

LOGICS FOR COMPUTER SCIENCE:  
Classical and Non-Classical  
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Chapter 4  
General Proof Systems: Syntax and Semantics

**CHAPTER 4 SLIDES**

## Chapter 4

### General Proof Systems: Syntax and Semantics

#### Slides Set 1

**PART 1** Introduction

**PART 2** **Syntax:** Definition of Proof System, Formal Proofs

**PART 3** Syntactic Decidability

**PART 4** **Consequence Operation**, Non Monotonic Reasoning and Syntactic Consistency

#### Slides Set 2

**PART 5** **Semantics:** Soundness and Completeness

**PART 6** Exercises and Examples

Chapter 4  
General Proof Systems: Syntax and Semantics

**Slides Set 1**

**PART 1 Introduction**

## Chapter 4

### General Proof Systems: Introduction

**Proof systems** are built to prove, it means to construct **formal proofs** of statements formulated in a given **language**

**First** component of any **proof system** is hence its formal **language**  $\mathcal{L}$

**Proof systems** are **inference** machines with statements called **provable** statements being their **final** products

## Chapter 4

### General Proof Systems: Axioms

The **starting** points of the **inference machine** of a proof system **S** are called its **axioms**

We distinguish two kinds of axioms: **logical** axioms **LA** and **specific** axioms **SA**

**Semantical link:** we usually build a **proof systems** for a given **language** and its **semantics** i.e. for a **logic** defined **semantically**

## General Proof Systems: Logical Axioms

We choose as a set of **logical** axioms **LA** some subset of **tautologies**, under a given **semantics**

We will **consider** here only proof systems with **finite** sets of **logical** or **specific** axioms, i.e we will examine only **finitely axiomatizable** proof systems

## General Proof Systems: Logical Axioms

We can, and we often do, consider **proof systems** with **languages** **without** yet established **semantics**

In this case the **logical** axioms **LA** serve as description of **tautologies** under a **future semantics** yet to be built

**Logical** axioms **LA** of a proof system **S** are hence not only **tautologies** under an established semantics, but they can also **guide us** how to **define** a **semantics** when it is yet **unknown**

## General Proof Systems: Specific Axioms

The **specific axioms**  $SA$  consist of statements that describe a specific **knowledge** of an **universe** we want to use the proof system  $S$  to **prove** facts about

**Specific** axioms  $SA$  **are not** universally **true**

**Specific** axioms  $SA$  are **true** only in the universe we are interested to **describe** and **investigate** by the **use** of the proof system  $S$

## General Proof Systems: Formal Theory

Given a **proof system**  $S$  with **logical** axioms  $LA$

We choose as **specific axioms**  $SA$  of the proof system  $S$  any **finite set** of formulas that **are not** tautologies, and hence the **specific axioms**  $SA$  are always **disjoint** with the set  $LA$  of **logical** axioms  $LA$  of  $S$

The **proof system**  $S$  with added set of **specific** axioms  $SA$  is called a **formal theory** based on  $S$

## General Proof Systems: Inference Machine

The **inference** machine of a proof system **S** is defined by a **finite** set of **inference rules**

The **inference rules** describe the way we are allowed to **transform** the **information within** the proof system **S** with the **logical** axioms **LA** as a **starting** point

We depict it **informally** on the next slide

## General Proof Systems: Inference Machine

AXIOMS



RULES applied to AXIOMS



RULES applied to any expressions above



Provable formulas

## General Proof Systems: Semantical Link

**Rules of inference** of a system **S** have to **preserve** the **truthfulness** of what they are being used **to prove**

The notion of **truthfulness** is always defined by a given semantics **M**

**Rules of inference** that **preserve** the **truthfulness** are called **sound rules** under a given a semantics **M**

**Rules of inference** can be **sound** under one semantics and **not sound** under another

## General Proof Systems: Soundness Theorem

### Goal 1

When **developing** a proof system **S** the **first goal** is to **prove** the following **theorem** about it and its semantics **M**

### Soundness Theorem

For any formula **A** of the language of the system **S**

If a formula **A** is **provable** from **logical** axioms **LA** of **S** only, then **A** is a **tautology** under the semantics **M**

## General Proof Systems: Soundness Theorem

By definition, the notion of **soundness** is connected with a given **semantics**

A proof system **S** can be **sound** under **one semantics** and **not sound** under the **other**

For **example** a set of axioms and rules **sound** under the **classical semantics** might **not be sound** under **L** semantics, or **K** semantics, or others

## General Proof Systems: Completeness Property

Denote by  $\mathbf{T}_M$  the set of all **tautologies** defined by the semantics  $\mathbf{M}$ , i.e.

$$\mathbf{T}_M = \{A \in \mathcal{F} : \models_M A\}$$

A natural **question** arises:

are all **tautologies** i.e formulas  $A \in \mathbf{T}_M$  **provable** in the proof system  $\mathbf{S}$  ??

The **positive answer** to this question is called **completeness property** of the system  $\mathbf{S}$

## General Proof Systems: Completeness Theorem

### Goal 2

Given for a **sound** proof system **S** under the semantics **M**, our **second goal** is to **prove** the following theorem about **S**

### Completeness Theorem

For any formula **A** of the language of **S**

**A is provable** in **S** if and only if **A** is a **tautology** under the semantics **M**

We write the **Completeness Theorem** **symbolically** as

$$\vdash_S A \quad \text{if and only if} \quad \models_M A$$

## Proving Soundness and Completeness

The **Completeness Theorem** is composed of two parts  
The **soundness** part, i.e. the **Soundness Theorem** and  
the **completeness** part that proves the **completeness property** of already **sound** proof system

Proving the **Soundness Theorem** for **S** under a semantics **M**  
is usually a **straightforward** and not a very difficult task

We **first** prove that all **logical axioms LA** are **tautologies**  
under the given semantics and then we **prove** that  
all **inference rules** of the system **S** **preserve** the notion of the  
**truth** under it

## Proving Soundness and Completeness

Proving the **completeness** part of the **Completeness Theorem** is always the **crucial, difficult** and sometimes **impossible** task

We study **two proofs** of the **Completeness Theorem** for **classical propositional** proof system in **Chapter 5**

We present a **constructive** proofs of the **Completeness Theorem** for different **Gentzen** style **automated** theorem proving systems for **classical** semantics in **Chapter 6**

We discuss the **Intuitionistic** and **Modal** Logics in **Chapter 7**  
The **Predicate** Logics are discussed **Chapters 8, 9, 10, 11**

Chapter 4  
General Proof Systems: Syntax and Semantics

**Slides Set 1**

**PART 2** **Syntax** : Definition of Proof System, Formal Proofs

## Syntax : Definition of Proof System

When **defining** a proof system  $S$  we **specify**, as the first step,

its formal language  $\mathcal{L}$

This is a **first component** of the proof system  $S$

Given a set  $\mathcal{F}$  of well formed **formulas** of the language  $\mathcal{L}$ , we often **extend** this set, and hence the language  $\mathcal{L}$  to a set  $\mathcal{E}$  of **expressions** build out of the language  $\mathcal{L}$  and some **additional symbols**, if needed

It is a **second component** of the proof system  $S$

## Syntax : Definition of Proof System

**Proof systems** act as an **inference** machine, with **provable** expressions being its **final products**

This **inference** machine is **defined** by setting, as a **starting point** a certain non-empty, proper subset **LA** of  $\mathcal{E}$ , called a set of **logical axioms** of the system **S**

The **production** of **provable** statements is to be **done** by the means of **inference rules**

The **inference rules** transform an expression, or finite string of expressions, called **premisses**, into another expression, called a **conclusion**

## Syntax : Definition of Proof System

At this stage the **inference rules** don't carry any **meaning**  
They only **define** how to **transform** strings of **symbols** of  
a language into another string of **symbols**

This is a **reason** why investigation of **proof systems** is  
called **syntax** or **syntactic** investigation as opposed to  
**semantical** methods

The **syntax- semantics** connection within **proof systems** is  
established by **Soundness** and **Completeness** theorems  
and is **discussed** in detail in the **Slides Set 2**

## Syntax : Definition of Proof System

### Definition

By a **proof system** we understand a quadruple

$$S = (\mathcal{L}, \mathcal{E}, LA, \mathcal{R})$$

where

$\mathcal{L} = \{\mathcal{A}, \mathcal{F}\}$  is a **language** of S with a set  $\mathcal{F}$  of formulas

$\mathcal{E}$  is a set of **expressions** of S

In particular case  $\mathcal{E} = \mathcal{F}$

$LA \subseteq \mathcal{E}$  is a **non- empty, finite set** of **logical axioms** of S

$\mathcal{R}$  is a **non- empty, finite set** of **rules of inference** of S

## Proof System Components: Language

**Language** of  $S$  is any formal language

$$\mathcal{L} = (\mathcal{A}, \mathcal{F})$$

We **assume** as before that both sets  $\mathcal{A}$  and  $\mathcal{F}$  are enumerable, i.e. we deal here with **enumerable** languages

The language  $\mathcal{L}$  can be **propositional** or **first order (predicate)** but we discuss **propositional** languages first

## Proof System Components: Expressions

### Expressions $\mathcal{E}$ of $\mathcal{S}$

Given a set  $\mathcal{F}$  of **formulas** of the language  $\mathcal{L}$  of  $\mathcal{S}$

We often **extend** the set  $\mathcal{F}$  to some set  $\mathcal{E}$  of **expressions** build out of the symbols of  $\mathcal{L}$  and some **extra symbols**, if needed

In this case all other **components** of  $\mathcal{S}$  are also defined on basis of elements of the set of **expressions**  $\mathcal{E}$

In particular, and **most common case** we have that  $\mathcal{E} = \mathcal{F}$

## Expressions Examples

**Automated** theorem proving **systems** usually use as their **basic** components special sets of **expressions** build out of **formulas** of

$\mathcal{L}$

In **Chapters 6 , 10** we consider **finite sequences** of formulas as **basic** expressions of **proof systems** **RS** and **RQ**

We also present there **proof systems** that use yet other kind of **expressions**, called **Gentzen sequents** or their modifications

Some systems also use other **expressions** such as **clauses**, **sets of clauses**, or **sets of formulas**

## Proof System Components: Logical Axioms

**Logical axioms**  $LA$  of  $S$

We distinguish a **non-empty** subset  $LA$  of the set  $\mathcal{E}$  of expressions of  $S$  as a set of **logical axioms**, i.e.

$$LA \subseteq \mathcal{E}$$

In particular,  $LA$  is a non-empty subset of **formulas**, i.e.

$$LA \subseteq \mathcal{F}$$

We **assume** that one can **effectively decide**, for any  $E \in \mathcal{E}$  whether  $E \in LA$  or  $E \notin LA$

We also **assume** that the set  $LA$  is always **finite**, i.e. that we consider here **finitely** axiomatizable proof systems

## Proof System Components: Rules of Inference

**Rules** of inference  $\mathcal{R}$  of  $S$

We **assume** that  $S$  contains only a **finite** number of **inference rules**

We **assume** that each rule has a **finite number** of **premisses** and **one conclusion**

We also **assume** that one can **effectively decide**, for any **inference rule**, whether given strings of expressions **form** its premisses and conclusion or they **do not**

## Proof System Components: Rules of Inference

### Definition

Each **rule of inference**  $r \in \mathcal{R}$  is a **relation** defined in the set  $\mathcal{E}^m$ , where  $m \geq 1$  with values in  $\mathcal{E}$ , i.e.

$$r \subseteq \mathcal{E}^m \times \mathcal{E}$$

Elements  $P_1, P_2, \dots, P_m$  of a tuple  $(P_1, P_2, \dots, P_m, C) \in r$  are called **premisses** of the rule  $r$  and  $C$  is called its **conclusion**

## Proof System Components: Rules of Inference

We write the **inference rules** in a following convenient way

**One** premiss rule

$$(r) \frac{P_1}{C}$$

**Two** premisses rule

$$(r) \frac{P_1 ; P_2}{C}$$

**m** premisses rule

$$(r) \frac{P_1 ; P_2 ; \dots ; P_m}{C}$$

## Syntax: Formal Proofs

A **final** product of a **single** or **multiple** use of the **inference rules** of **S**, with **axioms** taken as a **starting** point are called **provable** expressions of the proof system **S**

A **single** use of an **inference rule** is called a **direct consequence**

A **multiple** application of rules of inference with **axioms** taken as a **starting point** is called a **proof**

## Syntax: Direct Consequence

Formal **definitions** are as follows

### Direct consequence

For any rule of inference  $r \in \mathcal{R}$  of the form

$$(r) \quad \frac{P_1 ; P_2 ; \dots ; P_m}{C}$$

$C$  is called a **direct consequence** of  $P_1, \dots, P_m$  by virtue of the rule  $r \in \mathcal{R}$

## Syntax: Formal Proof Definition

**Formal Proof** of an expression  $E \in \mathcal{E}$  in a proof system

$$S = (\mathcal{L}, \mathcal{E}, LA, \mathcal{R})$$

is a sequence

$$A_1, A_2, \dots, A_n \text{ for } n \geq 1$$

of expressions from  $\mathcal{E}$ , such that

$$A_1 \in LA, \quad A_n = E$$

and for each  $1 < i \leq n$ , either  $A_i \in LA$  or  $A_i$  is a **direct consequence** of some of the **preceding** expressions by virtue of **one of the rules of inference**

$n \geq 1$  is the **length** of the proof  $A_1, A_2, \dots, A_n$

## Syntax: Formal Proof Notation

We write

$$\vdash_S E$$

to denote that  $E \in \mathcal{E}$  **has a proof** in  $S$  and we call  $E$  a **provable** expression of  $S$

The set of all **provable** expressions of  $S$  is denoted by  $\mathbf{P}_S$ ,  
i.e. we put

$$\mathbf{P}_S = \{E \in \mathcal{E} : \vdash_S E\}$$

When the proof system  $S$  is **fixed** we write  $\vdash E$

## Simple System $S_1$

### Example

Consider a very simple proof system system  $S_1$  with  $\mathcal{E} = \mathcal{F}$

$$S_1 = (\mathcal{L}_{\{P, \Rightarrow\}}, \mathcal{F}, LA = \{(A \Rightarrow A)\}, \mathcal{R} = \{(r) \frac{B}{PB}\})$$

where  $A, B \in \mathcal{F}$  are any formulas and where  $P$  is some one argument connective

We might read  $PA$  for example as "it is possible that  $A$ "

Observe that even the system  $S_1$  has only **one axiom**, it represents an **infinite** number of formulas

We call such axiom an **axiom schema**

## Simple System $S_2$

### Example

Consider now a system  $S_2$

$$S_2 = (\mathcal{L}_{\{P, \Rightarrow\}}, \mathcal{F}, \{(a \Rightarrow a)\}, (r) \frac{B}{PB}),$$

where  $a \in VAR$  is any variable (atomic formula) and  $B \in \mathcal{F}$  is any formula

**Observe** that the system  $S_2$  also has only **one axiom** similar to the axiom of  $S_1$  and they have the same rule of inference but they are **different proof systems** as

for example a formula

$$((Pa \Rightarrow (b \Rightarrow c)) \Rightarrow (Pa \Rightarrow (b \Rightarrow c)))$$

is an **axiom** of system  $S_1$  but **is not** an **axiom** of  $S_2$

## Formal Proofs

### Example

We have that

$$\vdash_{S_1} ((Pa \Rightarrow (b \Rightarrow c)) \Rightarrow (Pa \Rightarrow (b \Rightarrow c)))$$

because  $((Pa \Rightarrow (b \Rightarrow c)) \Rightarrow (Pa \Rightarrow (b \Rightarrow c))) \in LA$

Some other provable formulas are

$$\vdash_{S_1} P(a \Rightarrow a), \quad \vdash_{S_1} PP(a \Rightarrow a), \quad \vdash_{S_2} PP(a \Rightarrow a)$$

## Formal Proofs

**Formal proof** of  $P(a \Rightarrow a)$  in  $S_1$  and  $S_2$  is:

$A_1 = (a \Rightarrow a),$	$A_2 = P(a \Rightarrow a)$
axiom	rule application
	for $B = (a \Rightarrow a)$

**Formal proof** of  $PP(a \Rightarrow a)$  in  $S_1$  and  $S_2$  is:

$A_1 = (a \Rightarrow a),$	$A_2 = P(a \Rightarrow a),$	$A_3 = PP(a \Rightarrow a)$
axiom	rule application	rule application
	for $B = (a \Rightarrow a)$	for $B = P(a \Rightarrow a)$

## Formal Proofs

### Exercise

Given a proof system:

$$S = (\mathcal{L}_{\{\neg, \Rightarrow\}}, \mathcal{F}, \{(A \Rightarrow A), (A \Rightarrow (\neg A \Rightarrow B))\}, \mathcal{R} = \{(r)\})$$

$$\text{where } (r) \frac{(A \Rightarrow B)}{(B \Rightarrow (A \Rightarrow B))}$$

Write a **formal proof** in  $S$  with 2 applications of the rule  $(r)$

**Solution:** There are many solutions. Here is one of them.

Required formal proof is a sequence  $A_1, A_2, A_3$ , where

$$A_1 = (A \Rightarrow A)$$

(Axiom)

$$A_2 = (A \Rightarrow (A \Rightarrow A))$$

Rule  $(r)$  application 1 for  $A = A, B = A$

$$A_3 = ((A \Rightarrow A) \Rightarrow (A \Rightarrow (A \Rightarrow A)))$$

Rule  $(r)$  application 2 for  $A = A, B = (A \Rightarrow A)$

## Simple System $S_3$

Consider a very simple proof system system  $S_3$  defined as follows

$$S_3 = ( \mathcal{L}_{\{P, \Rightarrow\}}, \mathcal{F}, \{(A \Rightarrow A)\}, (r_1) \frac{B}{PB}, (r_2) \frac{A ; B}{P(A \Rightarrow B)} )$$

### Exercise

Write two **formal proofs** in  $S_3$  both of the **lengths 4**, one of which must contain at **least one** application of the inference rule  $r_2$

## Chapter 4

# General Proof Systems: Syntax and Semantics

### Slides Set 1

### PART 3 **Syntactic Decidability,** Automated Proof Systems

## General Proof Systems: Syntactic Decidability

For any a proof system  $S = (\mathcal{L}, \mathcal{E}, LA, \mathcal{R})$ , we **assumed** that its sets  $LA$  of its logical axioms and  $\mathcal{R}$  of rules of inference have the following **properties**

**(LP)** For any  $E \in \mathcal{E}$  one can **effectively decide** whether  $E \in LA$  or  $E \notin LA$

**(RP)** For any inference rule  $r \in \mathcal{R}$  one can **effectively decide** whether a given strings of expressions **form** its **premisses** and **conclusion** or they **do not**

**Observe** that even if the set of **axioms** and the **inference rules** of a **proof system**  $S$  have the properties **(LP)** and **(RP)** it **does not** mean that a statement "**E is provable**" in  $S$  can be similarly **effectively decided** for every proof system

## Decidable Proof Systems

### Definition

A proof system  $S = (\mathcal{L}, \mathcal{E}, LA, \mathcal{R})$  for which there is an **effective decision procedure** for determining for any expression  $E \in \mathcal{E}$ , whether **there is** or **there is no** proof of  $E$  in  $S$  is called a **decidable** proof system, otherwise  $S$  is called **undecidable**

**Observe** that the above notion of **decidability** of  $S$  does not require to **find** a proof of an expression  $E \in \mathcal{E}$  (if exists)

We hence introduce a following notion

## Syntactically Decidable Proof Systems

### Definition

A proof system  $S = (\mathcal{L}, \mathcal{E}, LA, \mathcal{R})$  for which there is an effective mechanical **procedure** that **finds** (generates) a formal proof of any expression  $E \in \mathcal{E}$ , if it **exists**, is called **syntactically semi- decidable**

If additionally there is an effective method of **deciding** that if a proof of  $E$  is **not found** that it does not **exist**, the system  $S$  is called **syntactically decidable**

Otherwise  $S$  is **syntactically undecidable**

## Hilbert Program

The need for **existence** of proof systems for **classical logic** and parts of **mathematics** that are **syntactically decidable** or **syntactically semi-decidable** was stated (in a different form) by German mathematician **David Hilbert** in early **1900** as a part of what is called **Hilbert program**

The **main goal** of **Hilbert's program** was to provide secure **foundations** for all mathematics

In particular the **Hilbert program** addressed the problem of **decidability**

It stated that there should be an **algorithm** for **deciding** the **truth** or to **falsify** of any **mathematical** statement

Moreover, it should use only **"finitistic"** reasoning methods

## Syntactically Decidable Proof Systems

Kurt Gdel **proved** in 1931 that most of the **goals** of Hilbert's **program** were **impossible** to achieve, at least if interpreted in the most **obvious** way

Nevertheless, **Gerhard Gentzen** in his work published in 1934/1935 gave a **positive** answer to the possibility of existence of **syntactical decidability**

He invented proof systems for **classical** and **intuitionistic** logics, now called **Gentzen style formalizations**

We study the **Gentzen** style formalizations in **chapter 6** and **chapters 7, 10**

## Automated Proof Systems

**Gentzen** work formed a basis for development of **Automated Theorem Proving** field of mathematics and computer science

### Definition

A proof system  $S = (\mathcal{L}, \mathcal{E}, LA, \mathcal{R})$  that is **proven** to be **syntactically decidable** or **syntactically semi-decidable** is called an **automated proof system**

**Automated** proof systems are also called **automated theorem proving** systems, **Gentzen style formalizations** and we use all of these terms **interchangeably**

## Example

### Example

Any complete **Hilbert style** proof system for **classical propositional** logic is an example of a **decidable** , but **not syntactically decidable** proof system

We conclude its **decidability** from the **Completeness Theorem** proved in **chapter 5** and the **decidability** of the notion of **classical tautology** proved in **chapter 3**

**Gentzen style** proof systems for **classical** and **intuitionistic propositional logics** presented in **chapters 6,7** are **examples** of proof systems that are of both **decidable** and **syntactically decidable**

## Example: Simple System $S$

Consider now a simple proof system  $S$

$$S = (\mathcal{L}_{\{P, \Rightarrow\}}, \mathcal{F} \quad LA = \{(a \Rightarrow a)\}, (r) \frac{B}{PB})$$

where  $a \in VAR$  is any variable (atomic formula) and  $B \in \mathcal{F}$  is any formula

Let's **search for a proof** (if exists) of the following formula  $A$

$$A = PP((Pa \Rightarrow (b \Rightarrow c)) \Rightarrow (Pa \Rightarrow (b \Rightarrow c)))$$

**Observe**, that if  $A$  had the proof, the only **last step** in this proof would be the application of the rule

$$(r) \frac{B}{PB}$$

to the formula

$$P((Pa \Rightarrow (b \Rightarrow c)) \Rightarrow (Pa \Rightarrow (b \Rightarrow c)))$$

## Example: Simple System S

Lets now consider the formula

$$P((Pa \Rightarrow (b \Rightarrow c)) \Rightarrow (Pa \Rightarrow (b \Rightarrow c)))$$

This formula, in turn, if it had the proof, the **only** last step in its proof would be the application of the

$$(r) \frac{B}{PB}$$

to the formula

$$((Pa \Rightarrow (b \Rightarrow c)) \Rightarrow (Pa \Rightarrow (b \Rightarrow c)))$$

The **search process stops** here

## Proof Search in System S

**Observe** that the final formula obtained **is not** an axiom of **S**, i.e.

$$((Pa \Rightarrow (b \Rightarrow c)) \Rightarrow (Pa \Rightarrow (b \Rightarrow c))) \notin LA$$

This means that our **search** for a proof of **A** in **S** has **found** sequence of formulas that **does not** constitute a **proof**

This alone **does not** yet **prove** that the proof **does not exist**

Fortunately, the **search** was at each step **unique**, so in fact, we **did prove** that the proof of **A** in **S** **does not exist**, i.e. we **proved**

$$\vDash_S PP((Pa \Rightarrow (b \Rightarrow c)) \Rightarrow (Pa \Rightarrow (b \Rightarrow c)))$$

## Proof Search Procedure

We easily **generalize** above example to a proof search procedure to **any** formula **A** of **S** as follows

### Procedure SP

**Step:** Check the **main** connective of **A**

If **main** connective is **P** (it means that **A** was obtained by the rule (**r**))

**Erase** the **main** connective **P**

**Repeat** until no **P** as a **main** connective is left.

If the main connective is  $\Rightarrow$  check if a formula is an **axiom**

If it **is** an axiom, **stop** and **yes** we have a **proof**

If it is **not** an axiom, **stop** and **no**, **proof does not exist**

## Syntactical Decidability of S

The **Procedure SP** is a **finite, effective, automatic** procedure of **searching** for proofs of formulas in **S**

Moreover we proved that it **determines** for any formula  $A \in \mathcal{F}$ , whether **there is** or **there is no** proof of **A** in **S**

It means that we proved the following.

### Fact

The proof system

$$S = (\mathcal{L}_{\{P, \Rightarrow\}}, \mathcal{F} \quad LA = \{(a \Rightarrow a)\}, (r) \frac{B}{PB})$$

where  $a \in VAR$  and  $B \in \mathcal{F}$

is **syntactically decidable**

## Chapter 4

# General Proof Systems: Syntax and Semantics

### Slides Set 1

**PART 4** Consequence Operation, Non Monotonic Reasoning and Syntactic Consistency

## Proof from Hypothesis

Given a proof system  $S = (\mathcal{L}, \mathcal{E}, LA, \mathcal{R})$

While proving expressions in  $S$  we often use some extra **information** available, besides the **axioms** of the proof system

This **extra** information is called **hypotheses** in the proof

A proof from the set of **hypotheses**  $\Gamma$  of an expression  $E$  in  $S$  is a **formal proof** in  $S$ , where the expressions from  $\Gamma$  are treated as additional information added to the set  $LA$  of the logical axioms of  $S$

We define it formally as follows

## Proof from Hypothesis

### Definition

Given a proof system  $S = (\mathcal{L}, \mathcal{E}, LA, \mathcal{R})$

Let  $\Gamma \subseteq \mathcal{E}$

A **proof** of an expression  $E$  from  $\Gamma$  is a sequence

$$E_1, E_2, \dots, E_n$$

of expressions, such that

$$E_1 \in LA \cup \Gamma, \quad E_n = E$$

and for each  $1 < i \leq n$ , either  $E_i \in LA \cup \Gamma$  or

$E_i$  is a **direct** consequence of some of the **preceding** expressions in the sequence  $E_1, E_2, \dots, E_n$  by virtue of one of the **rules** of inference from  $\mathcal{R}$ .

## Proof from Hypothesis

We write

$$\Gamma \vdash_S E$$

to denote that  $E$  has a **proof** from  $\Gamma$  in  $S$  and

$$\Gamma \vdash E$$

when the system  $S$  is fixed

When the set of **hypothesis**  $\Gamma$  is a **finite set** and  $\Gamma = \{B_1, B_2, \dots, B_n\}$ , then we write

$$B_1, B_2, \dots, B_n \vdash_S E$$

instead of

$$\{B_1, B_2, \dots, B_n\} \vdash_S E$$

## Consequences

The case of  $\Gamma = \emptyset$  means that in the proof of  $E$  only logical axioms  $LA$  were used we write

$$\vdash_S E$$

to denote that  $E$  has a proof from the **empty** set  $\Gamma$

### Definition

For any  $\Gamma \subseteq \mathcal{E}$ , and  $A \in \mathcal{E}$ ,

If  $\Gamma \vdash_S A$ , then  $A$  is called a **consequence** of  $\Gamma$  in  $S$

### Definition

We denote by  $\mathbf{Cn}_S(\Gamma)$  the **set of all consequences** of  $\Gamma$  in  $S$ , i.e. we put

$$\mathbf{Cn}_S(\Gamma) = \{A \in \mathcal{E} : \Gamma \vdash_S A\}$$

## Consequence Operation

When talking about **consequences** of  $\Gamma$  in  $S$ , we define in fact a **function** which to every set  $\Gamma \subseteq \mathcal{E}$  assigns a set of all its **consequences**

We denote this function by  $\mathbf{Cn}_S$  and adopt the following definition

### Definition

Given a proof system  $S = (\mathcal{L}, \mathcal{E}, LA, \mathcal{R})$

Any function

$$\mathbf{Cn}_S : 2^{\mathcal{E}} \longrightarrow 2^{\mathcal{E}}$$

such that for every  $\Gamma \in 2^{\mathcal{E}}$ ,

$$\mathbf{Cn}_S(\Gamma) = \{E \in \mathcal{E} : \Gamma \vdash_S E\}$$

is called a **consequence** determined by  $S$

## Consequence Operation: Monotonicity

Take any **consequence operation**

$$\mathbf{Cn}_S : 2^{\mathcal{E}} \rightarrow 2^{\mathcal{E}}$$

### Monotonicity Property

For any sets  $\Gamma, \Delta$  of expressions of  $S$ ,

**if**  $\Gamma \subseteq \Delta$  **then**  $\mathbf{Cn}_S(\Gamma) \subseteq \mathbf{Cn}_S(\Delta)$

**Exercise:** write the proof;

it follows directly from the definition of  $\mathbf{Cn}_S$  and definition of the formal proof

## Consequence Operation: Transitivity

Take any **consequence operation**

$$\mathbf{Cn}_S : 2^{\mathcal{E}} \longrightarrow 2^{\mathcal{E}}$$

### Transitivity Property

For any sets  $\Gamma_1, \Gamma_2, \Gamma_3$  of expressions of  $S$ ,

**if**  $\Gamma_1 \subseteq \mathbf{Cn}_S(\Gamma_2)$  and  $\Gamma_2 \subseteq \mathbf{Cn}_S(\Gamma_3)$ , **then**  $\Gamma_1 \subseteq \mathbf{Cn}_S(\Gamma_3)$

**Exercise:** write the proof;

it follows directly from the definition of  $\mathbf{Cn}_S$  and definition of the formal proof

## Consequence Operation: Finiteness

Take any **consequence operation**

$$\mathbf{Cn}_S : 2^{\mathcal{E}} \rightarrow 2^{\mathcal{E}}$$

### Finiteness Property

For any expression  $A \in \mathcal{E}$  and any set  $\Gamma \subseteq \mathcal{E}$ ,

$A \in \mathbf{Cn}_S(\Gamma)$  if and only if there is a **finite subset**  $\Gamma_0$  of  $\Gamma$  such that  $A \in \mathbf{Cn}_S(\Gamma_0)$

**Exercise:** write the proof;

it follows directly from the definition of  $\mathbf{Cn}_S$  and definition of the formal proof

## Tarski Consequence Operation

The notions of **provability** from a set  $\Gamma$  in  $S$  and **consequence** determined by  $S$  **coincide**

We **use** both terms **interchangeably**, but the definition does do more than just **re-naming provability** by **consequence**

We **prove** that the consequence  $Cn_S$  determined by  $S$  is a **special case** of a notion a classic **consequence** operation as defined by **Alfred Tarski** in **1930** as a general **model** of deductive reasoning

**Tarski** definition is a **formalization** of the intuitive concept of **deduction** as a **consequence**, and therefore it has all the **properties** which our **intuition** attribute to this **notion**

## Tarski Consequence Operation

### Definition Tarski, 1930

By a **consequence operation** in a formal language  $\mathcal{L} = (\mathcal{A}, \mathcal{F})$  we understand any mapping

$$\mathbf{C} : 2^{\mathcal{F}} \longrightarrow 2^{\mathcal{F}}$$

satisfying the following conditions **(t1)** - **(t3)** expressing properties of **reflexivity**, **monotonicity**, and **transitivity** of the **consequence**

For any sets  $F, F_0, F_1, F_2, F_3 \in 2^{\mathcal{F}}$ ,

**(t1)**  $F \subseteq \mathbf{C}(F)$  **reflexivity**

**(t2)** if  $F_1 \subseteq F_2$ , then  $\mathbf{C}(F_1) \subseteq \mathbf{C}(F_2)$ , **monotonicity**

**(t3)** if  $F_1 \subseteq \mathbf{C}(F_2)$  and  $F_2 \subseteq \mathbf{C}(F_3)$ , then

$F_1 \subseteq \mathbf{C}(F_3)$ , **transitivity**

## Tarski Consequence Operation

We say that the **consequence** operation **C** has a **finite character** if additionally it satisfies the following condition **t4**

**(t4)** if a formula  $B \in \mathbf{C}(F)$ , then there exists a **finite** set  $F_0 \subseteq F$ , such that  $B \in \mathbf{C}(F_0)$     **finiteness**.

The **monotonicity** condition **(t2)** and **transitivity** condition **(t3)** are often replaced by the following conditions **(t2')**, **(t3')**, respectively

**(t2')** if  $B \in \mathbf{C}(F)$ , then  $B \in \mathbf{C}(F \cup F')$

**(t3')**  $\mathbf{C}(F) = \mathbf{C}(\mathbf{C}(F))$

## Consequence Operations Equivalency

### Definition

Given a formal language  $\mathcal{L} = (\mathcal{A}, \mathcal{F})$  and a **Tarski consequence**  $\mathbf{C}$

A system  $D = (\mathcal{L}, \mathbf{C})$  is called a **Tarski deductive system** for the language  $\mathcal{L}$

**Observe** that **Tarski's** deductive system as a model of **reasoning** does not provide a **method** of actually **defining** a consequence operation; it **assumes** that it is given

We **prove** that the consequence operation  $\mathbf{Cn}_S$  determined by  $S$  is a **Tarski** consequence operation  $\mathbf{C}$

## Consequence Operations Equivalency

Each **proof** system  $S$  provides a different **example** of a **consequence** operation

Each **proof** system  $S$  can be treated and a syntactic **Tarski deductive** system and the following holds

### Theorem

Given a proof system  $S = (\mathcal{L}, \mathcal{E}, LA, \mathcal{R})$

The consequence operation  $\mathbf{Cn}_S$  is a **Tarski** consequence **C** in the language  $\mathcal{L}$  of the system  $S$  and the system

$$D_S = (\mathcal{L}, \mathbf{Cn}_S)$$

is **Tarski deductive system**

We call it a **syntactic** deductive system **determined** by  $S$

Chapter 4  
General Proof Systems: Syntax and Semantics

**Slides Set 1**

**PART 3**   **Non Monotonic Reasoning**   and  
**Syntactic Consistency**

## Non Monotonic Reasoning

The **Tarski** consequence **C** models reasoning which is called after its condition (**t2**) or (**t2'**) a **monotonic** reasoning

The **monotonicity** of reasoning was, since antiquity the the **basic** assumption while developing models for **classical** and well established **non-classical** logics

Recently many of new **non-classical** logics were developed and are being developed by **computer** scientists

Nevertheless they **usually** are built following the **Tarski definition** of **consequence** and are called as the others the **monotonic** logics

## Non Monotonic Reasoning

A new type of important **Non-monotonic** logics have been proposed at the beginning of the **80s**

Historically the most important proposals are:

**Non-monotonic** logic by **McDermott** and **Doyle**, **Default logic**, by **Reiter**, **Circumscription**, by **McCarthy**, and **Autoepistemic** logic, by **Moore**

The term **non-monotonic** logic covers a family of **formal frameworks** devised to **capture** and **represent defeasible** inference

**Defeasible inference** is an inference in which it is **possible** to draw **conclusions tentatively**, reserving the right to **retract them** in the light of further **information**

We included most **standard examples** in **Chapter 1, Slides Set 2**

## Syntactic Consistency: Formal Theories

**Formal theories** play crucial role in **mathematics** and were historically defined for classical **predicate (first order)** logic and consequently for other non-classical logics

They are routinely called **first order theories**

We discuss them in detail in **Chapter 10** dealing formally with **classical predicate** logic

**First order theories** are hence based on a proof systems **S** with a **predicate** (first order) language  $\mathcal{L}$

We sometimes consider **formal theories** based on proof systems with a **propositional** language  $\mathcal{L}$  and we call them **propositional theories**

## Syntactic Consistency: Formal Theories

Given a proof system  $S = (\mathcal{L}, \mathcal{E}, LA, \mathcal{R})$

We **build** (define) a **formal theory** based on  $S$  as follows.

1. We **select** a certain **finite** subset  $SA$  of expressions of  $S$ , **disjoint** with the logical axioms  $LA$  of  $S$

The set  $SA$  is called a set of **specific** axioms of the **formal theory** based on  $S$

2. We use set  $SA$  of **specific** axioms to define a language  $\mathcal{L}_{SA}$ , called a **language** of the formal theory

Here we have two cases

## Syntactic Consistency: Formal Theories

**c1**  $S$  is a first order proof system, i.e.  $\mathcal{L}$  of  $S$  is a **predicate** language

We **define** the language  $\mathcal{L}_{SA}$  by **restricting** the sets of **constant**, **functional**, and **predicate** symbols of  $\mathcal{L}$  to constant, functional, predicate symbols **appearing** in the set  $SA$  of **specific axioms**

Both languages  $\mathcal{L}_{SA}$  and  $\mathcal{L}$  **share** the same set of **propositional** connectives

**c2**  $S$  is a **propositional** proof system, i.e.  $\mathcal{L}$  of  $S$  is a **propositional** language  $\mathcal{L}_{SA}$  is defined by **restricting**  $\mathcal{L}$  to connectives appearing in the set  $SA$

## Syntactic Consistency: Formal Theories

### Definition

Given a proof system  $S = (\mathcal{L}, \mathcal{E}, LA, \mathcal{R})$  and **finite** subset  $SA$  of expressions of  $S$ , **disjoint** with the logical axioms  $LA$

The system

$$T = (\mathcal{L}, \mathcal{E}, LA, SA, \mathcal{R})$$

is called a **formal theory** based on  $S$

The set  $SA$  is the set of **specific axioms** of  $T$

The language  $\mathcal{L}_{SA}$  defined by **c1** or **c2** is called the language of the **theory**  $T$

## Syntactic Consistency

### Definition

A theory

$$T = (\mathcal{L}, \mathcal{E}, LA, SA, \mathcal{R})$$

is **consistent** if and only if there exists an expression  $E \in \mathcal{E}_{SA}$  such that  $E \notin \mathbf{T}(SA)$ , i.e. such that

$$SA \not\vdash_S E$$

otherwise the theory  $T$  is **inconsistent**.

**Observe** that the definition has **purely syntactic** meaning

## Syntactic Consistency: Formal Theories

The **consistency** definition reflexes our **intuition** what proper notion of **provability** should mean

Namely, it **says** that a formal **theory**  $T$  based on a proof system  $S$  is **consistent** only when it **does not prove** all expressions (formulas in particular cases) of  $\mathcal{L}_{SA}$

The **theory**  $T$  such that it **proves everything** stated in  $\mathcal{L}_{SA}$  obviously should be, and **is defined** as **inconsistent**

## Syntactic Consistency: Formal Theories

In particular, we have the following **syntactic definition** of **consistency** and **inconsistency** for any proof system  $S$

### Definition

A proof system

$$S = (\mathcal{L}, \mathcal{E}, LA, \mathcal{R})$$

is **consistent** if and only if there exists  $E \in \mathcal{E}$  such that  $E \notin \mathbf{P}_S$ , i.e. such that

$$\not\vdash_S E$$

otherwise  $S$  is **inconsistent**

## Chapter 4

### General Proof Systems: Syntax and Semantics

#### Slides Set 2

**PART 5**   **Semantics:**   Soundness   and   Completeness

**PART 6**   **Exercises**   and   **Examples**

Chapter 4  
General Proof Systems: Syntax and Semantics

**Slides Set 2**

**PART 4 Semantics: Soundness and Completeness**

## General Proof Systems: Semantics

We define formally a **semantics** for a given proof system

$$S = (\mathcal{L}, \mathcal{E}, LA, \mathcal{R})$$

by specifying the **semantic links** of all its **components** as follows

**Semantic Link1:** Language  $\mathcal{L}$

The language  $\mathcal{L}$  of  $S$  can be **propositional** or **predicate**

Let denote by  $\mathbf{M}$  a semantic for the language  $\mathcal{L}$

We call  $\mathbf{M}$ , for short, a **semantics** for the proof system  $S$

## Proof Systems: Semantics

The **semantics** **M** can be **classical** or **non-classical**

**M** can be **propositional** or **predicate** depending of the language  $\mathcal{L}$  of  $S$

**M** can be **extensional** or **not extensional**

We use **M** as a general **symbol** for a **semantics**

## Proof Systems: Semantics

### Semantic Link 2: Set $\mathcal{E}$ of Expressions

We always have to **extend** a given semantics  $\mathbf{M}$  for the language  $\mathcal{L}$  of the system  $\mathbf{S}$  to the set  $\mathcal{E}$  of all **expression** of  $\mathbf{S}$

Sometimes, like in case of **Resolution** based **proof systems** we have also to **prove** a **semantic equivalency** of new created expressions  $\mathcal{E}$  (sets of clauses ) with appropriate formulas of  $\mathcal{L}$

## Proof Systems: Semantics

### Example

In the **automated** theorem proving system **RS** presented in **Chapter 6** the basic expressions  $\mathcal{E}$  are finite **sequences** of formulas of the language  $\mathcal{L}_{\{\neg, \wedge, \vee, \Rightarrow\}}$

We **extend** the classical semantics for  $\mathcal{L}$  to the set  $\mathcal{F}^*$  of all **finite sequences** of formulas as follows:

For any  $v : VAR \rightarrow \{F, T\}$  and any  $\Delta \in \mathcal{F}^*$ ,  $\Delta = A_1, A_2, \dots, A_n$ , we put

$$\begin{aligned} v^*(\Delta) &= v^*(A_1, A_2, \dots, A_n) \\ &= v^*(A_1) \cup v^*(A_2) \cup \dots \cup v^*(A_n) \end{aligned}$$

i.e. in a shorthand notation

$$\Delta \equiv (A_1 \cup A_2 \cup \dots \cup A_n)$$

## Proof Systems: Semantics

**Semantic Link 3:** Logical Axioms  $LA$

Given a semantics  $\mathbf{M}$  for  $\mathcal{L}$  and its **extension** to the set  $\mathcal{E}$  of all expressions

We extend the notion of **tautology** to the expressions and write

$$\models_{\mathbf{M}} E$$

to denote that the **expression**  $E \in \mathcal{E}$  is a **tautology** under semantics  $\mathbf{M}$  and we put

$$\mathbf{T}_{\mathbf{M}} = \{E \in \mathcal{E} : \models_{\mathbf{M}} E\}$$

**Logical axioms**  $LA$  are always a subset of expressions that are **tautologies** of under the semantics  $\mathbf{M}$ , i.e.

$$LA \subseteq \mathbf{T}_{\mathbf{M}}$$

## Proof Systems: Semantics

### Semantic Link 4: Rules of Inference $\mathcal{R}$

We want the **rules of inference**  $r \in \mathcal{R}$  to **preserve truthfulness** i.e. to be **sound** under the semantics **M**

#### Definition

Given an inference rule  $r \in \mathcal{R}$

$$(r) \quad \frac{P_1 ; P_2 ; \dots ; P_m}{C}$$

We say that the inference rule  $r \in \mathcal{R}$  is **sound** under a semantics **M** if and only if all **M models** of the set  $\{P_1, P_2, \dots, P_m\}$  of its **premisses** are also **M models** of its **conclusion C**

## Proof Systems: Semantics

In the case of **propositional** language and the **extensional** semantics **M** the **M models** are defined in terms of the truth assignment  $v : VAR \rightarrow LV$ , where **LV** is the set of **logical values** for the semantics **M**, the **Sound Rule** definition becomes as follows

### Definition

An inference rule  $r \in \mathcal{R}$ , such that

$$(r) \quad \frac{P_1 ; P_2 ; \dots ; P_m}{C}$$

**is sound** under a semantics **M** if and only if the condition below holds for any  $v : VAR \rightarrow LV$

If  $v \models_{\mathbf{M}} \{P_1, P_2, \dots, P_m\}$ , then  $v \models_{\mathbf{M}} C$

## Proof Systems: Semantics

Observe that we can rewrite the condition

If  $v \models_{\mathbf{M}} \{P_1, P_2, \dots, P_m\}$ , then  $v \models_{\mathbf{M}} C$

as follows

If  $v^*(P_1) = v^*(P_2) = \dots = v^*(P_m) = T$ , then  $v^*(C) = T$

### Remark

A **rule** of inference can be **sound** under **different** semantics

But also **rule** of inference can be **sound** under **one**  
**semantics** and **not sound** under the **other**

## Proof Systems: Semantics

### Example

Given a propositional language  $\mathcal{L}_{\{\neg, \vee, \Rightarrow\}}$

Consider two rules of inference:

$$(r1) \frac{(A \Rightarrow B)}{(B \Rightarrow (A \Rightarrow B))} \quad \text{and} \quad (r2) \frac{\neg\neg A}{A}$$

The rule (r1) is **sound** under **classical**, **H** and **L** semantics

The (r2) is **sound** under **classical** and **L** semantics

The (r2) is **not sound** under **H** semantics

We introduce now new **important** notions of **strongly sound** rule under a semantics **M**

## Proof Systems: Semantics

### Definition

Given a language  $\mathcal{L}$ , an inference rule  $r \in \mathcal{R}$  of the form

$$(r) \quad \frac{P_1 ; P_2 ; \dots ; P_m}{C}$$

is **strongly sound** under a semantics  $\mathbf{M}$  if and only if the following condition holds for all  $\mathbf{M}$  model structures  $\mathcal{M}$ ,

$$\mathcal{M} \models_{\mathbf{M}} \{P_1, P_2, \dots, P_m\} \text{ if and only if } \mathcal{M} \models_{\mathbf{M}} C$$

In case of a **propositional** language  $\mathcal{L}$  and extensional semantics  $\mathbf{M}$  the  $\mathbf{M}$  model structure  $\mathcal{M}$  is the truth assignment  $v$  and the **strong soundness** condition is as follows

For for any  $v : \text{VAR} \rightarrow \text{LV}$ ,

$$v \models_{\mathbf{M}} \{P_1, P_2, \dots, P_m\} \text{ if and only if } v \models_{\mathbf{M}} C$$

## Proof Systems: Semantics

### Example

Given a propositional language  $\mathcal{L}_{\{\neg, \cup, \Rightarrow\}}$

Consider two rules of inference:

$$(r1) \frac{A ; B}{(A \cup \neg B)} \quad \text{and} \quad (r2) \frac{A}{\neg \neg A}$$

Both rules (r1) and (r2) are **sound** under **classical** and **H** semantics

The rule (r2) is **strongly** under **classical** semantics

The rule (r2) is **not strongly sound** under **H** semantics

The rule (r1) is **not strongly sound** under **either** semantics

## Proof Systems: Semantics

Now we **define** a notion of a **sound** and **strongly sound** proof system. **Strongly sound** proof systems play a role in **constructive** proofs of **completeness theorem**. This is why we **introduce** them here

### Definition

Given a proof system  $S = (\mathcal{L}, \mathcal{E}, LA, \mathcal{R})$

We say that the proof system  $S$  is **sound** under a semantics  $\mathbf{M}$  if and only if the following conditions hold

**C1**  $LA \subseteq \mathbf{T}_M$

**C2.** Each rule of inference  $r \in \mathcal{R}$  is **sound** under  $\mathbf{M}$

The proof system  $S$  is **strongly sound** under a semantics  $\mathbf{M}$  if the condition **C2** is **replaced** by the following condition

**C2'** Each rule of inference  $r \in \mathcal{R}$  is **strongly sound** under  $\mathbf{M}$

## Proof Systems: Semantics

### Example

Consider a proof system

$$\mathcal{S} = (\mathcal{L}_{\{\neg, \Rightarrow\}}, \mathcal{F}, \{(\neg\neg A \Rightarrow A), (A \Rightarrow (\neg A \Rightarrow B))\}, \mathcal{R} = \{(r)\})$$

where

$$(r) \frac{(A \Rightarrow B)}{(B \Rightarrow (A \Rightarrow B))}$$

The proof system  $\mathcal{S}$  is **sound**, but **not strongly sound** under **classical** and **L** semantics

$\mathcal{S}$  is **not sound** under **H** semantics

### Proof

We prove here only the condition **C1**. The complete proof, as proofs of many other examples, is included in the book chapter

## Proof Systems: Semantics

**C1**  $LA \subseteq T_M$

Both axioms are basic **classical** tautologies

Hence to prove that **first axiom** is **L** tautology we we have to verify **only** the case (shorthand notation)  $A = \perp$

We evaluate

$$\neg\neg \perp \Rightarrow \perp = \neg \perp \Rightarrow \perp = \perp \Rightarrow \perp = T$$

This proves  $\models_L (\neg\neg A \Rightarrow A)$

## Proof Systems: Semantics

Consider the second axiom

$$(A \Rightarrow (\neg A \Rightarrow B))$$

Observe that  $(A \Rightarrow (\neg A \Rightarrow B)) = \perp$  if and only if  $A = T$  and

$(\neg A \Rightarrow B) = \perp$  if and only if  $(\neg T \Rightarrow B) = \perp$  if and only if  $(F \Rightarrow B) = \perp$ , what is **impossible** under **L** semantics

This proves

$$\models_{\mathbf{L}} (A \Rightarrow (\neg A \Rightarrow B))$$

and the condition **C1** holds for the **classical** and **L** semantics

## Proof Systems: Semantics

We prove now that

$$\not\models_{\mathbf{H}} (\neg\neg A \Rightarrow A)$$

as follows

Consider any truth assignment such that  $A = \perp$

We evaluate

$$\neg\neg \perp \Rightarrow \perp = \neg \perp \Rightarrow \perp = \mathbf{F} \Rightarrow \perp = \perp$$

This proves that **S** is **not sound** under **H** semantics.

## Proof Systems: Soundness Theorem

When we **define** (develop) a proof system **S** and its semantics **M** our **first goal** is to make sure that the proof system **S** is a **”sound one”**, i.e. that it has a property stating that all we **prove** in **S** is **always true** with respect to the given semantics **M**

This **goal** is established by **formulating** and **proving** a theorem, called **Soundness Theorem** that defines a **relationship** between **provability** in a proof system **S** and the **tautologies** defined by the system **S** semantics **M**

## Proof Systems: Soundness Theorem

Let  $\mathbf{P}_S = \{E \in \mathcal{E} : \vdash_S E\}$  be the set of all provable expressions of  $\mathbf{S}$ , and let  $\mathbf{T}_M$  be a set of all expressions of  $\mathbf{S}$  that are  $\mathbf{M}$  tautologies i.e.  $\mathbf{T}_M = \{E \in \mathcal{E} : \models_M E\}$

### Soundness Theorem

Given a proof system  $\mathbf{S}$  and its semantics  $\mathbf{M}$ ,

$$\mathbf{P}_S \subseteq \mathbf{T}_M$$

i.e. for any  $E \in \mathcal{E}$ , the following implication holds

$$\text{if } \vdash_S E \text{ then } \models_M E$$

**Observe** that the **Soundness Theorem** holds for  $\mathbf{S}$  if and only if the proof system  $\mathbf{S}$  is **sound**, hence the **name** of the theorem.

## Proof Systems: Soundness Theorem

Obviously, if  $S$  is **not sound** there is an expression  $E$  such that  $\vdash_S E$  and  $E$  is not  $M$  tautology. Hence  $P_S \not\subseteq T_M$  and the **Soundness Theorem** fails

Assume now that  $S$  is **sound** and  $\vdash_S E$

We prove that  $E \in T_M$ , by Mathematical Induction over the length of a proof of  $E$  and we have proved the following

### Soundness Fact

Given a proof system  $S = (\mathcal{L}, \mathcal{E}, LA, \mathcal{R})$

In order to **prove/disprove** the **Soundness Theorem** for  $S$  under semantics  $M$  it is sufficient to verify the two conditions:

1.  $LA \subseteq T_M$  and
2. Each rule of inference  $r \in \mathcal{R}$  of  $S$  is **sound** under  $M$

## Proof Systems: Completeness Theorem

The next step in **developing** a proof system (logic) is to formally state and **answer** another necessary **question**

Given a proof system **S**, about which we already **know** that **all it proves** is a **tautology** with respect to its given semantics

Can **S** **prove** all statements we know to be **tautologies** with respect to its semantics?

The answer is **formulated** in form of a theorem, called **Completeness Theorem** that has to be **proved/disproved** about the proof system **S**

## Proof Systems: Completeness Theorem

### Completeness Theorem

Given a proof system  $S$  and its semantics  $M$ ,

$$P_S = T_M$$

i.e. for any  $E \in \mathcal{E}$ , the following holds

$$\vdash_S E \quad \text{if and only if} \quad \models_M E$$

The **Completeness Theorem** consists of two parts

**Part 1 Soundness Theorem:**  $P_S \subseteq T_M$

**Part 2 Completeness Part:**  $T_M \subseteq P_S$

## Proof Systems: Completeness Theorem

Proving/ disproving the **Soundness Theorem** for **S** under a semantics **M** is usually a **straightforward** and not a very difficult task

Proving/ disproving the of the **Completeness Part** is always **crucial** and **very difficult** task

There are many **methods** and **techniques** for doing so, even for **classical** proof systems (logic) alone

**Non-classical** logics usually require **new** sometimes very sophisticated **methods**

## Proof Systems: Completeness Theorem

We present **two proofs** of the **Completeness Theorem** for propositional **Hilbert** style proof system for **classical** logic in chapter 5

We present **constructive proofs** for **automated theorem proving** systems for **classical** propositional logic in chapter 6

We discuss the proofs of the **Completeness Theorem** for **Intuitionistic** and **Modal** Logics in chapter 7

We provide the proofs of the **Completeness Theorem** for **classical** predicate logic in chapter 9 (**Hilbert** style) and chapter 10 (**Gentzen** style)

# Chapter 4

## General Proof Systems: Syntax and Semantics

### Slides Set 2

### PART 5 Exercises and Examples

## Proof Systems: Exercises

### Exercise

Given a proof system:

$$S = (\mathcal{L}_{\{\neg, \Rightarrow\}}, \mathcal{F} \text{ LA} = \{(A \Rightarrow A), (A \Rightarrow (\neg A \Rightarrow B))\}, \{(r)\})$$

for

$$(r) \frac{(A \Rightarrow B)}{(B \Rightarrow (A \Rightarrow B))}$$

1. Prove that  $S$  is **sound**, but **not strongly sound** under **classical** semantics
2. Prove that  $S$  is **not sound** under **K** semantics
3. Write a **formal proof** in  $S$  with **2 applications** of rule  $(r)$

## Proof Systems: Exercises

### Solution

In order to prove **1.** and **2.** we have to verify conditions

**C1**  $LA \subseteq T_M$

**C2.** Each  $r \in \mathcal{R}$  is **sound**

for **soundness**, and **C1** , **C2'** for **strong soundness**, for

**C2'** Each  $r \in \mathcal{R}$  is **strongly sound**

**Observe** that both axioms **of S** are basic **classical** tautologies, so **C1** holds

## Proof Systems: Exercises

### Solution

Consider the rule of inference of

$$(r) \frac{(A \Rightarrow B)}{(B \Rightarrow (A \Rightarrow B))}$$

Take any  $v$  such that  $v^*((A \Rightarrow B)) = T$

We **evaluate** logical value of the **conclusion** under the truth assignment  $v$  (and classical semantics) as follows

$v^*(B \Rightarrow (A \Rightarrow B)) = v^*(B) \Rightarrow T = T$ , for any formula  $B$  and any value of  $v^*(B)$

This proves that **S** is **sound** under classical semantics

**S** is **not strongly sound** as

$$(A \Rightarrow B) \not\equiv (B \Rightarrow (A \Rightarrow B))$$

System **S** is **not sound** under **K** semantics because axiom  $(A \Rightarrow A)$  is **not** a **K** semantics tautology

## Proof Systems: Exercises

### Solution

3. There are **many** solutions, i.e. one can construct **many** required **formal proofs**

Here is **one** of them, i.e. a sequence

$$A_1, A_2, A_3$$

where

$$A_1 = (A \Rightarrow A)$$

Axiom

$$A_2 = (A \Rightarrow (A \Rightarrow A))$$

Rule (*r*) application **one** for  $A = A, B = A$

$$A_3 = ((A \Rightarrow A) \Rightarrow (A \Rightarrow (A \Rightarrow A)))$$

Rule (*r*) application **one** for  $A = A, B = (A \Rightarrow A)$

## Proof Systems: Exercises

### Exercise

Given a proof system:

$$S = (\mathcal{L}_{\{\cup, \Rightarrow\}}, \mathcal{F}, LA = \{A1, A2\}, (r) \frac{(A \Rightarrow B)}{(A \Rightarrow (A \Rightarrow B))})$$

where  $A1 = (A \Rightarrow (A \cup B))$ ,  $A2 = (A \Rightarrow (B \Rightarrow A))$

1. Prove that  $S$  is **sound** under **classical** semantics and **determine** whether  $S$  is **sound** or **not sound** under **K** semantics.
2. Write a **formal proof**  $B_1, B_2, B_3$  in  $S$  with **two** applications of the rule  $(r)$  that starts with axiom  $A1$ , i.e such that  $B_1 = (A \Rightarrow (A \cup B))$
3. Write a **formal proof**  $B_1, B_2$  in  $S$  with **one** application of the rule  $(r)$  that starts with axiom  $A2$ , i.e such that  $A_1 = (A \Rightarrow (B \Rightarrow A))$

## Proof Systems: Exercises

### Solution

1. All axioms of **S** are **basic** classical **tautologies**

The **proof** (in shorthand notation) of **soundness** of the rule

$$(r) \frac{(A \Rightarrow B)}{(A \Rightarrow (A \Rightarrow B))}$$

is as follows. Assume  $(A \Rightarrow B) = T$ . Hence the logical value of conclusion is  $(A \Rightarrow (A \Rightarrow B)) = (A \Rightarrow T) = T$  for all  $A$ , and **S** is **sound** under **classical** semantics

**S** is **not sound** under **K** semantics

Take a truth assignment such that  $A = \perp$ ,  $B = \perp$

We evaluate logical value of axiom **A1** (in shorthand notation)

$$(A \Rightarrow (A \cup B)) = (\perp \Rightarrow (\perp \cup \perp)) = \perp \text{ and } \not\models_K (A \Rightarrow (A \cup B))$$

## Proof Systems: Exercises

### Solution

2. The required formal proof  $B_1, B_2, B_3$  is as follows

$$B_1 = (A \Rightarrow (A \cup B))$$

Axiom

$$B_2 = (A \Rightarrow (A \Rightarrow (A \cup B)))$$

Rule  $(r)$  application for  $A = A$  and  $B = (A \cup B)$

$$B_3 = (A \Rightarrow (A \Rightarrow (A \Rightarrow (A \cup B))))$$

Rule  $(r)$  application for  $A = A$  and  $B = (A \Rightarrow (A \cup B))$

## Proof Systems: Exercises

### Solution

3. The required formal proof  $B_1, B_2$  is as follows

$$B_1 = (A \Rightarrow (B \Rightarrow A))$$

Axiom

$$B_2 = (A \Rightarrow (A \Rightarrow (B \Rightarrow A)))$$

Rule (r) application for  $A = A$  and  $B = (B \Rightarrow A)$

## Proof Systems: Exercises

### Exercise

Let  $S$  be the following proof system

$$S = (\mathcal{L}_{\{\Rightarrow, \cup, \neg\}}, \mathcal{F}, A1, (r1), (r2))$$

where the logical axiom  $A1$  is  $A1 = (A \Rightarrow (A \cup B))$

Rules of inference  $(r1)$ ,  $(r2)$  are:

$$(r1) \frac{A ; B}{(A \cup \neg B)}, \quad (r2) \frac{A ; (A \cup B)}{B}$$

1. **Verify** whether  $S$  is **sound/not sound** under **classical** semantics
2. **Find** a **formal proof** of  $\neg(A \Rightarrow (A \cup B))$  in  $S$ , ie. show that  $\vdash_S \neg(A \Rightarrow (A \cup B))$
3. **Does**  $\vdash_S \neg(A \Rightarrow (A \cup B))$  **prove** that  $\models \neg(A \Rightarrow (A \cup B))$ ?

## Proof Systems: Exercises

### Solution

1. The system  $S$  is **not sound**

Take any  $v$ , such that  $v^*(A) = T$  and  $v^*(B) = F$

The premiss  $(A \cup B)$  of the rule (r2) is  $T$  under  $v$

Its conclusion under  $v$  is  $v^*(B) = F$

2. The **formal proof** of  $\neg(A \Rightarrow (A \cup B))$  is as follows

$B_1: (A \Rightarrow (A \cup B))$

axiom

$B_2: (A \Rightarrow (A \cup B))$

axiom

$B_3: ((A \Rightarrow (A \cup B)) \cup \neg(A \Rightarrow (A \cup B)))$

rule (r1) application to  $B_1$  and  $B_2$

$B_4: \neg(A \Rightarrow (A \cup B))$

rule (r2) application to  $B_1$  and  $B_3$

## Proof Systems: Exercises

### Solution

#### 3. System **S** is **not sound**

In general, the existence of a **formal proof** in a **not sound** proof systems **does not guarantee** that what was proved is a **tautology**

Moreover, the **non-sound** rule (r2) was used in the proof of the formula

$$\neg(A \Rightarrow (A \cup B))$$

so we have that

$$\not\models \neg(A \Rightarrow (A \cup B))$$

## Proof Systems: Exercises

### Exercise

**Create** your pwn **3 valued** extensional semantics **M** for the language

$$\mathcal{L}_{\{\neg, \perp, \cup, \Rightarrow\}}$$

by **defining** the connectives  $\neg, \cup, \Rightarrow$  on a set  $\{F, \perp, T\}$  of logical values

You must **follow** the following **assumptions a1, a2, a3**

**a1** The **third** logical value value is **intermediate** between **truth** and **falsity**, i.e. the set  $\{F, \perp, T\}$  of logical values is ordered as follows

$$F < \perp < T$$

**a2** The value **T** is the **designated** value

## Proof Systems: Exercises

**a3** The connective **L** is one argument connective that reads "like", "likes"

The **semantics** has to **model** a situation in which one "likes" only the **truth**, i.e. the logical value **T**

It means the connective **L** must be such that

$$\mathbf{LT} = \mathbf{T}, \quad \mathbf{L}\perp = \mathbf{F}, \quad \text{and} \quad \mathbf{LF} = \mathbf{F}$$

The connectives  $\neg, \cup, \Rightarrow$  can be **defined** as you wish, but you **have to** define them in such a way to make **sure** that

$$\models_{\mathbf{M}} (\mathbf{LA} \cup \neg \mathbf{LA})$$

## Proof Systems: Example

### Example

Here is an example of a required simple semantics

We define the logical connectives by writing functions defining connectives in form of the truth tables.

### M Semantics

<b>L</b>	F	$\perp$	T
	F	F	T

$\neg$	F	$\perp$	T
	T	F	F

## Proof Systems: Example

### M Semantics

$\cap$	F	$\perp$	T	$\cup$	F	$\perp$	T	$\Rightarrow$	F	$\perp$	T
F	F	F	F	F	F	$\perp$	T	F	T	T	T
$\perp$	F	$\perp$	$\perp$	$\perp$	$\perp$	T	T	$\perp$	T	$\perp$	T
T	F	$\perp$	T	T	T	T	T	T	F	F	T

We verify by simple evaluation whether the condition **s3** is satisfied, i.e. whether  $\models_M (LA \cup \neg LA)$

Let  $v : VAR \rightarrow \{F, \perp, T\}$  be any truth assignment

For any formula  $A$ ,  $v^*(A) \in \{F, \perp, T\}$  and

$$LF \cup \neg LF = LF \cup \neg LF = F \cup \neg F \cup T = T$$

$$L\perp \cup \neg L\perp = F \cup \neg F = F \cup T = T$$

$$LT \cup \neg LT = T \cup \neg T = F \cup T = T$$

## Proof Systems: Exercise

### Exercise

Let  $S$  be the following proof system

$$S = ( \mathcal{L}_{\{\neg, L, U, \Rightarrow\}}, \mathcal{F}, \{A1, A2\}, \{(r1), (r2)\} )$$

where  $A1 : (LA \cup \neg LA)$ ,  $A2 : (A \Rightarrow LA)$ ,

$$(r1) \frac{A ; B}{(A \cup B)}, \quad (r2) \frac{A}{L(A \Rightarrow B)}$$

1. Show, by constructing a proper formal proof that

$$\vdash_S ((Lb \cup \neg Lb) \cup L((La \cup \neg La) \Rightarrow b)))$$

2. Verify whether the system  $S$  is **M**-sound under the semantics **M** developed in the previous Example

3. If the system  $S$  is **not M**-sound then define a new semantics **N** would make  $S$  **sound**