

cse541
LOGIC for Computer Science

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LECTURE 10a

Chapter 10
Predicate Automated Proof Systems
Completeness of Classical Predicate Logic

Slides Set 2

PART 3: Skolemization and Clauses

Skolemization and Clauses : Introduction

A **resolution** based proof system for predicate logic operates on sets of **clauses** as a basic expressions and uses a **resolution rule** as the only rule of inference

The **first goal** of this part is to define an **effective process** of transformation of any formula **A** of a predicate language

$$\mathcal{L} = \mathcal{L}_{\{\neg, \cup, \cap, \Rightarrow\}}(\mathbf{P}, \mathbf{F}, \mathbf{C})$$

into its **logically equivalent** set of clauses

C_A

Skolemization and Clauses: Introduction

This **process of transformation** is done in two stages

S1. We convert any formula A of the predicate language \mathcal{L} into an **open** formula A^* of a language \mathcal{L}^* by a process of **elimination of quantifiers** from the original language \mathcal{L}

The elimination method is due to **T. Skolem** (1920) and is called **Skolemization**

Skolem Theorem

The resulting formula A^* is **equisatisfiable** with A :
it is **satisfiable** if and only if the original one is **satisfiable**

Skolemization and Clauses; Introduction

The stage **S1.** is performed as the first step in a **resolution** based automated **theorem prover**

S2. We define a proof system **QRS*** based on the Skolemized language

\mathcal{L}^*

and use it transform automatically any formula A^* of \mathcal{L}^* into an logically equivalent set of clauses

C_{A^*}

Skolemization and Clauses; Introduction

The **final result** of stages **S1.** and **S2.**, i.e. the set

$$\mathbf{C}_{A^*}$$

of clauses of the Skolemized language \mathcal{L}^* called a **clausal form** of the original formula A of the language \mathcal{L}

The **transformation** process for any **propositional** formula A into its **logically equivalent** set \mathbf{C}_A of clauses follows directly from the use of the **propositional** system **RS**

Clauses: Definition

Definition

Given a formal language \mathcal{L} , propositional or predicate

1. A **literal** as an **atomic**, or a **negation** of an atomic formula of \mathcal{L} . We denote by LT the set of all **literals** of \mathcal{L}

2. A **clause** C is a **finite set** of **literals**

Empty clause is denoted by $\{\}$

3. We denote by \mathbf{C} any **finite set** of all **clauses**. For any $n \geq 0$,

$$\mathbf{C} = \{C_1, C_2, \dots, C_n\}$$

Clauses: Definition

Definition

Given a **propositional** or **predicate** language L , and a sequence

$$\Gamma \in LT^*$$

determined by Γ is a **set** form out of all elements of the sequence Γ

We we denote it by

$$C_{\Gamma}$$

Example

Example

In particular,

1. if $\Gamma_1 = a, a, \neg b, c, \neg b, c$ and $\Gamma_2 = \neg b, c, a$, then

$$C_{\Gamma_1} = C_{\Gamma_2} = \{a, c, \neg b\}$$

2. If $\Gamma_1 = \neg P(x_1), \neg R(x_1, y), P(x_2), \neg P(x_1), \neg R(x_1, y), P(x_2)$ and $\Gamma_2 = \neg P(x_1), \neg R(x_1, y), P(x_2)$, then

$$C_{\Gamma_1} = C_{\Gamma_2} = \{\neg P(x_1), \neg R(x_1, y), P(x_2)\}$$

Clauses Semantics

Given a **propositional** or **predicate** language \mathcal{L}

We use the following notations

For any **clause** C , write

$$\delta_C$$

for a **disjunction** of all literals in C

Let \mathcal{M} denote a **structure** $[M, I]$ for a predicate language \mathcal{L} ,
or a **truth assignment** v in case when \mathcal{L} is a propositional
language

Clauses Semantics

Definition

\mathcal{M} is called a **model** for a clause C

$$\mathcal{M} \models C, \quad \text{if and only if} \quad \mathcal{M} \models \delta_C$$

\mathcal{M} is called a **model** for a **set** \mathbf{C} of clauses,

$$\mathcal{M} \models \mathbf{C} \quad \text{if and only if} \quad \mathcal{M} \models C \quad \text{for all clauses } C \in \mathbf{C}$$

Clauses Semantics

Definition

A formula A is **equivalent** with a set \mathbf{C} of clauses

$$(A \equiv \mathbf{C}) \text{ if and only if } A \equiv \sigma_{\mathbf{C}}$$

where $\sigma_{\mathbf{C}}$ is a **conjunction** of all formulas δ_C for all clauses $C \in \mathbf{C}$

Propositional Formula-Clauses Equivalency

Theorem (Formula-Clauses Equivalency)

For any formula A of a **propositional** language \mathcal{L} , there is an **effective procedure** of generating a corresponding set \mathbf{C}_A of clauses such that

$$A \equiv \mathbf{C}_A$$

Proof

Given a formula A , we first use the **RS** system (chapter 6) to build a **decomposition tree** \mathbf{T}_A of A

We form **clauses** out of the **leaves** of the tree \mathbf{T}_A , i.e. for every leaf L we create a clause \mathbf{C}_L determined by L

Propositional Formula-Clauses Equivalency

We put

$$\mathbf{C}_A = \{C_L : L \text{ is a leaf of } \mathbf{T}_A\}$$

Directly from the **strong soundness** of rules of inference of **RS** we get

$$A \equiv \mathbf{C}_A$$

This ends the **proof** for the propositional case

Example

Example Consider a decomposition tree

T_A

$$(((a \Rightarrow b) \wedge \neg c) \vee (a \Rightarrow c))$$

$$| (\vee)$$

$$((a \Rightarrow b) \wedge \neg c), (a \Rightarrow c)$$

$$\wedge (\wedge)$$

$$(a \Rightarrow b), (a \Rightarrow c)$$

$$| (\Rightarrow)$$

$$\neg a, b, (a \Rightarrow c)$$

$$| (\Rightarrow)$$

$$\neg a, b, \neg a, c$$

$$\neg c, (a \Rightarrow c)$$

$$| (\Rightarrow)$$

$$\neg c, \neg a, c$$

Example

For the formula

$$A = (((a \Rightarrow b) \wedge \neg c) \vee (a \Rightarrow c))$$

the leaves of its tree \mathbf{T}_A are

$$L_1 = \neg a, b, \neg a, c \quad \text{and} \quad L_2 = \neg c, \neg a, c$$

The set of clauses determined by them is

$$\mathbf{C}_A = \{\{\neg a, b, c\}, \{\neg c, \neg a, c\}\}$$

By the Formula-Clauses Equivalency **Theorem**

$$A \equiv \mathbf{C}_A$$

Semantically it means that

$$A \equiv (((\neg a \vee b) \vee c) \wedge ((\neg c \vee \neg a) \vee c))$$

Predicate Clausal Form

Theorem

For any formula A of a **predicate** language \mathcal{L} , there is an **effective** procedure of generating an **open** formula A^* of a quantifiers free language \mathcal{L}^* and a set \mathbf{C}_{A^*} of **clauses** such that

$$(*) \quad A^* \equiv \mathbf{C}_{A^*}$$

The set \mathbf{C}_{A^*} of clauses of the language \mathcal{L}^* with the property $(*)$ is called a **clausal form** of the formula A of \mathcal{L}

Proof of Theorem

Proof Given a formula A of a language \mathcal{L}

The **open** formula A^* of the **quantifiers free** language \mathcal{L}^* is obtained by the **Skolemization process**

The **effectiveness** and **correctness** of the process follows from **PNF Theorem** and **Skolem Theorem** described in the next section

As the next step, we **define there** a proof system **QRS*** based on the **quantifiers free** language \mathcal{L}^*

Proof of Predicate Clausal Form Theorem

The system **QRS*** is a version of the predicate system **QRS** with inference rules restricted to Propositional Rules

At this point we use the system **QRS*** to define in it a decomposition tree **T_{A*}** for any **open** formula **A***

We form **clauses** out of its **leaves** and we put

$$\mathbf{C}_{A^*} = \{C_L : L \text{ is a leaf of } \mathbf{T}_{A^*}\}$$

This is the **clausal form** of the formula **A** of \mathcal{L}

To complete the proof we develop in the **next section** all needed **notions** and **results**

Prenex Normal Forms and Skolemization

Some Basic Notions

Let $A(x), A(x_1, x_2, \dots, x_n) \in \mathcal{F}$ and $t, t_1, t_2, \dots, t_n \in \mathbf{T}$

$$A(t), A(t_1, t_2, \dots, t_n)$$

denote the result of replacing respectively all occurrences of the free variables x, x_1, x_2, \dots, x_n , by the terms t, t_1, t_2, \dots, t_n

We assume that t, t_1, t_2, \dots, t_n are **free for** x, x_1, x_2, \dots, x_n , respectively, **in** A

The assumption that $t \in \mathbf{T}$ is **free for** x **in** $A(x)$ while substituting t for x , is **important** because otherwise we would distort the meaning of $A(t)$

Examples

Example 1

Let $t = y$ and $A(x)$ be

$$\exists y(x \neq y)$$

Obviously t is **not free** for y in A

The **substitution** of t for x produces a formula $A(t)$ of the form

$$\exists y(y \neq y)$$

which has a **different meaning** than

$$\exists y(x \neq y)$$

Examples

Example 2

Let $A(x)$ be a formula

$$(\forall y P(x, y) \cap Q(x, z))$$

and let $t = f(x, z)$

We **substitute** t on a place of x in $A(x)$ and we obtain a formula $A(t)$ of the form

$$(\forall y P(f(x, z), y) \cap Q(f(x, z), z))$$

None of the occurrences of the variables x, z of t is **bound** in $A(t)$, hence we say that $t = f(x, z)$ is **free** for x in

$$(\forall y P(x, y) \cap Q(x, z))$$

Examples

Example 3

Let $A(x)$ be a formula

$$(\forall y P(x, y) \cap Q(x, z))$$

The term $t = f(y, z)$ is **not free** for x in $A(x)$ because **substituting** $t = f(y, z)$ on a place of x in $A(x)$ we obtain now a formula $A(t)$ of the form

$$(\forall y P(fy, z), y) \cap Q(f(y, z), z))$$

which contain a **bound** occurrence of the variable y of t in sub-formula $(\forall y P(f(y, z), y))$

The other occurrence of y in sub-formula $(Q(f(y, z), z))$ is **free**, but it is **not sufficient**, as for term to be **free for x** , **all occurrences** of its variables has to be free in $A(t)$

Similar Formulas

Informally, we say that formulas $A(x)$ and $A(y)$ are **similar** if and only if $A(x)$ and $A(y)$ are the **same** except that $A(x)$ has **free** occurrences of x in **exactly** those places where $A(y)$ has **free** occurrence of y

We define it formally as follows

Definition

Let x and y be two different variables. We say that the formulas $A(x)$ and $A(y) = A(x/y)$ are **similar** and denote it by

$$A(x) \sim A(y)$$

if and only if y is **free** for x in $A(x)$ and $A(x)$ has **no** free occurrences of y

Similar Formulas Examples

Example 1

The formulas

$$A(x) : \exists z(P(x, z) \Rightarrow Q(x, y))$$

and

$$A(y) : \exists z(P(y, z) \Rightarrow Q(y, y))$$

are **not similar**; y is **free for x** in $A(x)$ as **no occurrence** of y becomes a **bound** occurrence in the formula $A(y)$ but the formula $A(x)$ has a **free occurrence** of y

Similar Formulas Examples

Example 2

The formulas

$$A(x) : \exists z(P(x, z) \Rightarrow Q(x, y))$$

and

$$A(w) : \exists z(P(w, z) \Rightarrow Q(w, y))$$

are similar; w is **free** for x in $A(x)$ as **no occurrence** of w becomes a **bound** occurrence in the formula $A(w)$ and the formula $A(x)$ **has no free** occurrence of w

Renaming the Variables

Directly from the definition we get the following

Fact (Renaming the Variables)

For any formula $A(x) \in \mathcal{F}$,

if $A(x)$ and $A(y) = A(x/y)$ are similar, i.e.

$$A(x) \sim A(y)$$

then the following logical equivalences hold

$$\forall x A(x) \equiv \forall y A(y)$$

and

$$\exists x A(x) \equiv \exists y A(y)$$

Example

Example 3

We proved in **Example 2** that

$$\exists z(P(x, z) \Rightarrow Q(x, y)) \sim \exists z(P(w, z) \Rightarrow Q(w, y))$$

Hence by the **Fact** we get that

$$\forall x \exists z(P(x, z) \Rightarrow Q(x, y)) \equiv \forall w \exists z(P(w, z) \Rightarrow Q(w, y))$$

and

$$\exists x \exists z(P(x, z) \Rightarrow Q(x, y)) \equiv \exists w \exists z(P(w, z) \Rightarrow Q(w, y))$$

Replacement Theorem

We prove, by the **induction** on the number of connectives and quantifiers in a formula A the following

Replacement Theorem

For any formulas $A, B \in \mathcal{F}$,

if B is a **sub-formula** of A , and A^* is the result of **replacing** zero or more occurrences of B in A by a formula C , and $B \equiv C$, then $A \equiv A^*$

Change of Bound Variables Theorem

Theorem (Change of Bound Variables)

For any formula $A(x), A(y), B \in \mathcal{F}$,

if the formulas $A(x)$ and $A(x/y)$ are **similar**, i.e.

$$A(x) \sim A(y)$$

and the formula

$$\forall xA(x) \text{ or } \exists xA(x)$$

is a **sub-formula** of B , and the formula B^* is the result of **replacing** zero or more occurrences of $A(x)$ in B by a formula $\forall yA(y)$ or by a formula $\exists yA(y)$, then

$$B \equiv B^*$$

Naming Variables Apart

Definition

We say that a formula B has its variables **named apart** if **no two** quantifiers in B **bind** the same variable and **no bound** variable is also **free**

We now use the **Change of Bound Variables Theorem** to prove its more general version

Naming Variables Apart

Theorem (Naming Variables Apart)

Every formula $A \in \mathcal{F}$ is logically **equivalent** to one in which all variables are **named apart**

We use the above theorems plus the **equational laws** for quantifiers to prove, as a next step a so called a **Prenex Form Theorem**

In order to do so we first we define an important notion of **prenex normal form** of a formula

Closure of a Formula

Here is an important notion we need for future definition

Definition(Closure of a Formula)

By a **closure** of a formula A we mean a **closed** formula A' obtained from A prefixing in **universal quantifiers** all those variables that are free in A ; i.e.

if $A(x_1, \dots, x_n)$ then $A' \equiv A$ is

$$\forall x_1 \forall x_2 \dots \forall x_n A(x_1, x_2, \dots, x_n)$$

Example

Let A be a formula $(P(x, y) \Rightarrow \neg \exists z R(x, y, z))$. its **closure** $A' \equiv A$ is $\forall x \forall y (P(x, y) \Rightarrow \neg \exists z R(x, y, z))$

Prenex Normal Form

PNF Definition

Any formula of the form

$$Q_1 x_1 Q_2 x_2 \dots Q_n x_n B$$

where each Q_i is a **universal** or **existential quantifier**,
i.e. the following holds

for all $1 \leq i \leq n$,

$$Q_i \in \{\exists, \forall\} \text{ and } x_i \neq x_j \text{ for } i \neq j$$

and the formula B contains **no quantifiers**, is said to be in
Prenex Normal Form (PNF)

We include the case $n = 0$ when there are no quantifiers at all

Prenex Normal Form Theorem

We assume that the formula A in **PNF** is always **closed**

If it is not closed we form its **closure** instead

PNF Theorem

There is an **effective procedure** for transforming any formula $A \in \mathcal{F}$ into a formula B in the prenex normal form **PNF** such that

$$A \equiv B$$

Proof

The procedure uses the Replacement and Naming Variables Apart **Theorems** and the following **Equational Laws of Quantifiers** proved in chapter 2

Equational Laws of Quantifiers

For any $A(x), B \in \mathcal{F}$, where B **does not** contain any **free** occurrence of x the following holds

$$\forall x(A(x) \cup B) \equiv (\forall xA(x) \cup B)$$

$$\forall x(A(x) \cap B) \equiv (\forall xA(x) \cap B)$$

$$\exists x(A(x) \cup B) \equiv (\exists xA(x) \cup B)$$

$$\exists x(A(x) \cap B) \equiv (\exists xA(x) \cap B)$$

$$\forall x(A(x) \Rightarrow B) \equiv (\exists xA(x) \Rightarrow B)$$

$$\exists x(A(x) \Rightarrow B) \equiv (\forall xA(x) \Rightarrow B)$$

$$\forall x(B \Rightarrow A(x)) \equiv (B \Rightarrow \forall xA(x))$$

$$\exists x(B \Rightarrow A(x)) \equiv (B \Rightarrow \exists xA(x))$$

PNF Procedure

The general **PNF procedure** is defined by induction on the number k of **occurrences** of connectives and quantifiers in A

We show here how it works in some particular cases

Exercise Find a prenex normal form **PNF** of a formula

$$A : (\forall x(P(x) \Rightarrow \exists xQ(x)))$$

Solution We find **PNF** as follows

Step 1: Naming Variables Apart

We make all **bound variables** in A different, i.e. we transform A into an equivalent formula A'

$$\forall x(P(x) \Rightarrow \exists yQ(y))$$

PNF Procedure

Step 2: Pull Out Quantifiers

We apply the equational law

$(C \Rightarrow \exists y Q(y)) \equiv \exists y (C \Rightarrow Q(y))$ to the sub-formula

$$B : (P(x) \Rightarrow \exists y Q(y))$$

of A' for $C = P(x)$, as $P(x)$ **does not** contain the variable y

We get its equivalent formula

$$B^* : \exists y (P(x) \Rightarrow Q(y))$$

We substitute B^* on place of B in A' and get the formula

$$A'' \quad \forall x \exists y (P(x) \Rightarrow Q(y))$$

By the Replacement **Theorem** $A'' \equiv A' \equiv A$

The formula A'' is a required prenex normal form **PNF** for A

PNF Procedure

Example

Let's now find **PNF** for the formula **A**:

$$(\exists x \forall y R(x, y) \Rightarrow \forall y \exists x R(x, y))$$

Step 1: Rename Variables Apart

Take a sub-formula $B(x, y) : \forall y \exists x R(x, y)$ of **A**

Rename variables in $B(x, y)$, i.e. get

$$B(x/z, y/w) : \forall w \exists z R(z, w)$$

Replace $B(x, y)$ by $B(x/z, y/w)$ in **A** and get

$$(\exists x \forall y R(x, y) \Rightarrow \forall w \exists z R(z, w))$$

PNF Procedure

Step 2: Pull out quantifiers

We use corresponding equational laws for quantifiers to pull out **first** (one by one) quantifiers $\exists x \forall y$ and **then** pulling out one by one the quantifiers $\forall w \exists z$

We get the following **PNF** for A

$$\forall x \exists y \forall w \exists z (R(x, y) \Rightarrow R(z, w))$$

Observe we can also perform **Step 2** by pulling out **first** (one by one) the quantifiers $\forall w \exists z$ and **then** pulling out one by one the quantifiers $\exists x \forall y$.

We hence can obtain **another PNF** for A

$$\forall w \exists z \forall x \exists y (R(x, y) \Rightarrow R(z, w))$$

Skolem Procedure of Elimination of Quantifiers

Skolemization

We will show now how any formula A already in its prenex normal form **PNF** can be **transformed** into a certain **open formula** A^* , such that

$$A \equiv A^*$$

The **open formula** A^* belongs to a **richer language** than the initial language \mathcal{L} to which the formula A belongs

Skolemization

This **transformation** process **adds** new **constants** to the original language \mathcal{L}

They are called **Skolem constants**

The process also **adds** to \mathcal{L} new **functions** symbols called **Skolem functions**

The whole **transformation** process is called **Skolemization** of the initial language \mathcal{L}

Such build **extension** of the initial language \mathcal{L} is called the **Skolem extension** of and \mathcal{L} and denoted

\mathcal{L}^*

Skolem Elimination of Quantifiers

Skolem Procedure of Elimination of Quantifiers

Given a formula A of the language

$$\mathcal{L} = \mathcal{L}_{\{\neg, \cup, \cap, \Rightarrow\}}(\mathbf{P}, \mathbf{F}, \mathbf{C})$$

We assume that A is already in its prenex normal form **PNF**

$$Q_1 x_1 Q_2 x_2 \dots Q_n x_n B(x_1, x_2, \dots, x_n)$$

where each Q_i is a **universal** or **existential** quantifier, i.e. for all $1 \leq i \leq n$, $Q_i \in \{\exists, \forall\}$, $x_i \neq x_j$ for $i \neq j$, and the formula $B(x_1, x_2, \dots, x_n)$ contains **no quantifiers**

Skolem Elimination of Quantifiers

We describe now a procedure of **elimination** of all **quantifiers** from a **PNF** formula A

The procedure transforms **PNF** formula A into a **logically equivalent open formula** A^*

We also assume that the **PNF** formula A is **closed**
If it is not closed we form its **closure** instead

Closure of a Formula

For any formula A , its **closure** is a formula A' obtained from A by **prefixing** in **universal quantifiers** all those variables that are **free** in A

Example

Let A be a formula

$$(P(x, y) \Rightarrow \neg \exists z R(x, y, z))$$

its **closure** i.e. a formula $A' \equiv A$ is

$$\forall x \forall y (P(x, y) \Rightarrow \neg \exists z R(x, y, z))$$

Elimination of Quantifiers

Given a formula A in its **closed PNF** form

$$Q_1 x_1 Q_2 x_2 \dots Q_n x_n B(x_1, x_2, \dots, x_n)$$

We consider 3 cases

Case 1

All quantifiers Q_i for $1 \leq i \leq n$ are **universal**, i.e. the formula A is

$$A : \quad \forall x_1 \forall x_2 \dots \forall x_n B(x_1, x_2, \dots, x_n)$$

We **replace** the formula A by the **open formula** A^*

$$A^* : \quad B(x_1, x_2, \dots, x_n)$$

Elimination of Quantifiers

Case 2

All quantifiers Q_i for $1 \leq i \leq n$ are **existential**, i.e. formula A is

$$A : \exists x_1 \exists x_2 \dots \exists x_n B(x_1, x_2, \dots, x_n)$$

We **replace** the formula A by the **open formula** A^*

$$A^* : B(c_1, c_2, \dots, c_n)$$

where c_1, c_2, \dots, c_n and **new individual constants added** to our original language \mathcal{L}

We call such individual **constants** added to the original language **Skolem constants**

Elimination of Quantifiers

Case 3

The quantifiers in A are **mixed**

We **eliminate** the **mixed** quantifiers one by one and step by step depending on first, and then the consecutive quantifiers in the closed **PNF** formula A

$$A : Q_1 x_1 Q_2 x_2 \dots Q_n x_n B(x_1, x_2, \dots, x_n)$$

We have two possibilities for the **first** quantifier $Q_1 x_1$

P1 $Q_1 x_1$ is **universal**

P2 $Q_1 x_1$ is **existential**

Elimination of Quantifiers; Step 1

Step 1 Elimination of Q_1

We consider the two cases for the **first** quantifier

Case **P1**

First quantifier Q_1 is **universal**

This means that A is

$$A : \forall x_1 Q_2 x_2 \dots Q_n x_n B(x_1, x_2, \dots, x_n)$$

We **replace** A by the following formula A_1

$$A_1 : Q_2 x_2 Q_3 x_3 \dots Q_n x_n B(x_1, x_2, x_3, \dots, x_n)$$

We have **eliminated** the quantifier Q_1 in this case

Elimination of Quantifiers; Step 1

Case **P2**

First quantifier Q_1 is **existential**. This means that A is

$$A : \exists x_1 Q_2 x_2 \dots Q_n x_n B(x_1, x_2, \dots, x_n)$$

We **replace** A by a following formula A_1

$$A_1 \quad Q_2 x_2 \dots Q_n x_n B(b_1, x_2, \dots, x_n)$$

where b_1 is a new **constant** symbol **added** to our original language \mathcal{L}

We call such constant symbol **added** to the language a **Skolem constant**

We have **eliminated** the quantifier Q_1 in both cases and this **ends** the **Step 1**

Elimination of Quantifiers; Step 2

Step 2 Elimination of Q_2

Consider now the **PNF** formula A_1 from **Step1** - case **P1**

$$A_1 \quad Q_2 x_2 \dots Q_n x_n B(x_1, x_2, \dots x_n)$$

Remark that the formula A_1 might **not be closed**

We have again two cases for elimination of the quantifier Q_2

P1 Q_2 is **universal**

P2 Q_2 is **existential**

Elimination of Quantifiers; Step 2

Case **P1**

First quantifier in A_1 is **universal**

The formula A_1 is

$$A_1 \quad \forall x_2 Q_3 x_3 \dots Q_n x_n B(x_1, x_2, x_3, \dots, x_n)$$

We **replace** A_1 by the following A_2

$$A_2 \quad Q_3 x_3 \dots Q_n x_n B(x_1, x_2, x_3, \dots, x_n)$$

We have **eliminated** the quantifier Q_2 in this case

Elimination of Quantifiers; Step 2

Case **P2**

First quantifier in A_1 is **existential**

The formula A_1 is

$$A_1 \quad \exists x_2 Q_3 x_3 \dots Q_n x_n B(x_1, x_2, x_3, \dots x_n)$$

Observe that now the variable x_1 is a **free** variable in

$$B(x_1, x_2, x_3, \dots x_n)$$

and hence x_1 is a **free** variable in in the formula A_1

Elimination of Quantifiers; Step 2

The variable x_1 is **free** in A_1

$$A_1 \quad \exists x_2 Q_3 x_3 \dots Q_n x_n B(x_1, x_2, x_3, \dots, x_n)$$

We **replace** A_1 by the following A_2

$$A_2 \quad Q_3 x_3 \dots Q_n x_n B(x_1, f(x_1), x_3, \dots, x_n)$$

where f is a new **one** argument **functional symbol added** to our original language \mathcal{L}

We call such functional symbols **added** to the original language **Skolem functional** symbols

We have **eliminated** the quantifier Q_2 in this case

Elimination of Quantifiers; Step 2

Consider now the **PNF** formula A_1 from **Step1** - case **P2**

$$A_1 \quad Q_2 x_2 Q_3 x_3 \dots Q_n x_n B(b_1, x_2, \dots, x_n)$$

Again we have two cases for the quantifier Q_2

Case **P1**

First quantifier Q_2 in A_1 is **universal**

The formula A_1 is

$$A_1 \quad \forall x_2 Q_3 x_3 \dots Q_n x_n B(b_1, x_2, x_3, \dots, x_n)$$

We **replace** A_1 by the following A_2

$$A_2 \quad Q_3 x_3 \dots Q_n x_n B(b_1, x_2, x_3, \dots, x_n)$$

We have **eliminated** the quantifier Q_2 in this case

Elimination of Quantifiers; Step 2

Case **P2**

First quantifier in A_1 is **existential**

The formula A_1 is

$$A_1 \quad \exists x_2 Q_3 x_3 \dots Q_n x_n B(b_1, x_2, x_3, \dots, x_n)$$

We **replace** A_1 by the following A_2

$$A_2 \quad Q_3 x_3 \dots Q_n x_n B(b_1, b_2, x_3, \dots, x_n)$$

where $b_2 \neq b_1$ is a **new Skolem constant added** to the original language \mathcal{L}

We have **eliminated** the quantifier Q_2 in this case

We have covered all cases and this **ends** the **Step 2**

Elimination of Quantifiers; Step 3

Step 3 Elimination of Q_3

Let's now consider, as an **example** a formula A_2 from **Step 2**
- case **P1** i.e. the formula

$$Q_3 x_3 \dots Q_n x_n B(x_1, x_2, x_3, \dots, x_n)$$

We have two cases but we describe only the following

P2 First quantifier in A_2 is **existential**

The formula A_2 is

$$A_2 \quad \exists x_2 Q_4 x_4 \dots Q_n x_n B(x_1, x_2, x_3, x_4, \dots, x_n)$$

Observe that now the variables x_1, x_2 are **free** variables in

$$B(x_1, x_2, x_3, \dots, x_n)$$

and hence in A_2

Elimination of Quantifiers; Step 2

The the variables x_1, x_2 are **free** in A_2

$$A_2 \quad \exists x_2 Q_4 x_4 \dots Q_n x_n B(x_1, x_2, x_3, x_4, \dots x_n)$$

We replace A_2 by the following A_3

$$A_3 \quad Q_4 x_3 \dots Q_n x_n B(x_1, x_2, g(x_1, x_2), x_4 \dots x_n)$$

where g is a **new** two argument **functional symbol** **added** to the original language \mathcal{L}

We have **eliminated** the quantifier Q_3 in this case

Elimination of Quantifiers

At each **Step i** for $1 \leq i \leq n$ we build a **binary tree** of cases
P1 Q_i is universal or **P2** Q_i is existential

The result in each case is a formula A_i with **one less** quantifier

The **elimination** of the proper quantifier **adds** new **Skolem constant** or **Skolem function** symbol to the original language \mathcal{L}

Elimination of Quantifiers

The **elimination of quantifiers** process builds a sequence of formulas

$$A, A_1, A_2, \dots, A_n = A^*$$

where the formula A belongs to our original language

$$\mathcal{L} = \mathcal{L}_{\{\neg, \cup, \cap, \Rightarrow\}}(\mathbf{P}, \mathbf{F}, \mathbf{C}),$$

and the **open** formula A^* belongs to its **Skolem extension** defined as follows

Skolem Extension

Definition

The **Skolem extension** \mathcal{L}^* of a language

$$\mathcal{L} = \mathcal{L}_{\{\neg, \cup, \cap, \Rightarrow\}}(\mathbf{P}, \mathbf{F}, \mathbf{C})$$

is the language

$$\mathcal{L}^* = \mathcal{L}_{\{\neg, \cup, \cap, \Rightarrow\}}(\mathbf{P}, \mathbf{F} \cup \mathbf{SF}, \mathbf{C} \cup \mathbf{SC})$$

where the sets **SF** and **SC** are respectively the sets of **Skolem functions** and **Skolem constants**

They are obtained by the **quantifiers elimination procedure**

Elimination of Quantifiers Result

Given a formula A in its **closed PNF** form

$$Q_1 x_1 Q_2 x_2 \dots Q_n x_n B(x_1, x_2, \dots, x_n)$$

Observe that the **elimination** of an **universal** quantifier Q_i introduces a **free** variable x_i in the formula

$$Q_1 x_1 Q_2 x_2 \dots Q_n x_n B(x_1, x_2, \dots, x_n)$$

Elimination of Quantifiers Result

The **elimination** of an **existential** quantifier Q_i that follows **universal** quantifiers introduces a **new functional** symbol with number of arguments equal the number of universal quantifiers preceding it

The **elimination** of an **existential** quantifier Q_i that **does not** follow any **universal** quantifiers introduces a **new constant** symbol

The resulting **open** formula A^* is logically equivalent to the **PNF** formula A

Skolemization

Definition

Given a formula A of \mathcal{L}

A formula

A^*

of the **Skolem extension** language \mathcal{L}^* obtained from A

by the **elimination of quantifiers** process is called a

Skolem form of the formula A

The **elimination of quantifiers** process obtaining it is called
Skolemization

Example

Example 1

Let A be a closed **PNF** formula

$$A : \forall y_1 \exists y_2 \forall y_3 \exists y_4 B(y_1, y_2, y_3, y_4)$$

We **eliminate** $\forall y_1$ and get a formula A_1

$$A_1 : \exists y_2 \forall y_3 \exists y_4 B(y_1, y_2, y_3, y_4)$$

We **eliminate** $\exists y_2$ by **replacing** the variable y_2 by $h(y_1)$

The symbol h is a **new** one argument **functional** symbol **added** to the language \mathcal{L}

We get a formula A_2

$$A_2 : \forall y_3 \exists y_4 B(y_1, h(y_1), y_3, y_4)$$

Example 1

Given the formula A_2

$$A_2 : \forall y_3 \exists y_4 B(y_1, h(y_1), y_3, y_4)$$

We **eliminate** $\forall y_3$ and get a formula A_3

$$A_3 : \exists y_4 B(y_1, h(y_1), y_3, y_4)$$

We **eliminate** $\exists y_4$ by replacing y_4 by $f(y_1, y_3)$, where f is a **new** two argument **functional** symbol **added** to \mathcal{L}

We get a formula A_4 that is our resulting **open** formula A^*

$$A^* : B(y_1, h(y_1), y_3, f(y_1, y_3))$$

Example 2

Example 2

Let A be a closed **PNF** formula

$$A : \exists y_1 \forall y_2 \forall y_3 \exists y_4 \exists y_5 \forall y_6 B(y_1, y_2, y_3, y_4, y_4, y_5, y_6)$$

We **eliminate** $\exists y_1$ and get a formula A_1

$$A_1 : \forall y_2 \forall y_3 \exists y_4 \exists y_5 \forall y_6 B(b_1, y_2, y_3, y_4, y_4, y_5, y_6)$$

where b_1 is a **new constant added** to the language \mathcal{L}

We **eliminate** $\forall y_2, \forall y_3$ and get formulas A_2, A_3

$$A_2 : \forall y_3 \exists y_4 \exists y_5 \forall y_6 B(b_1, y_2, y_3, y_4, y_4, y_5, y_6)$$

$$A_3 : \exists y_4 \exists y_5 \forall y_6 B(b_1, y_2, y_3, y_4, y_4, y_5, y_6)$$

Example 2

We **eliminate** $\exists y_4$ and get a formula A_4

$$A_4 : \exists y_5 \forall y_6 B(b_1, y_2, y_3, g(y_2, y_3), y_5, y_6)$$

where g is a **new** two argument **functional** symbol **added** to the original language \mathcal{L}

We **eliminate** $\exists y_5$ and get a formula A_5

$$A_5 : \forall y_6 B(b_1, y_2, y_3, g(y_2, y_3), h(y_2, y_3), y_6)$$

where h is a **new** two argument **functional** symbol **added** to the language \mathcal{L}

We **eliminate** $\forall y_6$ and get a formula A_6 that is the resulting **open** formula A^*

$$A^* : B(b_1, y_2, y_3, g(y_2, y_3), h(y_2, y_3), y_6)$$

Skolem Theorem

The **correctness** of the **Skolemization process** is established by the **Skolem Theorem**

It states informally that the formula A^* obtained from a formula A via the **Skolemization process** is **satisfiable** if and only if the original formula A is **satisfiable**

We define this notion **formally** as follows

Skolem Theorem

Definition Equisatisfiable formulas

Given any formulas A of \mathcal{L} and B of the **Skolem extension** \mathcal{L}^* of \mathcal{L}

We say that A and B are **equisatisfiable** if and only if the following conditions are satisfied

1. Any structure \mathcal{M} of \mathcal{L} can be **extended** to a structure \mathcal{M}^* of \mathcal{L}^* and following implication holds

$$\text{If } \mathcal{M} \models A, \text{ then } \mathcal{M}^* \models B$$

2. Any structure \mathcal{M}^* of \mathcal{L}^* can be **restricted** to a structure \mathcal{M} of \mathcal{L} and following implication holds

$$\text{If } \mathcal{M}^* \models B, \text{ then } \mathcal{M} \models A$$

Skolem Theorem

Skolem Theorem

Let \mathcal{L}^* be the **Skolem extension** of a language \mathcal{L}
Any formula A of \mathcal{L} and its **Skolem form** A^* of \mathcal{L}^*
are **equisatisfiable**

Clausal Form of Formulas

Proof System QRS^*

Let \mathcal{L}^* be the **Skolem extension** of \mathcal{L}

By definition, the language \mathcal{L}^* does not contain quantifiers and all its formulas are **open**

We define a proof system QRS^* as an **open formulas** version of the proof system QRS based on the language \mathcal{L}

We denote the set of **formulas** of \mathcal{L}^* by OF to stress the fact that all its formulas are **open**

Let

$$AF \subseteq OF$$

be the set of all **atomic** formulas of \mathcal{L}^* and the set

$$LT = \{A : A \in AF\} \cup \{\neg A : A \in AF\}$$

the set of all **literals** of \mathcal{L}^*

Poof System **QRS***

We denote by

$\Gamma', \Delta', \Sigma' \dots$

finite sequences (empty included) formed out of **literals**,
i.e of the elements of LT^*

We will denote by

$\Gamma, \Delta, \Sigma \dots$

finite sequences (empty included) formed out of **formulas**,
i.e of the elements of OF^*

Proof System QRS^*

We define the proof system QRS^* formally as follows

$$QRS^* = (\mathcal{L}^*, \mathcal{E}, LA, \mathcal{R})$$

where $\mathcal{E} = \{\Gamma : \Gamma \in \mathcal{OF}^*\}$

The set LA of logical axioms contains any sequence $\Gamma' \in LT^*$ which contains an **atomic formula** and **its negation**
 \mathcal{R} is the set inference rules

$$(\cup), (\neg\cup), (\cap), (\neg\cap), (\Rightarrow), (\neg\Rightarrow), (\neg\neg)$$

defined as follows

Poof System QRS*

Disjunction rules

$$(U) \frac{\Gamma', A, B, \Delta}{\Gamma', (A \cup B), \Delta}$$

$$(\neg U) \frac{\Gamma', \neg A, \Delta ; \Gamma', \neg B, \Delta}{\Gamma', \neg(A \cup B), \Delta}$$

Conjunction rules

$$(\cap) \frac{\Gamma', A, \Delta ; \Gamma', B, \Delta}{\Gamma', (A \cap B), \Delta}$$

$$(\neg \cap) \frac{\Gamma', \neg A, \neg B, \Delta}{\Gamma', \neg(A \cap B), \Delta}$$

where $\Gamma' \in LT^*$, $\Delta \in OF^*$, $A, B \in OF$

Poof System **QRS***

Implication rules

$$(\Rightarrow) \frac{\Gamma', \neg A, B, \Delta}{\Gamma', (A \Rightarrow B), \Delta}$$

$$(\neg \Rightarrow) \frac{\Gamma', A, \Delta : \Gamma', \neg B, \Delta}{\Gamma', \neg(A \Rightarrow B), \Delta}$$

Negation rule

$$(\neg\neg) \frac{\Gamma', A, \Delta}{\Gamma', \neg\neg A, \Delta}$$

where $\Gamma' \in LT^*$, $\Delta \in OF^*$, $A, B \in OF$

QRS* Semantics

Definition

For any sequence Γ of formulas of \mathcal{L}^* , any structure $\mathcal{M} = [M, I]$ for \mathcal{L}^* ,

$$\mathcal{M} \models \Gamma \text{ if and only if } \mathcal{M} \models \delta_{\Gamma}$$

where δ_{Γ} denotes a **disjunction** of all formulas in Γ

The semantics for **clauses** is basically the same as for the sequences. We define it as follows

Clauses Semantics

Definition

For any **finite set** of clauses \mathbf{C} of \mathcal{L}^* , any structure $\mathcal{M} = [M, I]$ for \mathcal{L}^* , and any clause $C \in \mathbf{C}$,

1. $\mathcal{M} \models C$ if and only if $\mathcal{M} \models \delta_C$
2. $\mathcal{M} \models \mathbf{C}$ if and only if $\mathcal{M} \models \delta_C$ for all $C \in \mathbf{C}$
3. $(A \equiv \mathbf{C})$ if and only if $A \equiv \sigma_{\mathbf{C}}$

where δ_C denotes a disjunction of all literals in C and $\sigma_{\mathbf{C}}$ is a conjunction of all formulas δ_C for all clauses $C \in \mathbf{C}$

Obviously, the rules of inference of **QRS*** are strongly sound and the following holds

Strong Soundness Theorem

The proof system **QRS*** is **strongly sound**

Formula to Clauses Transformation

We use the **QRS*** system to define an **effective procedure** that **transforms** any formula A of \mathcal{L}^* into set of clauses and prove correctness of this transformation

We treat the rules of **inference** of **QRS*** as **decomposition** rules and use them to **generate** needed set C_A of **clauses** corresponding to a given formula A

Decomposable, Indecomposable

Definition

A formula that is **not a literal**, i.e. any formula $A \in \mathcal{O}\mathcal{F} - \mathbf{L}$ is called a **decomposable**

Otherwise A is called **indecomposable**

Definition

A sequence Γ that contains a **decomposable** formula is called a **decomposable** sequence

Definition

A sequence Γ' built only out of literals, i.e. $\Gamma' \in \mathbf{L}^*$ is called an **indecomposable** sequence

Decomposition Tree T_A

Definition

Given a formula $A \in \mathcal{OF}$

We build the **decomposition tree** T_A of A as follows

Step 1.

The formula A is the **root** of T_A

For any node Δ of the tree T_A we **follow** the steps bellow

Step 2.

If Δ is **indecomposable**, then Δ becomes a **leaf** of the tree

Decomposition Tree T_A

Step 3.

If Δ is **decomposable**, then we traverse Δ from left to right to **identify** the first **decomposable formula** B

In case of a **one** premiss rule we put its **premise** as a **leaf**

In case of a **two** premisses rule we put its **left** and **right** premisses as the **left** and **right leaves**, respectively

Step 4.

We **repeat** steps **2.** and **3.** **until** we obtain only **leaves**

Formula-Clauses Equivalency

Formula-Clauses Equivalency Theorem

For any formula A of \mathcal{L}^* , there is an **effective** procedure of generating a set of **clauses** C_A of \mathcal{L}^* such that

$$A \equiv C_A$$

Proof

Given $A \in \mathcal{OF}$. Here is the two steps procedure

S1. We construct (finite and unique) decomposition tree T_A

S2. We form **clauses** out of the leaves of the tree T_A , i.e. for every **leaf** L we create a clause C_L determined by L and we put

$$C_A = \{C_L : L \text{ is a leaf of } T_A\}$$

Directly from the **QRS*** **Strong Soundness Theorem** and the semantics for clauses definition we get that

$$A \equiv C_A$$

Exercise

Exercise

Find the set \mathbf{C}_A of clauses for the following formula A

$$(((P(b, f(x)) \Rightarrow Q(x)) \cup \neg R(z)) \cup (P(b, f(x)) \cap R(z))))$$

Solution

Step **S1.** We construct the decomposition tree \mathbf{T}_A for A

Step **S2.** We form **clauses** out of the leaves of the tree \mathbf{T}_A

We put

$$\mathbf{C}_A = \{C_L : L \text{ is a leaf of } \mathbf{T}_A\}$$

Exercise

Step **S1**. The decomposition tree is

T_A

$$(((P(b, f(x)) \Rightarrow Q(x)) \cup \neg R(z)) \cup (P(b, f(x)) \cap R(z)))$$

| (\cup)

$$(((P(b, f(x)) \Rightarrow Q(x)) \cup \neg R(z)), (P(b, f(x)) \cap R(z)))$$

| (\cup)

$$(P(b, f(x)) \Rightarrow Q(x)), \neg R(z), (P(b, f(x)) \cap R(z))$$

| (\Rightarrow)

$$\neg P(b, f(x)), Q(x), \neg R(z), (P(b, f(x)) \cap R(z))$$

\bigwedge (\cap)

$$\neg P(b, f(x)), Q(x), \neg R(z), P(b, f(x))$$

L_1

$$\neg P(b, f(x)), Q(x), \neg R(z), R(z)$$

L_2

Exercise

Step **S2**. The leaves of \mathbf{T}_A are

$$L_1 = \neg P(b, f(x)), Q(x), \neg R(z), P(b, f(x))$$

$$L_2 = \neg P(b, f(x)), Q(x), \neg R(z), R(z)$$

The corresponding clauses are

$$C_1 = \{\neg P(b, f(x)), Q(x), \neg R(z), P(b, f(x))\}$$

$$C_2 = \{\neg P(b, f(x)), Q(x), \neg R(z), R(z)\}$$

The set of clauses is

$$\mathbf{C}_A = \{ C_1, C_2 \}$$

Clausal Form of Formulas of \mathcal{L}

Definition

Given a formula A of the original language \mathcal{L}

Let A^* of \mathcal{L}^* be the **Skolem form** A obtained by the **Skolemization** process

A set C_{A^*} of clauses of \mathcal{L}^* such that

$$A^* \equiv C_{A^*}$$

is called a **clausal form** of the formula A of the language \mathcal{L}

Exercise

Exercise Find the clausal form of a formula A

$$A : (\exists x \forall y (R(x, y) \cup \neg P(x)) \Rightarrow \forall y \exists x \neg R(x, y))$$

Solution We first find the Skolem form A^* of A

Step 1: We **rename variables** apart in A and get a formula A'

$$A' : (\exists x \forall y (R(x, y) \cup \neg P(x)) \Rightarrow \forall z \exists w \neg R(z, w))$$

Step 2: We use **Equational Laws** of Quantifiers to pull out quantifiers $\exists x$ and $\forall y$ and get a formula A''

$$A'' : \forall x \exists y ((R(x, y) \cup \neg P(x)) \Rightarrow \forall z \exists w \neg R(z, w))$$

Exercise

Step 3 : We use **Equational Laws** of Quantifiers to pull out the quantifiers $\exists z$ and $\forall w$ from the sub formula

$$((R(x, y) \cup \neg P(x)) \Rightarrow \forall z \exists w \neg R(z, w))$$

and get a formula A'''

$$A''' : \forall x \exists y \forall z \exists w ((R(x, y) \cup \neg P(x)) \Rightarrow \neg R(z, w))$$

This is the Prenex Normal Form **PNF** of A

Exercise

Step 4: We perform the **Skolemization** Procedure

Observe that the formula

$$\forall x \exists y \forall z \exists w ((R(x, y) \cup \neg P(x)) \Rightarrow \neg R(z, w))$$

is of the form of the formulas of the **Examples 1, 2**

We follow them and eliminate $\forall x$ and get a formula A_1

$$A_1 : \exists y \forall z \exists w ((R(x, y) \cup \neg P(x)) \Rightarrow \neg R(z, w))$$

We eliminate $\exists y$ by replacing y by $h(x)$ where h is a **new** one argument functional symbol **added** to the language \mathcal{L}

We get a formula A_2

$$A_2 : \forall z \exists w ((R(x, h(x)) \cup \neg P(x)) \Rightarrow \neg R(z, w))$$

Exercise

We eliminate $\forall z$ and get a formula A_3

$$A_3 : \exists w ((R(x, h(x)) \cup \neg P(x)) \Rightarrow \neg R(z, w))$$

We eliminate $\exists w$ by replacing w by $f(x, z)$, where f is a **new** two argument functional symbol **added** to the original language \mathcal{L}

We get a formula A_4 that is the resulting **open** formula A^* of \mathcal{L}^*

$$A^* : ((R(x, h(x)) \cup \neg P(x)) \Rightarrow \neg R(z, (x, z)))$$

Exercise

Step 5: We build the decomposition tree of A^* as follows

T_{A^*}

$$((R(x, h(x)) \cup \neg P(x)) \Rightarrow \neg R(z, f(x, z)))$$

| (\Rightarrow)

$$\neg(R(x, h(x)) \cup \neg P(x)), \neg R(z, f(x, z))$$

\wedge ($\neg \cup$)

$$\neg R(x, h(x)), \neg R(z, f(x, z))$$

$$\neg \neg P(x), \neg R(z, f(x, z))$$

| ($\neg \neg$)

$$P(x), \neg R(z, f(x, z))$$

Exercise

Step 6: The leaves of \mathbf{T}_{A^*} are

$$L_1 = \neg R(x, h(x)), \neg R(z, f(x, z))$$

$$L_2 = P(x), \neg R(z, f(x, z))$$

The corresponding clauses are

$$C_1 = \{\neg R(x, h(x)), \neg R(z, f(x, z))\}$$

$$C_2 = \{P(x), \neg R(z, f(x, z))\}$$

Step 7: The **clausal form** of the formula A

$$A : (\exists x \forall y (R(x, y) \cup \neg P(x)) \Rightarrow \forall y \exists x \neg R(x, y))$$

is the **set of clauses**

$$\mathbf{C}_{A^*} = \{ \{\neg R(x, h(x)), \neg R(z, f(x, z))\}, \{P(x), \neg R(z, f(x, z))\} \}$$