Production Systems Rule base Systems (Busse book handout)

CSE 352 (Lecture Notes 4) Professor Anita Wasilewska Production Systems (Rule Based Systems)

A production system consists of:

- 1.A knowledge base, also called a rule base containing production rules, or productions.
- 2.A database, contains facts
- 3.A rule interpreter, also called a rule application module to control the entire production system.

Production Rules (Expert System Rules)

Production rules are the **units** of knowledge of the form:

IF conditions

THEN actions

Condition part of the rule is also called the

IF part, premise, antecedent or left side of the rule.

Production Rules (Expert System Rules)

- Action part is also called THEN part, conclusion, consequent, succeedent, or the right side of the rule.
- Actions are executed when conditions are true and the rule is fired.

Rules Format:

 $C_1 \& C_2 \& ... \& C_n => A$ $C_1, ..., C_n, A$ are atomic formulas

Production Rule (Expert System Rule)

1. Propositional logic conceptualization: rules are propositional logic formulas i.e.

Rules are:

$C_1 \& C_2 \& ... \& C_n => A$

where C₁, ..., C_n, A are atomic formulas

In this case atomic formulas are propositional variables or sometimes propositional variables and their negations

All our book examples use propositional logic conceptualization!

Production Rules

2. Predicate Form conceptualization (knowledge representation)

Rules are:

 $C_1 \& C_2 \& ... \& C_n => A$

where C₁, ..., C_n, A are atomic formulas

Atomic formulas now represent records in the database and are written in a triple form:

(x, attribute, value of the attribute), or

(ID, attribute, value of the attribute)

or in a **predicate form**

attribute (x, value of the attribute), attribute (ID, value of the attribute)

Production System ES

ES = (R, RI, DBF)

R - is a finite set of **production rules**

RI – is an inference engine called rule interpreter

DBF – is a **database** of **facts** (changing dynamically)

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Rules are always
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C<sub>1</sub> & ... & C<sub>n</sub> => A
```

For n > = 1 and

C₁,..., C_n, A are atomic formulas in a Knowledge Representation we work with

Propositional Rule of Inference in ES Rules Interpreter RI

Rule of inference of the Rule Interpreter is:

$$\frac{C_{1} \& C_{2} \& ... \& C_{n} \implies A ; C_{1}, ..., C_{n}}{A}$$

for <u>C₁, ..., C_n belonging to DBF</u>

APPLICATION of the **Rule of Inference** means that

for a given rule of the production (expert) system ES

 $C_1 \& ... \& C_n \rightarrow A$

the rule interpreter RI will check database of facts DBF and

- if all C₁,...,C_n belong to DBF, the interpreter will deduce A and add A to the database of facts DBF.
- We also say that the interpreter "Fire the rule" and add new fact A to the database of facts.

Conceptualizations

In Predicate Form Conceptualization

Facts are certain atomic formulas

attribute (x, value of the attribute)

where the variable x is replaced (unified) with record identifier ID

In Propositional conceptualizations

Facts are **propositional atomic formulas** i.e. propositional variables or

(sometimes) negations of propositional variables

DBF – Database of Facts

The **content** of **DBF** (database of facts) is **changed cyclically** by the **rules interpreter RI**

Facts may have time tags so that the time of their insertion by RI in to DBF can be determined

Example: (propositional) DBF = {A, B} and our ES has a rule A &B => C

The interpreter **RI** matches A &B with facts A,B and fires rule and adds C to the DBF and new get NEW DBF = {A, B, C}

RI Rule Interpreter

RI works iteratively in **recognize-and-act** cycles In a **ONE CYCLE**

- 1. RI matches the condition part of the rules against facts (current state of DBF)
- 2. Recognizes all applicable rules
- 3. Selects one of them and applies it (fires, executes)
- Adds the action part of the applied rule (fired rule) to the current DBF.

RI stops when goal is reached (problem solved) or there are no more applicable rules.

Predicate Form Conceptualization: Example

Records	a ₁	a ₂	a ₃	a ₄	a ₅
O ₁	1	2	0	1	1
O ₂	0	0	1	а	b
O ₃	0	1	2	1	а

```
Constants: (key attributes) o1, o2, o3
Values of a1 are: 1, 0, values of a2 are: 2, 0, 1
values of a3 are: 0, 1, 2, values of a4 are: 1, a, and values of a5 are:
1, a, b
TRIPLE PREDICATE FORM CONCEPTUALIZATION
Some Atomic Formulas that are NOT FACTS are:
(x, a<sub>1</sub>, 1), (x, a1, 0), (x, a2, 2), (x, a5, a), where x is a variable!
Some Atomic Formulas that ARE FACTS in our data table are:
(O<sub>2</sub>, a<sub>2</sub>, 0), (O<sub>2</sub>, a<sub>3</sub>, 1), (O<sub>3</sub>, a<sub>5</sub>, a),
Rule example:
(x, a<sub>1</sub>, 0) & (x, a<sub>5</sub>, a) => (x, a<sub>3</sub>, 1)
```

Different Forms of Atomic Formulas

Atomic formula that is a **FACT** written in a **triple form**:

(o₁, a₁, 1)
 The same formula written in predicate form is: a₁ (o₁, 1)
 Atomic formula that is NOT a FACT written in a triple form is
 (x , a₃, 1)
 The same formula written in predicate form is: a₃ (x, 1)

In Busse Handout the form of atomic formulas is: (Entity, Attribute, Value), (person, Attribute, Value),

where Entity represents a variable x, person represents a constant (like John):

(x, Attribute, Value), (John, Attribute, Value),
Where John is a constant and atomic formula becomes a FACT
We will use x to denote variables and we use the
predicate form: attribute(x, value)

Different Forms of Atomic Formulas

Name	a1	Valuehouse	
John	yes	100,000	

Atomic Formula that is a FACT written in a predicate form:

Valuehouse(John, 100,000)

Atomic Formula that is **NOT a FACT** written in a **predicate form:**

Valuehouse(x, 100,00)

x is a variable

In our Data Table: John is the key attribute

Two Forms of Atomic Formulas

ID	Eyes	Shoe Size	Children	House	Salary
John	Blue	10	2	Big	100,000
Mary	Green	9	0	Small	5,000
Anita	Green	9	1	Small	3,000

- Some atomic formulas from our database that are facts written in Busse's handout triple form are (John, Eyes, Blue), (Mary, Children, 0) (Mary, House, Small), (Anita, Eyes, Green)
- Some atomic formulas that are not facts written in a predicate form are Eyes(x, Blue), House(x, Small)
 Observe that the above formulas become FACTS when x becomes
 John or Mary. We say that we MATCH x in Eyes(x, Blue), with the record John, or with the record Mary in House(x, Small)
 We write it: Eyes(x, Blue){x/John} = Eyes(John, Blue), House(x, Small){x/Mary} = House(Mary, Small)

Rule Interpreter RI

The **RI** works iteratively in **Recognize-And-Act** cycles. In such a cycle, **RI**:

- **1. Matches** the condition part of the rules against the **facts** and **recognizes** all applicable rules
- 2. Selects one of the applicable rules and applies the rule i.e. fires or executes it : adds fact (action part) to the database

Rules have names, many have time tag.

RI stops when problem solved or **no rules are applicable**.

Pattern Matching: Unification

ES RULES with atomic formulas that are **not FACTS** written in a **triples form:**

(x, attribute, value)

where a **variable** x is also called an **entity**

Atomic formulas that are NOT FACTS are: (x, attribute, value)

FACTS are represented by similar triples, with entity as a **constant**. i.e. they are:

(ID, attribute, value)

Pattern Matching: Unification

Pattern matching – is matching the variable x in the triple

(x, attribute, value)

with a **proper record** in the **database** identified by the key attribute ID, i.e. It **matching** with the **fact** (ID, attribute, value)

We write it as

(x, attribute, value) {x/ID} = (ID, attribute, value)

or

attribute(x, value) {x/ID} = attribute(ID, value)

Example

Lets look at a RULE in a predicate triple form representation

(person, yearlyincome, >\$15,000) &

(person, valuehouse, >\$30,000) => (person, loantoget, <\$3,000)</pre>

Person: variable x

Rule Format is: $C_1(x) \& C_2(x) \rightarrow A(x)$

(x, yearlyincome, >\$15,000) & (x, valuehouse, >\$30,000) => (x, loantoget, <\$3,000)

In "Plain English": If somebody has an yearly income greater the \$15,000 and his/hers house has a value greater the n\$30,000, then bank approves any loan smaller than \$3,000.

Given Facts:

- F1: (John, yearlyincome, >\$15,000)
- F2: (John, valuehouse, >\$30,000)

PATTERN MATCHING

We assign (UNIFY) x/John (person/John)

We use the inference rule $C_1(x)\&C_2(x) \rightarrow A(x)$ and matching $C_1(x)\&C_2(x)$ with $F_1\&F_1$ for x = John, where A(x): (x, loantoget, <\$3,000) i.e. we write $C_1(x)\&C_2(x) \rightarrow A(x) \{x/John\}$; $F_1\&F_1$ **RI adds** new fact (John, loantoget, <\$3,000) to the DBF

During a cycle of RI, most of the time is spent on pattern matching = unification

First the most popular efficient pattern matching algorithm was RETE algorithm (Forgy 1982)

It is used in a **rule-based** language OPS5, a language still being used for programming expert systems

Fogy gave a TALK in CS Stony Brook in Spring 2019 on the newest version of the language OPS5 and improvements of the RETE algorithm Both still going strong There also are many excellent new unification techniques and algorithms

They are mainly developed by researchers working in **Automated Theorem Proving** field of **AI**

It is still a large and vibrant area of AI reasearch

Prolog is based on the predicate resolution and
They are used for Prolog improvements
Prolog is the most natural, efficient and modern language to use in many AI applications

We will cover **Propositional Resolution** as the next subject

ES Conflict Resolution

RI recognition – part of the cycle is divided into two parts

- **1.Selection: identification of applicable rules** based on pattern matching and
- 2. Conflict resolution: choice of which rule to fire (apply, execute)

There are many choice possibilities and we decide what we want to use while designing the system

Conflict Resolution Heuristics

Here are some conflict resolution heuristics (choices) Most specific rule

- Example: rules P => R, P & Q => S are both applicable,
- we choose P & Q => S as it is more specific (contains more detailed information)
- The rule using the most recent facts : facts must have time tags
- Highest Priority rule: rules must have assigned priority
- The first rule: rules are linearly ordered
- Principle: No rule is allowed to fire more then once on basis of the same contents of DBF
- We eliminate firing the same rule all the time

Production Rules and Expert System Rules

- Production rules are the rules in which actions are restricted exclusively to ADD FACTS to the DBF
- Expert Systems might contain also different rules; like rules about rules (METARULES), DOMAIN-FREE rules, DOMAIN specific rules, or others.
- **Rules** can have names (can be numbers, like R1, R2, ... etc)
- **Rules** often have **time tags** or other indicators, depending of **heuristics** used by RI module.

Metarules

Metarules – are rules about rules.

Metarules may be **domain-specific**, such as:

IF the car does not start

THEN first check the set of rules about the fuel system

Metarules may be **Domain-free** (not connected with DBF) such as

IF the rules given by manual apply

AND textbook rules apply

THEN: check first manual rules

Advantages and Disadvantages of Rules Based Expert systems

- Advantage: modularity. Rules are independent pieces of knowledge so may be added or deleted.
- They are easy to understand (should be)
- **Disadvantages**: inefficiency of big production systems with non-organized rules
- Rules based expert systems are the most popular

Forward Chaining

Data -> Rules -> Goal Also called DATA DRIVEN, BOTTOM UP, or ANTECEDENT chaining

During the SELECTION step of each cycle, the RI is looking for applicable rules by MATCHING (unifying) condition part of a rule with the CURRENT CONTENT of the DB;

Forward chaining is applied, i.e. the proper rule is FIRED and a new FACT (action part) is added to the DB.

Process TERMINATES when the GOAL is reached, or when all possible FACTS are already inferred from the INITIAL database.

Backward Chaining

Also called **GOAL-DRIVEN** consequent chaining

- The production system ESTABLISHES whether a goal is supported by a given database

Start with the goal

-Applicable RULES are found by matching ACTION parts with the GOAL

$C_1 \land ... \land C_n \twoheadrightarrow GOAL$

Now the conditional part:

 $C_1 \wedge \dots \wedge C_n$ is checked against the DB.

If all are (after matching) in DB, the solution is reached. If C_i is not in DB, we treat it as a SUBGOAL and repeat.

Backward Chaining (re-captured)

GOAL = Fact F Selected rule (by matching action parts with F) (R) $C_1 \wedge \dots \wedge C_n \rightarrow F$

- 1.If all $C_1 \wedge \dots \wedge C_n$ are in DB End
- 2.Let **C** be any of $C_1 \land \dots \land C_n$

after unification and substitution, if needed.

CASE when Propositional ATOMIC Include negation

If ~C is in DB, (R) can't be used and another rule should be selected

3. Neither C (nor ~C) is in DB, then

C is a SUBGOAL and we start over again as with F.

4. If no applicable rules exist, GOAL F is not established.

System may need new rules.

Usually, backward chaining is executed as depth-first search. Backward chaining is used in applications with large data. Forward chaining might produce too much. Usually, mixed strategies are used.

Example (Busse book)

Knowledge representation = propositional logic CASE WHEN ATOMIC: VARIABLES OR NEGATION OF VARIABLES RULES:

IF the ignition key is on **R1**: AND the engine won't start THEN the starting system (including battery) is faulty A∧B→E **R1** IF E AND the headlights work R2: THEN the starter is faulty $E \wedge C \rightarrow G$ **R2 R3**: IF F AND ~C THEN the battery is dead R3 E∧~C→I

Example (continued)

<mark>R4:</mark> volts,	IF the voltage test on t	he ignition switch shows 1 to 6		
	THEN the wiring betw	een the ignition and the solenoid		
is OK				
	R4	D→F		
R5:	IF F			
	THEN replace the ignition switch			
	R5	F→H		

FACTS in the INITIAL DATABASE:

- A: The ignition key is on
- B: The engine won't start
- C: The headlights work
- D: The voltage test on the solenoid shows 1 to 6 volts

^ |-----semantics------|

Syntax (in propositional logic representation): A, B, C, D

Initial DB IDB = {A, B, C, D} Rules			
R1	A∧B→E		
R2	E∧C→G		
R3	E∧~C→I		
R4	D→F		
R5	F➔H		

GOAL: Infer all possible facts from IDB

- 1. Rules are ordered by number $R_1 < R_2 < R_3 < R_4 < R_5$
- 2. And they are scanned by RI in this order and inserted into a queue

Conflict Resolution: ORDER (1) and Fire a rule from the front of the queue (and remove it)

STEP 1: Applicable: R1, R4 Queue (front to rear): R1, R4
Fire: R1 and add E to the IDB
NEWDB = {A, B, C, D, E}

STEP 2: (second cycle) E: The starting system is faulty is added

- R1 is no longer applicable, since its action would add E, which is already in (new) DB (last in C.R.)
- R2 is applicable Queue (front to rear): R4, R2

Step2: R3 is not applicable; R4 is applicable (and is in queue); R5 is not applicable.

R4 is FIRED from the FRONT of the queue, removed from the queue and new fact

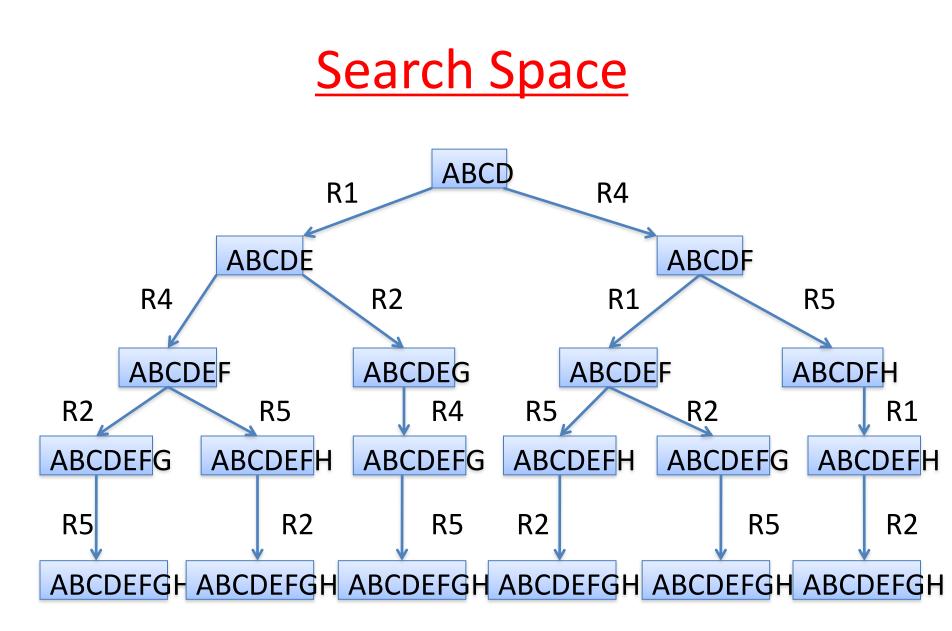
F: The wiring between the ignition and the solenoid is OK Is added to the DB , now **DBF= { A, B, C, D, E, F}**

STEP 3 (third cycle)Queue: R2, R5

R5 is inserted, R2 is FIRED (and removed) and new fact
G: The starter is faulty
Is added to the DB, now DBF = {A, B, C, D, E, F, G}

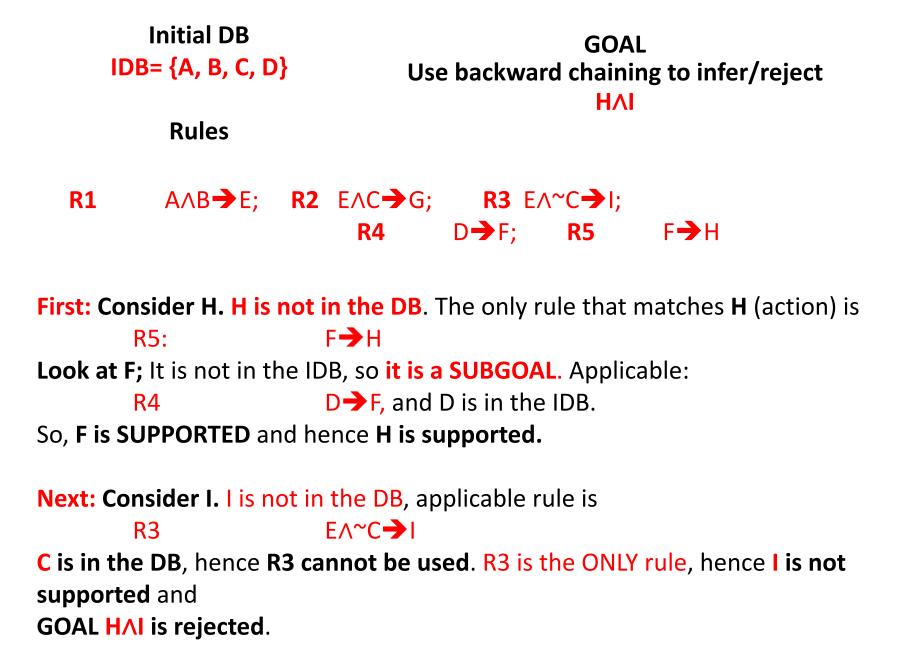
STEP 4 (fourth cycle)Queue: R5No new rules are applicable, so R5 is fired and new factH: Replace the ignition switchIs added to the DB

STEP 5 No applicable rules (all are used!)
DBF = { A, B, C, D, E, F, G, H}
RI STOPS COMPUTATION



Goal: All possible facts deduced

EXAMPLE 2



Example 2 re-captured

Initial Database: DBF= {A, B, C, D} Rules R1: A & B => E R2: E &C => G R3: E & ¬ C => I **R4:** D =>F R5: F => H Backward Chaining Goal : H & I First: Consider H. H is not in DBF only rule that matches H (as action) is R5. R5: F => H Look at F; F is not in DB, so F becomes a subgoal **Applicable:** R4: D =>F, and D is in DBF so F is **supported** and hence **H** is supported.

Example 2 continued

Next: check I.

I is not in DBF, only applicable rule is R3: E & ¬ C => I C is in DB, hence R3 can't be used.

R3 is the only applicable rule, hence I is not supported and GOAL H & I is rejected.

Propositional Logic Conceptualization Example 3

- R1: If you are hot, then turn thermostat down
- R2: If you are not hot and window is open, then close the window
- R3: If the thermostat is turned down and you are cold, then open the window
- **1. Conceptualize this system in propositional logic**
- 2. Design questions the program has to ask the user to achieve the goal: "open the window" by backward chaining and conflict resolution

Example 3 Rules revisited

R1: hot => turn down termostat
R2: ¬ hot & window open => close window
R3: thermostat down & cold => open window

GOAL: open window

The GOAL has to be reached by use of conflict resolution and rules R1, R2, R3 from a certain database of fact.

We need to build our **DBF** by asking user some questions

ATOMIC: variables, negations of variables

Propositional Logic Conceptualization 1

CASE WHEN ATOMIC: VARIABLES OR NEGATION OF VARIABLES

- H you are hot H you are not hot
- **O** window open (open window)
- D Thermostat down
- W-close window (closed window)
- C- you are cold
- **R1: H => D**
- R2: ¬ H & O => W
- **R3:** D & C => O
- **Goal: reach O** by backward chaining
- You need to build your DBF by asking questions.

Example 3

In order to reach the goal we have only one rule applicable:

R3: D & C => O

We have two subgoals: D, C

We get **D** by **R1: H => D** and **D** becomes a **subgoal**. **No applicable rule, so we need ask a question**

about H.

Question: Are you hot (H) ?

If answer is YES: we ADD H into DBF, i.e.

DBF = {H} and we apply (fire) R1: H => D and get D. D is supported

We look now for **C**, **no applicable rule, so we need ask a question about C**

Example 3 continued

Question: Are you cold (C)? If answer is YES, we ADD C into DBF, and C is supported, and the GOAL O is SUPPORTED.

If answer to the question: Are you hot (H)? is NO, we added \neg H to DBF, i.e DBF = { \neg H}. No applicable rule, we STOP, GOAL O IS REJECTED.

Propositional Logic Conceptualization 2

- CASE WHEN ATOMIC: VARIABLES OR NEGATION OF VARIABLES
- H you are hot
- WO- window open
- **OW** open the window
- D Thermostat down
- CW- close the window
- WC- window closed
- C- you are cold
- **R1: H => D**
- **R2:** ¬ H & WO => CW
- **R3:** D & C => OW
- **Goal: reach OW** by backward chaining
- You need to build your DBF by asking questions.

Propositional Logic Conceptualization 3

CASE WHEN ATOMIC: VARIABLES (no negation)

- H you are hot NH you are not hot
- **WO** window open
- **OW** open the window
- D Thermostat down
- CW- close the window
- WC- window closed
- C- you are cold
- **R1: H => D**
- **R2: NH& WO => CW**
- **R3:** D & C => OW
- **Goal: reach OW** by backward chaining
- You need to build your DBF by asking questions.

PREDICATE FORM Conceptualization

OBSERVATION: FACTS are always true in ES Database

For example a Fact:

(car#42, battery, weak), or battery(car#42, weak)

means that in our database we have a record

Кеу	Other attribute	Other attribute	Battery	
Car#42			weak	

Example 4: Predicate Conceptualization

Кеу	Other attribute	Other attribute	Battery	
car#42			weak	

Another way of writing the fact (car#42, Battery, weak) is: Battery(ar#42, weak)

This is called a **predicate form**

Atomic formula written in a triple form is:

(x, Battery, weak), or (ID, Battery, weak)

First is not a FACT, second is a FACT.

Atomic formula written in a predicate form is:

Battery(x, weak) Atomic formula that is a fact is Battery(c#42, weak)

Example 5: given a **DB**

Cars	Battery	Color	Buy	PutGarage
C ₁	good	red	no	
C ₂	weak	black	no	

The **DB** represents the following **FACTS**: (in triple form)

- F1. (C₁, Bbttery, good)
- **F2**. (**C**₁, color, red)
- F3. (C₁, buy, no)
- F4. (C₂, battery, weak)
- F5. (C₂, color, black)

F6. (C₂, buy, no)

We want to use the expert system rules to PUT cars into proper garages, i.e. to fill missing values of the attribute PutGarage. We assume that we have two garages: G1, G2.

WHAT IS WRONG WITH THIS PROBLEM???

WHAT IS WRONG WITH THIS PROBLEM???

Cars	Battery	Color	Buy	PutGarage
C ₁	good	red	no	
C ₂	weak	black	no	

The **DB** represents the following **FACTS**: (in triple form)

- F1. (C₁, battery, good)
- F2. (C₁, color, red)
- F3. (C₁, buy, no)
- F4. (C₂, battery, weak)
- F5. (C₂, color, black)
- F6. (C₂, buy, no)

We want to use the expert system rules to PUT cars into proper garages, i.e. to fill missing values of the attribute PutGarage. We assume that we have two garages: G1, G2.

NONE OF LISTED FACTS F1, F2, ... F6 BELONGS to the DB!!!

ATTRIBUTES are: Battery, Color, Buy – NOT- battery, color, buy

Example 6: CORRECTED

Cars	Battery	Color	Buy	PutGarage
C ₁	good	red	no	
C ₂	weak	black	no	

The CORRECT DB representing FACTS: in PREDICATE Form is

- F1. Battery(C₁, good)
- F2. Color(C₁, red)
- **F3. Buy(C₁, no)**
- F4. Battery(C₂, weak)
- F5. Color(C₂, black)
- F6. Buy(C₂, no)

Use the expert system rules (next slide) to PUT cars into proper garages, i.e. to fill missing values of the attribute PutGarage. We assume that we have two garages: G1, G2.

Predicate Rules Interpreter RI

A Predicate Rule of inference of the Rule Interpreter is:

$\frac{C_{1}(x)\& ...\& C_{n}(x) \Rightarrow A(x) \{x/ID\}; C_{1}(ID) ...C_{n}(ID)}{A(ID)}$

APPLICATION of the **Predicate Rule of Inference** means that

for a given rule of the production (expert) system ES

 $C_1 \& ... \& C_n \rightarrow A$ i.e. $C_1 (x) \& ... \& C_n (x) \rightarrow A(x)$

the **rule interpreter RI** will check **database** (or database of facts) and **match** (unify) **x** with a proper **record identifier** ID (constant ID), if possible and evaluate

- $C_1(x) \& ... \& C_n(x) \{x/ID\} = C_1(ID) \& ... \& C_n(ID)$
- if all C₁ (ID), ... C_n (ID) belong to DBF, the Interpreter RI will deduce
- A(x){x/ID}=A(ID) and add A(ID) to the database of facts DBF.

Example 5

Some Rules in our ES (in a triple form) are:

- R1. (x, Battery, good) & (x, Color, red) =>
- (x, PutGarage, 2)
- **R2.** (x, Battery, weak) & (x, Buy, no) =>
- (x, PutGarage, 1)
- Matching (Unification): we unify x in the R1 with C1 and we get

(x, Battery, good) & (x, Color, red)){x/C1} = F1&F2

(x, PutGarage, 2){x/C1}= (C1, PutGarage, 2)

Example 5

Rules in **our ES** (in a triple form) are:

- R1. (x, Battery, good) & (x, Color, red) =>
- (x, PutGarage, 2)
- **R2.** (x, Battery, weak) & (x, Buy, no) =>
- (x, PutGarage, 1)
- Matching (Unification): we unify x in the rule R2 with C2 and we get
- (x, Battery, weak) & (x, Buy, no)){x/C2} = F4&F6
- (x, PutGarage, 1){x/C2}= (C2, PutGarage, 1)

Example 5: Extended Data Base

Cars	Battery	Color	Buy	PutGarage
C ₁	good	red	no	2
C ₂	weak	black	no	1

We used the expert system rules to PUT cars into proper garages, and As a consequence we filled the

missing values of the attribute PutGarage.

EXERCISE: Repeat it all writing rules in **PREDICATE Form**