations

Observation 1

Logical rules of **LI** are similar to those in Gentzen type classical formalizations we already examined in previous chapters in a sense that each of them introduces a logical connective

Observation 2

The process of searching for a proof is a **decomposition** process in which we use the inverse of logical and structural rules as **decomposition** rules

For **example** the implication rule:

$$(\to \Rightarrow) \frac{A, \Gamma \longrightarrow B}{\Gamma \longrightarrow (A \Rightarrow B)}$$

becomes an implication **decomposition** rule (we use the same name $(\rightarrow \Rightarrow)$ in both cases)

$$(\to \Rightarrow) \frac{\Gamma \longrightarrow (A \Rightarrow B)}{A, \Gamma \longrightarrow B}$$

Observation 3

We write proofs as **trees**, so the proof search process is a process of building decomposition trees

To facilitate the process we write the **decomposition** rules in a tree decomposition form as follows

$$\Gamma \longrightarrow (A \Rightarrow B)$$

$$|(\rightarrow \Rightarrow)$$

$$A, \Gamma \longrightarrow B$$

The two premisses rule $(\Rightarrow \rightarrow)$ written as the tree decomposition rule becomes

$$(A \Rightarrow B), \Gamma \longrightarrow \bigwedge (\Rightarrow \rightarrow)$$

$$\Gamma \longrightarrow A \qquad B, \Gamma \longrightarrow$$

The structural weakening rule written as the decomposition rule is

$$(\rightarrow weak) \xrightarrow{\Gamma \longrightarrow A}$$

We write it in a tree decomposition form as

$$\begin{array}{c} \Gamma \longrightarrow A \\ \mid (\rightarrow \textit{weak}) \end{array}$$

We define the notion of decomposable and indecomposable formulas and sequents as follows

Decomposable formula is any formula of the degree ≥ 1 **Decomposable sequent** is any sequent that contains a decomposable formula

Indecomposable formula is any formula of the degree 0 i.e. is any propositional variable



Remark

In a case of formulas written with use of capital letters A, B, C, \dots etc, we treat these letters as propositional variables, i.e. as **indecomposable formulas**

Indecomposable sequent is a sequent formed from indecomposable formulas only.

Decomposition Tree Construction (1)

Given a formula A we construct its **decomposition** tree T_A as follows

Root of the tree T_A is the sequent $\longrightarrow A$

Given a **node** *n* of the tree we identify a **decomposition** rule applicable at this node and write its **premisses** as the **leaves** of the **node** *n*

We **stop** the decomposition process when we obtain an **axiom** or all leaves of the tree are **indecomposable**



Observation 4

The decomposition tree T_A obtained by the **Construction** (1) most often is not unique

Observation 5

The fact that we **find** a decomposition tree T_A with a non-axiom leaf **does not** mean that F_{LI}

This is due to the role of **structural rules** in **LI** and will be discussed later

Proof Search Examples

We perform proof search and **decide** the existence of proofs in **LI** for a given formula $A \in \mathcal{F}$ by constructing its **decomposition** trees T_A

We examine here some **examples** to show the **complexity** of the problem

Reminder

In the following and similar examples when building the decomposition trees for formulas representing general schemas we treat the capital letters *A*, *B*, *C*, *D*... as propositional variables, i.e. as **indecomposable** formulas



Example 1

Determine] whether

$$\vdash_{\mathsf{LI}} ((\neg A \cap \neg B) \Rightarrow \neg (A \cup B))$$

Observe that

If we find a decomposition tree of *A* in **LI** such that all its leaves are axiom, we have a proof, i.e

If all possible decomposition trees have a non-axiom leaf then the proof of A in LI does not exist, i.e.

$$\mathcal{L}_{\mathsf{LI}} A$$



Consider the following decomposition tree T1A

The tree T1_A has a non-axiom leaf, so it does not constitute a proof in LI

Observe that the **decomposition** tree in **LI** is not always unique

Hence the existence of a non-axiom leaf does not yet prove that the **proof** of A does not exist

Consider the following decomposition tree T2A



 $|(\neg \longrightarrow)$

axiom

 $B, \neg A \longrightarrow B$; axiom 4 D > 4 P > 4 B > 4 B > B 900

All leaves of T2_A are axioms

This means that the tree T2A is a a proof of A in LI

We hence proved that

$$\vdash_{\mathsf{LI}} ((\neg A \cap \neg B) \Rightarrow \neg (A \cup B))$$

Example 2: Show that

1.
$$\vdash_{\mathsf{LI}} (A \Rightarrow \neg \neg A)$$

2.
$$\mathcal{F}_{LI} (\neg \neg A \Rightarrow A)$$

Solution of 1.

We construct some, or all decomposition trees of

$$\longrightarrow (A \Rightarrow \neg \neg A)$$

A tree T_A that **ends** with all leaves being axioms is a proof of A in LI

We construct T_A as follows

All leaves of **T**_A are axioms so we found the **proof**We **do not** need to construct any other decomposition trees.

Solution of 2.

In order to prove that

$$\mathcal{F}_{\mathsf{LI}} \quad (\neg \neg A \Rightarrow A)$$

we have to construct all decomposition trees of

$$\longrightarrow (\neg \neg A \Rightarrow A)$$

and show that each of them has a non-axiom leaf

Here is $T1_A$

Here is $T2_A$

We can see from the above **decomposition** trees that the "blind" construction of all possible trees only leads to more complicated trees

This is due to the presence of structural rules

The "blind" application of the rule $(contr \rightarrow)$ gives always an infinite number of **decomposition** trees

In order to decide that **none** of them will produce a proof we need some **extra knowledge** about patterns of their **construction**, or just simply about the number o **useful** of application of **structural rules**



In this case we can just make an "external" **observation** that the our first tree $\mathbf{T1}_A$ is in a sense a minimal one It means that all other trees would only **complicate** this one in an inessential way, i.e. the we will never produce a tree with all axioms leaves

One can formulate a deterministic procedure giving a finite number of trees, but the proof of its correctness is needed and that requires some extra knowledge

Within the scope of this book we accept the "external explanation as a sufficient solution



As we can see from the above examples the structural rules and especially the $(contr \longrightarrow)$ rule **complicates** the proof searching task.

Both Gentzen type proof systems RS and GL from the previous chapter don't contain the structural rules

They also are as we have proved, complete with respect to classical semantics.

The <u>original Gentzen</u> system **LK** which does contain the structural rules is also, as proved by Gentzen, **complete**



Hence all three classical proof system RS, GL, LK are equivalent

This proves that the structural rules can be eliminated from the system **LK**

A natural question of elimination of structural rules from the system LI arises

The following example illustrates the negative answer



Example 3

We know that for any formula $A \in \mathcal{F}$,

 $\models A$ if and only if $\vdash_I \neg \neg A$

where $\models A$ means that A is classical tautology

If A means that A is Intutionistically provable in any intuitionistically complete proof system.

The system \coprod is intuitionistically **complete** so have that for any formula $A \in \mathcal{F}$,

 $\models A$ if and only if $\vdash_{\sqcup} \neg \neg A$



Obviously $\models (\neg \neg A \Rightarrow A)$, so we must have that

$$\vdash_{\mathsf{LI}} \neg \neg (\neg \neg A \Rightarrow A)$$

We are going to prove now that the rule $(contr \longrightarrow)$ is **essential** to the **existence** of the proof $\neg\neg(\neg\neg A \Rightarrow A)$ It means that $\neg\neg(\neg\neg A \Rightarrow A)$ **is not provable** without the rule $(contr \longrightarrow)$

The following decomposition tree T_A is a proof of $\neg\neg(\neg\neg A \Rightarrow A)$ with use of the rule (*contr* \longrightarrow)



Assume now that the rule ($contr \longrightarrow$) is not available. All possible decomposition trees are as follows Tree $T1_A$



The next is $T2_A$

The next is $T3_A$

The last one is $T4_A$

We have considered all possible decomposition trees that **do not** involve the contraction rule $(contr \longrightarrow)$ and **none** of them was a proof

This shows that the formula

$$\neg\neg(\neg\neg A\Rightarrow A)$$

is not provable in LI without $(contr \longrightarrow)$ rule, i.e. that we proved the following

Fact

The contraction rule $(contr \longrightarrow)$ can not be eliminated from LI



Before we define a heuristic method of searching for proof in LI let's make some additional observations to the already made observations 1-5

Observation 6

The goal of constructing the decomposition tree is to **obtain** axioms or indecomposable leaves

With respect to this goal the use logical decomposition rules has a priority over the use of the structural rules

We use this information while describing the proof search **heuristic**



Observation 7

All logical decomposition rules $(\circ \to)$, where \circ denotes any connective, must have a formula we want to decompose as the first formula at the decomposition node

It means that if we want to **decompose** a formula $\circ A$ the node must have a form $\circ A$, $\Gamma \longrightarrow \Delta$

Remember: order of decomposition is important Also sometimes it is necessary to decompose a **formula** within the sequence Γ first, before decomposing $\circ A$ in order to **find** a proof

For example, consider two nodes

$$n_1 = \neg \neg A, (A \cap B) \longrightarrow B$$

and

$$n_2 = (A \cap B), \neg \neg A \longrightarrow B$$

We are going to see that the results of decomposing n_1 and n_2 differ dramatically

Let's decompose the node n_1

Observe that the only way to be able to decompose the formula $\neg \neg A$ is to use the rule $(\rightarrow weak)$ as a **first step**

The **two possible** decomposition trees that starts at the node n_1 are as follows

First Tree

$T1_{n_1}$

$$\neg \neg A, (A \cap B) \longrightarrow B$$
 $|(\rightarrow weak)$
 $\neg \neg A, (A \cap B) \longrightarrow$
 $|(\neg \rightarrow)$
 $(A \cap B) \longrightarrow \neg A$
 $|(\cap \rightarrow)$
 $A, B \longrightarrow \neg A$
 $|(\rightarrow \neg)$
 $A, A, B \longrightarrow$
 $non - axiom$

Second Tree

$T2_{n_1}$

$$\neg \neg A, (A \cap B) \longrightarrow B$$
 $| (\rightarrow weak)$
 $\neg \neg A, (A \cap B) \longrightarrow$
 $| (\neg \rightarrow)$
 $(A \cap B) \longrightarrow \neg A$
 $| (\rightarrow \neg)$
 $A, (A \cap B) \longrightarrow$
 $| (\cap \rightarrow)$
 $A, A, B \longrightarrow$
 $non - axiom$

Let's now decompose the node n_2 Observe that following our **Observation 6** we start by decomposing the formula $(A \cap B)$ by the use of the rule $(\cap \rightarrow)$ as the **first step** A decomposition tree that starts at the node n_2 is as follows

$$(A \cap B), \neg \neg A \longrightarrow B$$

 T_{n_2}

$$(\cap \rightarrow)$$

$$A, B, \neg \neg A \longrightarrow B$$

$$axiom$$

This proves that the node n_2 is **provable** in **LI**, i.e.

$$\vdash_{\mathsf{LI}} (A \cap B), \neg \neg A \longrightarrow B$$

Observation 8

The use of structural rules is **important** and **necessary** while we search for proofs

Nevertheless we have to **use them** on the "must" basis and set up some **guidelines** and **priorities** for their use

For example, the use of weakening rule discharges the weakening formula, and hence we might loose an information that may be essential to finding the proof

We should use the weakening rule only when it is absolutely necessary for the next decomposition steps



Hence, the use of weakening rule (\rightarrow weak) can, and should be restricted to the cases when it leads to possibility of the future use of the negation rule ($\neg \rightarrow$)

This was the case of the decomposition tree $\mathbf{T1}_{n_1}$ We used the rule $(\rightarrow weak)$ as an necessary step, but it discharged too much information and we didn't get a proof, when proof on this node existed

Here is such a proof

 $T3_{n_1}$

$$\neg \neg A, (A \cap B) \longrightarrow B$$
 $\mid (exch \longrightarrow)$
 $(A \cap B), \neg \neg A \longrightarrow B$
 $\mid (\cap \rightarrow)$
 $A, B, \neg \neg A \longrightarrow B$
axiom

Method

For any $A \in \mathcal{F}$ we construct the set of decomposition trees $T_{\rightarrow A}$ following the rules below.

- 1. Use first logical rules where applicable.
- 2. Use (exch →) rule to decompose, via logical rules, as many formulas on the left side of → as possible

 Remember that the order of decomposition matters! so you have to cover different choices
- **3.** Use $(\rightarrow weak)$ only on a "must" basis and in connection with $(\neg \rightarrow)$ rule
- **4.** Use $(contr \rightarrow)$ rule as the **last recourse** and only to formulas that contain \neg or \Rightarrow as a main connective
- **5.** Let's call a formula A to which we apply $(contr \rightarrow)$ rule a a contraction formula
- **6.** The only contraction formulas are formulas containing ¬ between theirs logical connectives



- 7. Within the process of construction of all possible trees use $(contr \rightarrow)$ rule **only** to **contraction formulas**
- **8.** Let C be a **contraction formula** appearing on a node n of the decomposition tree of $T_{\rightarrow A}$

For any **contraction formula** C, any node n, we apply $(contr \rightarrow)$ rule to the the formula C at the node n **at most** as many times as the number of sub-formulas of C

If we find a tree with all axiom leaves we have a proof, i.e.

$\vdash \sqcup A$

If **all trees** (finite number) have a non-axiom leaf we have proved that proof of **A** does not exist, i.e.

YLI A

Chapter 7 Introduction to Intuitionistic and Modal Logics

Slides Set 3

PART 5: Introduction to Modal Logics
Algebraic Semantics for modal S4 and S5

The **non-classical** logics can be divided in **two** groups: those that **rival** classical logic and those which **extend it**

The Lukasiewicz, Kleene, and intuitionistic logics are in the first group

The modal logics are in the **second** group

The **rival** logics **do not** differ from classical logic in terms of the language employed

The **rival** logics differ in that certain theorems or tautologies of classical logic are rendered **false**, or **not provable** in them



The most notorious example of the **rival** difference of logics based on the same language is the law of excluded middle

$$(A \cup \neg A)$$

This is **provable** in, and is a **tautology** of **classical** logic

But **is not** provable in, and **is not** tautology of the intuitionistic logic

It also **is not** a tautology under any of the extensional logics semantics we have discussed



Logics which **extend classical** logic sanction all the theorems of **classical** logic but, generally, **supplement** it in **two** ways

Firstly, the languages of these non-classical logics are **extensions** of those of classical logic

Secondly, the theorems of these non-classical logics supplement those of classical logic



Modal logics are enriched by the addition of two new connectives that represent the meaning of expressions "it is necessary that" and "it is possible that"

We use the notation:

- I for "it is necessary that" and
- C for "it is possible that"

Other notations commonly used are:

- ∇, N, L for "it is necessary that" and
- ♦, P, M for "it is possible that"



The symbols N, L, P, M or alike, are often used in computer science

The symbols ∇ and ⋄ were **first** to be used in modal logic literature

The symbols **I**, **C** come from **algebraic** and **topological** interpretation of modal logics

I corresponds to the topological **interior** of the set and **C** to its **closure**



The idea of a modal logic was first formulated by an American philosopher, C.I. Lewis in 1918

Lewis has proposed yet another interpretation of lasting consequences, of the logical implication

He created a notion of a **modal truth**, which lead to the notion of modal logic

He did it in an attempt to avoid, what some felt, the paradoxes of semantics for classical implication which accepts as true that a false sentence implies any sentence

Lewis' notions appeal to **epistemic** considerations and the whole area of modal logics bristles with **philosophical** difficulties and hence the numbers of modal logics have been **created**

Unlike the classical connectives, the modal connectives do not admit of truth-functional interpretation, i.e. do not accept the extensional semantics

This was the **reason** for which modal logics were **first** developed as proof systems, with intuitive notion of **semantics** expressed by the set of adopted axioms

The **first** definition of modal semantics, and hence the proofs of the **completeness** theorems came some 20 years later

It took yet another 25 years for discovery and development of the **second** and more general approach to the modal semantics

These are the two **established** ways of interpret modal connectives, i.e. to define the modal semantics



The historically, the **first** modal **semantics** is due to Mc Kinsey and Tarski (1944, 1946)

It is a **topological** semantics that provides a powerful mathematical interpretation of some of modal logics, namely modal S4 and S5

It connects the **modal** notion of necessity with the **topological** notion of the interior of a set, and the **modal** notion of possibility with the notion of the closure of a set

Our **choice** of symbols **I** and **C** for necessity and possibility **connectives** comes from this interpretation

The **topological** interpretation mathematically **powerful** as it is, is **less universal** in providing models for **other** modal logics



The most recent and the most **general** semantics is due to Kripke (1964). It uses the notion of possible worlds.

Roughly, we say that the formula **C***A* is **true** if *A* is **true** in **some** possible world, called actual world

The formula IA is true if A is true in every possible world

We present here a short version of the topological semantics in a form of algebraic models

We leave the **Kripke semantics** for the reader to **explore** from other, multiple sources

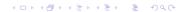


As we have already mentioned, modal logics were first **developed**, as was the intuitionistic logic, in a **form** of proof systems only

First Hilbert style **modal** proof system was published by Lewis and Langford in 1932

They presented a formalization for **two** modal logics, which they called S1 and S2

They also outlined three other proof systems, called S3, S4, and S5



Since then **hundreds** of **modal** logics have been **created**There are some **standard** books in the subject

These are, **between** the others:

Hughes and Cresswell (1969) for **philosophical** motivation for various modal logics and intuitionistic logic,

Bowen (1979) for a detailed and uniform study of **Kripke** models for modal logics,

Segeberg (1971) for excellent modal logics classification, Fitting (1983), for extended and uniform studies of **automated** proof methods for **classes** of modal logics



Hilbert Style Modal Proof Systems

Hilbert Style Modal Proof Systems

We present now Hilbert style formalization for S4 and S5 logics due to Mc Kinsey and Tarski (1948) and Rasiowa and Sikorski (1964)

We also discuss the **relationship** between S4 and S5, and between the intuitionistic logic and S4 modal logic, as first observed by Gödel

The formalizations stress the **connection** between S4, S5 and topological spaces which constitute **models** for them

Modal Language

Modal Language

We **add** two extra one argument connectives I and C to the propositional language $\mathcal{L}_{\{\cup,\cap,\Rightarrow,\neg\}}$, i.e. we adopt

$$\mathcal{L} = \mathcal{L}_{\{\cup,\cap,\Rightarrow,\neg,\textbf{I},\textbf{C}\}}$$

as the modal language. We read a formulas IA, CA as necessary A and possible A, respectively

The language $\mathcal{L}_{\{\cup,\cap,\Rightarrow,\neg,\mathbf{I},\mathbf{C}\}}$ is **common** to all modal logics

Modal logics differ on a **choice** of axioms and rules of inference, when studied as proof systems and on a **choice** of respective semantics



McKinsey, Tarski Proof Systems

As modal logics extend the classical logic, any modal logic contains **two groups** of axioms: classical and modal

McKinsey, Tarski (1948)

AG1 classical axioms

We **adopt** as classical axioms any **complete** set of axioms under classical semantics

```
AG2 modal axioms

M1 (IA \Rightarrow A)

M2 (I(A \Rightarrow B) \Rightarrow (IA \Rightarrow IB))

M3 (IA \Rightarrow IIA)

M4 (CA \Rightarrow ICA)
```

Modal S4 and S5

Rules of inference

$$(MP) \frac{A \; ; \; (A \Rightarrow B)}{B}$$
, and $(I) \frac{A}{IA}$

The modal rule (I) was introduced by Gödel and is referred to as a necessitation rule

We define modal proof systems S4 and S5 as follows

S4 =
$$(\mathcal{L}, \mathcal{F}, \text{ classical axioms}, M1 - M3, (MP), (I))$$

$$S5 = (\mathcal{L}, \mathcal{F}, \text{ classical axioms}, M1 - M4, (MP), (I))$$

Modal S4 and S5

Observe that the axioms of S5 extend the axioms of S4 and both system **share** the same inference rules, hence we have immediately the following

Fact For any formula $A \in \mathcal{F}$,

if $\vdash_{S4} A$, then $\vdash_{S5} A$



Rasiowa, Sikorski Proof Systems

It is often the case, as it is for S4 and S5, that **modal connectives** are definable by each other

We define them as follows

$$IA = \neg C \neg A$$
, and $CA = \neg I \neg A$

Language

We hence assume now that the language \mathcal{L} of Rasiowa, Sikorski modal proof systems contains only **one** modal connective

We **choose** it to be I and adopt the following language

$$\mathcal{L} = \mathcal{L}_{\{\cap,\cup,\Rightarrow,\neg,\textbf{I}\}}$$

There are, as before, **two groups** of axioms: **classical** and modal



Rasiowa, Sikorski Proof Systems

Rasiowa, Sikorski (1964)

AG1 classical axioms

We **adopt** as classical axioms any **complete** set of axioms under classical semantics

```
AG2 modal axioms
R1 ((IA \cap IB) \Rightarrow I(A \cap B))
R2 (IA \Rightarrow A)
R3 (IA \Rightarrow IIA)
R4 I(A \cup \neg A)
R5 (\neg I \neg A \Rightarrow I \neg I \neg A)
```

Modal RS4 and RS5

Rules of inference

We adopt the Modus Ponens and an additional rule (RI)

$$(MP) \ \frac{A \ ; \ (A \Rightarrow B)}{B} \qquad \text{and} \qquad (RI) \ \frac{(A \Rightarrow B)}{(IA \Rightarrow IB)}$$

We define modal proof systems RS4 and RS5 as follows

$$RS4 = (\mathcal{L}, \mathcal{F}, \text{ classical axioms}, R1 - R4, (MP), (RI))$$

$$RS5 = (\mathcal{L}, \mathcal{F}, \text{ classical axioms}, R1 - R5, (MP), (RI))$$

Modal RS4 and RS5

Observe that the axioms of RS5 extend the axioms of RS4 and both systems **share** the same inference rules, hence we have immediately the following

Fact For any formula $A \in \mathcal{F}$,

if $\vdash_{RS4} A$, then $\vdash_{RS5} A$

The McKinsey, Tarski proof systems S4, S5 and Rasiowa, Sikorski proof systems RS4, RS5 are **complete** with the respect to **both** topological semantics, and Kripke semantics

We shortly discuss the topological semantics, and algebraic completeness theorems

We leave the Kripke semantics for the reader to **explore** from other, multiple sources

The **topological semantics** was initiated by McKinsey and Tarski in 1946, 1948 and consequently developed into a field of **Algebraic Logic**

The **algebraic** approach to logic is presented in detail in now classic algebraic logic books:

"Mathematics of Metamathematics", Rasiowa, Sikorski (1964),

"An Algebraic Approach to Non-Classical Logics", Rasiowa (1974)

We want to point out that the **first idea** of a connection between **modal** propositional logic and **topology** is due to Tang Tsao -Chen, (1938) and Dugunji (1940)



Here are some basic definitions

Boolean Algebra

An abstract algebra $\mathcal{B} = (B, 1, 0, \Rightarrow, \cap, \cup, \neg)$ is said to be a **Boolean algebra** if it is a distributive lattice and every element $a \in B$ has a complement $\neg a \in B$

Topological Boolean algebra

By a topological Boolean algebra we mean an abstract algebra

$$\mathcal{B} = (B, 1, 0, \Rightarrow, \cap, \cup, \neg, I)$$

where $(B, 1, 0, \Rightarrow, \cap, \cup, \neg)$ is a **Boolean algebra** and, moreover, the following conditions hold for any $a, b \in B$

$$I(a \cap b) = Ia \cap Ib$$
, $Ia \cap a = Ia$, $IIa = Ia$, and $I1 = 1$



The element la is called a interior of a

The element $\neg I \neg a$ is called a **closure** of a and will be **denoted** by Ca

Thus the operations I and C are such that

$$Ca = \neg I \neg a$$
 and $Ia = \neg C \neg a$

In this case we write the topological Boolean algebra as

$$\mathcal{B} = (B, 1, 0, \Rightarrow, \cap, \cup, \neg, I, C)$$

It is easy to prove that in in any topological Boolean algebra the following conditions hold for any $a, b \in B$

$$C(a \cup b) = Ca \cup Cb$$
, $Ca \cup a = Ca$, $CCa = Ca$ and $C0 = 0$



Example

Let X be a topological space with an interior operation I. Then the family $\mathcal{P}(X)$ of all subsets of X is a **topological** Boolean algebra with 1 = X, with the operation \Rightarrow defined by the formula

$$Y \Rightarrow Z = (X - Y) \cup Z$$
 for all subsets Y, Z of X

and with set-theoretical operations of union, intersection, complementation, and the interior operation *I*

Every sub algebra of this algebra is a **topological Boolean** algebra, called a **topological field of sets** or, more precisely, a **topological field** of subsets of *X*



Given a topological Boolean algebra

$$(B, 1, 0, \Rightarrow, \cap, \cup, \neg)$$

The element $a \in B$ is said to be **open** (closed) if a = Ia (a = Ca)

Clopen Topological Boolean Algebra

A topological Boolean algebra

$$\mathcal{B} = (B, 1, 0, \Rightarrow, \cap, \cup, \neg, I, C)$$

such that every **open** element is **closed** and every **closed** element is **open**, i.e. such that for any $a \in B$

$$Cla = la$$
 and $lCa = Ca$

is called a clopen topological Boolean algebra



S4, S5 Tautology

We loosely say that a formula *A* is a modal *S4* **tautology** if and only if any topological Boolean algebra is a **model** for *A*

We say that A is a modal S5 tautology if and only if any clopen topological Boolean algebra is a model for A We put it formally as follows

Modal Algebraic Model

Modal Algebraic Model

For any formula A of a modal language $\mathcal{L}_{\{\cup,\cap,\Rightarrow,\neg,\mathbf{l},\mathbf{C}\}}$ and for any topological Boolean algebra

$$\mathcal{B} = (B, 1, 0, \Rightarrow, \cap, \cup, \neg, I, C)$$

the algebra \mathcal{B} is a **model** for the formula A and denote it by

$$\mathcal{B} \models A$$

if and only if $v^*(A) = 1$ holds for all variables assignments $v: VAR \longrightarrow B$

S4, S5 Tautology

Definition of S4 Tautology

A formula A is a modal S4 tautology and is denoted by

$$\models_{S4} A$$

if and only if for all **topological Boolean** algebras ${\cal B}$ we have that

$$\mathcal{B} \models A$$

Definition of S5 Tautology

A formula A is a modal S5 tautology and is denoted by

$$\models_{S5} A$$

if and only if for all **clopen** topological Boolean algebras ${\cal B}$ we have that

$$\mathcal{B} \models A$$



S4, S5 Completeness Theorem

We write $\vdash_{S4} A$ and $\vdash_{S5} A$ do denote **provability any** proof system for modal S4, S5 logics and in particular the proof systems defined here

Completeness Theorem

For any formula A of the modal language $\mathcal{L}_{\{\cup,\cap,\Rightarrow,\neg,\mathbf{I},\mathbf{C}\}}$

 $\vdash_{S4} A$ if and only if $\models_{S4} A$

 $\vdash_{S5} A$ if and only if $\models_{S5} A$

The completeness for S4, S4 follows directly from the following general Algebraic Completeness Theorems



S4 Algebraic Completeness Theorem

S4 Algebraic Completeness Theorem

For any formula A of the modal language $\mathcal{L}_{\{\cup,\cap,\Rightarrow,\neg,I,C\}}$ the following conditions are equivalent

- (i) ⊢_{S4} A
- (ii) $\models_{S4} A$
- (iii) A is valid in every topological field of sets $\mathcal{B}(X)$
- (iv) A is valid in every topological Boolean algebra \mathcal{B} with at most 2^{2^r} elements, where r is the number of all sub formulas of A
- (iv) $v^*(A) = X$ for every variable assignment v in the topological field of sets $\mathcal{B}(X)$ of all subsets of a dense-in-itself metric space $X \neq \emptyset$ (in particular of an n-dimensional Euclidean space X)

S4 Algebraic Completeness Theorem

S5 Algebraic Completeness Theorem

For any formula A of the modal language $\mathcal{L}_{\{\cup,\cap,\Rightarrow,\neg,I,C\}}$ the following conditions are equivalent

- (i) ⊢_{S5} A
- (ii) $\models_{S5} A$
- (iii) A is valid in every **clopen** topological field of sets $\mathcal{B}(X)$
- (iv) A is valid in every **clopen** topological Boolean algebra \mathcal{B} with at most 2^{2^r} elements, where r is the number of all sub formulas of A

S4 and S5 Decidability

The equivalence of conditions (i) and (iv) of the Algebraic Completeness Theorems proves the **semantical** decidability of modal S4 and S5

S4, S5 Decidability

Any complete S4, S5 proof system is **semantically decidable**, i.e. the following holds

$$\vdash_{S4} A$$
 if and only if $\mathcal{B} \models A$

for every topological Boolean algebra \mathcal{B} with at most 2^{2^r} elements, where r is the number of all sub formulas of A Similarly, we also have

$$\vdash_{S5} A$$
 if and only if $\mathcal{B} \models A$

for every **clopen** topological Boolean algebra \mathcal{B} with at most 2^{2^r} elements, where r is the number of all sub formulas of A



S4 and S5 Syntactic Decidability

S4, S5 Syntactic Decidability (Wasilewska 1967,1971)

Rasiowa stated in 1950 an **an open problem** of providing a cut-free RS type formalization for modal propositional S4 calculus

Wasilewska solved this open problem in 1967 and presented the result at the ASL Summer School and Colloquium in Mathematical Logic, Manchester, August 1969

It appeared in print as A Formalization of the Modal Propositional S4-Calculus, Studia Logica, North Holland, XXVII (1971)



S4 and S5 Syntactic Decidability

The paper also contained an algebraic proof of **completeness** theorem followed by **Gentzen** cut-elimination theorem, the **Hauptzatz**

The resulting implementation written in LISP-ALGOL was the first modal logic theorem prover created It was done with collaboration with B. Waligorski and the authors didn't think it to be worth a separate publication Its existence was only mentioned in the published paper

The S5 Syntactic Decidability follows from the one for S4 and the following **Embedding Theorems**



Modal S4 and Modal S5

The relationship between S4 and S5 was first established by Ohnishi and Matsumoto in 1957-59 and is as follows.

Embedding 1

For any formula $A \in \mathcal{F}$,

 $\models_{S4}A$ if and only if $\models_{S5}ICA$

 $\vdash_{S4} A$ if and only if $\vdash_{S5} ICA$

Embedding 2

For any formula $A \in \mathcal{F}$

 $\models_{S5}A$ if and only if $\models_{S4}ICIA$

 $\vdash_{S5}A$ if and only if \vdash_{S4} ICIA



On S4 derivable disjunction

In a classical logic it is possible for the disjunction $(A \cup B)$ to be a tautology when **neither** A **nor** B is a tautology This does not hold for the intuitionistic logic. We have a following theorem similar to the intuitionistic case to the for modal S4

Theorem McKinsey, Tarski (1948)

A disjunction $(IA \cup IB)$ is S4 **provable** if and only if either A or B S4 **provable**, i.e.

 $\vdash_{S4} (IA \cup IB)$ if and only if $\vdash_{S4} A$ or $\vdash_{S4} B$



S4 and Intuitionistic Logic, S5 and Classical Logic

As we have said in the introduction, Gödel was the first to consider the **connection** between the intuitionistic logic and a logic which was named later S4

Gödel's proof was purely **syntactic** in its nature, as the semantics for neither intuitionistic logic nor modal logicS4 had not been invented yet

The **algebraic** proof of this fact, was first published by McKinsey and Tarski in 1948

We define here the Gödel-Tarski **mapping** establishing the S4 and intuitionistic logic connection

We refer the reader to Rasiowa, Sikorski book "Mathematics of Metamathematics" (i965) for the algebraic proofs of its properties and respective theorems

Let \mathcal{L} be a propositional language of modal logic i.e the language

$$\mathcal{L} = \mathcal{L}_{\{\cap,\cup,\Rightarrow,\neg,\textbf{I}\}}$$

Such obtained language

$$\mathcal{L}_0 = \mathcal{L}_{\{\cap,\cup,\Rightarrow,\sim\}}$$

is a propositional language of the intuitionistic logic



In order to establish the connection between the languages

$$\mathcal{L}$$
 and \mathcal{L}_0

and hence between modal and intuitionistic logic, we consider a mapping f which to every formula $A \in \mathcal{F}_0$ of \mathcal{L}_0 assigns a formula $f(A) \in \mathcal{F}$ of \mathcal{L}

We define the **mapping** f as follows



Gödel - Tarski Mapping

Definition of Gödel-Tarski mapping

A function

$$f: \mathcal{F}_0 \to \mathcal{F}$$

such that

$$f(a) = Ia$$
 for any $a \in VAR$
 $f((A \Rightarrow B)) = I(f(A) \Rightarrow f(B))$
 $f((A \cup B)) = (f(A) \cup f(B))$
 $f((A \cap B)) = (f(A) \cap f(B))$
 $f(\sim A) = I \neg f(A)$

where A, B are any formulas in \mathcal{L}_0 is called a Gödel-Tarski mapping



Example

Example

Let A be a formula

$$((\sim A \cap \sim B) \Rightarrow \sim (A \cup B))$$

and f be the Gödel-Tarski mapping. We evaluate f(A) as follows

$$f((\sim A \cap \sim B) \Rightarrow \sim (A \cup B)) =$$

$$I(f(\sim A \cap \sim B) \Rightarrow f(\sim (A \cup B)) =$$

$$I((f(\sim A) \cap f(\sim B)) \Rightarrow f(\sim (A \cup B)) =$$

$$I((I \neg fA \cap I \neg fB) \Rightarrow I \neg f(A \cup B)) =$$

$$I((I \neg A \cap I \neg B) \Rightarrow I \neg (fA \cup fB)) =$$

$$I((I \neg A \cap I \neg B) \Rightarrow I \neg (A \cup B)$$

The following theorem established relationship between intuitionistic and modal S4 logics

Theorem

Let f be the Gödel-Tarski **mapping** For any formula A of intuitionistic language \mathcal{L}_0 ,

 $\vdash_{I} A$ if and only if $\vdash_{S4} f(A)$

where *I*, S4 denote any proof systems for intuitionistic and and S4 logic, respectively



Classical Logic and Modal S5

In order to establish the connection between the modal S5 and classical logics we consider the following Gfodel-Tarski mapping between the modal language $\mathcal{L}_{\{\cap,\cup,\Rightarrow,\neg,I\}}$ and its classical sub-language $\mathcal{L}_{\{\neg,\cap,\cup,\Rightarrow\}}$

With every **classical** formula A we associate a **modal** formula g(A) defined by induction on the length of A as follows:

$$g(a) = \mathbf{I}a, \quad g((A \Rightarrow B)) = \mathbf{I}(g(A) \Rightarrow g(B),)$$
 $g((A \cup B)) = (g(A) \cup g(B)), \quad g((A \cap B)) = (g(A) \cap g(B)),$ $g(\neg A) = \mathbf{I} \neg g(A)$



Classical Logic and Modal S5

The following theorem establishes **relationship** between classical and S5 logics

Theorem

Let *g* be the Gödel-Tarski mapping between

$$\mathcal{L}_{\{\neg,\cap,\cup,\Rightarrow\}}$$
 and $\mathcal{L}_{\{\cap,\cup,\Rightarrow,\neg,\mathbf{I}\}}$

For any formula **A** of $\mathcal{L}_{\{\neg,\cap,\cup,\Rightarrow\}}$,

$$\vdash_H A$$
 if and only if $\vdash_{S5} g(A)$

where *H*, *S*5 denote any proof systems for classical and and *S*5 modal logic, respectively

