cse541 LOGIC for Computer Science

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LECTURE 10

Chapter 10 Predicate Automated Proof Systems Completeness of Classical Predicate Logic

Slides Set 1

PART 1: **QRS** Proof System

PART 2: Proof of QRS Completeness

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PART 3: Skolemization and Clauses



Chapter 10 Predicate Automated Proof Systems Completeness of Classical Predicate Logic

Slides Set 1

PART 1: **QRS** Proof System

We define and discuss here Rasiowa and Sikorski Gentzen style proof system QRS for classical predicate logic

The propositional version of it, the **RS** proof system, was studied in detail in chapter 6

These both proof systems **RS** and **QRS** admit a constructive proof of **completeness** theorem

We adopt Rasiowa, Sikorski (1961) technique of construction a counter model determined by a decomposition tree to prove QRS completeness theorem

The proof, presented here is a generalization of the completeness proofs of **RS** and other Gentzen style propositional systems presented in details in chapter 6.

We refer the reader to the chapter 6 as it provides a good introduction to the subject



The other Gentzen type predicate proof system, including the original Gentzen proof systems LK, LI for classical and intuitionistic predicate logics are obtained from their propositional versions discussed in detail in chapter 6 by adding the Quantifiers Rules to them

It can be done in a similar way as a generalization of the propositional **RS** to the the predicate **QRS** system presented here

We leave these generalizations as an exercises for the reader

We also leave as an exercise the predicate language version of Gentzen proof of cut elimination theorem, Hauptzatz (1935)

The Hauptzatz proof for the predicate classical **LK** and intuitionistic **LI** systems is easily obtained from the propositional proof included in chapter 6

There are of course other types of automated proof systems based on different methods of deduction

There is a **Natural Deduction** mentioned by **Gentzen** in his **Hauptzatz** paper in 1935

It was later and fully developed by Dag Prawitz 1965)
It is now called Prawitz, or Gentzen-Prawitz Natural Deduction

There is a **Semantic Tableaux** deduction method invented by Evert Beth (1955)

It was consequently simplified and further developed by Raymond Smullyan (1968)

It is now often called Smullyan Semantic Tableaux



Finally, there is **Resolution**

The resolution method can be traced back to Davis and Putnam (1960)

Their work is still known as Davis-Putnam method

The difficulties of Davis-Putnam method were eliminated by John Alan Robinson (1965)

He consequently developed it into what we call now Robinson Resolution, or just **Resolution**



The resolution proof system for propositional or predicate logic operates on a set of clauses as a basic expressions and uses a resolution rule as the only rule of inference

We define and prove **correctness** of effective procedures of converting any formula *A* into a corresponding set of clauses in both propositional and predicate cases

QRS Proof System

QRS Proof System

The components of the proof system QRS are as follows

Language £

$$\mathcal{L} = \mathcal{L}_{\{\cap,\cup,\Rightarrow,\neg\}}(\mathbf{P},\mathbf{F},\mathbf{C})$$

for P, F, C countably infinite sets of predicate, functional, and constant symbols respectively

Expressions &

Let \mathcal{F} denote a set of formulas of \mathcal{L} . We adopt as the set of expressions the set of all finite sequences of formulas, i.e.

$$\mathcal{E} = \mathcal{F}^*$$

We will denote the expressions of **QRS**, i.e. the finite sequences of formulas by

 Γ , Δ , Σ , with indices if necessary



Rules of Inference of QRS

The system **QRS** consists of two axiom schemas and eleven rules of inference

The rules of inference form two groups

First group is similar to the propositional case and contains propositional connectives rules:

$$(\cup), \quad (\neg \cup), \quad (\cap), \quad (\neg \cap), \quad (\Rightarrow), \quad (\neg \Rightarrow), \quad (\neg \neg)$$

Second group deals with the quantifiers and consists of four rules:

$$(\forall)$$
, (\exists) , $(\neg\forall)$, $(\neg\exists)$



Logical Axioms of RS

We adopt as logical axioms of **QRS** any sequence of formulas which contains a formula and its negation, i.e any sequence

$$\Gamma_1$$
, A , Γ_2 , $\neg A$, Γ_3

$$\Gamma_1$$
, $\neg A$, Γ_2 , A , Γ_3

where $A \in \mathcal{F}$ is any formula

We denote by LA the set of all logical axioms of QRS



Proof System QRS

Formally we define the system QRS as follows

$$\mathsf{QRS} = (\mathcal{L}_{\{\cap, \cup, \Rightarrow, \neg\}}(\mathsf{P}, \mathsf{F}, \mathsf{C}), \ \mathcal{F}^*, \ \mathsf{LA}, \ \mathcal{R})$$

where the set R of inference rules contains the following rules

$$(\cup),\,(\neg\cup),\,(\cap),\,(\neg\cap),\,(\Rightarrow),\,(\neg\Rightarrow),\,(\neg\neg),\,(\forall),\,(\exists),\,(\neg\forall),\,(\neg\exists)$$

and LA is the set of all logical axioms defined on previous slide

Literals in QRS

Definition

Any atomic formula, or a negation of atomic formula is called a literal

We form, as in the propositional case, a special subset

$$LT \subseteq \mathcal{F}$$

of formulas, called a set of all literals defined now as follows

$$LT = \{A \in \mathcal{F} : A \in A\mathcal{F}\} \ \cup \ \{\neg A \in \mathcal{F} : A \in A\mathcal{F}\}$$

The elements of the set $\{A \in \mathcal{F} : A \in A\mathcal{F}\}$ are called positive literals

The elements of the set $\{\neg A \in \mathcal{F} : A \in A\mathcal{F}\}\$ are called **negative literals**



Sequences of Literals

We denote by

$$\Gamma', \quad \Delta', \quad \Sigma' \dots$$

finite sequences (empty included) formed out of literals i.e

$$\Gamma', \Delta', \Sigma' \in LT^*$$

We will denote by

the elements of \mathcal{F}^*

Connectives Inference Rules of QRS

Group 1

Disjunction rules

$$(\cup) \ \frac{\Gamma^{'},\ A,B,\,\Delta}{\Gamma^{'},\ (A\cup B),\ \Delta} \qquad \qquad (\lnot \cup) \ \frac{\Gamma^{'},\ \lnot A,\,\Delta\ ;\ \Gamma^{'},\ \lnot B,\,\Delta}{\Gamma^{'},\ \lnot (A\cup B),\ \Delta}$$

Conjunction rules

$$(\cap) \ \frac{\Gamma^{'},\ A,\ \Delta\ ; \quad \Gamma^{'},\ B,\ \Delta}{\Gamma^{'},\ (A\cap B),\ \Delta} \qquad \qquad (\neg\cap) \ \frac{\Gamma^{'},\ \neg A,\ \neg B,\ \Delta}{\Gamma^{'},\ \neg (A\cap B),\ \Delta}$$

where $\Gamma' \in LT^*$, $\Delta \in \mathcal{F}^*$, $A, B \in \mathcal{F}$

Connectives Inference Rules of QRS

Group 1

Implication rules

$$(\Rightarrow) \ \frac{\Gamma^{'}, \ \neg A, B, \ \Delta}{\Gamma^{'}, \ (A \Rightarrow B), \ \Delta} \qquad \qquad (\neg \Rightarrow) \ \frac{\Gamma^{'}, \ A, \ \Delta \ : \ \Gamma^{'}, \ \neg B, \ \Delta}{\Gamma^{'}, \ \neg (A \Rightarrow B), \ \Delta}$$

Negation rule

$$(\neg\neg)$$
 $\frac{\Gamma', A, \Delta}{\Gamma', \neg\neg A, \Delta}$

where $\Gamma' \in LT^*$, $\Delta \in \mathcal{F}^*$, $A, B \in \mathcal{F}$

Quantifiers Inference Rules of QRS

Group 2: Universal Quantifier rules

$$(\forall) \ \frac{\Gamma^{'}, \ A(y), \ \Delta}{\Gamma^{'}, \ \forall x A(x), \ \Delta} \qquad \qquad (\neg \forall) \ \frac{\Gamma^{'}, \ \exists x \neg A(x), \ \Delta}{\Gamma^{'}, \ \neg \forall x A(x), \ \Delta}$$

where $\Gamma' \in LT^*$, $\Delta \in \mathcal{F}^*$, $A, B \in \mathcal{F}$

The variable y in rule (\forall) is a free individual variable which **does not** appear in any formula in the conclusion, i.e. in any formula in the sequence $\Gamma', \forall x A(x), \Delta$

The variable y in the rule (\forall) is called the eigenvariable

All occurrences] of y in A(y) of the rule (\forall) are fully indicated



Quantifiers Inference Rules of QRS

Group 2: Existential Quantifier rules

$$(\exists) \ \frac{\Gamma^{'}, \ A(t), \ \Delta, \exists x A(x)}{\Gamma^{'}, \ \exists x A(x), \ \Delta} \qquad (\neg \exists) \ \frac{\Gamma^{'}, \ \forall x \neg A(x), \ \Delta}{\Gamma^{'}, \ \neg \exists x A(x), \ \Delta}$$

where $t \in T$ is an arbitrary term, $\Gamma' \in LT^*$, $\Delta \in \mathcal{F}^*$, $A, B \in \mathcal{F}$

Note that A(t), A(y) denotes a formula obtained from A(x) by writing the term t or y, respectively, in place of all occurrences of x in A

Proofs and Proof Trees

By a **formal proof** of a sequence Γ in the proof system **QRS** we understand any sequence

$$\Gamma_1, \ \Gamma_2, \ \Gamma_n$$

of sequences of formulas (elements of \mathcal{F}^*), such that

- **1.** $\Gamma_1 \in LA$, $\Gamma_n = \Gamma$, and
- **2.** for all i $(1 \le i \le n)$, $\Gamma_i \in LA$, or Γ_i is a conclusion of one of the inference rules of **QRS** with all its premisses placed in the sequence Γ_1 , Γ_2 , Γ_{i-1}

Proofs and Proof Trees

We write, as usual,

⊦_{ORS} Γ

to denote that the sequence \(\Gamma \) has a formal proof in \(\mathbb{QRS} \)

As the proofs in **QRS** are sequences (definition of the formal proof) of sequences of formulas (definition of expressions \mathcal{E}) we will not use ";" to separate the steps of the proof, and write the formal proof as

$$\Gamma_1$$
; Γ_2 ; Γ_n

Proofs and Proof Trees

We write, however, the formal proofs in **QRS** as we did the propositional case (chapter 6), in a form of **trees** rather then in a form of sequences

We adopt hence the following definition

Proof Tree

By a proof tree, or **QRS** - tree proof of Γ we understand a tree T_{Γ} of sequences satisfying the following conditions:

- **1.** The topmost sequence, i.e the **root** of T_{Γ} is Γ ,
- 2. all leafs are axioms,
- **3.** the **nodes** are sequences such that each sequence on the tree follows from the ones immediately preceding it by one of the rules of inference rules

Proof Trees

We picture, and write the proof trees with the **root** on the top, and **leafs** on the very bottom

In particular cases, as in the propositional case, we write the proof trees indicating additionally the **name** of the inference rule used at each step of the proof

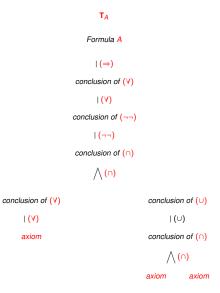
For example, when in a proof of a formula A we use subsequently the rules

$$(\cap)$$
, (\cup) , (\forall) , (\cap) , $(\neg\neg)$, (\forall) , (\Rightarrow)

we represent the proof of A as the following tree



Proof Trees



DecompositionTrees

The main advantage of the Gentzen type proof systems lies in the way we are able to search for proofs in them

Moreover, such proof search happens to be **deterministic** and **automatic**

We conduct **proof search** by treating inference rules as decomposition rules (see chapter 6) and by building decomposition trees

A general principle of building decomposition trees is the following.



DecompositionTrees

Decomposition Tree T_r

For each $\Gamma \in \mathcal{F}^*$, a decomposition tree \mathbf{T}_{Γ} is a tree build as follows

Step 1. The sequence Γ is the **root** of T_{Γ}

For any node \triangle of the tree we follow the steps bellow

Step 2. If \triangle is **indecomposable** or an **axiom**, then \triangle becomes a **leaf** of the tree

DecompositionTrees

Step 3. If Δ is **decomposable**, then we traverse Δ from left to right to identify the first **decomposable formula** B and identify inference rule treated as decomposition rule that is determined uniquely by B

We put its left and right premisses as the left and right leaves, respectively

Step 4. We repeat steps **2.** and **3.** until we obtain only leaves or an infinite branch

In particular case when when Γ has only one element, namely a a formula $A \in \mathcal{F}$, we call it a decomposition tree of A and denote by T_A



Given a formula $A \in \mathcal{F}$, we define its **decomposition tree** T_A as follows

Observe that the inference rules of **QRS** can be divided in two groups: propositional connectives rules

$$(\cup), (\neg \cup), (\cap), (\neg \cap), (\Rightarrow), (\neg \Rightarrow)$$

and quantifiers rules

$$(\forall)$$
, (\exists) , $(\neg\forall)$ $(\neg\exists)$

We define the **decomposition tree** in the case of the propositional rules and the quantifiers rules $(\neg \forall)$, $(\neg \exists)$ in the same way as for the propositional language (chapter 6)



The case of the rules (\forall) and (\exists) is more complicated, as the rules contain the **specific conditions** under which they are applicable

To define the way of **decomposing** the sequences of the form

$$\Gamma', \forall x A(x), \Delta$$
 or $\Gamma', \exists x A(x), \Delta$,

i.e. to deal with the rules quantifiers rules (\forall) and (\exists) we assume that all terms form a one-to one sequence

$$ST$$
 $t_1, t_2, ..., t_n,$

Observe, that by the definition, all free variables are terms, hence all free variables appear in the sequence ST of all terms



be a sequence on the tree in which the first indecomposable formula has the quantifier ∀ as its main connective. It means that Γ is of the form

$$\Gamma'$$
, $\forall_X A(x)$, Δ

We write a sequence

$$\Gamma^{'}, A(y), \Delta$$

below Γ on the tree as its **child**, where the variable γ fulfills the following condition

Condition 1: the variable y is the **first** free variable in the sequence ST of terms such that y does not appear in any formula in Γ' , $\forall x A(x)$, Δ

Observe, that the condition the **Condition 1** corresponds to the restriction put on the application of the rule (\forall)



Let now the first indecomposable formula in Γ has the quantifier \exists as its main connective. It means that Γ is of the form

$$\Gamma'$$
, $\exists x A(x)$, Δ

We write a sequence

$$\Gamma'$$
, $A(t)$, Δ , $\exists x A(x)$

as its **child**, where the term *t* fulfills the following condition

Condition 2: the term *t* is the first term in the sequence ST of all terms such that the formula A(t) **does not** appear in any sequence on the tree which is placed **above**

$$\Gamma', A(t), \Delta, \exists x A(x)$$



Observe that the sequence ST of all terms is one- to - one and by the **Condition 1** and **Condition 2** we always chose the first appropriate term (variable) from the sequence ST

Hence the decomposition tree definition guarantees that the decomposition process is also unique in the case of the quantifier rules (\forall) and (\exists)

From all above, and we conclude the following

Uniqueness Theorem

For any formula $A \in \mathcal{F}$,

- (i) the decomposition tree T_A is unique
- (ii) Moreover, the following conditions hold
- 1. If the decomposition tree T_A is **finite** and all its leaves are axioms, then

⊢QRS A

2. If T_A is **finite** and contains a non-axiom leaf, or T_A is **infinite**, then

YORS A

In all the examples below, the formulas A(x), B(x) represent any formulas

But as there is no indication about their particular components, they are treated as **indecomposable** formulas

For example, the decomposition tree of the formula A representing the de Morgan Law

$$(\neg \forall x A(x) \Rightarrow \exists x \neg A(x))$$

is constructed as follows



$$T_{A}$$

$$(\neg \forall x A(x) \Rightarrow \exists x \neg A(x))$$

$$|(\Rightarrow)$$

$$\neg \neg \forall x A(x), \exists x \neg A(x)$$

$$|(\neg \neg)$$

$$\forall x A(x), \exists x \neg A(x)$$

$$|(\forall)$$

$$A(x_{1}), \exists x \neg A(x)$$

where x_1 is a first free variable in the sequence ST such that x_1 does not appear in

$$|(\exists)$$

$$A(x_1), \neg A(x_1), \exists x \neg A(x)$$

where x_1 is the first term (variables are terms) in the sequence ST such that $\neg A(x_1)$ does not appear on a tree above $A(x_1), \neg A(x_1), \exists x \neg A(x)$

Axiom



The above tree T_A ended with one leaf being axiom, so it represents a **proof** in **QRS** of the **de Morgan Law**

$$(\neg \forall x A(x) \Rightarrow \exists x \neg A(x))$$

and . we have proved that

$$\vdash (\neg \forall x A(x) \Rightarrow \exists x \neg A(x))$$

The decomposition tree T_A for a formula

$$(\forall x A(x) \Rightarrow \exists x A(x))$$

is constructed as follows

$$T_A$$

$$(\forall x A(x) \Rightarrow \exists x A(x))$$

$$|(\Rightarrow)$$

$$\neg \forall x A(x), \exists x A(x)$$

$$|(\neg \forall)$$

$$\exists x \neg A(x), \exists x A(x)$$

$$|(\exists)$$

$$\neg A(t_1), \exists x A(x), \exists x \neg A(x)$$

where t_1 is the first term in the sequence ST, such that $\neg A(t_1)$ does not appear on the tree above $\neg A(t_1), \exists x A(x), \exists x \neg A(x)$

$$\neg A(t_1), A(t_1), \exists x \neg A(x), \exists x A(x)$$

where t_1 is the first term in the sequence ST, such that $A(t_1)$ does not appear on the tree above $\neg A(t_1), A(t_1), \exists x \neg A(x), \exists x A(x)$

Axiom



The above tree also ended with the only leaf being the axiom, hence we have **proved** that

$$\vdash (\forall x A(x) \Rightarrow \exists x A(x))$$

We know that the the inverse implication

$$(\exists x A(x) \Rightarrow \forall x A(x))$$

is not a predicate tautologyLet's now look at its decomposition tree T_A

 T_A

 $\exists x A(x)$

|(E)|

 $A(t_1), \exists x A(x)$

where t_1 is the first term in the sequence ST, such that $A(t_1)$ does not appear on the tree above $A(t_1)$, $\exists x A(x)$

 $|(\Xi)|$

$$A(t_1), A(t_2), \exists x A(x)$$

where t_2 is the first term in the sequence ST, such that $A(t_2)$ does not appear on the tree above $A(t_1)$, $A(t_2)$, $\exists x A(x)$, i.e. $t_2 \neq t_1$

|(E)|

$$A(t_1), A(t_2), A(t_3), \exists x A(x)$$

where t_3 is the first term in the sequence ST, such that $A(t_3)$ does not appear on the tree above $A(t_1), A(t_2), A(t_3), \exists x A(x)$, i.e. $t_3 \neq t_2 \neq t_1$

|(E)|



We continue the decomposition

$$|(\exists)$$
 $A(t_1), A(t_2), A(t_3), A(t_4), \exists x A(x)$

where t_4 is the first term in the sequence ST, such that $A(t_4)$ does not appear on the tree above $A(t_1), A(t_2), A(t_3), A(t_4), \exists x A(x), i.e.$ $t_4 \neq t_3 \neq t_2 \neq t_1$

 $|(\exists)|$

••••

 $|(\Xi)|$

....

infinite branch

Obviously, the above decomposition tree is **infinite**, what proves that

$$\forall \exists x A(x)$$



We construct now a **proof** in **QRS** of the quantifiers **distributivity law**

$$(\exists x (A(x) \cap B(x)) \Rightarrow (\exists x A(x) \cap \exists x B(x)))$$

and show that the proof in QRS of the inverse implication

$$((\exists x A(x) \cap \exists x B(x)) \Rightarrow \exists x (A(x) \cap B(x)))$$

does not exist, i.e. that

$$\digamma ((\exists x A(x) \cap \exists x B(x)) \Rightarrow \exists x (A(x) \cap B(x)))$$

The decomposition tree T_A of the first formula is the following



$$T_A$$

$$(\exists x (A(x) \cap B(x)) \Rightarrow (\exists x A(x) \cap \exists x B(x)))$$

$$|(\Rightarrow)$$

$$\neg \exists x (A(x) \cap B(x)), (\exists x A(x) \cap \exists x B(x))$$

$$|(\neg \exists)$$

$$\forall x \neg (A(x) \cap B(x)), (\exists x A(x) \cap \exists x B(x))$$

$$|(\forall)$$

$$\neg (A(x_1) \cap B(x_1)), (\exists x A(x) \cap \exists x B(x))$$

where x_1 is a first free variable in the sequence ST such that x_1 does not appear in $\forall x \neg (A(x) \cap B(x)), (\exists x A(x) \cap \exists x B(x))$

$$|(\neg \cap)$$

$$\neg A(x_1), \neg B(x_1), (\exists x A(x) \cap \exists x B(x))$$

$$\wedge (\cap)$$

$$\bigwedge(\cap)$$

$$\neg A(x_1), \neg B(x_1), \exists x A(x) \qquad \neg A(x_1), \neg B(x_1), \exists x B(x) \\ | (\exists) \qquad \qquad | (\exists) \qquad \qquad | (\exists) \qquad \qquad \\ \neg A(x_1), \neg B(x_1), A(t_1), \exists x A(x) \qquad \neg A(x_1), \neg B(x_1), B(t_1), \exists x B(x) \\ \text{where } t_1 \text{ is the first term in the sequence} \\ \text{ST, such that } A(t_1) \text{ does not appear on the tree above } \neg A(x_1), \neg B(x_1), A(t_1), \exists x A(x) \\ | (\exists) \qquad \qquad \qquad | (\exists) \qquad \qquad \\ \neg A(x_1), \neg B(x_1), \dots B(x_1), \exists x B(x) \\ \dots \qquad \qquad \qquad \qquad \qquad \text{axiom} \\ \neg A(x_1), \neg B(x_1), \dots A(x_1), \exists x A(x) \\ \text{axiom} \\ \end{matrix}$$

Observe, that it is possible to choose eventually a term $t_i = x_1$, as the formula $A(x_1)$ does not appear on the tree above

$$\neg A(x_1), \neg B(x_1), ...A(x_1), \exists x A(x)$$

By the definition of the sequence ST, the variable x_1 is placed somewhere in it, i.e. $x_1 = t_i$, for certain $i \ge 1$ It means that after i applications of the step (\exists) in the decomposition tree, we will get an axiom leaf

$$\neg A(x_1), \neg B(x_1), ...A(x_1), \exists x A(x)$$



All leaves of the above tree T_A are axioms, what means that we proved

$$\vdash_{QRS} (\exists x (A(x) \cap B(x)) \Rightarrow (\exists x A(x) \cap \exists x B(x))).$$

We construct now, as the last example, a decomposition tree T_A of the formula

$$((\exists x A(x) \cap \exists x B(x)) \Rightarrow \exists x (A(x) \cap B(x)))$$



$$T_A$$

$$((\exists x A(x) \cap \exists x B(x)) \Rightarrow \exists x (A(x) \cap B(x)))$$

$$|(\Rightarrow)$$

$$\neg(\exists x A(x) \cap \exists x B(x)) \exists x (A(x) \cap B(x))$$

$$|(\neg \cap)$$

$$\neg \exists x A(x), \neg \exists x B(x), \exists x (A(x) \cap B(x))$$

$$|(\neg \exists)$$

$$\forall x \neg A(x), \neg \exists x B(x), \exists x (A(x) \cap B(x))$$

$$|(\forall)$$

$$\neg A(x_1), \neg \exists x B(x), \exists x (A(x) \cap B(x))$$

$$|(\neg \exists)$$

$$\neg A(x_1), \forall x \neg B(x), \exists x (A(x) \cap B(x))$$

$$|(\forall)$$

$$|(\forall)$$

$$\neg A(x_1), \neg B(x_2), \exists x (A(x) \cap B(x))$$

By the reasoning similar to the reasonings in the previous examples we get that $x_1 \neq x_2$

$$\neg A(x_1), \neg B(x_2), (A(t_1) \cap B(t_1)), \exists x (A(x) \cap B(x))$$

where t_1 is the first term in the sequence ST such that $(A(t_1) \cap B(t_1))$ does not appear on the tree above $\neg A(x_1), \neg B(x_2), (A(t_1) \cap B(t_1)), \exists x(A(x) \cap B(x))$ Observe, that it is possible that $t_1 = x_1$, as $(A(x_1) \cap B(x_1))$ does not appear on the tree above. By the definition of the sequence $??, x_1$ is placed somewhere in it, i.e. $x_1 = t_i$, for certain $i \ge 1$. For simplicity, we assume that $t_1 = x_1$ and get the sequence:

$$\neg A(x_1), \neg B(x_2), (A(x_1) \cap B(x_1)), \exists x (A(x) \cap B(x))$$

$$\land (\cap)$$



$$\bigwedge (\cap)$$

$$\neg A(x_1), \neg B(x_2),$$

 $A(x_1), \exists x (A(x) \cap B(x))$
Axiom

$$\neg A(x_1), \neg B(x_2),$$
 $B(x_1), \exists x (A(x) \cap B(x))$
 $\mid (\exists)$
 $\neg A(x_1), \neg B(x_2), B(x_1),$
 $(A(x_2) \cap B(x_2)), \exists x (A(x) \cap B(x))$

see COMMENT

$$\bigwedge(\cap)$$

COMMENT: where $x_2=t_2$ ($x_1\neq x_2$) is the first term in the sequence ST, such that $(A(x_2)\cap B(x_2))$ does not appear on the tree above $\neg A(x_1), \neg B(x_2), (B(x_1), (A(x_2)\cap B(x_2)), \exists x(A(x)\cap B(x))$. We assume that $t_2=x_2$ for the reason of simplicity.

$$\bigwedge (\cap)$$

$$\neg A(x_1), \neg B(x_2),$$
 $\neg A(x_1), \neg B(x_2),$ $B(x_1), A(x_2),$ $B(x_1), B(x_2),$ $B(x_1),$ $B(x_1)$

infinite branch

The above decomposition tree T_A contains an infinite branch what means that

$$\mathcal{F}_{QRS} ((\exists x A(x) \cap \exists x B(x)) \Rightarrow \exists x (A(x) \cap B(x)))$$

Chapter 10 Predicate Automated Proof Systems

Slides Set 1

PART 2: Proof of QRS Completeness

QRS Completeness

Our main goal now is to prove the Completeness Theorem for the predicate proof system **QRS**

The **proof** of the Completeness Theorem presented here is due to Rasiowa and Sikorski (1961), as is the proof system **QRS**

We adopted Rasiowa - Sikorski proof of **QRS** completeness to propositional case in chapter 6

QRS Completeness

The completeness **proofs**, in the propositional case and in predicate case, are **constructive** as they are based on a direct construction of a **counter model** for any unprovable formula

The construction of the **counter model** for the unprovable formula A uses in both cases the decomposition tree T_A

Rasiowa-Sikorski type of constructive proofs by defining counter models determined by the decomposition trees relay heavily of the notion of strong soundness

Given a first order language £

$$\mathcal{L} = \mathcal{L}_{\{\cap,\cup,\Rightarrow,\neg\}}(\mathbf{P},\mathbf{F},\mathbf{C})$$

with the set VAR of variables and the set \mathcal{F} of formulas We define, after chapter 8 a notion of a **model** and a **counter-model** of a formula $A \in \mathcal{F}$

We establish the **semantics** for **QRS** by **extending** it to the the set

$$\mathcal{F}^*$$

of all finite sequences of formulas of £



Model

A structure $\mathcal{M} = [M, I]$ is called a **model** of $A \in \mathcal{F}$ if and only if

$$(\mathcal{M}, v) \models A$$

for all assignments $v: VAR \longrightarrow M$

We denote it by

$$\mathcal{M} \models A$$

M is called the **universe** of the model, *I* the **interpretation**



Counter - Model

A structure $\mathcal{M} = [M, I]$ is called a **counter- model** of $A \in \mathcal{F}$ if and only if **there is** $v : VAR \longrightarrow M$, such that

$$(\mathcal{M}, v) \not\models A$$

We denote it by

$$\mathcal{M} \not\models A$$

Tautology

A formula $A \in \mathcal{F}$ is called a **predicate tautology** and denoted by $\models A$ if and only if

all structures $\mathcal{M} = [M, I]$ are models of A, i.e.

 $\models A$ if and only if $\mathcal{M} \models A$

for all structures $\mathcal{M} = [M, I]$ for \mathcal{L}

For any sequence $\Gamma \in \mathcal{F}^*$, by δ_{Γ} we understand any **disjunction** of all formulas of Γ

A structure $\mathcal{M} = [M, I]$ is called a **model** of a sequence $\Gamma \in \mathcal{F}^*$ and denoted by

$$\mathcal{M} \models \Gamma$$

if and only if $\mathcal{M} \models \delta_{\Gamma}$

The sequence $\Gamma \in \mathcal{F}^*$ is a **predicate tautology** if and only if the formula δ_{Γ} is a predicate tautology, i.e.

$$\models \Gamma$$
 if and only if $\models \delta_{\Gamma}$



Strong Soundnesss

Our **goal** now is to prove the Completeness Theorem for **QRS**

The correctness of the Rasiowa-Sikorski constructive proof depends on the strong soundness of the rules of inference of QRS

We define it (in general case) as follows

Strong Soundnesss

Strongly Sound Rules

Given a predicate language proof system

$$S = (\mathcal{L}, \mathcal{E}, LA, \mathcal{R})$$

An inference rule $r \in \mathcal{R}$ of the form

$$(r) \quad \frac{P_1 \; ; \; P_2 \; ; \; \dots \; ; \; P_m}{C}$$

is **strongly sound** if the following condition holds for any structure $\mathcal{M} = [M, I]$ for \mathcal{L}

$$\mathcal{M} \models \{P_1, P_2, .P_m\}$$
 if and only if $\mathcal{M} \models C$



Strong Soundnesss

A predicate language proof system $S = (\mathcal{L}, \mathcal{E}, LA, \mathcal{R})$ is **strongly sound** if and only if all logical axioms LA are tautologies and all its rules of inference $r \in \mathcal{R}$ are strongly sound

Strong Soundness Theorem

The proof system QRS is strongly sound

Proof

We have already proved in chapter 6 strong soundness of the propositional rules. The quantifiers rules are strongly sound by straightforward verification and is left as an exercise

Soundnesss Theorem

The strong soundness property is stronger then soundness property, hence also the following holds

QRS Soundness Theorem

```
For any \Gamma \in \mathcal{F}^*,
```

if
$$\vdash_{OBS} \Gamma$$
, then $\models \Gamma$

In particular, for any formula $A \in \mathcal{F}$,

if
$$\vdash_{OBS} A$$
, then $\models A$



Completeness Theorem

For any $\Gamma \in \mathcal{F}^*$,

 $\vdash_{QRS} \Gamma$ if and only if $\models \Gamma$

In particular, for any formula $A \in \mathcal{F}$,

 $\vdash_{QRS} A$ if and only if $\models A$

Proof We prove the completeness part. We need to prove the formula A case only because the case of a sequence Γ can be reduced to the formula case of δ_{Γ} . I.e. we prove the implication:

if
$$\models A$$
, then $\vdash_{OBS} A$



We do it, as in the propositional case, by proving the opposite implication

if \digamma_{QRS} A then $\not\models$ A

This means that we want prove that for any formula A, unprovability of A in QRS allows us to define its countermodel.

The counter- model is determined, as in the propositional case, by the decomposition tree \mathbf{T}_A

We have proved the following

Tree Theorem

Each formula A, generates its unique decomposition tree T_A and A has a proof only if this tree is finite and all its end sequences (leaves) are axioms



The **Tree Theorem** says says that we have two cases to consider:

(C1) the tree T_A is **finite** and contains a leaf which is not axiom, or

(C2) the tree T_A is infinite

We will show how to construct a counter- model for *A* in both cases:

a counter- model determined by a non-axiom leaf of the decomposition tree T_A ,

or a counter- model determined by an infinite branch of TA



Proof in case (C1)

The tree T_A is **finite** and contains a non-axiom leaf Before describing a general method of constructing the counter-model determined by the decomposition tree T_A we describe it, as an example, for a case of a general formula

$$(\exists x A(x) \Rightarrow \forall x A(x)),$$

and its particular case

$$(\exists x (P(x) \cap R(x,y)) \Rightarrow \forall x (P(x) \cap R(x,y))),$$

where *P*, *R* are one and two argument predicate symbols, respectively



First we build its decomposition tree:

$$T_{A}$$

$$(\exists x (P(x) \cap R(x, y)) \Rightarrow \forall x (P(x) \cap R(x, y)))$$

$$| (\Rightarrow)$$

$$\neg \exists x (P(x) \cap R(x, y)), \forall x (P(x) \cap R(x, y))$$

$$| (\neg \exists)$$

$$\forall x \neg (P(x) \cap R(x, y)), \forall x (P(x) \cap R(x, y))$$

$$| (\forall)$$

$$\neg (P(x_{1}) \cap R(x_{1}, y)), \forall x (P(x) \cap R(x, y))$$

where x_1 is a first free variable in the sequence of term ST such that x_1 does not appear in $\forall x \neg (P(x) \cap R(x,y)), \forall x (P(x) \cap R(x,y))$

$$|(\neg \cap)$$

$$\neg P(x_1), \neg R(x_1, y), \forall x (P(x) \cap R(x, y))$$

$$|(\forall)$$

$$| (\forall)$$

 $\neg P(x_1), \neg R(x_1, y), (P(x_2) \cap R(x_2, y))$

where x_2 is a first free variable in the sequence of term ST such that x_2 does not appear in $\neg P(x_1), \neg R(x_1, y), \forall x (P(x) \cap R(x, y))$, the sequence ST is one-to- one, hence $x_1 \neq x_2$

$$\bigwedge(\cap)$$

$$\neg P(x_1), \neg R(x_1, y), P(x_2)$$

$$\neg P(x_1), \neg R(x_1, y), R(x_2, y)$$

$$x_1 \neq x_2, \text{Non-axiom}$$

$$x_1 \neq x_2, \text{Non-axiom}$$

There are two non-axiom leaves

In order to define a counter-model determined by the tree $\mathbf{T}_{\!\mathcal{A}}$ we need to chose only one of them

Let's choose the leaf

$$L_A = \neg P(x_1), \neg R(x_1, y), P(x_2)$$

We use the **non-axiom leaf** L_A to define a structure M = [M, I] and an assignment V, such that

$$(\mathcal{M}, v) \not\models A$$

Such defined \mathcal{M} is called a **counter - model** determined by the tree T_A



We take a the **universe** of \mathcal{M} the set T of all terms of the language \mathcal{L} , i.e. we put M = T.

We define the **interpretation** / as follows.

For any **predicate** symbol $Q \in \mathbf{P}, \#Q = n$ we put that

 $Q_l(t_1, \ldots t_n)$ is **true** (holds) for terms $t_1, \ldots t_n$

if and only if

the negation $\neg Q_l(t_1, \dots t_n)$ of the formula $Q(t_1, \dots t_n)$ appears on the leaf L_A

and $Q_l(t_1, ..., t_n)$ is **false** (does not hold) for terms $t_1, ..., t_n$, otherwise

For any **functional** symbol $f \in \mathbf{F}$, #f = n we put

$$f_I(t_1,\ldots t_n)=f(t_1,\ldots t_n)$$



It is easy to see that in particular case of our non-axiom leaf

$$L_A = \neg P(x_1), \ \neg R(x_1, y), \ P(x_2)$$

 $P_I(x_1)$ is **true** (holds) for x_1 , and **not true** for x_2

 $R_I(x_1, y)$ is **true** (holds) for x_1 and for any $y \in VAR$



We define the assignment $v: VAR \longrightarrow T$ as **identity**, i.e., we put v(x) = x for any $x \in VAR$ Obviously, for such defined structure [M, I] and the assignment v we have that

$$([T, I], v) \models P(x_1), ([T, I], v) \models R(x_1, y), ([T, I], v) \not\models P(x_2)$$

We hence obtain that

$$([T, I], v) \not\models \neg P(x_1), \neg R(x_1, y), P(x_2)$$

This proves that such defined structure [T, I] is a **counter** model for a non-axiom leaf L_A and by the **Strong** Soundness we proved that

$$\not\models (\exists x (P(x) \cap R(x,y)) \Rightarrow \forall x (P(x) \cap R(x,y)))$$



C1: General Method

Let A be any formula such that

YORS A

Let T_A be a decomposition tree of A

By the fact that \mathcal{F}_{QRS} and **C1**, the tree \mathbf{T}_A is **finite** and has a non axiom leaf

$$L_A \subseteq LT^*$$

By definition, the leaf L_A contains only atomic formulas and negations of atomic formulas



We use the **non-axiom leaf** L_A to define a structure $\mathcal{M} = [M, I]$, an assignment $v : VAR \longrightarrow M$, such that $(\mathcal{M}, v) \not\models A$

Such defined structure
$$\mathcal{M}$$
 is called a **counter - model** determined by the tree T_A

Structure M Definition

Given a formula A and a **non-axiom** leaf L_A We define a structure

$$\mathcal{M} = [M, I]$$

and an assignment $v: VAR \longrightarrow M$ as follows

1. We take a the universe of \mathcal{M} the set \mathbf{T} of all **terms** of the language \mathcal{L} , i.e. we put

$$M = T$$

2. For any predicate symbol $Q \in \mathbf{P}, \#Q = n$,

$$Q_l \subseteq \mathbf{T}^n$$

is such that $Q_l(t_1, ..., t_n)$ holds (is true) for terms $t_1, ..., t_n$ if and only if

the **negation** $\neg Q(t_1, ..., t_n)$ of the formula $Q(t_1, ..., t_n)$ appears on the leaf L_A and

 $Q_l(t_1,...t_n)$ does not hold (is false) for terms $t_1,...,t_n$ otherwise

3. For any constant $c \in \mathbf{C}$, we put $c_l = c$ For any variable x, we put $x_l = x$ For any functional symbol $f \in \mathbf{F}$, #f = n

$$f_I: \mathbf{T}^n \longrightarrow \mathbf{T}$$

is identity function, i.e. we put

$$f_I(t_1,\ldots t_n)=f(t_1,\ldots t_n)$$

for all $t_1, \ldots t_n \in \mathbf{T}$

4. We define the assignment $v: VAR \longrightarrow T$ as identity, i.e. we put for all $x \in VAR$

$$v(x) = x$$

Obviously, for such defined structure [T, I] and the assignment v we have that

$$([T, I], v) \not\models P$$
 if formula P appears in L_A ,

$$([T, I], v) \models P$$
 if formula $\neg P$ appears in L_A

This proves that the structure $\mathcal{M} = [T, I]$ and assignment v are such that

$$([\mathbf{T},I],v)\not\models L_A$$

By the Strong Soundness Theorem we have that

$$(([\mathbf{T},I],v)\not\models A$$

This proves $\mathcal{M} \not\models A$ and we proved that

This **ends** the proof of the case **C1**

Proof of case C2: T_A is **infinite**

The case of the **infinite tree** is similar to the **C1** case, even if a little bit more complicated

Observe that the rule (\exists) is the **only** rule of inference (decomposition) which can "produces" an **infinite** branch

We first show how to construct the **counter-model** in the case of the **simplest** application of this rule, i.e. in the case of the atomic formula

 $\exists x P(x)$

for *P* one argument relational symbol. All other cases are. similar to this one



C2: Particular Case n

The **infinite** branch \mathcal{B}_A in the following

$$\mathcal{B}_{A}$$

$$\exists x P(x)$$

$$\mid (\exists)$$

$$P(t_{1}), \exists x P(x)$$

where t_1 is the first term in the sequence of terms, such that $P(t_1)$ does not appear on the tree above $P(t_1)$, $\exists x P(x)$

$$|(\Xi)|$$

$$P(t_1), P(t_2), \exists x P(x)$$

where t_2 is the first term in the sequence of terms, such that $P(t_2)$ does not appear on the tree above $P(t_1)$, $P(t_2)$, $\exists x P(x)$, i.e. $t_2 \neq t_1$

$$|(\Xi)|$$



C2: Particular Case

$$|(\exists)$$

 $P(t_1), P(t_2), P(t_3), \exists x P(x)$

where t_3 is the first term in the sequence of terms, such that $P(t_3)$ does not appear on the tree above $P(t_1), P(2), P(t_3), \exists x P(x)$, i.e. $t_3 \neq t_2 \neq t_1$

$$|(\exists)$$
 $P(t_1), P(t_2), P(t_3), P(t_4), \exists x P(x)$
 $|(\exists)$
.....
 $|(\exists)$

The infinite branch \mathcal{B}_A , written from the top, in oder of appearance of formulas is

$$\mathcal{B}_A = \{\exists x P(x), P(t_1), A(t_2), P(t_2), P(t_4), \ldots \}$$

where t_1, t_2, \dots is a one - to one sequence of **all terms**



C2: Particular Case n

The **infinite** branch

$$\mathcal{B}_A = \{\exists x P(x), P(t_1), A(t_2), P(t_2), P(t_4), \ldots \}$$

contains with the formula $\exists x P(x)$ all its instances P(t), for all terms $t \in T$

We define the structure $\mathcal{M} = [M, I]$ and the assignment v as we did previously, i.e.

we take as the universe M the set T of all terms, and define P_{l} as follows:

- $P_I(t)$ holds if $\neg P(t) \in \mathcal{B}_A$, and
- $P_I(t)$ does not hold if $P(t) \in \mathcal{B}_A$

C2: Particular Case

For any constant $c \in \mathbf{C}$, we put $c_l = c$, for any variable x, we put $x_l = x$

For any functional symbol $f \in \mathbf{F}$, #f = n

$$f_l: \mathbf{T}^n \longrightarrow \mathbf{T}$$

is identity function, i.e. we put

$$f_1(t_1,\ldots t_n)=f(t_1,\ldots t_n)$$

for all $t_1, \ldots t_n \in \mathbf{T}$

C2: Particular Case

We define the assignment $v: VAR \longrightarrow \mathbf{T}$ as identity, i.e. we put for all $x \in VAR$

$$v(x) = x$$

It is easy to see that for any formula $P(t) \in \mathcal{B}$,

$$([T, I], v) \not\models P(t)$$

But the $P(t) \in \mathcal{B}$ are all instances of the formula $\exists x P(x)$, hence

$$([T,I],v) \not\models \exists x P(x)$$

and we proved

$$\not\models \exists x P(x)$$



Let A be any formula such that

YORS A

Let \mathcal{T}_A be an **infinite** decomposition tree of the formula A

Let \mathcal{B}_A be the **infinite branch** of T_A , written from the top, in order of appearance of sequences $\Gamma \in \mathcal{F}^*$ on it, where $\Gamma_0 = A$, i.e.

$$\mathcal{B}_A = \{\Gamma_0, \Gamma_1, \Gamma_2, \dots \Gamma_i, \Gamma_{i+1}, \dots\}$$

Given the infinite branch

$$\mathcal{B}_A = \{\Gamma_0, \ \Gamma_1, \ \Gamma_2, \ \dots \ \Gamma_i, \ \Gamma_{i+1}, \ \dots\}$$

We define a set

$$L\mathcal{F}\subseteq\mathcal{F}$$

of all **indecomposable** formulas appearing in at least one sequence Γ_i , $i \leq j$, i.e. we put

 $L\mathcal{F} = \{B \in LT : \text{ there is } \Gamma_i \in \mathcal{B}_A, \text{ such that } B \text{ iappiears } \Gamma_i\}$

Note, that the following holds

- (1) If $i \le i'$ and an **indecomposable** formula appears in Γ_i , then it also appears in $\Gamma_{i'}$
- (2) Since **none** of Γ_i is an axiom, for every atomic formula $P \in A\mathcal{F}$, at **most one** of the formulas P and $\neg P$ is in $L\mathcal{F}$

Counter Model Definition

Let \mathbf{T} be the set of all terms. We define the structure $\mathcal{M} = [\mathbf{T}, \mathbf{I}]$, the interpretation \mathbf{I} of constants and functional symbols, and the assignment \mathbf{v} in the set \mathbf{T} , as in previous cases

We define the interpretation 1 of predicates $Q \in \mathbf{P}$ as follows For any predicate symbol $Q \in \mathbf{P}, \#Q = n$, we put

(1) $Q_l(t_1,...t_n)$ does not hold (is false) for terms $t_1,...t_n$ if and only if

$$Q_l(t_1,\ldots t_n)\in L\mathcal{F}$$

(2) $Q_l(t_1,...t_n)$ does holds (is true) for terms $t_1,...t_n$ if and only if

$$Q_l(t_1, \ldots t_n) \notin L\mathcal{F}$$

Directly from the definition we we have that $\mathcal{M} \not\models \mathcal{LF}$ Our goal now is to prove that

$$\mathcal{M} \not\models A$$

For this purpose we first introduce, for any formula $A \in \mathcal{F}$, an inductive definition of the **order** *ordA* of the formula A

- (1) If $A \in A\mathcal{F}$, then ord A = 1
- (2) If ordA = n, then $ord\neg A = n + 1$
- (3) If $ordA \le n$ and $ordB \le n$, then $ord(A \cup B) = ord(A \cap B) = ord(A \Rightarrow B) = n + 1$
- (4) If ordA(x) = n, then $ord\exists xA(x) = ord\forall xA(x) = n + 1$

We conduct the proof of $\mathcal{M} \not\models A$ by contradiction. Assume that

$$\mathcal{M} \vDash A$$

Consider now a set $M\mathcal{F}$ of all formulas B appearing in one of the sequences Γ_i of the branch \mathcal{B}_A , such that

$$\mathcal{M} \models B$$

We write the the set $M\mathcal{F}$ formally as follows

 $M\mathcal{F} = \{B \in \mathcal{F} : \text{for some } \Gamma_i \in \mathcal{B}_A, B \text{ is in } \Gamma_i \text{ and } \mathcal{M} \models B\}$



Observe that the formula A is in $M\mathcal{F}$ so

$$M\mathcal{F} \neq \emptyset$$

Let B' be a formula in $M\mathcal{F}$ such that

$$ordB' \leq ordB$$
 for every $B \in M\mathcal{F}$

There exists $\Gamma_i \in \mathcal{B}_A$ that is of the form Γ', B', Δ with an **indecomposable** Γ'

We have that B' can not be of the form

(*)
$$\neg \exists x A(x)$$
 or $\neg \forall x A(x)$

for if B' of the (*) form **is** in $M\mathcal{F}$, then also formula $\forall x \neg A(x)$ or $\exists x \neg A(x)$ is in $M\mathcal{F}$ and the **orders** of the two formulas are equal



We carry the same order argument and show that B' can **not** be of the form

(**)
$$(A \cup B)$$
, $\neg (A \cup B)$, $(A \cap B)$, $\neg (A \cap B)$, $(A \Rightarrow B)$, $\neg (A \Rightarrow B)$, $\neg \neg A$, $\forall x A(x)$

The formula B' can not be of the form

$$(***)$$
 $\exists x B(x)$

since then there exists term t and j such that $i \le j$, and B'(t) appears in Γ_j and the formula B(t) is such that

$$\mathcal{M} \models B$$



Thus $B(t) \in \mathcal{MF}$ and ordB(t) < ordB'This **contradicts** the definition of B'Since B' **is not** of the forms (*), (**), (***), B' is **indecomposable**. Thus $B' \in \mathcal{LF}$ and consequently

$$\mathcal{M} \not\models B'$$

On the other hand B' is in the set $M\mathcal{F}$ and hence is one of the formulas satisfying

$$\mathcal{M} \models B'$$

This **contradiction** proves that $\mathcal{M} \not\models A$ and hence we proved that

This **ends** the proof of the Completeness Theorem for QRS

