

CSE328 Fundamentals of Computer Graphics: Concepts, Theory, Algorithms, and Applications

Hong Qin

Department of Computer Science

State University of New York at Stony Brook (Stony Brook University)

Stony Brook, New York 11794--4400

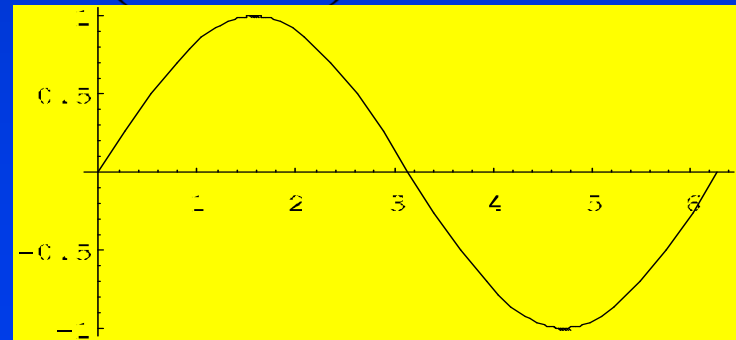
Tel: (631)632-8450; Fax: (631)632-8334

qin@cs.sunysb.edu

<http://www.cs.sunysb.edu/~qin>

Explicit Representation

- Consider one example: a function $f(\theta) = \sin(\theta)$.
- This is the explicit description of a curve in 2 dimensions with parameter θ .
- This is an example of an unbounded curve (in that we can take values of θ from $-\infty \dots +\infty$). We'll limit our curve to the domain $(0 \dots 2\pi)$. This gives the following curve:



Explicit Representation

- We are used to seeing an equation of a curve defined by expressing one variable as a function of the other

$$y = x^3$$

$$y = \sqrt{4 - x^2}$$

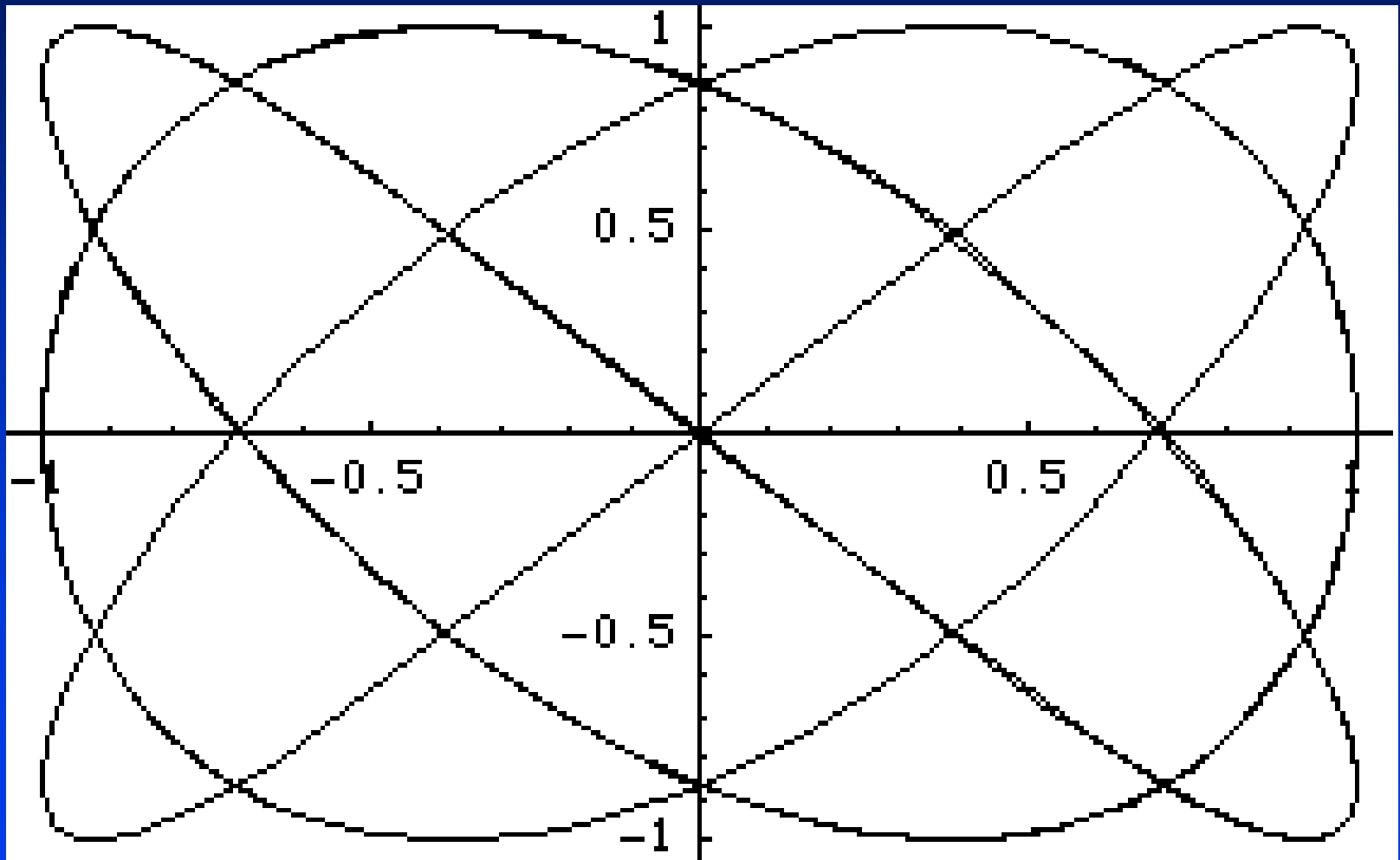
$$y = f(x)$$

Parametric Curves

Parametric Representations

- We are going to start the topic of parametric representation, especially for curves and surfaces
- But first, let us look at the concept of explicit, non-parametric representation

Parametric Representations



Parametric Representations

- The geometric and physical intuition: a *parameter* is a third, independent variable (for example, time).
- By introducing a parameter, x and y can be expressed as a function of the parameter, as opposed to functions of each other.
 - For example, $\mathbf{F}(t) = \langle f(t), g(t) \rangle$, where $x = f(t)$ and $y = g(t)$
 $\mathbf{F}(t) = \langle \cos(t), \sin(t) \rangle$ - what is this curve and why is this parameterization useful?

Parametric Representations

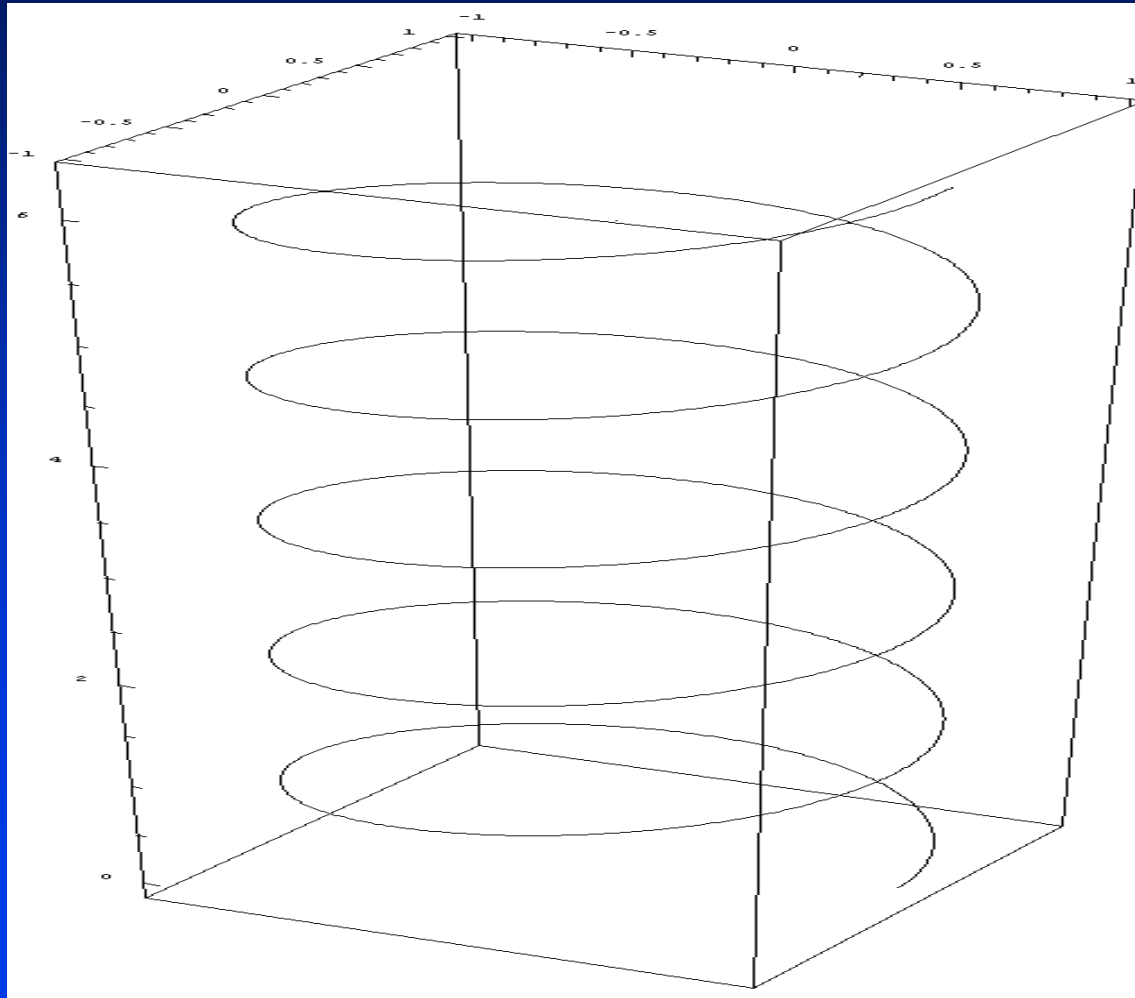
- Each value of the parameter t determines a point, $(f(t), g(t))$, and the set of all points comprises the graph of the curve.
- Complicated curves are easily dealt with since the components $f(t)$ and $g(t)$ each becomes a function.
 - For example, $F(t) = \langle \sin(3t), \sin(4t) \rangle$
- From parametric representation to explicit representation
 - Sometimes the parameter can be eliminated by solving one equation (say, $x=f(t)$) for the parameter t and substituting this expression into the other equation $y=g(t)$. The result will be the parametric curve.

Properties and Visualization

- **A conceptual example:**
 - Picture the xy -plane to be on the table and the z -axis coming straight up out of the table
 - Picture the parameterized 2-D path $(\cos(t), \sin(t))$ which is a circle on the table
 - Add a simple z -component such that the circle climbs off the table to form a helix (or corkscrew), $z=t$
- **Mathematically:**
 - Add a simple linear term in the z -direction:

$$\mathbf{F}(t) = \langle \cos(t), \sin(t), t \rangle$$

Visualization



Parametric Curves

- Please remember to make comparisons between parametric representations and the following equations:
 - Explicit representation:
 - $y = f(x)$
 - Implicit representation:
 - $f(x,y) = 0$

Parametric Curves

- Please remember to make comparisons between parametric representations and the following equations:
 - Explicit representation:
 - $y = f(x)$
 - Implicit representation:
 - $f(x,y) = 0$

Parametric Curves

- **Why use parametric curves?**
 - **Why curves (rather than polylines)?**
 - **reduce the number of points**
 - **interactive manipulation is easier**
 - **Why parametric (as opposed to $y, z = f(x)$)?**
 - **arbitrary curves can be easily represented**
 - **rotational invariance**
 - **Why parametric (rather than implicit)?**
 - **simplicity and efficiency**

Line (Geometric Line)

- Parametric representation

$$\mathbf{l}(\mathbf{p}_0, \mathbf{p}_1) = \mathbf{p}_0 + (\mathbf{p}_1 - \mathbf{p}_0)u$$
$$u \in [0,1]$$

- Parametric representation is not unique

- In general

$$\mathbf{p}(u),$$
$$u \in [a, b]$$

$$\mathbf{l}(\mathbf{p}_0, \mathbf{p}_1) = \mathbf{0.5}(\mathbf{p}_1 + \mathbf{p}_0) + \mathbf{0.5}(\mathbf{p}_1 - \mathbf{p}_0)v$$
$$v \in [-1,1]$$

- Re-parameterization (variable transformation)

$$v = (u - a) / (b - a)$$

$$u = (b - a)v + a$$

$$\mathbf{q}(v) = \mathbf{p}((b - a)v + a)$$

$$v \in [0,1]$$

Basic Concepts

- **Linear interpolation:**

$$\mathbf{v} = \mathbf{v}_0(1-t) + \mathbf{v}_1(t)$$

- **Local coordinates:**

$$\mathbf{v} \in [\mathbf{v}_0, \mathbf{v}_1], t \in [0,1]$$

- **Re-parameterization:**

$$f(u), u = g(v), f(g(v)) = h(v)$$

- **Affine transformation:**

$$f(ax + by) = af(x) + bf(y)$$

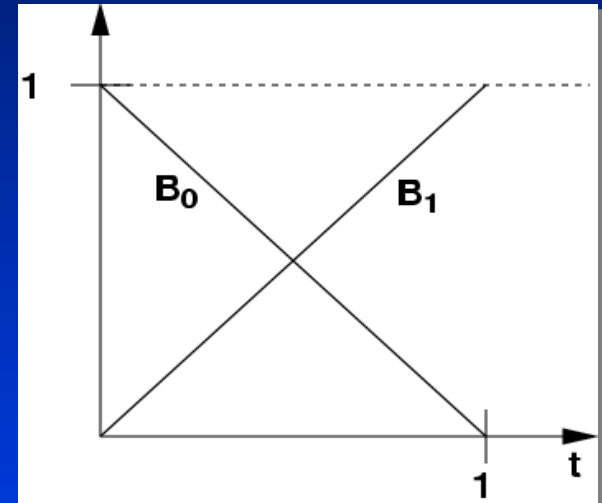
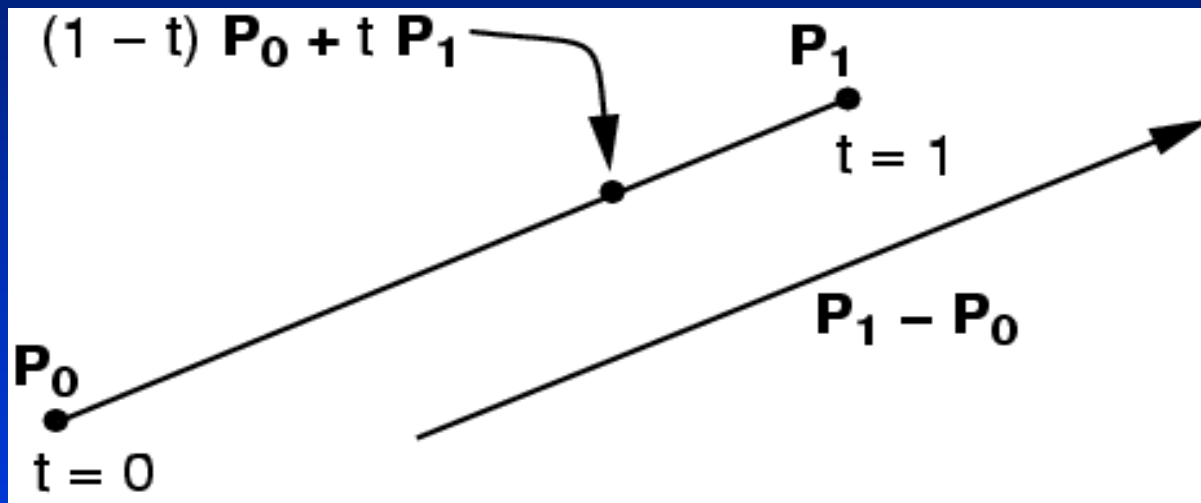
- **Polynomials**

$$a + b = 1$$

- **Continuity**

Linear Interpolation

- Simplest "curve" between two points



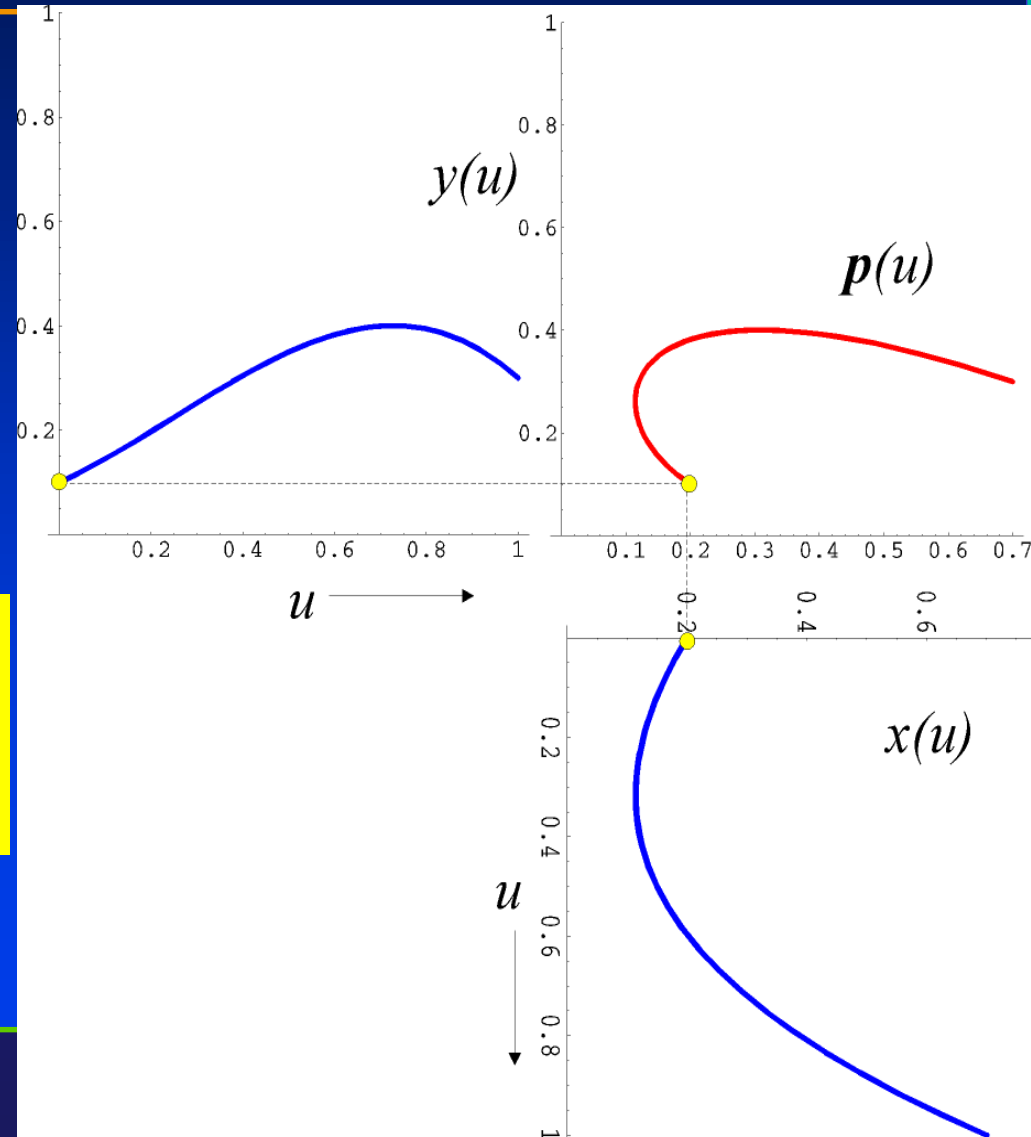
$$x(t) = g_{1x} (1 - t) + g_{2x} (t),$$

$$y(t) = g_{1y} (1 - t) + g_{2y} (t),$$

$$z(t) = g_{1z} (1 - t) + g_{2z} (t).$$

Parameterization: The Basic Concept

$$x(u) = a_x u^3 + b_x u^2 + c_x u + d_x$$
$$y(u) = a_y u^3 + b_y u^2 + c_y u + d_y$$



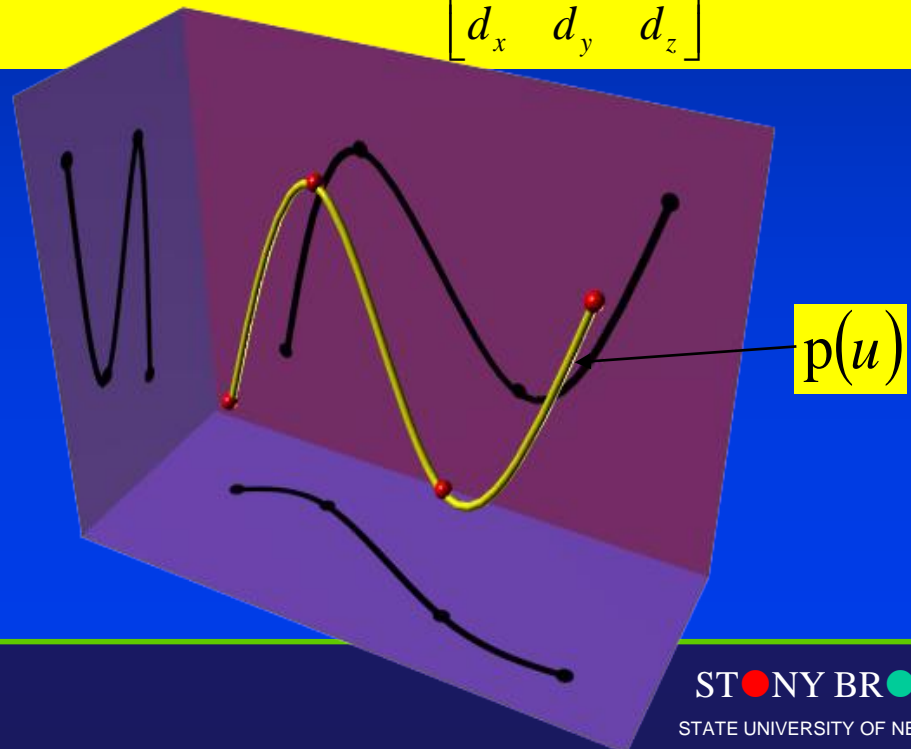
Splines

- For a 3D spline, we have 3 polynomials:

$$\left. \begin{aligned} x(u) &= a_x u^3 + b_x u^2 + c_x u + d_x \\ y(u) &= a_y u^3 + b_y u^2 + c_y u + d_y \\ z(u) &= a_z u^3 + b_z u^2 + c_z u + d_z \end{aligned} \right\} \rightarrow [x(u) \quad y(u) \quad z(u)] = [u^3 \quad u^2 \quad u \quad 1] \begin{bmatrix} a_x & a_y & a_z \\ b_x & b_y & b_z \\ c_x & c_y & c_z \\ d_x & d_y & d_z \end{bmatrix} \rightarrow \mathbf{p}(u) = \mathbf{u} \cdot \mathbf{C}$$

12 unknowns
4 3D points required

Defines the variation in x with distance u along the curve



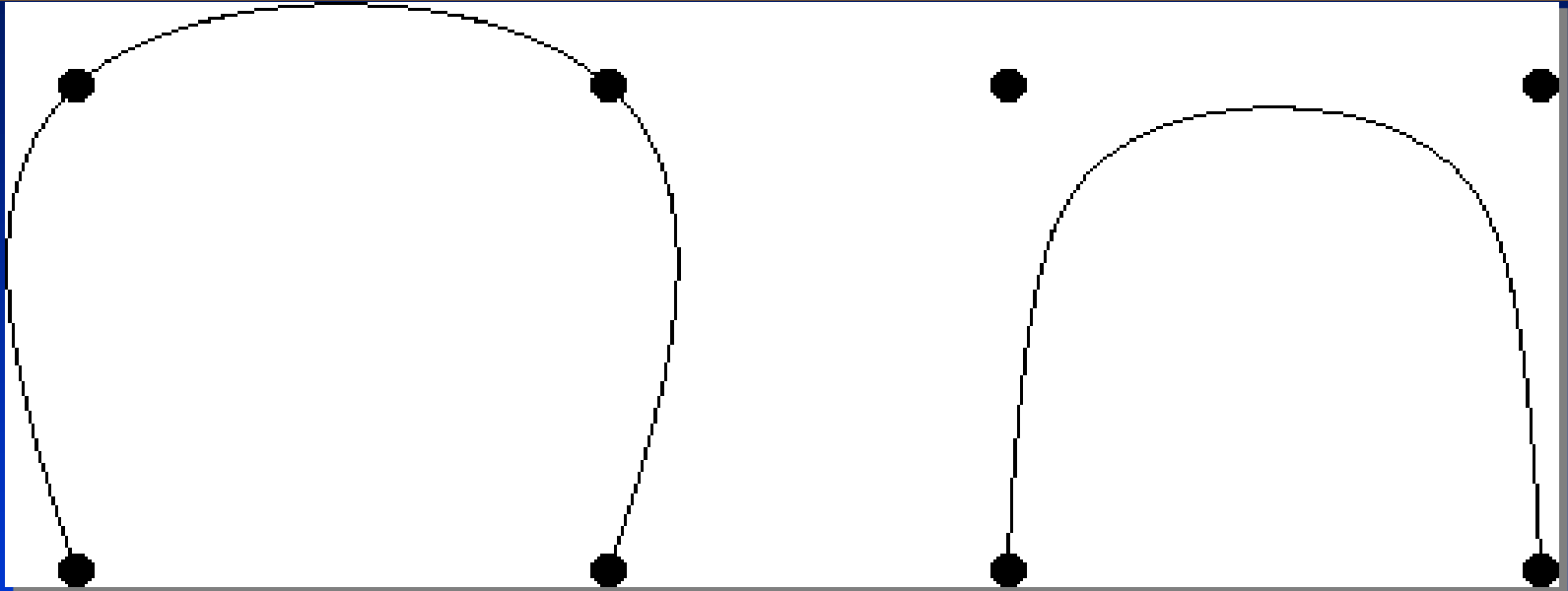
Parametric Cubic Curves

$$x(t) = a_x t^3 + b_x t^2 + c_x t + d_x,$$

$$y(t) = a_y t^3 + b_y t^2 + c_y t + d_y,$$

$$z(t) = a_z t^3 + b_z t^2 + c_z t + d_z, \quad 0 \leq t \leq 1.$$

Interpolation vs. Approximation Curves



Interpolation

curve must pass
through control points

Approximation

curve is influenced
by control points

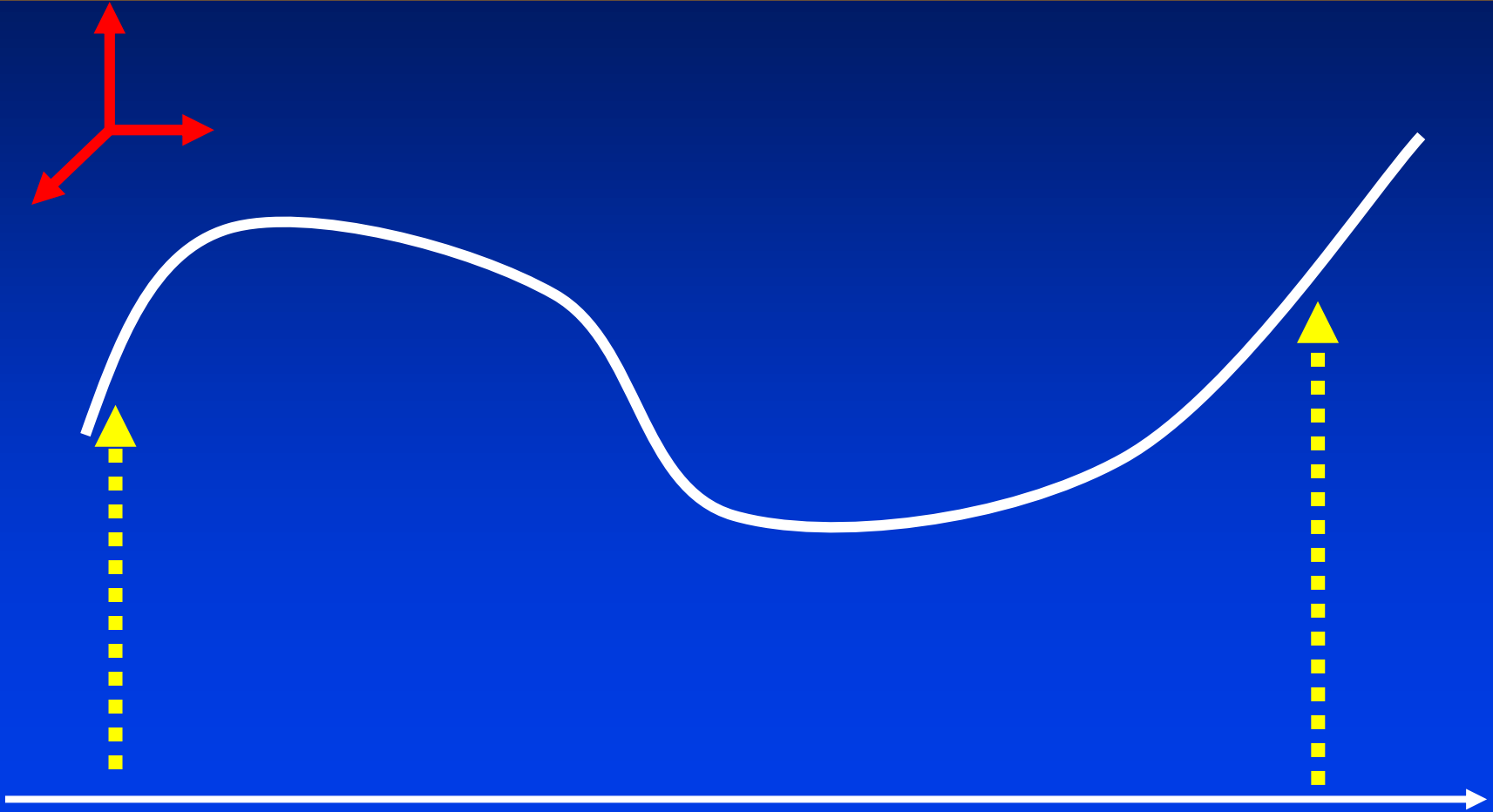
Parametric Polynomials

- High-order polynomials

$$\mathbf{c}(u) = \begin{bmatrix} \mathbf{a}_{0,x} \\ \mathbf{a}_{0,y} \\ \mathbf{a}_{0,z} \end{bmatrix} + \dots + \begin{bmatrix} \mathbf{a}_{i,x} \\ \mathbf{a}_{i,y} \\ \mathbf{a}_{i,z} \end{bmatrix} u^i + \dots + \begin{bmatrix} \mathbf{a}_{n,x} \\ \mathbf{a}_{n,y} \\ \mathbf{a}_{n,z} \end{bmatrix} u^n$$

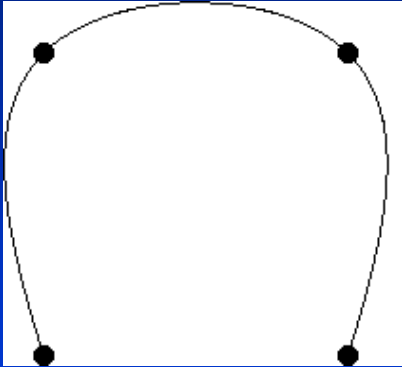
- No intuitive insight for the curved shape
- Difficult for piecewise smooth curves

Parametric Polynomials

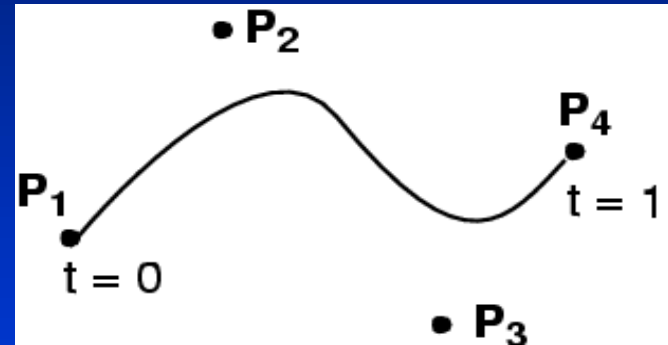


Definition: What's a Spline?

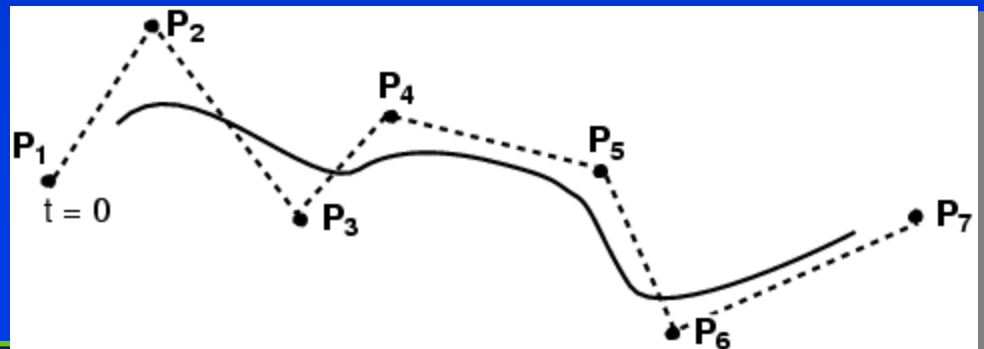
- Smooth curve defined by some control points
- Moving the control points changes the curve



Interpolation

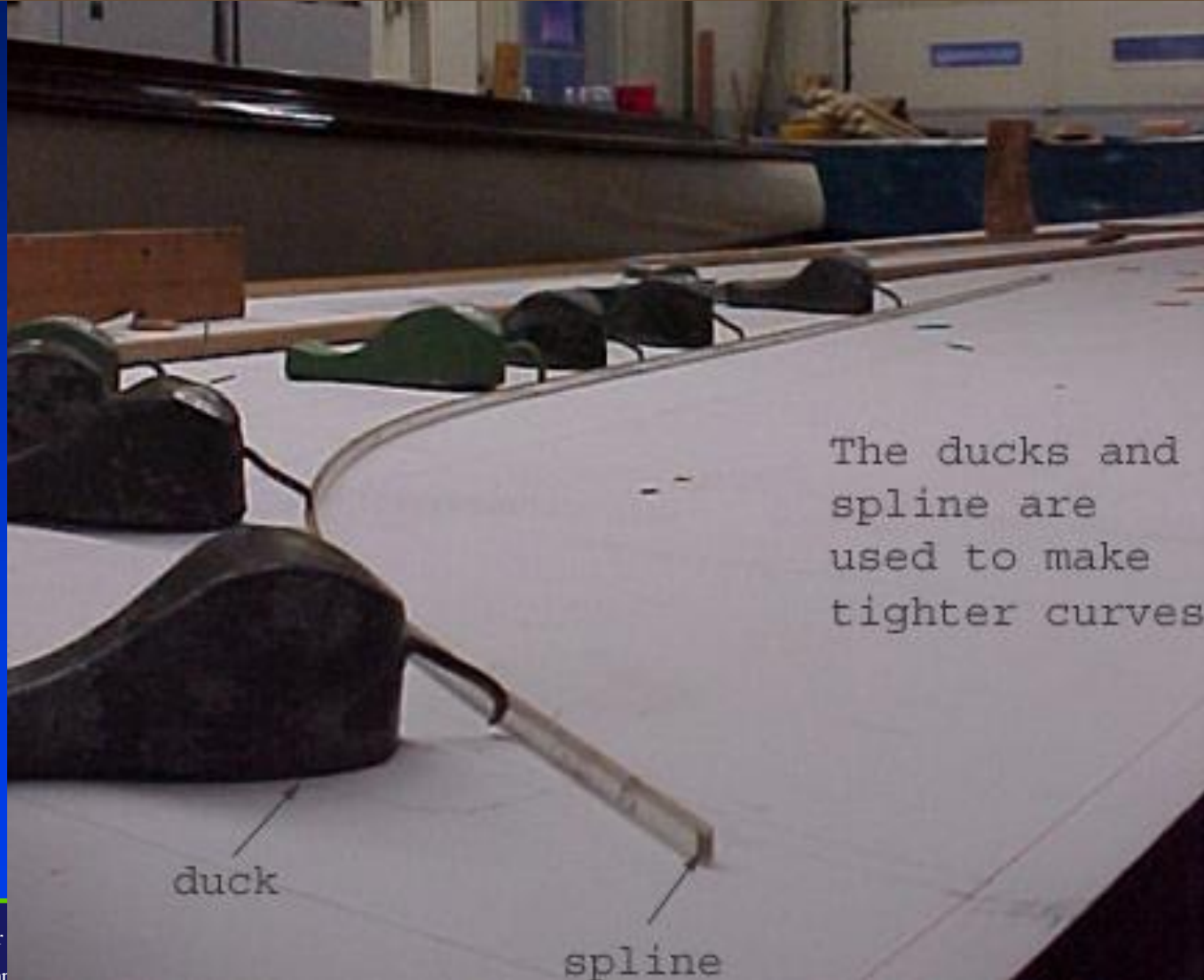


Bézier (approximation)



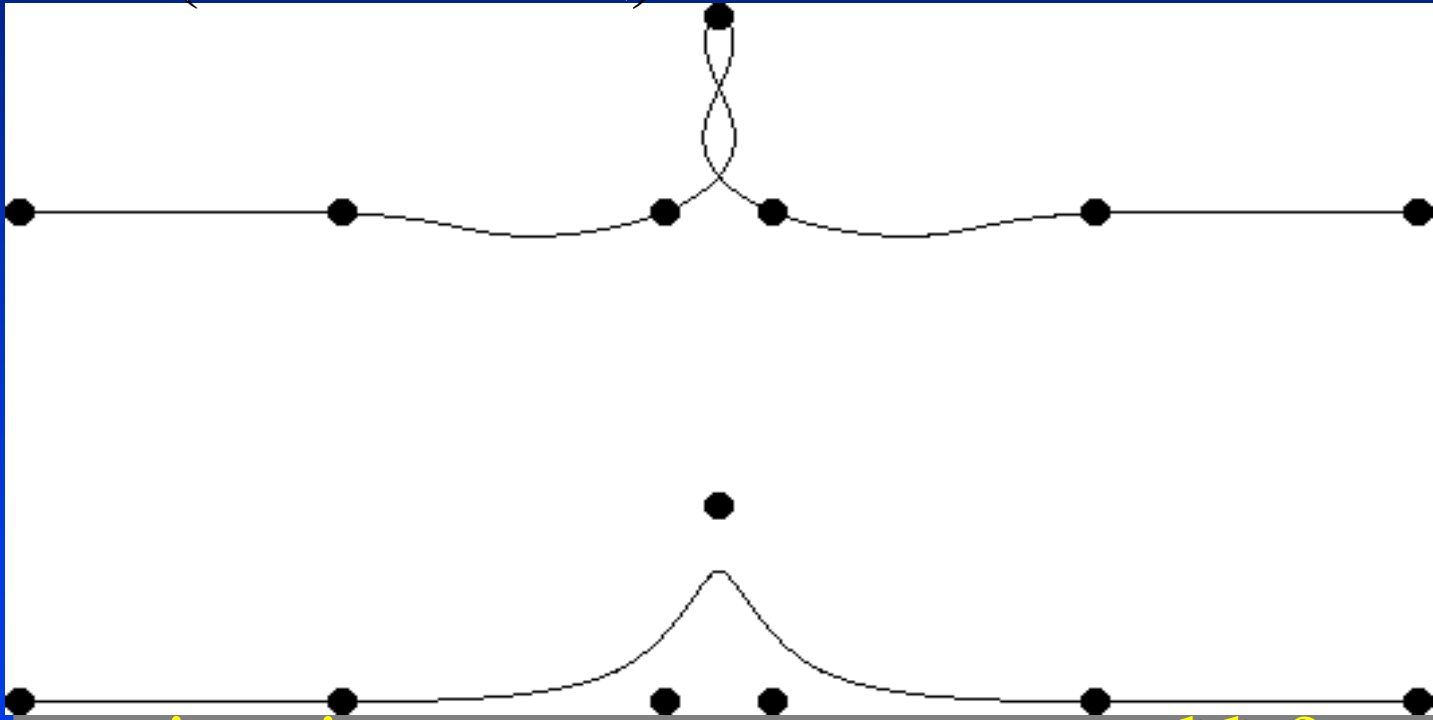
BSpline
(approximation)

Interpolation Curves / Splines (Prior to the Digital Representation)



Interpolation vs. Approximation Curves

- Interpolation curve – over constrained → lots of (undesirable?) oscillations



- Approximation curve – more reasonable?

Interpolating Splines: Applications

- Idea: Use **key frames** to indicate a series of positions that must be “hit”
- For example:
 - Camera location
 - Path for character to follow
 - Animation of walking, gesturing, or facial expressions
 - Morphing
- Use **splines for smooth interpolation**

How to Define a Curve?

- Specify a set of points for interpolation and/or approximation with fixed or unfixed parameterization

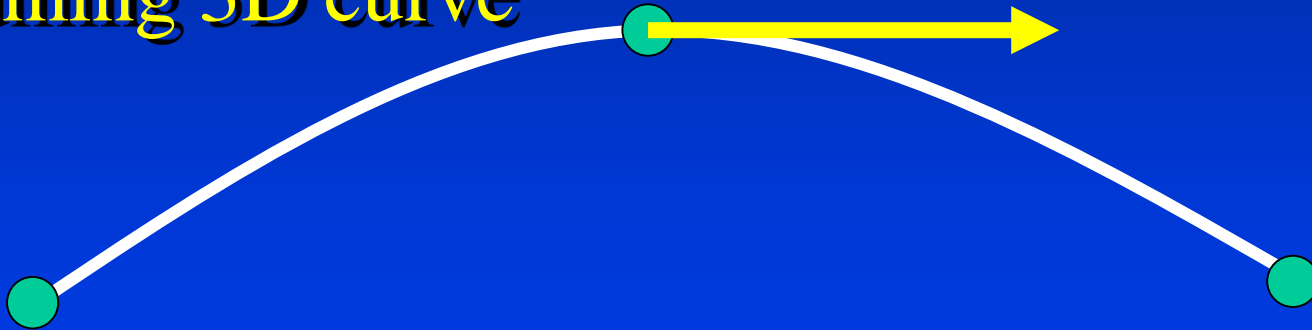
$$\begin{bmatrix} x(u_i) \\ y(u_i) \\ z(u_i) \end{bmatrix}$$

$$\begin{bmatrix} x'(u_i) \\ y'(u_i) \\ z'(u_i) \end{bmatrix}$$

- Specify the derivatives at some locations
- What is the geometric meaning to specify derivatives?
- A set of constraints
- Solve constraint equations

One Example

- Two end-vertices: $c(0)$ and $c(1)$
- One mid-point: $c(0.5)$
- Tangent at the mid-point: $c'(0.5)$
- Assuming 3D curve



Cubic Polynomials

- Parametric representation (u is in $[0,1]$)

$$\begin{bmatrix} x(u) \\ y(u) \\ z(u) \end{bmatrix} = \begin{bmatrix} a_3 \\ b_3 \\ c_3 \end{bmatrix} u^3 + \begin{bmatrix} a_2 \\ b_2 \\ c_2 \end{bmatrix} u^2 + \begin{bmatrix} a_1 \\ b_1 \\ c_1 \end{bmatrix} u + \begin{bmatrix} a_0 \\ b_0 \\ c_0 \end{bmatrix}$$

- Each components are treated independently
- High-dimension curves can be easily defined

- Alternatively
$$\begin{aligned} x(u) &= \begin{bmatrix} u^3 & u^2 & u & 1 \end{bmatrix} \begin{bmatrix} a_3 & a_2 & a_1 & a_0 \end{bmatrix}^T = UA \\ y(u) &= UB \\ z(u) &= UC \end{aligned}$$

Cubic Polynomial Example

- Constraints: two end-points, one mid-point, and tangent at the mid-point

$$x(0) = [0 \quad 0 \quad 0 \quad 1]A$$

$$x(0.5) = [0.5^3 \quad 0.5^2 \quad 0.5^1 \quad 1]A$$

$$x'(0.5) = [3(0.5)^2 \quad 2(0.5) \quad 1 \quad 0]A$$

$$x(1) = [1 \quad 1 \quad 1 \quad 1]A$$

- In matrix form

$$\begin{bmatrix} x(0) \\ x(0.5) \\ x'(0.5) \\ x(1) \end{bmatrix} = \begin{bmatrix} 0 & 0 & 0 & 1 \\ 0.125 & 0.25 & 0.5 & 1 \\ 0.75 & 1 & 1 & 0 \\ 1 & 1 & 1 & 1 \end{bmatrix} A$$

Solve this Linear Equation

- **Invert the Matrix**

$$A = \begin{bmatrix} -4 & 0 & -4 & 4 \\ 8 & -4 & 6 & -4 \\ -5 & 4 & -2 & 1 \\ 1 & 0 & 0 & 0 \end{bmatrix} \begin{bmatrix} x(0) \\ x(0.5) \\ x'(0.5) \\ x(1) \end{bmatrix}$$

- **Rewrite the curve expression**

$$x(u) = UM \begin{bmatrix} x(0) & x(0.5) & x'(0.5) & x(1) \end{bmatrix}^T$$

$$y(u) = UM \begin{bmatrix} y(0) & y(0.5) & y'(0.5) & y(1) \end{bmatrix}^T$$

$$z(u) = UM \begin{bmatrix} z(0) & z(0.5) & z'(0.5) & z(1) \end{bmatrix}^T$$

Basis Functions

- **Special polynomials**

$$f_1(u) = -4u^3 + 8u^2 - 5u + 1$$

$$f_2(u) = -4u^2 + 4u$$

$$f_3(u) = -4u^3 + 6u^2 - 2u$$

$$f_4(u) = 4u^3 - 4u^2 + 1$$

- **What is the image of these basis functions?**
- **Polynomial curve can be defined by**

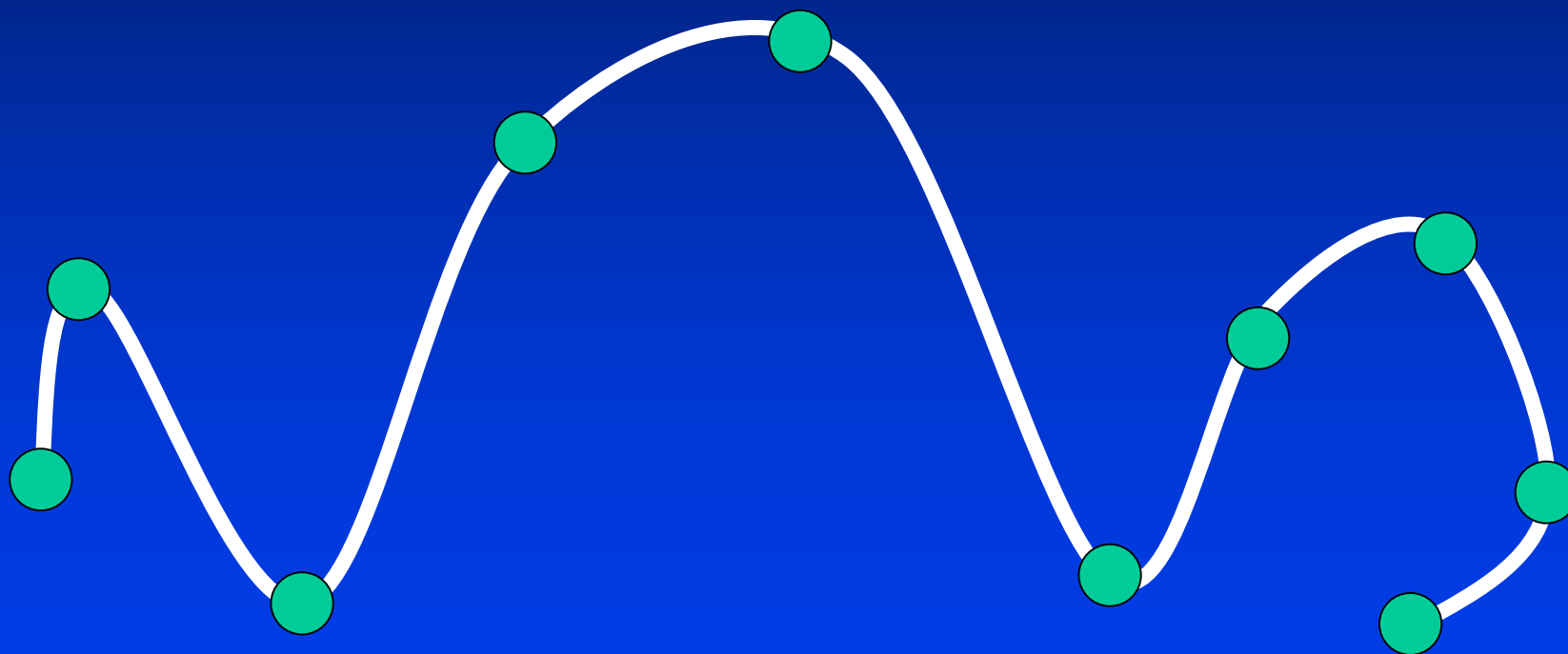
$$\mathbf{c}(u) = \mathbf{c}(0)f_1(u) + \mathbf{c}(0.5)f_2(u) + \mathbf{c}'(0.5)f_3(u) + \mathbf{c}(1)f_4(u)$$

- **Observations**

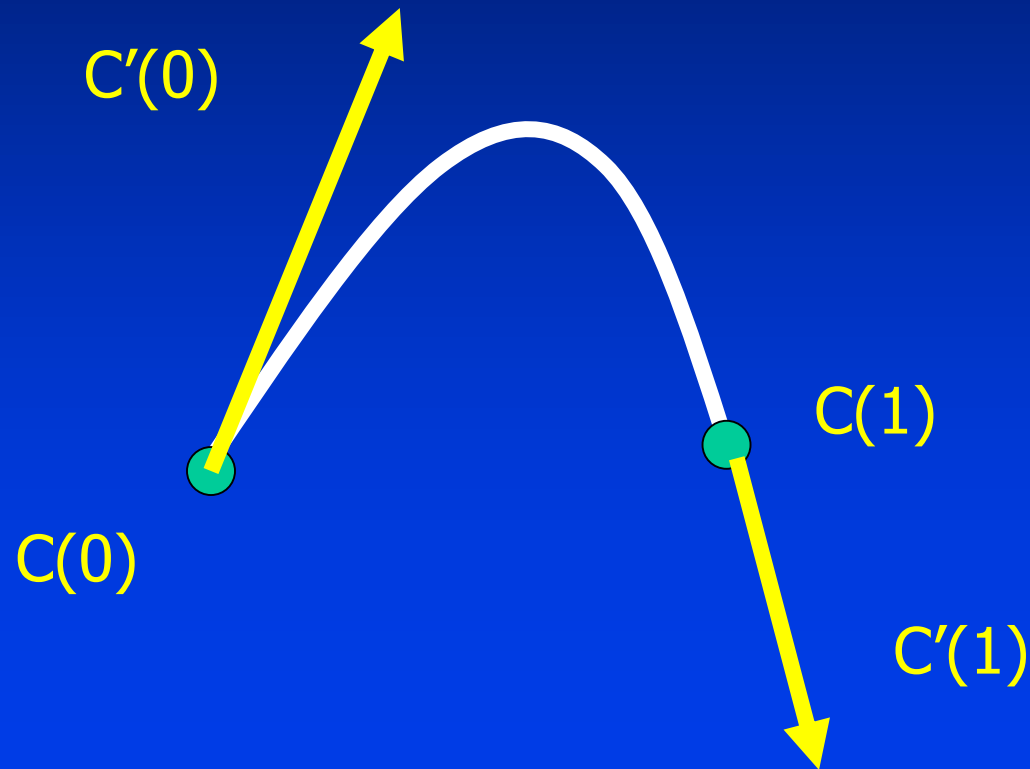
– More intuitive, easy to control, polynomials

Lagrange Curve

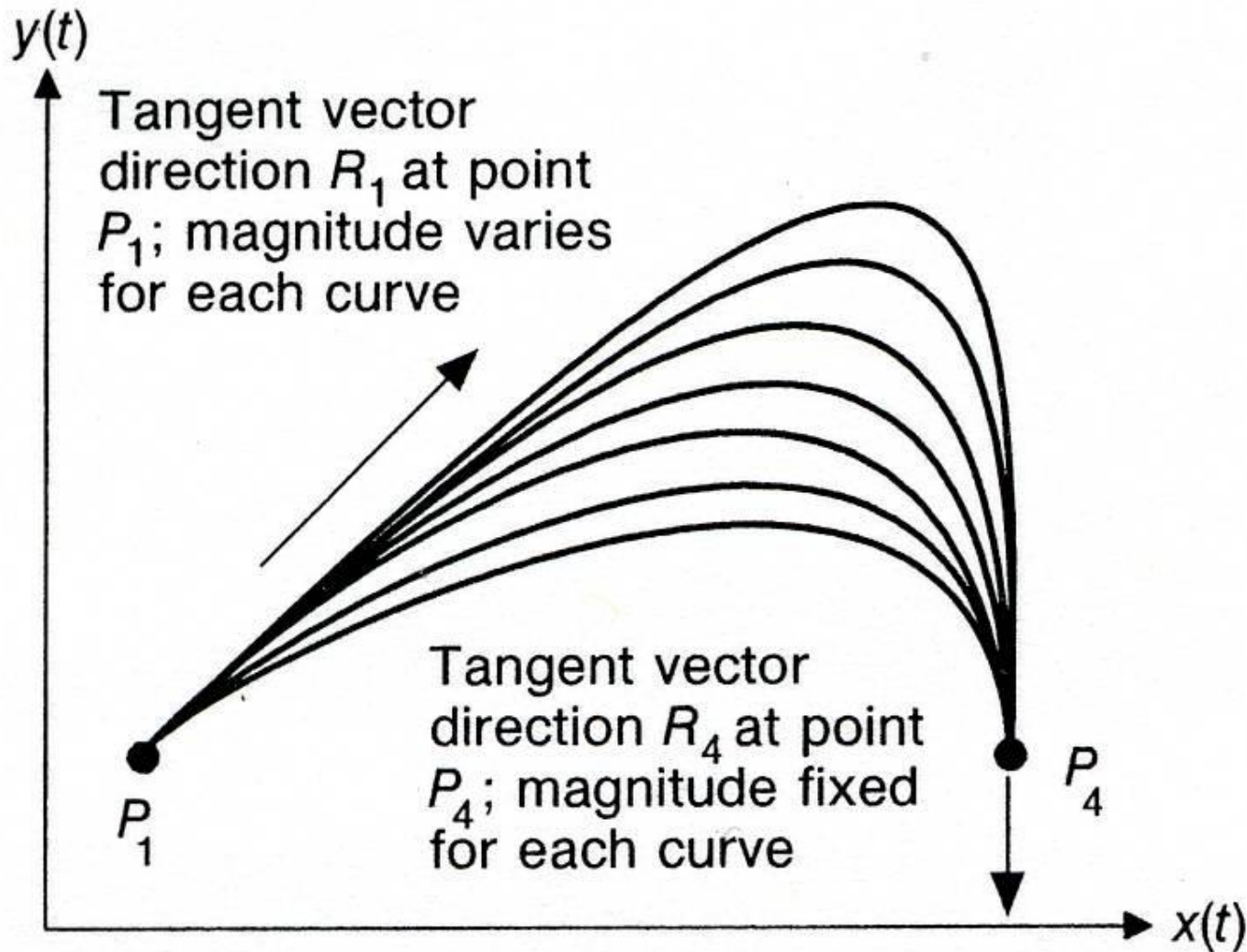
- Point interpolation



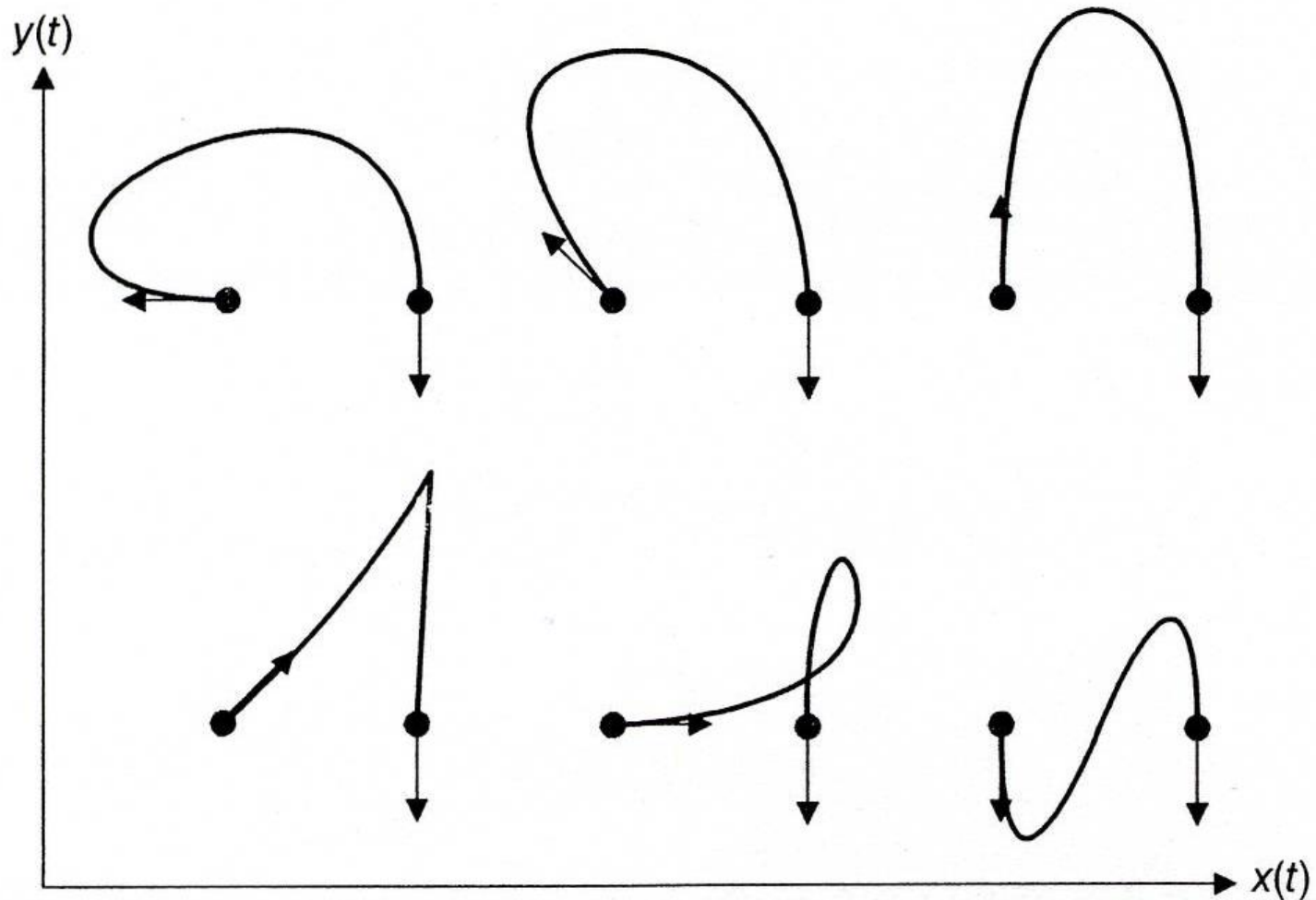
Cubic Hermite Splines



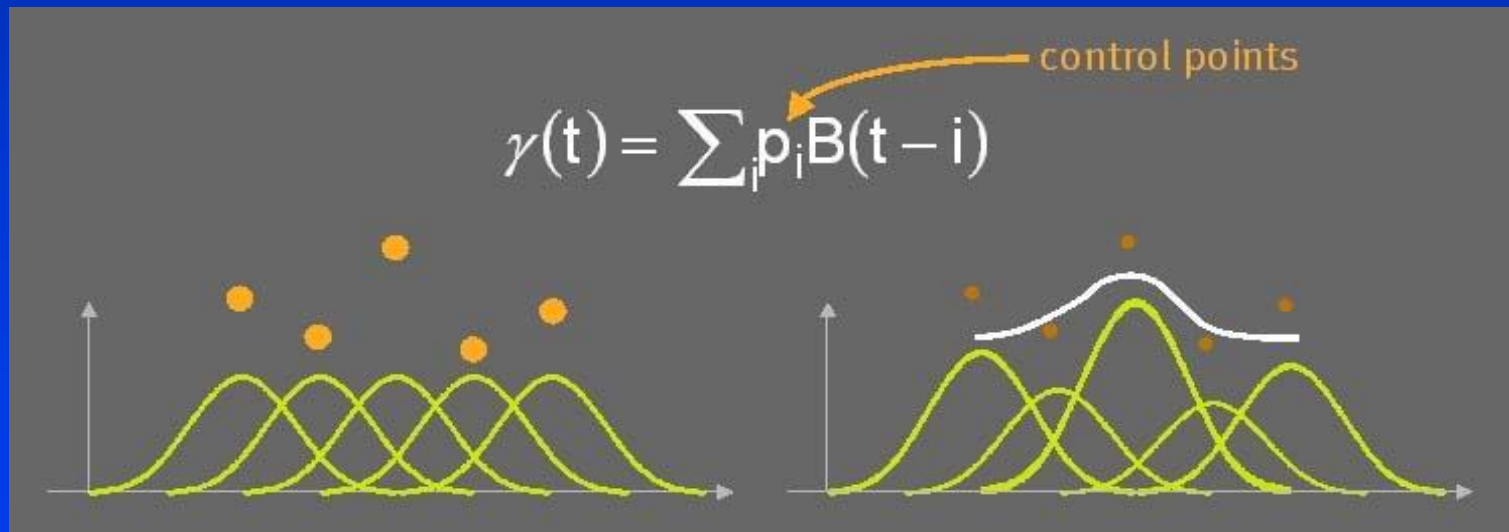
Varying the Magnitude of the Tangent Vector



Varying the Direction of the Tangent Vector



Piecewise Polynomial Blending

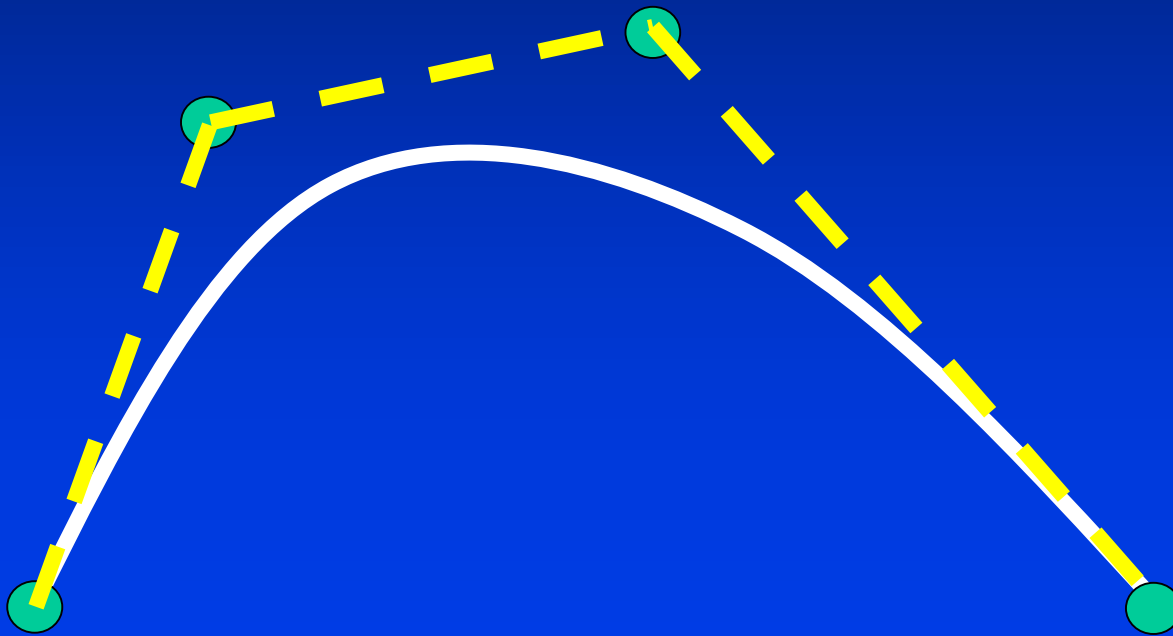


Why Cubic Polynomials

- Lowest degree for specifying curve in space
- Lowest degree for specifying points to interpolate and tangents to interpolate
- Commonly used in computer graphics
- Lower degree has too little flexibility
- Higher degree is unnecessarily complex, exhibit undesired wiggles

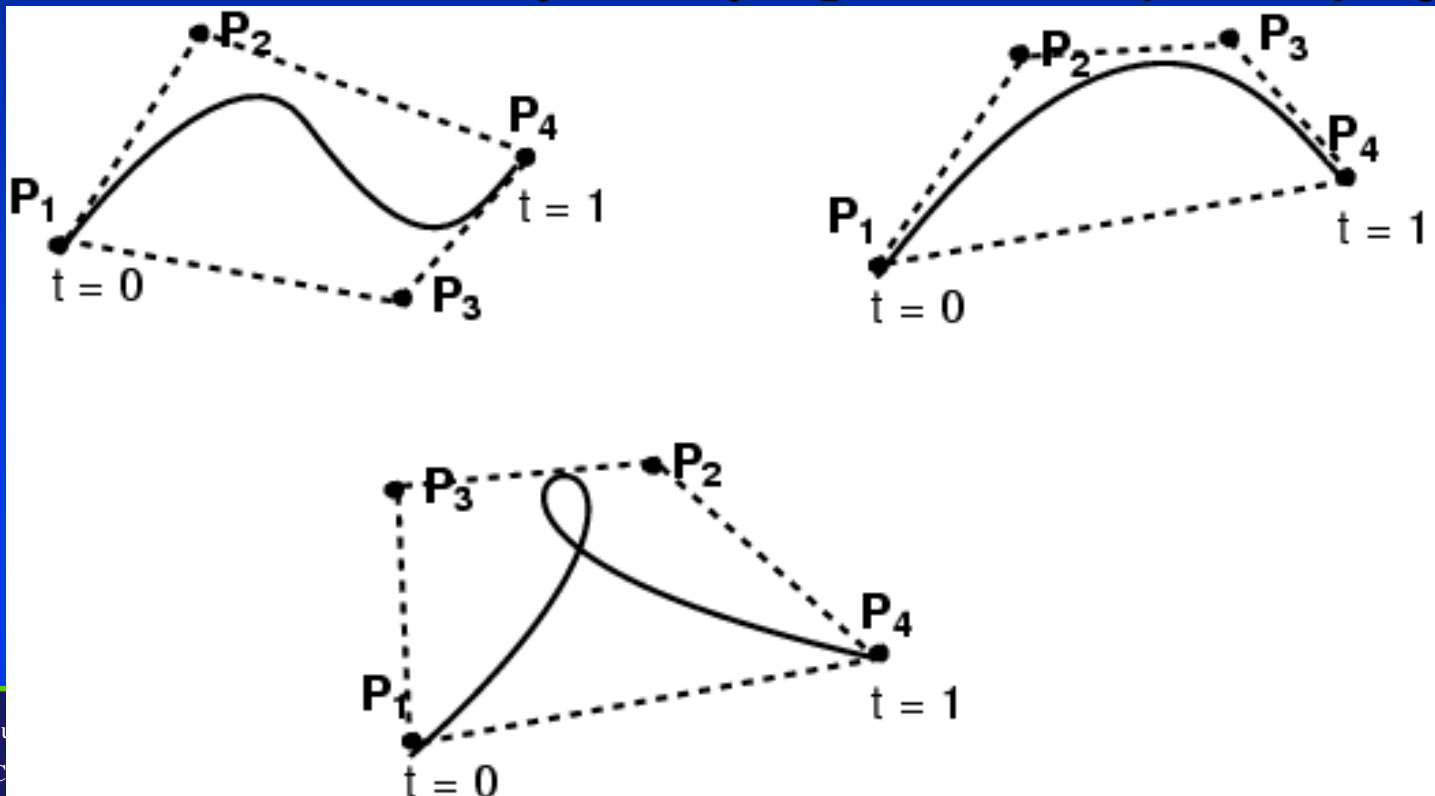
Cubic Bezier Curves

- Four control points to Bezier curve
- Curve geometry



Cubic Bézier Curve

- 4 control points
- Curve passes through the first & last control points
- Curve is tangent at \mathbf{P}_0 to $(\mathbf{P}_0 - \mathbf{P}_1)$ and at \mathbf{P}_4 to $(\mathbf{P}_4 - \mathbf{P}_3)$



Curve Mathematics (Cubic)

- Bezier curve

$$\mathbf{c}(u) = \sum_{i=0}^3 \mathbf{p}_i B_i^3(u)$$

- Control points and basis functions

$$B_0^3(u) = (1 - u)^3$$

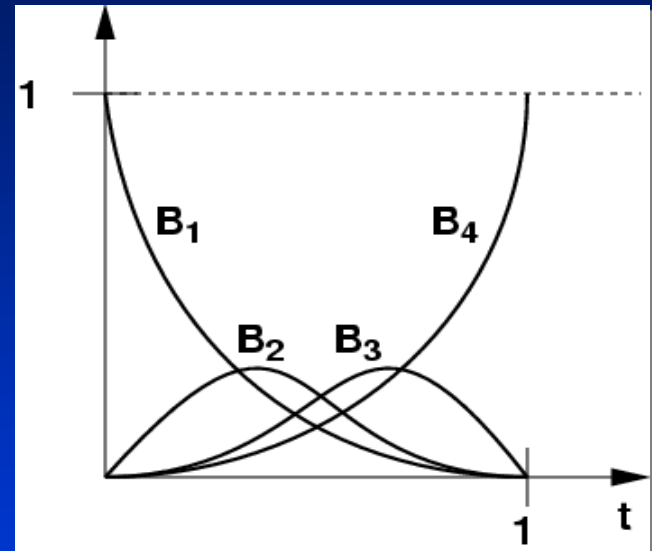
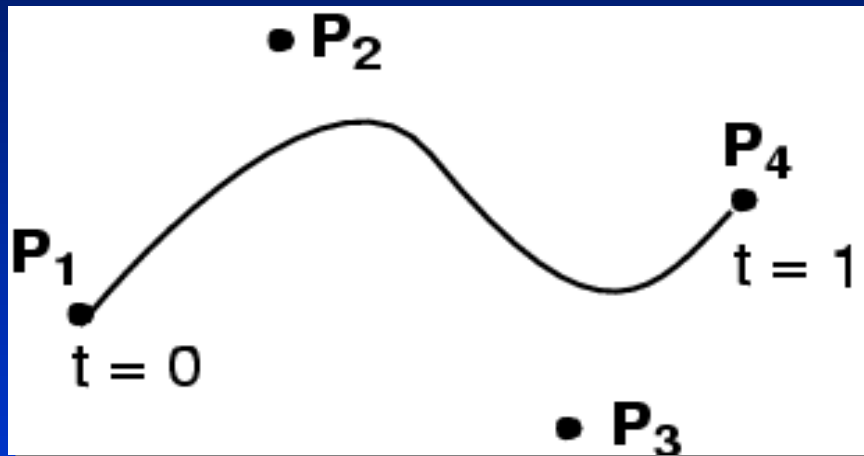
$$B_1^3(u) = 3u(1 - u)^2$$

$$B_2^3(u) = 3u^2(1 - u)$$

$$B_3^3(u) = u^3$$

- Image and properties of basis functions

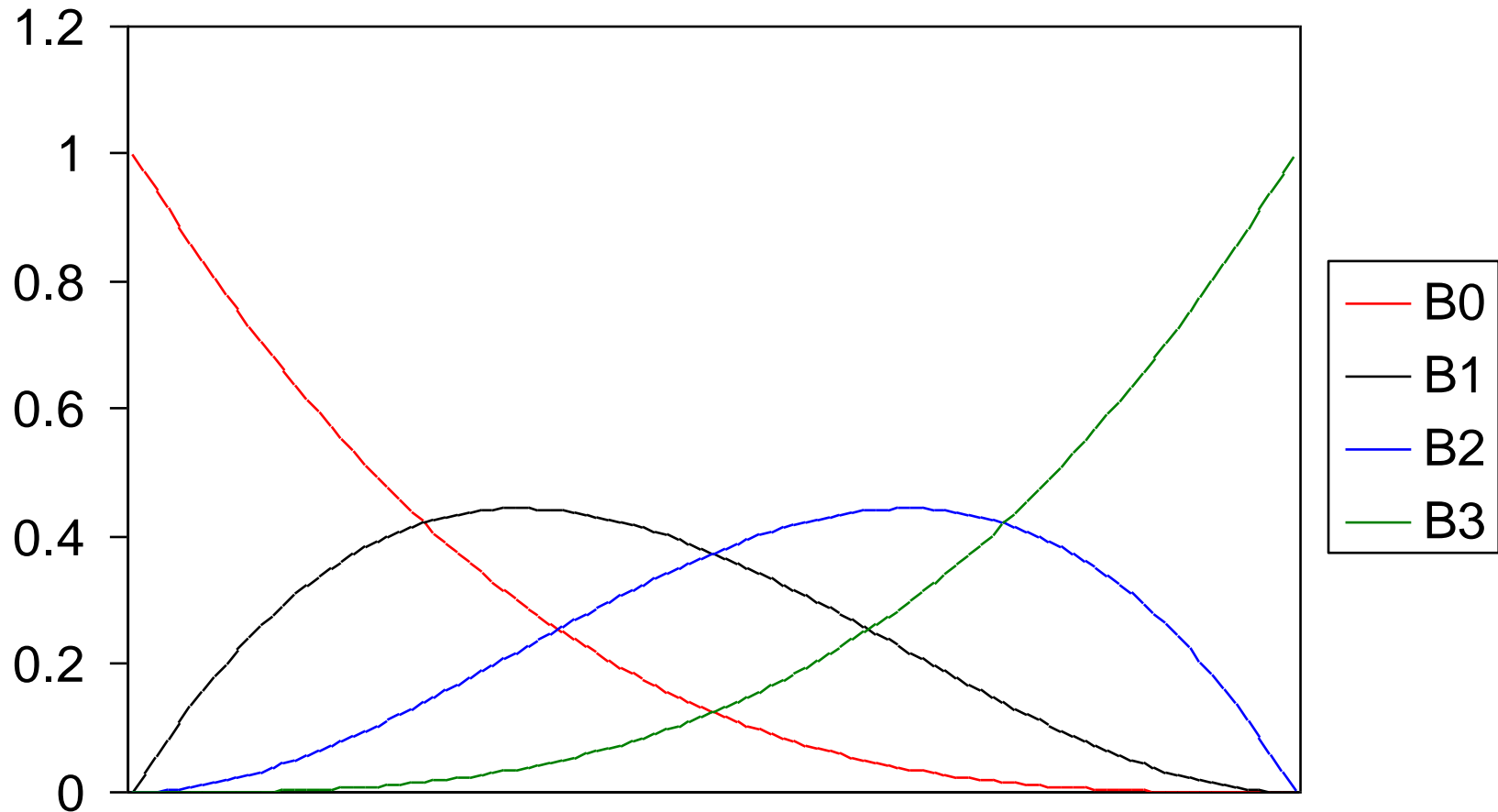
Cubic Bézier Basis Functions



$$B_1(t) = (1 - t)^3; B_2(t) = 3t(1 - t)^2; B_3(t) = 3t^2(1 - t); B_4(t) = t^3$$

$$Q(t) = (1 - t)^3 P_1 + 3t(1 - t)^2 P_2 + 3t^2(1 - t) P_3 + t^3 P_4$$

The Bernstein Polynomials ($n=3$)



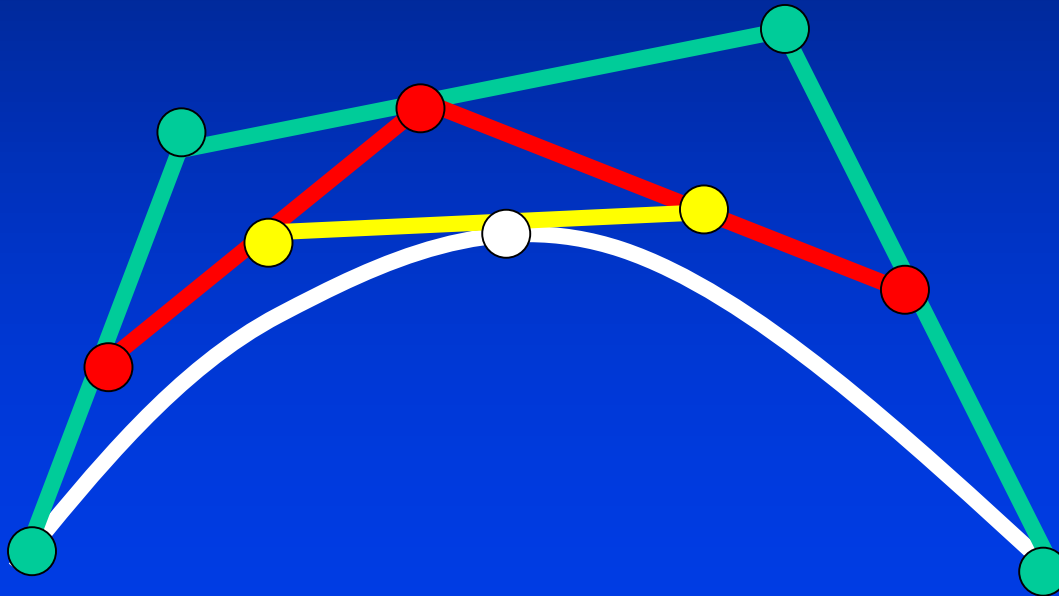
Recursive Evaluation

- Recursive linear interpolation

$$\begin{array}{cccc} & (1-u) & & (u) \\ \mathbf{p}_0^0 & \mathbf{p}_1^0 & \mathbf{p}_2^0 & \mathbf{p}_3^0 \\ & \mathbf{p}_0^1 & \mathbf{p}_1^1 & \mathbf{p}_2^1 \\ & & \mathbf{p}_0^2 & \mathbf{p}_1^2 \\ & & & \mathbf{p}_0^3 = \mathbf{c}(u) \end{array}$$

Recursive Subdivision Algorithm

- de Casteljau's algorithm for constructing Bézier curves



Basic Properties (Cubic)

- The curve passes through the first and the last points (end-point interpolation)
- Linear combination of control points and basis functions
- Basis functions are all polynomials
- Basis functions sum to one (partition of unity)
- All basis functions are non-negative
- Convex hull (both necessary and sufficient)
- Predictability

Bezier Curves (Degree n)

- **Curve:** $c(u) = \sum_{i=0}^n p_i B_i^n(u)$
- **Control points** p_i
- **Basis functions** $B_i^n(u)$ are bernstein polynomials of degree n:

$$B_i^n(u) = \binom{n}{i} u^i (1-u)^{n-i}$$

$$\binom{n}{i} = \frac{n!}{(n-i)!i!}$$

Recursive Computation: The De Casteljau Algorithm

$$B_i^n(u) = (1-u)B_i^{n-1}(u) + uB_{i-1}^{n-1}(u)$$

$$\begin{aligned} B_i^n(u) &= \binom{n}{i} u^i (1-u)^{n-i} \\ &= \binom{n-1}{i} u^i (1-u)^{n-i} + \binom{n-1}{i-1} u^i (1-u)^{n-i} \\ &= (1-u)B_i^{n-1}(u) + uB_{i-1}^{n-1}(u) \end{aligned}$$

Recursive Computation

$$\mathbf{p}_i^0 = \mathbf{p}_i, i = 0, 1, 2, \dots, n$$

$$\mathbf{p}_i^j = (1 - u)\mathbf{p}_i^{j-1} + u\mathbf{p}_{i+1}^{j-1}$$

$$\mathbf{c}(u) = \mathbf{p}_0^n(u)$$

Properties

- End point interpolation.
- Basis functions are non-negative.
- The summation of basis functions are unity
 - Binomial Expansion Theorem:

$$1 = [u + (1 - u)]^n = \sum_{i=0}^n \binom{n}{i} u^i (1 - u)^{n-i}$$

- Convex hull: the curve is bounded by the convex hull defined by the control points.

Properties

- Basis functions are non-negative
- The summation of all basis functions is unity
- End-point interpolation $\mathbf{c}(0) = \mathbf{p}_0, \mathbf{c}(1) = \mathbf{p}_n$
- Binomial expansion theorem

$$((1-u) + u)^n = \sum_{i=0}^n \binom{n}{i} u^i (1-u)^{n-i}$$

- Convex hull: the curve is bounded by the convex hull defined by control points

Bezier Curve Rendering

- Use its control polygon to approximate the curve
- Recursive subdivision till the tolerance is satisfied
- Algorithm go here
 - If the current control polygon is flat (with tolerance), then output the line segments, else subdivide the curve at $u=0.5$
 - Compute control points for the left half and the right half, respectively
 - Recursively call the same procedure for the left one and the right one

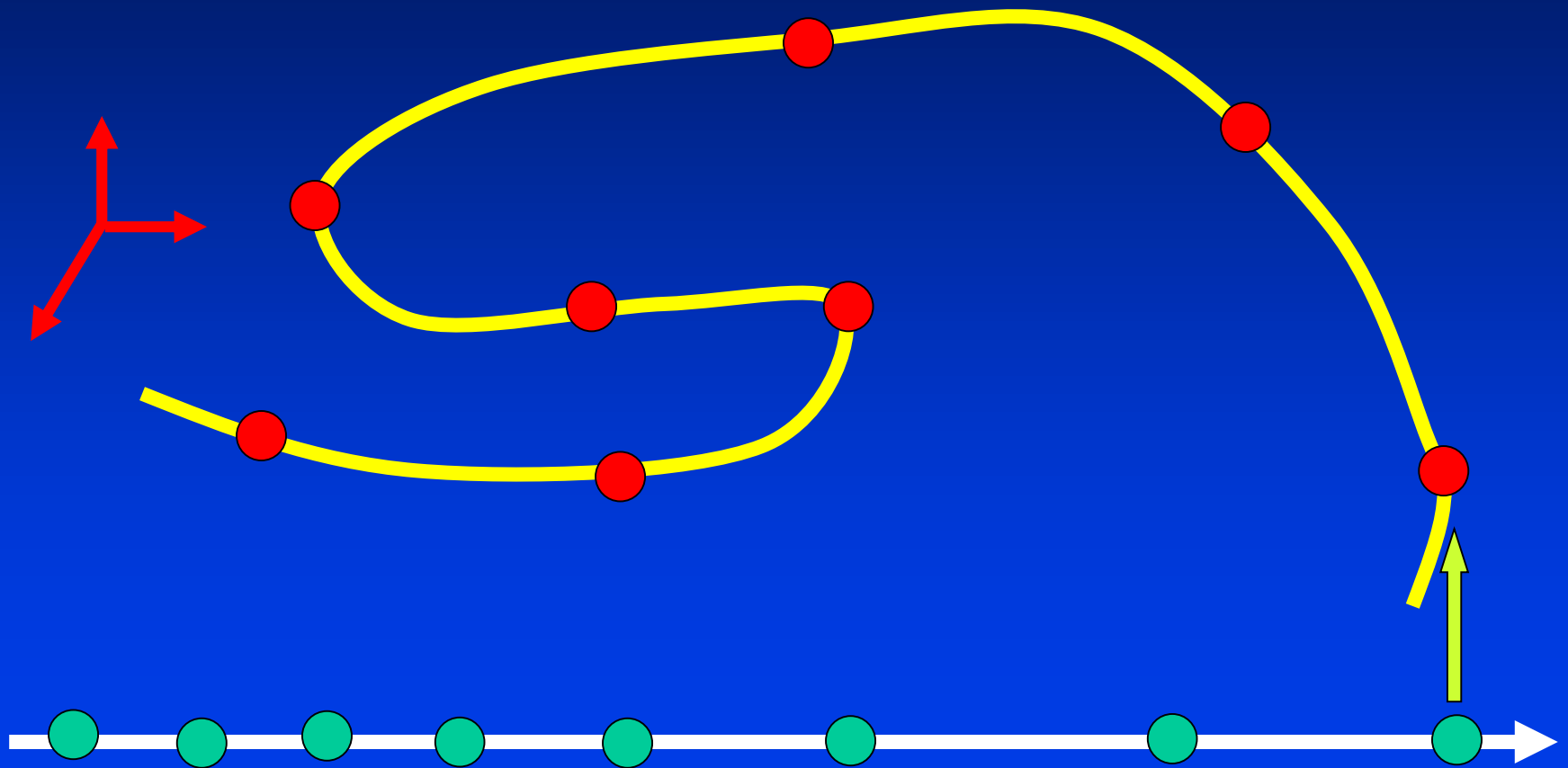
High-Degree polynomials

- More degrees of freedom
- Easy to compute
- Infinitely differentiable
- Drawbacks:
 - High-order
 - Global control
 - Expensive to compute, complex
 - undulation

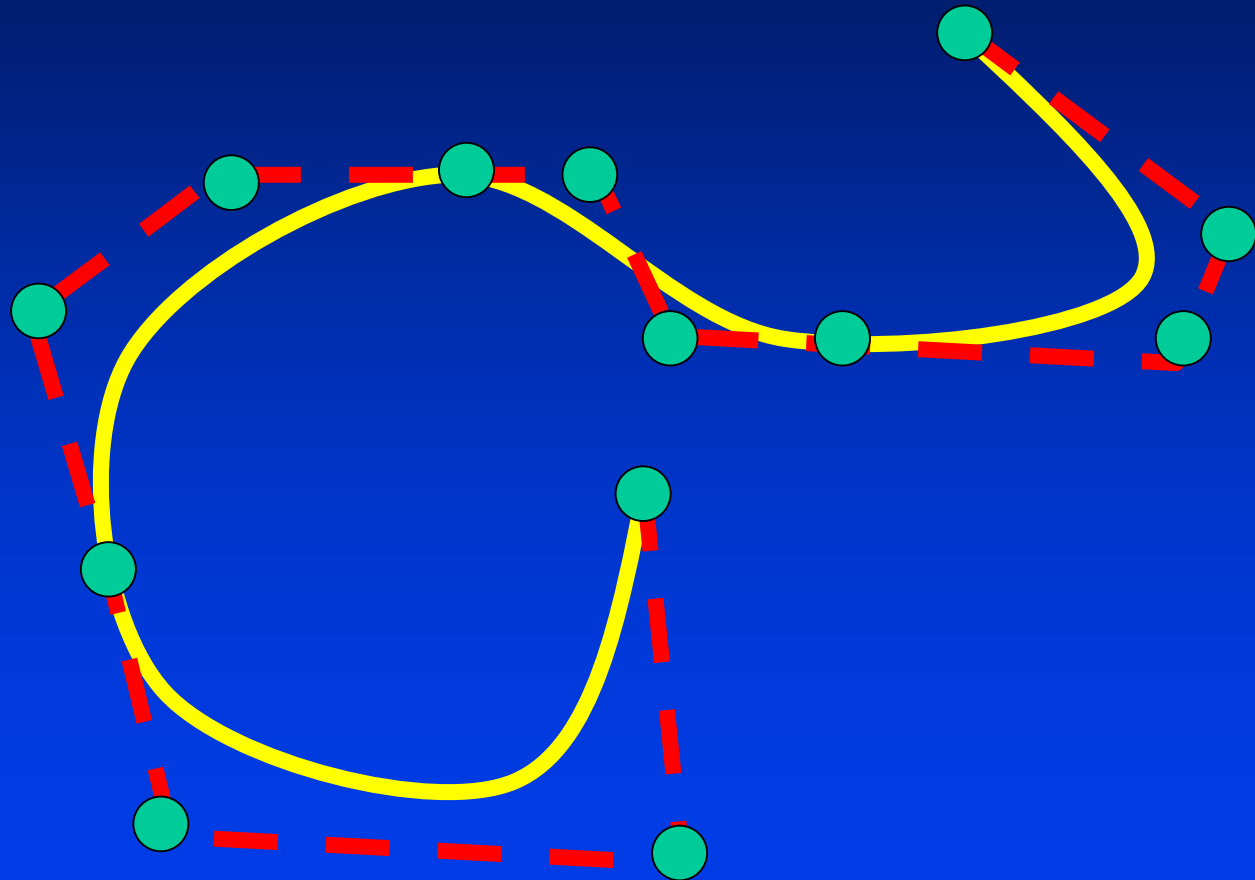
Piecewise Polynomials

- Piecewise --- different polynomials for different parts of the curve
- Advantages --- flexible, low-degree
- Disadvantages --- how to ensure smoothness at the joints (continuity)

Piecewise Curves



Piecewise Bezier Curves



Continuity

- One of the fundamental concepts

- Commonly used cases:

$$C^0, C^1, C^2$$

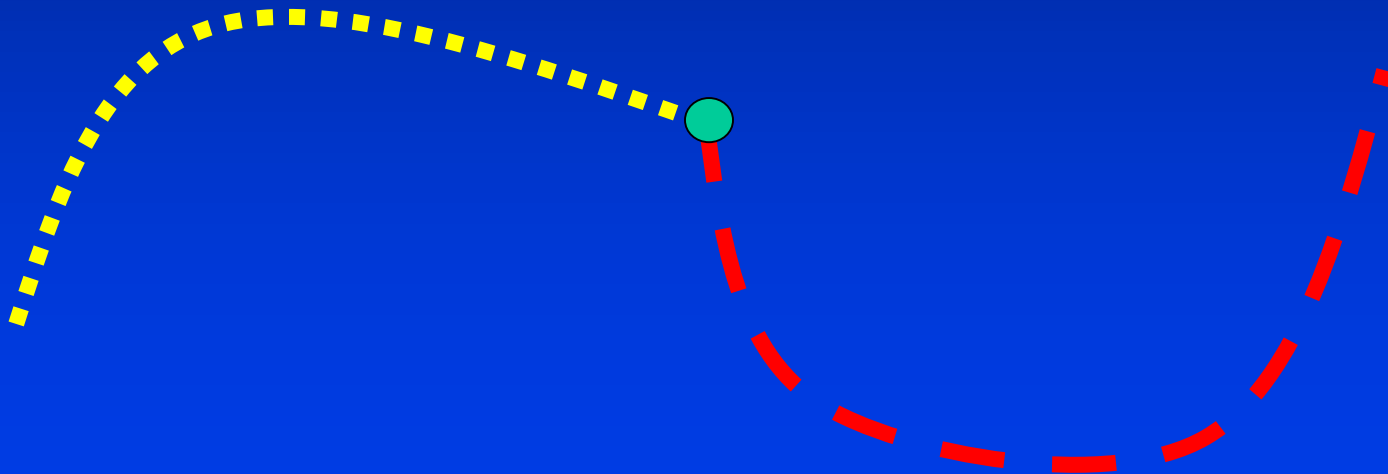
- Consider two curves: $a(u)$ and $b(u)$ (u is in $[0,1]$)

Continuity

- **Continuity between two parametric curves:**
 - **Geometric continuity**
 - G^0 : the two curves are connected
 - G^1 : the two tangents have the same direction
 - **Parametric continuity**
 - C^0 : the two curves are connected
 - C^1 : the two tangents are equal

Positional Continuity

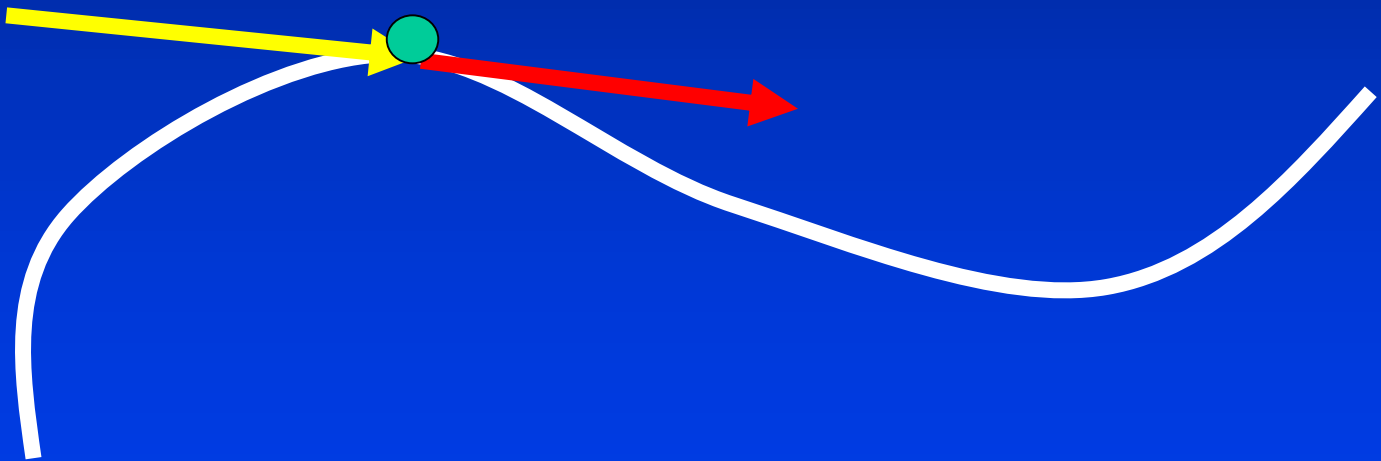
$$\mathbf{a}(1) = \mathbf{b}(0)$$



Derivative Continuity

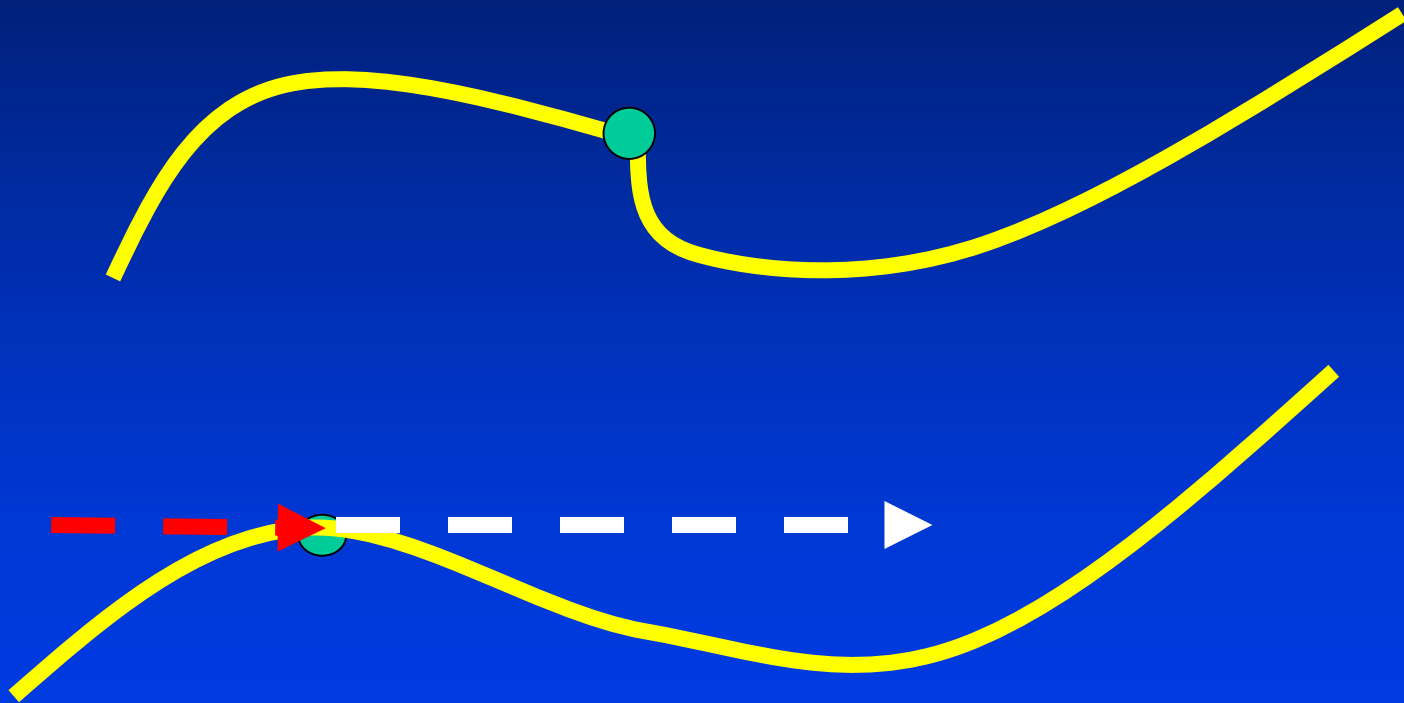
$$\mathbf{a}(1) = \mathbf{b}(0)$$

$$\mathbf{a}'(1) = \mathbf{b}'(0)$$



Geometric Continuity

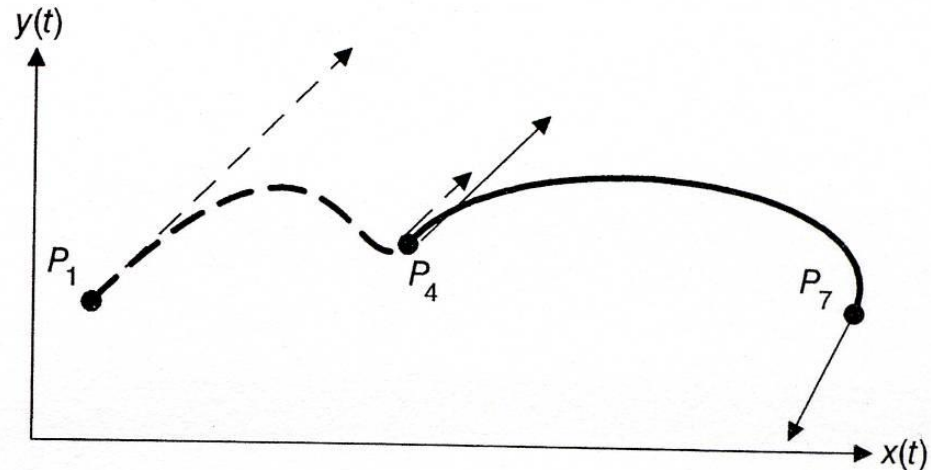
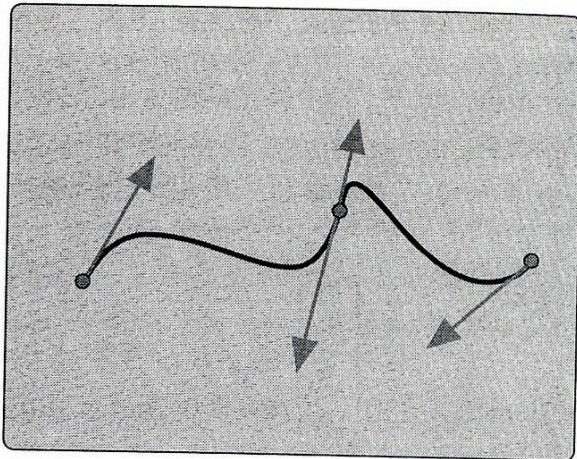
- G0 and G1



Obtaining Geometric Continuity G^1

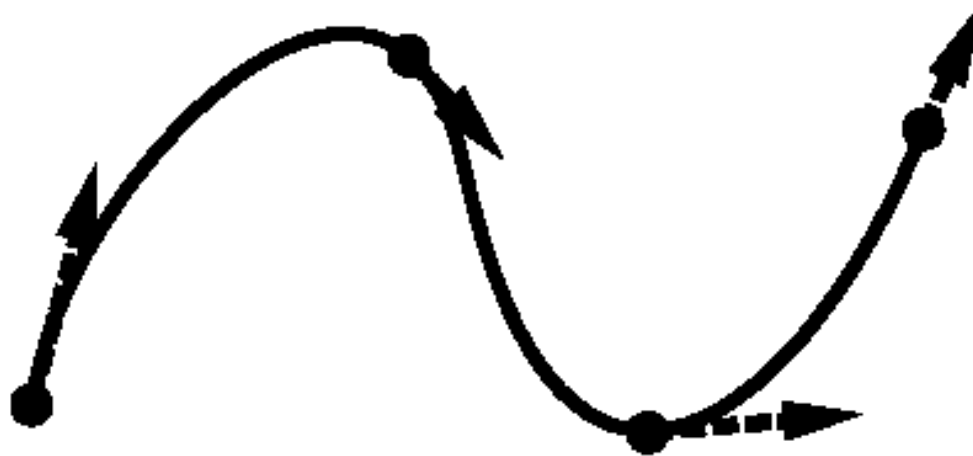
$$\begin{bmatrix} P_1 \\ P_4 \\ R_1 \\ R_4 \end{bmatrix} \text{ and } \begin{bmatrix} P_4 \\ P_7 \\ kR_4 \\ R_7 \end{bmatrix}, \text{ with } k > 0.$$

for parametric continuity C^1 , $k = 1$

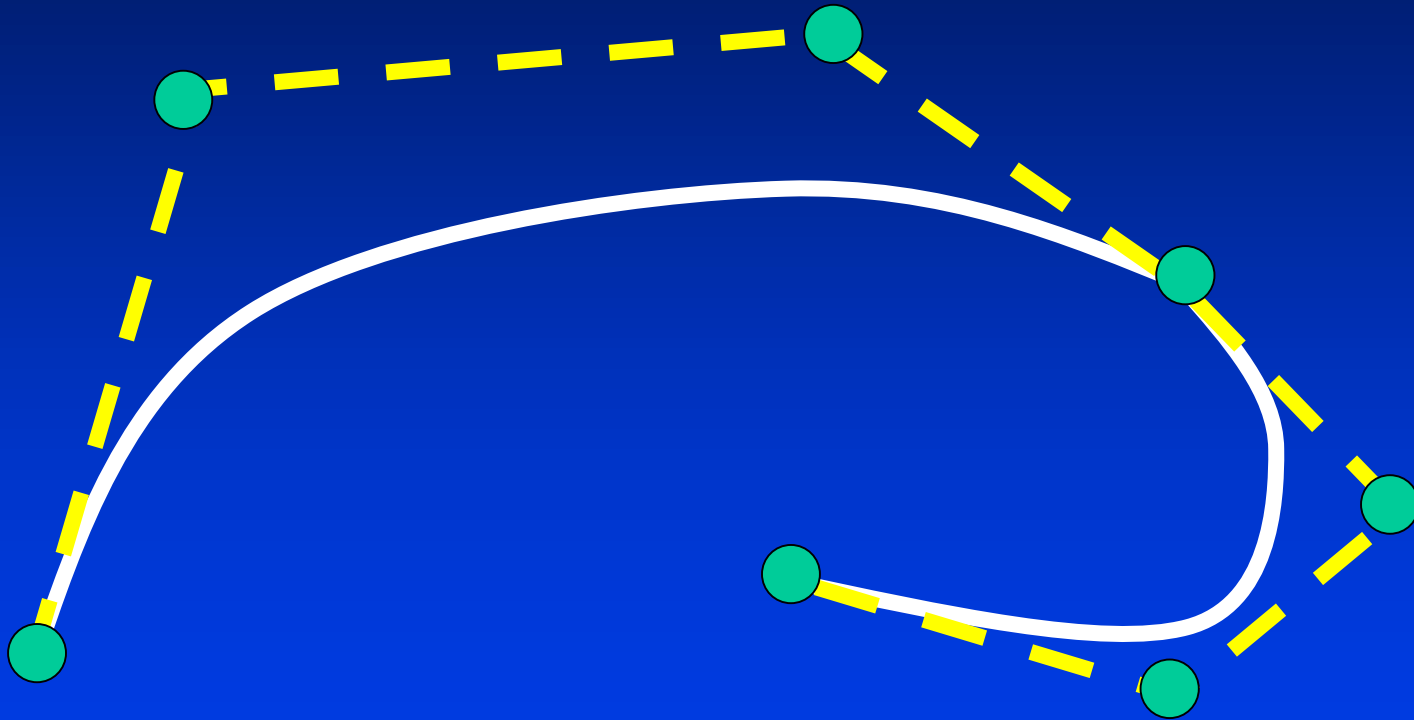


Piecewise Hermite Curves

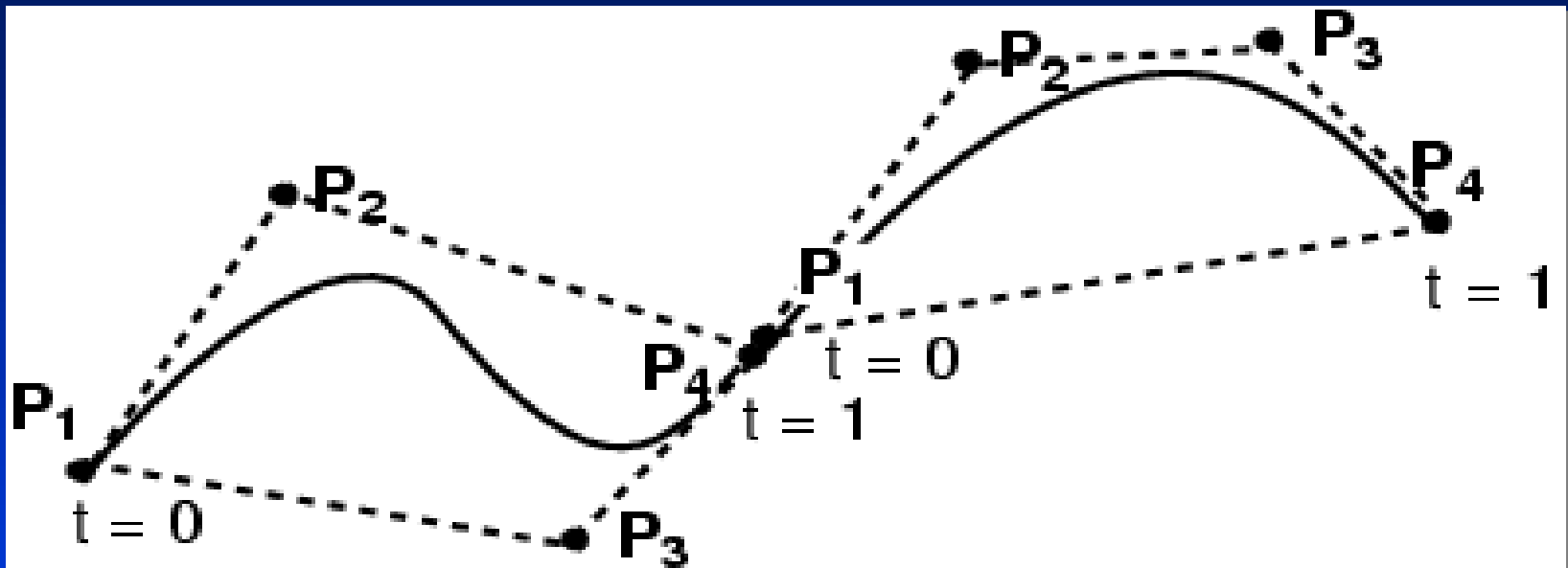
piecewise hermite curves



Piecewise Bezier Curves



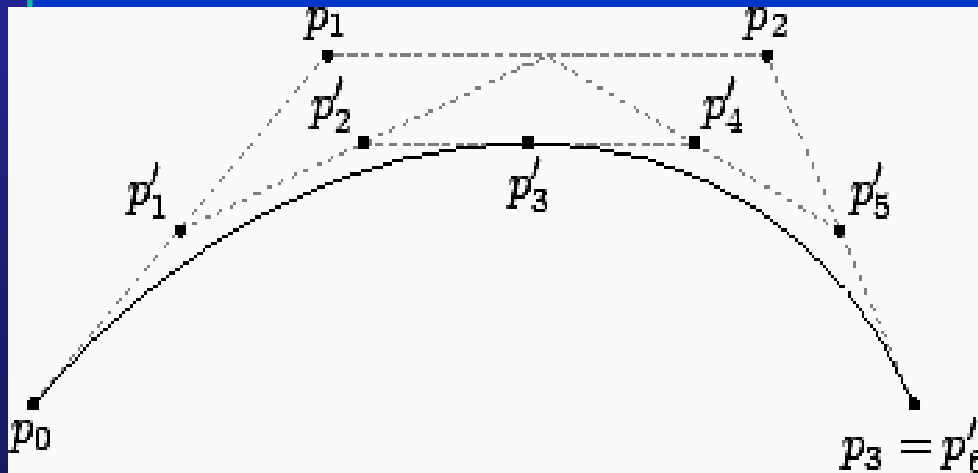
Connecting Cubic Bézier Curves



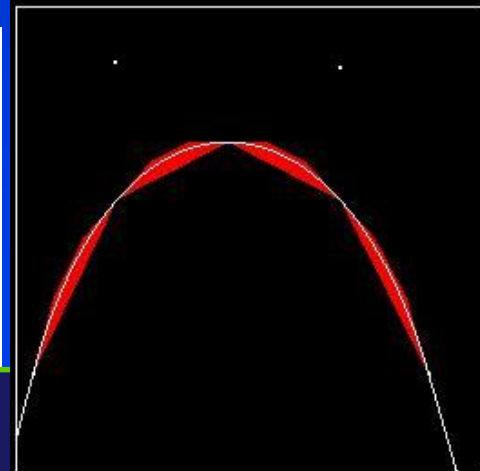
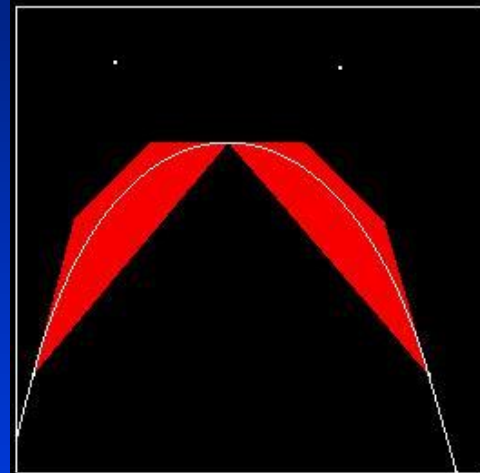
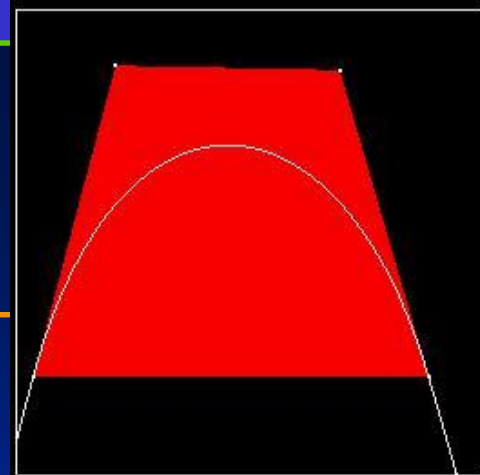
- How can we guarantee C^0 continuity (no gaps between two curves)?
- How can we guarantee C^1 continuity (tangent vectors match)?
- Asymmetric: Curve goes through some control points but misses others

Displaying Bezier Spline

- A Bezier curve with 4 control points:
 - P_0 P_1 P_2 P_3
- Can be split into 2 new Bezier curves:
 - P_0 P'_1 P'_2 P'_3
 - P'_3 P'_4 P'_5 P_3



A Bézier curve
is bounded by
the convex hull
of its control
points.

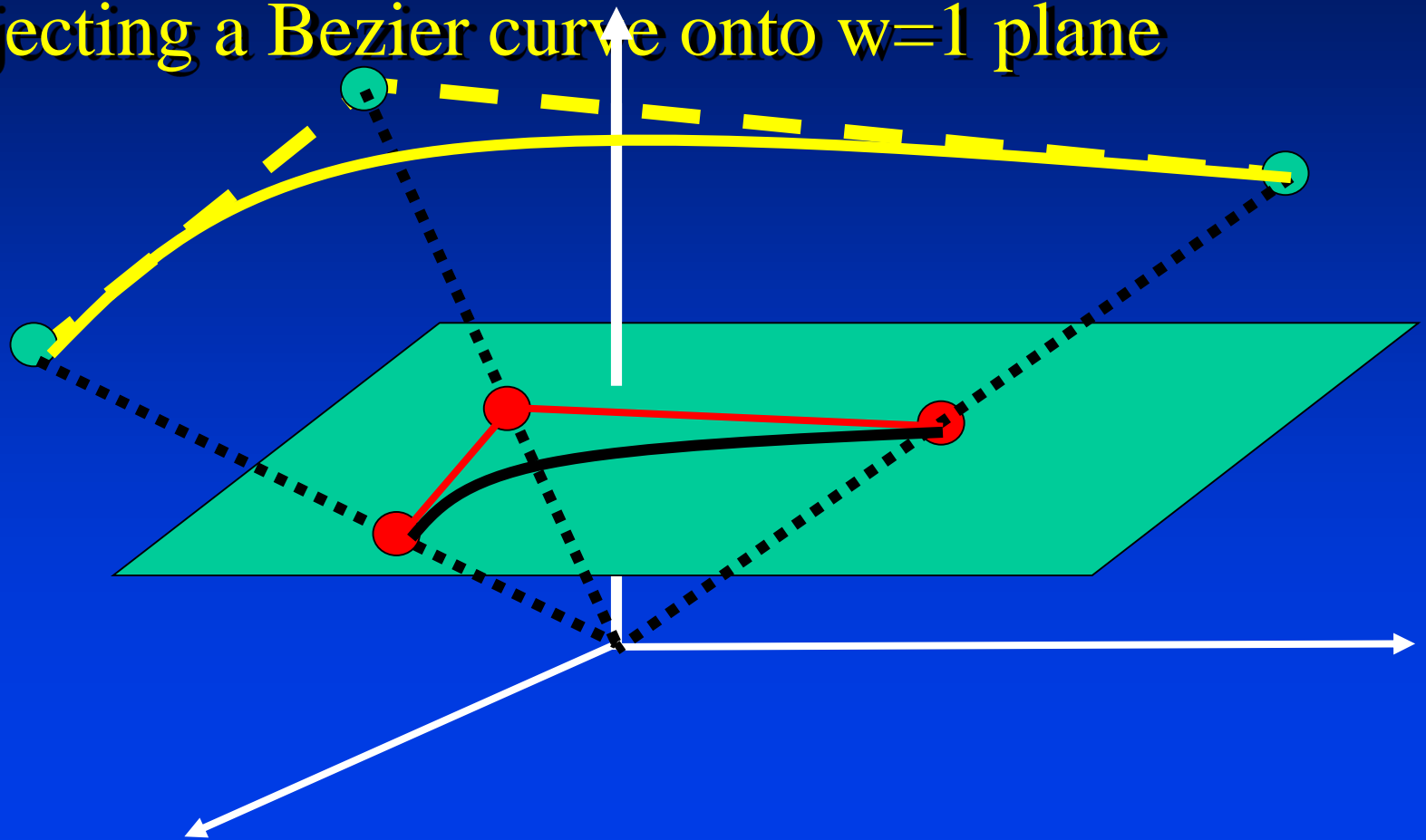


Geometric NURBS

- **Non-Uniform Rational B-Splines (NURBS)**
- **CAGD industry standard --- useful properties**
- **Degrees of freedom**
 - **Control points**
 - **Weights**

Rational Bezier Curve

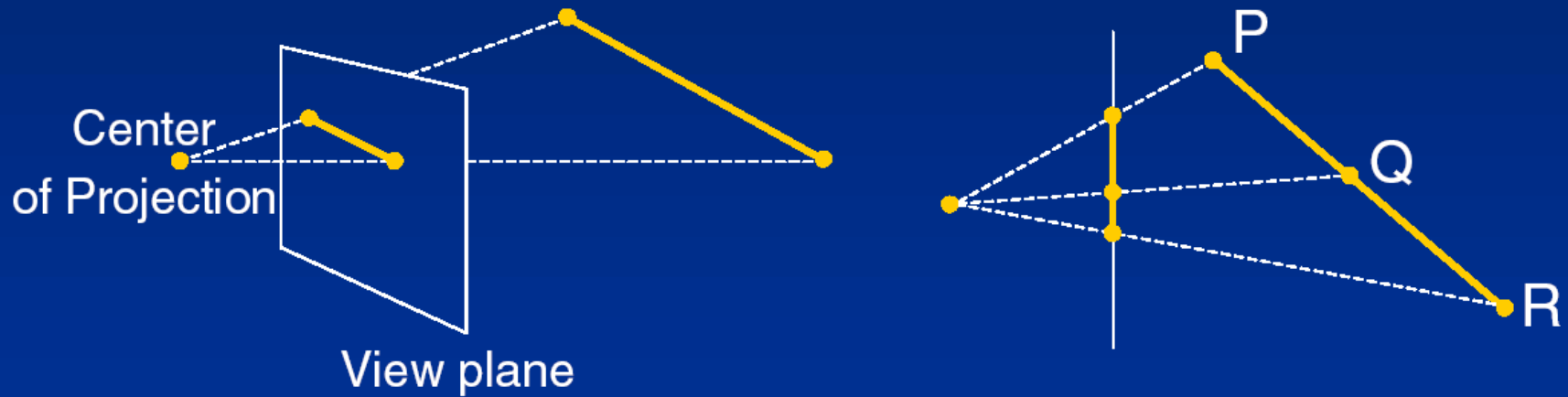
- Projecting a Bezier curve onto $w=1$ plane



Revisit Two Important Concepts

- Perspective projection
- Homogeneous coordinates

Perspective Projection



Consider Linear Case

$$\frac{\begin{bmatrix} x_0 w_0 \\ y_0 w_0 \end{bmatrix} (1-u) + \begin{bmatrix} x_1 w_1 \\ y_1 w_1 \end{bmatrix} (u)}{w_0 (1-u) + w_1 (u)}$$

or

$$\begin{bmatrix} x_0 \\ y_0 \end{bmatrix} (1-u) + \begin{bmatrix} x_1 \\ y_1 \end{bmatrix} (u)$$

From Bezier Spline to NURBS

- B-splines (Bezier Spline)

$$\mathbf{c}(u) = \sum_{i=0}^n \begin{bmatrix} \mathbf{p}_{i,x} \\ \mathbf{p}_{i,y} \\ \mathbf{p}_{i,z} \\ 1 \end{bmatrix} B_{i,k}(u)$$

- NURBS (curve)

$$\mathbf{c}(u) = \frac{\sum_{i=0}^n \mathbf{p}_i w_i B_{i,k}(u)}{\sum_{i=0}^n w_i B_{i,k}(u)}$$

Two Examples

- B-splines (Bezier Spline)

$$\mathbf{c}(u) = \sum_{i=0}^n \begin{bmatrix} \mathbf{p}_{i,x} \\ \mathbf{p}_{i,y} \\ \mathbf{p}_{i,z} \\ 1 \end{bmatrix} B_{i,k}(u)$$

- NURBS (curve)

$$\mathbf{c}(u) = \frac{\sum_{i=0}^n \mathbf{p}_i w_i B_{i,k}(u)}{\sum_{i=0}^n w_i B_{i,k}(u)}$$

Linear :

$$(1-u)$$

$$(u)$$

Quadratic :

$$(1-u)^2$$

$$2(1-u)u$$

$$(u)^2$$

Consider Quadratic Case

$$\frac{\begin{bmatrix} x_0 w_0 \\ y_0 w_0 \end{bmatrix} (1-u)^2 + \begin{bmatrix} x_1 w_1 \\ y_1 w_1 \end{bmatrix} 2(1-u)(u) + \begin{bmatrix} x_2 w_2 \\ y_2 w_2 \end{bmatrix} (u)^2}{w_0 (1-u)^2 + w_1 2(1-u)(u) + w_2 (u)^2}$$

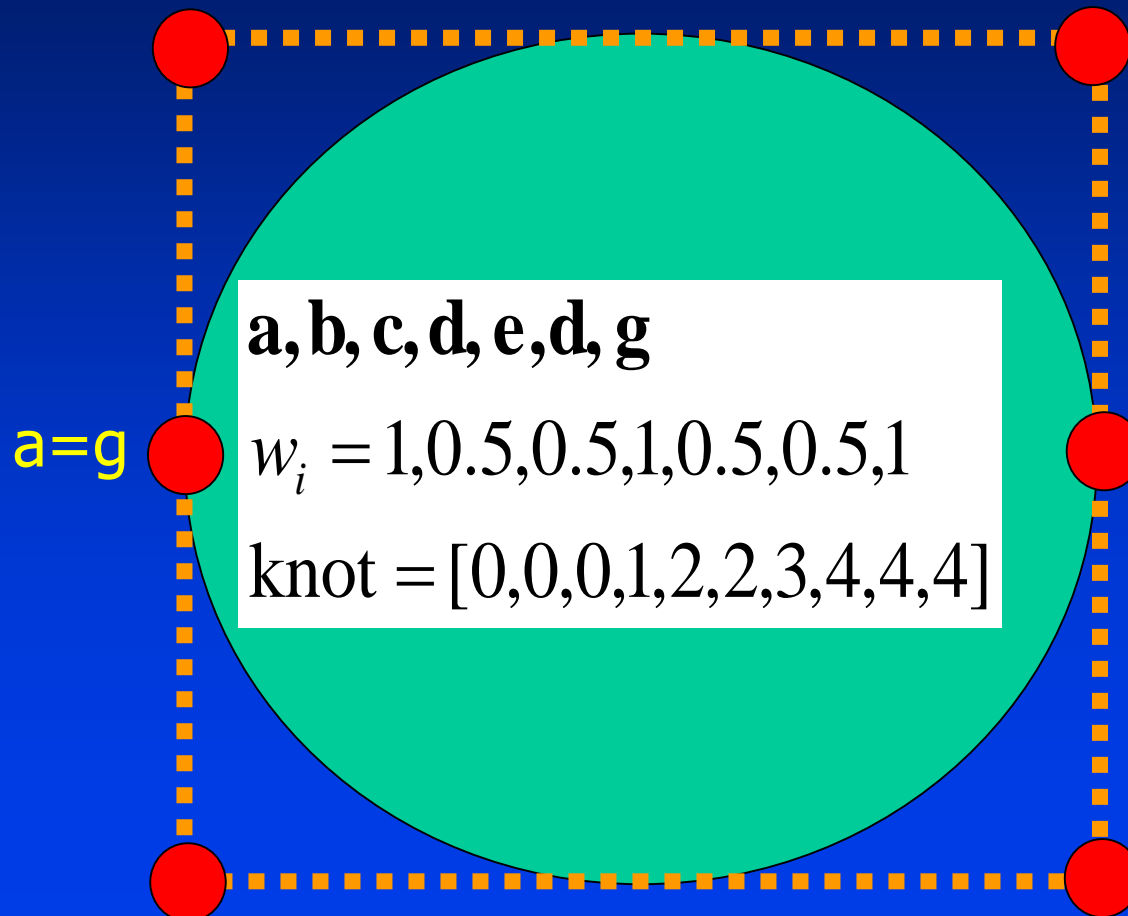
or

$$\begin{bmatrix} x_0 \\ y_0 \end{bmatrix} (1-u)^2 + \begin{bmatrix} x_1 \\ y_1 \end{bmatrix} 2(1-u)(u) + \begin{bmatrix} x_2 \\ y_2 \end{bmatrix} (u)^2$$

NURBS for Analytic Shapes

- Conic sections
- Natural quadrics
- Extruded surfaces
- Ruled surfaces
- Surfaces of revolution

NURBS Circle



NURBS Curve

- **Geometric components**
 - Control points, parametric domain, weights, knots
- **Homogeneous representation of B-splines**
- **Geometric meaning --- obtained from projection**
- **Properties of NURBS**
 - Represent standard shapes, invariant under perspective projection, B-spline is a special case, weights as extra degrees of freedom, common analytic shapes such as circles, clear geometric meaning of weights