

cse541  
LOGIC for Computer Science

Professor Anita Wasilewska

## LECTURE 8b

# Chapter 8

## Classical Predicate Semantics and Proof Systems

### PART 3: Predicate Tautologies

## Predicate Tautologies

## Predicate Tautologies

We have already proved the **basic** predicate tautology

$$\models (\forall x A(x) \Rightarrow \exists x A(x))$$

We **prove** now other three **basic** tautologies called **Dictum de Omni**

For any formula  $A(x)$  of  $\mathcal{L}$ ,

$$\models (\forall x A(x) \Rightarrow A(t)), \quad \models (\forall x A(x) \Rightarrow A(x))$$

$$\models (A(t) \Rightarrow \exists x A(x))$$

where  $t$  is a term,  $A(t)$  is a result of substitution of  $t$  for all free occurrences of  $x$  in  $A(x)$ , and  $t$  is **free for  $x$**  in  $A(x)$ , i.e. **no** occurrence of a variable in  $t$  becomes a **bound** occurrence in  $A(t)$

## Proof of Dictum de Omni

**Proof** of

$$\models (\forall x A(x) \Rightarrow A(t)), \quad \models (\forall x A(x) \Rightarrow A(x))$$

is constructed in a **sequence** of the following steps

We leave details to complete as an **exercise**

**S1**

Consider a structure  $\mathbf{M} = [U, I]$  and  $s : VAR \rightarrow U$

Let  $t, u$  be two terms

Denote by  $t'$  a result of **replacing** in  $t$  all occurrences of a variable  $x$  by the term  $u$ , i.e.

$$t' = t(x/u)$$

Let  $s'$  results from  $s$  by **replacing**  $s(x)$  by  $s_I(u)$

We prove by induction over the length of  $t$  that

$$s_I(t(x/u)) = s_I(t') = s'_I(u)$$

## Proof of Dictum de Omni

### S2

Let  $t$  be **free for**  $x$  in  $A(x)$

$A(t)$  is a results from  $A(x)$  by replacing  $t$  for all free occurrences of  $x$  in  $A(x)$ , i.e.

$$A(t) = A(x/t)$$

Let

$$s : VAR \rightarrow U$$

and  $s'$  be obtained from  $s$  by replacing  $s(x)$  by  $s_I(u)$

We use

$$s_I(t(x/u)) = s_I(t') = s'_I(u)$$

and induction on the number of connectives and quantifiers in  $A(x)$  and prove

$$(\mathbf{M}, s) \models A(x/t) \text{ if and only if } (\mathbf{M}, s') \models A(x)$$

## Proof of Dictum de Omni

### S3

Directly from satisfaction definition and

$$(\mathbf{M}, s) \models A(x/t) \text{ if and only if } (\mathbf{M}, s') \models A(x)$$

we get that for any  $\mathbf{M} = [U, I]$  and any  $s : VAR \rightarrow U$ ,

$$\text{if } (\mathbf{M}, s) \models \forall x A(x), \text{ then } (\mathbf{M}, s) \models A(t)$$

This proves

$$\models (\forall x A(x) \Rightarrow A(t))$$

Observe that obviously a term  $x$  is **free for**  $x$  in  $A(x)$ , so we also get as a **particular** case of  $t = x$  that

$$\models (\forall x A(x) \Rightarrow A(x))$$



## Dictum de Omni Restrictions

**Proof of**

$$\models (A(t) \Rightarrow \exists x A(x))$$

is included in detail in Section 3

**Remark**

The **restrictions** on terms in **Dictum de Omni** tautologies are **essential**

Here is a simple example explaining why they are needed in

$$\models (\forall x A(x) \Rightarrow A(t)), \quad \models (\forall x A(x) \Rightarrow A(x))$$

Let  $A(x)$  be a formula

$$\neg \forall y P(x, y) \quad \text{for } P \in \mathbf{P}$$

Notice that a **term**  $t = y$  is **not free for y** in  $A(x)$

## Dictum de Omni Restrictions

Consider the first formula in **Dictum de Omni** for  $A(x) = \neg\forall y P(x, y)$  and term  $t = y$

$$(\forall x \neg\forall y P(x, y) \Rightarrow \neg\forall y P(y, y))$$

Take

$$\mathbf{M} = [N, I] \quad \text{for } I \text{ such that } P_I :=$$

Obviously,

$$\mathbf{M} \models \forall x \neg\forall y P(x, y)$$

as

$$\forall m \neg\forall n (m = n)$$

is a **true** mathematical statement in the set **N** of natural numbers

## Dictum de Omni Restrictions

$$\mathbf{M} \not\models \neg \forall y P(y, y)$$

as

$$\neg \forall n (n = n)$$

is a **false** statement for  $n \in N$

The second **Dictum de Omni** formula is a particular case of the first

We have proved that without the **restrictions** on terms

$$\not\models (\forall x A(x) \Rightarrow A(t)) \quad \text{and} \quad \not\models (\forall x A(x) \Rightarrow A(x))$$

The example for  $\models (A(t) \Rightarrow \exists x A(x))$  is similar

" $t$  free for  $x$  in  $A(x)$ "

Here are some **useful** and easy to prove **properties** of the notion "term  $t$  free for  $x$  in  $A(x)$ "

### Properties

For any formula  $A \in \mathcal{F}$  and any term  $t \in \mathbf{T}$  the following properties hold

- P1.** Closed term  $t$ , i.e. term with **no** variables is free for any variable  $x$  in  $A$
- P2.** Term  $t$  is free for any variable in  $A$  if **none** of the variables in  $t$  is bound in  $A$
- P3.** Term  $t = x$  is free for  $x$  in any formula  $A$
- P4.** Any term is free for  $x$  in  $A$  if  $A$  contains **no** free occurrences of  $x$

## Predicate Tautologies

Here are some more **important** predicate **tautologies**

For any formulas  $A(x), B(x), A, B$  of  $\mathcal{L}$ , where the formulas  $A, B$  **do not** contain any **free** occurrences of  $x$  the following holds

### Generalization

$$\models ((B \Rightarrow A(x)) \Rightarrow (B \Rightarrow \forall x A(x)))$$

$$\models ((B(x) \Rightarrow A) \Rightarrow (\exists x B(x) \Rightarrow A))$$

### Distributivity 1

$$\models (\forall x(A \Rightarrow B(x)) \Rightarrow (A \Rightarrow \forall x B(x)))$$

$$\models \forall x(A(x) \Rightarrow B) \Rightarrow (\exists x A(x) \Rightarrow B)$$

$$\models \exists x(A(x) \Rightarrow B) \Rightarrow (\forall x A(x) \Rightarrow B)$$

## Restrictions

The **restrictions** that the formulas **A, B do not** contain any **free** occurrences of **x** is **essential** for both **Generalization** and **Distributivity 1** tautologies

Here is a simple **example** explaining why they are needed

The **relaxation** of the **restrictions** would lead to the following **disaster**

Let **A** and **B** be both the same **atomic** formula **P(x)**

Thus **x** is **free** in **A** and we have the following instance of the first **Distributivity 1** tautology

$$.(\forall x(P(x) \Rightarrow P(x)) \Rightarrow (P(x) \Rightarrow \forall x P(x)))$$

## Restrictions

Take

$$\mathbf{M} = [N, I] \quad \text{for } I \text{ such that } P_I = ODD$$

where  $ODD \subseteq N$  is the set of odd numbers

Let  $s : VAR \rightarrow N$

By definition of the interpretation  $i$ ,

$$s_I(x) \in P_I \quad \text{if and only if} \quad s_I(x) \in ODD$$

Then obviously

$$(\mathbf{M}, s) \not\models \forall x P(x)$$

and  $\mathbf{M} = [N, I]$  is a **counter model** for

$$(\forall x(P(x) \Rightarrow P(x)) \Rightarrow (P(x) \Rightarrow \forall x P(x)))$$

as

$$\models \forall x(P(x) \Rightarrow P(x))$$

The examples for restrictions on other tautologies are similar.

## Predicate Tautologies

### Distributivity 2

For any formulas  $A(x), B(x)$  of  $\mathcal{L}$

$$\models (\exists x (A(x) \cap B(x)) \Rightarrow (\exists x A(x) \cap \exists x B(x)))$$

$$\models ((\forall x A(x) \cup \forall x B(x)) \Rightarrow \forall x (A(x) \cup B(x)))$$

$$\models (\forall x (A(x) \Rightarrow B(x)) \Rightarrow (\forall x A(x) \Rightarrow \forall x B(x)))$$

The **converse** implications to the **above** **are not** predicate tautologies

The **counter models** are provided in the **Section 3**



## De Morgan Laws

### De Morgan Laws

For any formulas  $A(x), B(x)$  of  $\mathcal{L}$ ,

$$\models (\neg \forall x A(x) \Rightarrow \exists x \neg A(x))$$

$$\models (\neg \exists x A(x) \Rightarrow \forall x \neg A(x))$$

$$\models (\exists x \neg A(x) \Rightarrow \neg \forall x A(x))$$

$$\models (\neg \exists x A(x) \Rightarrow \forall x \neg A(x))$$

We prove the **first law** as an example

The proofs of all **other** laws are **similar**

## De Morgan Laws

**Proof** of

$$\models (\neg \forall x A(x) \Rightarrow \exists x \neg A(x))$$

We carry the proof by **contradiction**

Assume that

$$\not\models (\neg \forall x A(x) \Rightarrow \exists x \neg A(x))$$

By definition, there is

$$\mathbf{M} = [U, I] \quad \text{and} \quad s : \text{VAR} \longrightarrow U$$

such that

$$(\mathbf{M}, s) \models \neg \forall x A(x) \quad \text{and} \quad (\mathbf{M}, s) \not\models \exists x \neg A(x)$$

## De Morgan Laws

Consider

$$(\mathbf{M}, s) \models \neg \forall x A(x)$$

By satisfaction definition

$$(\mathbf{M}, s) \not\models \forall x A(x)$$

This holds only if for **all**  $s'$ , such that  $s, s'$  agree on all variables except on  $x$ ,

$$(\mathbf{M}, s') \not\models A(x)$$

## De Morgan Laws

Consider now

$$(\mathbf{M}, s) \not\models \exists x \neg A(x)$$

This holds only if **there is no**  $s'$ , such that

$$(\mathbf{M}, s') \models \neg A(x)$$

i.e. there **is no**  $s'$ , such that  $(\mathbf{M}, s') \not\models A(x)$

This means that **for all**  $s'$ ,

$$(\mathbf{M}, s') \models A(x)$$

**Contradiction** with already proved

$$(\mathbf{M}, s') \not\models A(x)$$

This **ends** the proof

## Quantifiers Alternations

### Quantifiers Alternations

For any formula  $A(x, y)$  of  $\mathcal{L}$ ,

$$\models (\exists x \forall y A(x, y) \Rightarrow \forall y \exists x A(x, y))$$

The **converse** implication

$$(\forall y \exists x A(x, y) \Rightarrow \exists x \forall y A(x, y))$$

**is not** a predicate **tautology**

Here is a proof

Take as  $A(x, y)$  an atomic formula  $R(x, y)$

Consider the **instance** formula

$$(\forall y \exists x R(x, y) \Rightarrow \exists x \forall y R(x, y))$$

## Quantifiers Alternations

We construct now a counter model for the instance formula

$$(\forall y \exists x R(x, y) \Rightarrow \exists x \forall y R(x, y))$$

Take a structure

$$\mathbf{M} = [R, I]$$

where  $R$  is the set of real numbers and  $R_I : <$

The instance formula becomes a mathematical statement

$$(\forall y \exists x (x < y) \Rightarrow \exists x \forall y (x < y))$$

that obviously **false** in the set of real numbers

We proved

$$\not\models (\forall y \exists x A(x, y) \Rightarrow \exists x \forall y A(x, y))$$

## Equational Laws of Quantifiers

## Logical Equivalence

The most frequently used **laws of quantifiers** have a form of a **logical equivalence**, symbolically written as  $\equiv$

Logical equivalence  $\equiv$  **is not** a new logical **connective** but just a very useful **symbol**

Logical equivalence  $\equiv$  has the same properties as the mathematical equality  $=$  and can be used in a similar way as we use the equality

**Note** that we use the same **equivalence** symbol  $\equiv$  and the **tautology** symbol  $\models$  for **propositional** and **predicate** languages when there is no confusion



## Logical Equivalence

We define formally the **logical equivalence**  $\equiv$  as follows.

### Definition of Logical Equivalence

For any formulas  $A, B$  of the **predicate** language  $\mathcal{L}$ ,

$$A \equiv B \text{ if and only if } \models (A \Rightarrow B) \text{ and } \models (B \Rightarrow A)$$

**Remark** that the predicate language  $\mathcal{L}$  we defined the **semantics** for **does not** include the equivalence connective  $\Leftrightarrow$ . If it **does** we **extend** the satisfaction definition in a natural way and adopt the following, natural definition

### Definition

For any formulas  $A, B \in \mathcal{F}$  of the **predicate language**  $\mathcal{L}$  with the equivalence connective  $\Leftrightarrow$

$$A \equiv B \text{ if and only if } \models (A \Leftrightarrow B)$$

## Logical Equivalence Theorems

The **basic** theorems establishing **relationship** between **propositional** and some **predicate tautologies** are as follows

### Tautologies Theorem

If a formula  $A$  is a **propositional** tautology, then by **substituting** for propositional variables in  $A$  any formula of the **predicate** language  $\mathcal{L}$  we obtain a formula which is a **predicate** tautology

## Logical Equivalence Theorems

### Equivalences Theorem

Given **propositional** formulas  $A, B$

If  $A \equiv B$  is a propositional **equivalence**, and

$A', B'$  are formulas of the **predicate** language  $L$  obtained by a **substitution** of any formulas of  $\mathcal{L}$  for propositional **variables** in  $A$  and  $B$ , respectively,

then

$$A' \equiv B'$$

holds under **predicate** semantics

## Logical Equivalence Example

### Example

Consider the following **propositional** logical equivalence

$$(a \Rightarrow b) \equiv (\neg a \cup b)$$

Substituting

$$\exists xP(x, z) \text{ for } a \quad \text{and} \quad \forall yR(y, z) \text{ for } b$$

we get by the **Equivalences Theorem** that the following logical **equivalence** holds

$$(\exists xP(x, z) \Rightarrow \forall yR(y, z)) \equiv (\neg \exists xP(x, z) \cup \forall yR(y, z))$$

## Equivalence Substitution

We prove in similar way as in the **propositional** case the following.

### Equivalence Substitution Theorem

Let a formula  $B_1$  be obtained from a formula  $A_1$  by a **substitution** of a formula  $B$  for **one** or **more** occurrences of a sub-formula  $A$  of  $A_1$ , what we denote as

$$B_1 = A_1(A/B)$$

Then the following holds for any formulas  $A, A_1, B, B_1$  of  $\mathcal{L}$

If  $A \equiv B$ , then  $A_1 \equiv B_1$

## Logical Equivalence Theorem

Directly from the **Dictum de Omi** and the **Generalization** tautologies we get the proof of the following theorem useful for building **new** logical equivalences from the old, known ones

### **E- Theorem**

For any formulas  $A(x), B(x)$  of  $\mathcal{L}$

if  $A(x) \equiv B(x)$ , then  $\forall xA(x) \equiv \forall xB(x)$

if  $A(x) \equiv B(x)$ , then  $\exists xA(x) \equiv \exists xB(x)$

## Logical Equivalence Example

### Example

We know from the previous example that

$$(\exists xP(x, z) \Rightarrow \forall yR(y, z)) \equiv (\neg\exists xP(x, z) \cup \forall yR(y, z))$$

We get, as the direct consequence of the above theorem the following logical equivalence

$$\forall z(\exists xP(x, z) \Rightarrow \forall yR(y, z)) \equiv \forall z(\neg\exists xP(x, z) \cup \forall yR(y, z))$$

$$\exists z(\exists xP(x, z) \Rightarrow \forall yR(y, z)) \equiv \exists z(\neg\exists xP(x, z) \cup \forall yR(y, z))$$

## Equational Laws of Quantifiers

We concentrate now only on these **laws** of quantifiers which have a form of a logical **equivalence**

They are called the **equational laws** of quantifiers

Directly from the logical **equivalence** definition and the **De Morgan** tautologies we get the following

### De Morgan Laws

For any formulas  $A(x)$ ,  $B(x)$  of  $\mathcal{L}$

$$\neg \forall x A(x) \equiv \exists x \neg A(x)$$

$$\neg \exists x A(x) \equiv \forall x \neg A(x)$$

We now **apply** them to show that the **quantifiers** can be **defined** one by the other i.e. that the following **Definability Laws** hold



## Equational Laws of Quantifiers

### Definability Laws

For any formula  $A(x)$  of  $\mathcal{L}$

$$\forall x A(x) \equiv \neg \exists x \neg A(x)$$

$$\exists x A(x) \equiv \neg \forall x \neg A(x)$$

The **first law** is often used as a **definition** of the **universal** quantifier in terms of the existential one (and negation)

The **second law** is a **definition** of the **existential** quantifier in terms of the universal one (and negation)

## Equational Laws of Quantifiers

**Proof of**

$$\forall x A(x) \equiv \neg \exists x \neg A(x)$$

Substituting any formula  $A(x)$  for a variable  $a$  in the propositional equivalence  $a \equiv \neg \neg a$  we get by the **Equivalence Theorem** that

$$A(x) \equiv \neg \neg A(x)$$

Applying the **E-Theorem** to the above we obtain

$$\exists x A(x) \equiv \exists x \neg \neg A(x)$$

By the **De Morgan Law**

$$\exists x \neg \neg A(x) \equiv \neg \forall x \neg A(x)$$

By the **Equivalence Substitution Theorem**

$$\exists x A(x) \equiv \neg \forall x \neg A(x)$$

This **ends** the proof

## Equational Laws of Quantifiers

**Proof of**

$$\forall x A(x) \equiv \neg \exists x \neg A(x)$$

Substituting any formula  $A(x)$  for a variable  $a$  in the propositional equivalence  $a \equiv \neg \neg a$

we get by the **Equivalence Theorem** that

$$A(x) \equiv \neg \neg A(x)$$

Applying the **E-Theorem** to the above we obtain

$$\forall x A(x) \equiv \forall x \neg \neg A(x)$$

By the **De Morgan Law** and **Equivalence Substitution Theorem**

$$\forall x \neg \neg A(x) \equiv \neg \exists x \neg A(x)$$

$$\forall x A(x) \equiv \neg \exists x \neg A(x)$$

This **ends** the proof

## Equational Laws of Quantifiers

Other **important** equational laws are the following **introduction** and **elimination** laws

Listed equivalences are **not independent**, some of them are the **consequences** of the others

### Introduction and Elimination Laws

If  $B$  is a formula such that  $B$  **does not** contain any **free** occurrence of  $x$ , then the following logical **equivalences** hold for any formula  $A(x)$  of  $\mathcal{L}$

$$\forall x(A(x) \cup B) \equiv (\forall xA(x) \cup B)$$

$$\forall x(A(x) \cap B) \equiv (\forall xA(x) \cap B)$$

$$\exists x(A(x) \cup B) \equiv (\exists xA(x) \cup B)$$

$$\exists x(A(x) \cap B) \equiv (\exists xA(x) \cap B)$$

## Equational Laws of Quantifiers

### Introduction and Elimination Laws

$$\forall x(A(x) \Rightarrow B) \equiv (\exists xA(x) \Rightarrow B)$$

$$\exists x(A(x) \Rightarrow B) \equiv (\forall xA(x) \Rightarrow B)$$

$$\forall x(B \Rightarrow A(x)) \equiv (B \Rightarrow \forall xA(x))$$

$$\exists x(B \Rightarrow A(x)) \equiv (B \Rightarrow \exists xA(x))$$

As we said before, the equivalences **are not independent**

We show now as an **example** the proof of the **third** one from the **first two**

## Equational Laws of Quantifiers

We write this proof in a short, symbolic way as follows

$$\begin{aligned} \exists x(A(x) \cup B) &\stackrel{\text{law}}{\equiv} \neg \forall x \neg (A(x) \cup B) \\ &\stackrel{\text{thms}}{\equiv} \neg \forall x (\neg A(x) \cap \neg B) \\ &\stackrel{\text{law}}{\equiv} \neg (\forall x \neg A(x) \cap \neg B) \\ &\stackrel{\text{law, thm}}{\equiv} (\neg \forall x \neg A(x) \cup \neg \neg B) \\ &\stackrel{\text{thm}}{\equiv} (\exists x A(x) \cup B) \end{aligned}$$

We leave **completion** and explanation of all **details** as it as and **exercise**

## Equational Laws of Quantifiers

### Distributivity Laws

Let  $A(x), B(x)$  be any formulas with a **free** variable  $x$

**Law of distributivity** of **universal** quantifier over **conjunction**

$$\forall x (A(x) \cap B(x)) \equiv (\forall x A(x) \cap \forall x B(x))$$

**Law of distributivity** of **existential** quantifier over **disjunction**

$$\exists x (A(x) \cup B(x)) \equiv (\exists x A(x) \cup \exists x B(x))$$

## Equational Laws of Quantifiers

### Alternations of Quantifiers

Let  $A(x, y)$  be any formula with a free variables  $x, y$

$$\forall x \forall y (A(x, y)) \equiv \forall y \forall x (A(x, y))$$

$$\exists x \exists y (A(x, y)) \equiv \exists y \exists x (A(x, y))$$



## Equational Laws of Quantifiers

### Renaming the Variables

Let  $A(x)$  be any formula with a **free** variable  $x$  and let  $y$  be a variable that **does not occur** in  $A(x)$ , then the following holds

$$\forall x A(x) \equiv \forall y A(y)$$

$$\exists x A(x) \equiv \exists y A(y)$$

## Equational Laws of Quantifiers

### Restricted De Morgan Laws

For any formulas  $A(x), B(x)$  of  $\mathcal{L}$

$$\neg \forall_{B(x)} A(x) \equiv \exists_{B(x)} \neg A(x)$$

$$\neg \exists_{B(x)} A(x) \equiv \forall_{B(x)} \neg A(x)$$

## Equational Laws of Quantifiers

Here is a poof of **first** equality

The proof of the **second** one is similar and is left as an exercise.

$$\begin{aligned}\neg\forall_{B(x)} A(x) &\equiv (\neg\forall x (B(x) \Rightarrow A(x))) \equiv \\ &\neg\forall x (\neg B(x) \cup A(x)) \equiv \exists x \neg(\neg B(x) \cup A(x)) \equiv \\ &\exists x (\neg\neg B(x) \cap \neg A(x)) \equiv \exists x (B(x) \cap \neg A(x)) \equiv \exists_{B(x)} \neg A(x)\end{aligned}$$

## Equational Laws of Quantifiers

### Restricted Introduction and Elimination Laws

Let  $B$  be a formula that **does not** contain any **free** occurrence of  $x$

then the following logical **equivalences** hold for any formulas  $A(x), B(x), C(x)$  of  $\mathcal{L}$

$$\forall_{C(x)}(A(x) \cup B) \equiv (\forall_{C(x)}A(x) \cup B)$$

$$\exists_{C(x)}(A(x) \cap B) \equiv (\exists_{C(x)}A(x) \cap B)$$

$$\forall_{C(x)}(A(x) \Rightarrow B) \equiv (\exists_{C(x)}A(x) \Rightarrow B)$$

$$\forall_{C(x)}(B \Rightarrow A(x)) \equiv (B \Rightarrow \forall_{C(x)}A(x))$$

The **proofs** are similar to the proof of the restricted **De Morgan** Laws. The similar generalization of the other Introduction and Elimination Laws for restricted domain quantifiers **fails**

## Equational Laws of Quantifiers

We prove by constructing proper **counter-models** the following.

$$\exists_{C(x)}(A(x) \cup B) \not\equiv (\exists_{C(x)}A(x) \cup B)$$

$$\forall_{C(x)}(A(x) \cap B) \not\equiv (\forall_{C(x)}A(x) \cap B)$$

$$\exists_{C(x)}(A(x) \Rightarrow B) \not\equiv (\forall_{C(x)}A(x) \Rightarrow B)$$

$$\exists_{C(x)}(B \Rightarrow A(x)) \not\equiv (B \Rightarrow \exists xA(x))$$

## Equational Laws of Quantifiers

Nevertheless it is possible to **correctly** generalize them all as to cover quantifiers with **restricted domain**

We show now how we get the correct generalization of

$$\exists_{C(x)}(A(x) \cup B) \neq (\exists_{C(x)} A(x) \cup B)$$

We leave the other cases an **exercise**

## Equational Laws of Quantifiers

### Example

The correct restricted quantifiers equality is

$$\exists_{C(x)}(A(x) \cup B) \equiv (\exists_{C(x)}A(x) \cup (\exists x C(x) \cap B))$$

We derive it as follows.

$$\begin{aligned}\exists_{C(x)}(A(x) \cup B) &\equiv \exists x(C(x) \cap (A(x) \cup B)) \equiv \\ \exists x((C(x) \cap A(x)) \cup (C(x) \cap B)) &\equiv (\exists x(C(x) \cap A(x)) \cup \exists x(C(x) \cap B)) \\ &\equiv \exists_{C(x)}A(x) \cup (\exists x C(x) \cap B)\end{aligned}$$

We leave it as an exercise to **specify** and write references to transformation or equational laws used at each step of the **computation**

## Chapter 8

# Classical Predicate Semantics and Proof Systems

### Slides Set 3

#### PART 4: Proof Systems: Soundness and Completeness



## Proof Systems: Soundness and Completeness

We **adopt** now general definitions from chapter 4 concerning **proof systems** to the case of classical **first order** (predicate) logic

Chapters 4 and 5 **contain** a great array of examples, exercises, homework problems **explaining** in a great detail all notions we introduce here for the **predicate case**

The **examples** and **f exercises** we provide here are not numerous and **restricted** to the **laws of quantifiers**

## Proof Systems

Given a predicate language

$$\mathcal{L} = \mathcal{L}_{\{\neg, \wedge, \vee, \Rightarrow, \neg\}}(\mathbf{P}, \mathbf{F}, \mathbf{C})$$

Any **proof system**

$$\mathbf{S} = (\mathcal{L}, \mathcal{F}, \mathcal{L}\mathcal{A}, \mathcal{R})$$

is a **predicate** (first order) proof system

The predicate proof system  $\mathbf{S}$  is a **Hilbert** proof system if the set  $\mathcal{R}$  of its rules contains the **Modus Ponens** rule

$$(MP) \frac{A ; (A \Rightarrow B)}{B}$$

where  $A, B \in \mathcal{F}$

## Proof Systems

**Semantic Link:** Logical Axioms  $LA$

We want the set  $LA$  of logical axioms to be a non-empty set of **classical** predicate tautologies, i.e.

$$LA \subseteq \mathbf{T}_p$$

where

$$\mathbf{T}_p = \{A \text{ of } \mathcal{L}_{\{\neg, \cup, \Rightarrow, \neg\}}(\mathbf{P}, \mathbf{F}, \mathbf{C}) : \models_p A\}$$

We use symbols

$$\models_p, \mathbf{T}_p$$

to stress the fact that we talk about **predicate** language and classical **predicate tautologies**

## Rules of Inference

### Semantic Link 2: Rules of Inference $\mathcal{R}$

We want the the **rules** of inference  $r \in \mathcal{R}$  of  $S$  to preserve **truthfulness**. Rules that do so are called **sound**

### Definition

Given an inference rule  $r \in \mathcal{R}$  of the form

$$(r) \quad \frac{P_1 ; P_2 ; \dots ; P_m}{C}$$

where  $P_1, P_2, \dots, P_m, C \in \mathcal{F}$

We say that the rule  $(r)$  is **sound** if and only if the following condition holds for **all** structures  $\mathbf{M} = [U, I]$  for  $\mathcal{L}$

$$\text{If } \mathbf{M} \models \{P_1, P_2, \dots, P_m\} \text{ then } \mathbf{M} \models C$$

## Rules of Inference

### Exercise

Prove the soundness of the rule

$$(r) \frac{\forall x A(x)}{\exists x A(x)}$$

### Proof

Assume that (r) is **not sound**

It means that **there is** a structure  $\mathbf{M} = [U, I]$ , such that

$$\mathbf{M} \models \forall x A(x) \quad \text{and} \quad \mathbf{M} \not\models \exists x A(x)$$

Let  $(\mathbf{M}, s) \models \forall x A(x)$  and  $(\mathbf{M}, s) \not\models \exists x A(x)$

It means that  $(\mathbf{M}, s') \models A(x)$  for all  $s'$  such that  $s, s'$  agree on all variables except on  $x$ , and it is **not true** that there is  $s'$  such that  $s, s'$  agree on all variables except on  $x$ , and

$$(\mathbf{M}, s') \models A(x)$$

This is **impossible** and this **contradiction** proves soundness of (r)

## Rules of Inference

### Exercise

Prove that the rule

$$(r) \frac{\exists x A(x)}{\forall x A(x)}$$

is **not sound**

### Proof

Observe that to prove that the rule (r) is **not sound** we have to provide an example of an **instance** of a formula  $A(x)$  and construct a **counter model**

Let  $A(x)$  be an atomic formula  $P(x,c)$ , for any  $P \in \mathbf{P}$ ,  $\#P = 2$

We take as a counter model a structure

$$\mathbf{M} = (N, P_I : <, c_I : 3)$$

where  $N$  is the set of **natural** numbers

## Rules of Inference

Here is a "shorthand" solution

The atomic formula  $(\exists x P(x, c))$  becomes in

$$\mathbf{M} = (N, P_I : <, c_I : 3)$$

a **true** mathematical statement (written with logical symbols):

$$\exists n n < 3$$

The formula  $(\forall x P(x, c))$  becomes a mathematical statement

$$\forall n n < 3$$

which is an obviously **false** in the set **N** of **natural** numbers

This proves that the the rule  $(r)$  is **not sound**

## Rules of Inference

### Definition of Strongly Sound Rule

An inference rule  $r \in \mathcal{R}$  of the form

$$(r) \quad \frac{P_1 ; P_2 ; \dots ; P_m}{C}$$

is **strongly sound** if the following condition holds for all structures  $\mathbf{M} = [U, I]$  for  $\mathcal{L}$

$$\mathbf{M} \models \{P_1, P_2, \dots, P_m\} \text{ if and only if } \mathbf{M} \models C$$

We can, and we do state it informally as

(r) is **strongly sound** if and only if  $P_1 \cap P_2 \cap \dots \cap P_m \equiv C$



## Rules of Inference

### Example

The sound rule

$$(r1) \frac{\neg\forall xA(x)}{\exists x\neg A(x)}$$

is **strongly sound** by **De Morgan** Laws

### Example

The sound rule

$$(r2) \frac{\forall xA(x)}{\exists xA(x)}$$

is **not strongly sound** by exercise above

## Soundness

### Definition of Sound Proof System

Given the **predicate** (first order) proof system

$$S = (\mathcal{L}, \mathcal{F}, LA, \mathcal{R})$$

We say that **S** is **sound** if the following conditions hold

- (1)  $LA \subseteq T_p$
- (2) Each rule of inference  $r \in \mathcal{R}$  is **sound**

The proof system **S** is **strongly sound** if the condition (2) is replaced by the following condition (2')

- (2') Each rule of inference  $r \in \mathcal{R}$  is **strongly sound**

## Soundness Theorem

When we **define** (develop) a proof system **S** our first **goal** is to make sure that it is a "sound" one

It means that that all we **prove** in it is **true**. The following theorem establishes this **goal**

### **Soundness Theorem** for **S**

Given a predicate proof system **S**

For any  $A \in \mathcal{F}$ , the following implication holds.

$$\text{If } \vdash_S A \text{ then } \models_p A$$

We write it in a more concise form as

$$\mathbf{P}_S \subseteq \mathbf{T}_p$$

## Soundness Theorem

### Proof of Soundness Theorem

Observe that if we have already proven that **S** is **sound** as stated in the definition the proof of the implication

$$\text{If } \vdash_S A \text{ then } \models_p A$$

is a straightforward application of the mathematical **induction** over the length of the **formal proof** of the formula **A**

It means that in order to prove the **Soundness Theorem** for a proof system **S** it is enough to **verify** the two conditions of the **soundness** definition, i.e. to verify

(1)  $LA \subseteq T_p$  and

(2) each rule of inference  $r \in \mathcal{R}$  is **sound**

## Completeness Theorem

Proving **Soundness Theorem** for any proof system **S** is **indispensable** and moreover, the proof is quite **easy**

The **next** step in developing a **logic** (classical predicate logic in our case now) is to **answer** the following **necessary** and **difficult** question

Given a proof system **S** about which we know that all it **proves** is **true** (**tautology**)

*Can we **prove** all we **know** to be **true** ?* It means:

*Can **S** prove all **tautologies** ?*

Proving the following **theorem** establishes this **goal**

## Completeness Theorem

### Completeness Theorem for $S$

Given a **predicate** proof system  $S$

For any  $A \in \mathcal{F}$ , the following holds

$$\vdash_S A \text{ if and only if } \models_p A$$

We write it in a more concise form as

$$\mathbf{P}_S = \mathbf{T}_p$$

## Completeness Theorem

The **Completeness Theorem** consists of two parts

**Part 1: Soundness Theorem**

$$\mathbf{P}_S \subseteq \mathbf{T}_p$$

**Part 2: Completeness part** of the **Completeness Theorem**

$$\mathbf{T}_p \subseteq \mathbf{P}_S$$

## Completeness Theorem

There are many **methods** and **techniques** for **proving** the **Completeness Theorem**

It applies even for **classical** proof systems (logics) alone

**Non-classical** logics often require **new** and usually very sophisticated **methods**



## Completeness Theorem

We presented **two** very different **proofs** of the **Completeness Theorem** for classical propositional **Hilbert style** proof system in chapter 5

Then we presented yet **another** very different **constructive** proofs for **automated** theorem proving systems for classical **propositional** logic chapter 6

As a next step we present an old, **standard** proof of the **predicate Completeness Theorem** for **Hilbert style** proof system for classical logic in the next chapter 9