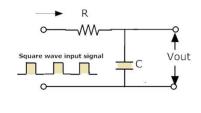
CSE216 Programming Abstractions Objects, Streams and Lazy Evaluation

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Modularity





Two "world view" of the structure of systems: organizational strategies concentrating on

Objects

- Viewing a large system as a collection of distinct objects
- E.g. registers, inductors, capacitors, ...

Streams

- Flow of information in the system
- E.g. filters, amplifiers, signal processing modules...



Assignment and Local State

- State
 - An object is said to have a state if its behavior is influenced by its history
 - E.g.) bank account: "can I withdraw \$100?" depends on the history of the transition
- State variable
 - Maintain enough information about the history to determine the object's current behavior
 - E.g.) bank account: current balance



Assignment and Local State

- Modular design
 - Decompose a model into computational objects
 - Each object has its own local state
 - Changes in the states of the objects in the system
 - → changes in the state variables of the computational object
- Assignment operator
 - Changes the value associated with a name



Mutable Data

Arrays

Similar to arrays in other languages like C, Java, ...

```
# let arr = [ 1; 2; 3; 4 ];;
val arr : int array = [[1; 2; 3; 4]]
# arr;;
- : int array = [1; 2; 3; 4]
# arr.(2);; (* . indexing starts from 0 *)
-: int = 3
# arr.(2) <- 4;; (* <- operator for modification *)</pre>
-: unit = ()
# arr;;
- : int array = [1; 2; 4; 4]
```



Mutable Data

Mutable record fields

```
type running_sum = {
   mutable sum: float; (*mutable field*)
   mutable sum_of_squ: float; (*sum of squares*)
   mutable count: int;
}
let mean rsum =
   rsum.sum /. float rsum.count
<u>let</u> variance rsum = (*Var X = E[X^2] - E[X]^2)
   let m_squ = rsum.sum_of_squ /. float rsum.count in
    let m = mean rsum in
   m squ -. m *. m
let stddev rsum =
   sqrt (variance rsum)
```



```
let create () =
    { sum = 0.; sum_of_squ = 0.; count = 0 }
```

let update rsum x = (*update the states*)
rsum.sum <- rsum.sum +. x;
rsum.sum_of_squ <- rsum.sum_of_squ +. x *. x;
rsum.count <- rsum.count + 1</pre>

let rsum = create ()

let _ = List.iter (fun x -> update rsum x) [1.;3.;2.;-7.;4.;5.]
let _ = mean rsum
let _ = stddev rsum



Mutable Data

Refs

record type with a single mutable field called contents

```
# let x = ref 0;; (* create a ref, i.e., {contents = 0}*)
val x : int ref = {contents = 0}
# x;;
- : int ref = {contents = 0}
# !x;;
                  (* ! get the contents of a ref, i.e. x.contents *)
-: int = 0
# x := !x + 1;; (* := assignment, i.e., x.contents <- ... *)</pre>
-: unit = ()
# x.contents <- x.contents + 1;;</pre>
-: unit = ()
# x;;
- : int ref = {contents = 2}
```



- Computational object with time varying state
 - E.g.) balance of a bank account: each invocation of withdraw returns a different balance
 - If the initial balance was \$100
 - withdraw 10 returns 90
 - withdraw 10 returns 80, ...
 - The same withdraw 10 returns different values
 - To implement withdraw, we can use a variable balance
 - balance decrements by the amount of withdraw



```
# let balance = ref 100;; (*time varying state variable*)
val balance : int ref = {contents = 100}
```

```
# let withdraw amount =
    if !balance >= amount (*balance is outside of withdraw*)
    then begin
        balance := !balance - amount; (*balance changes*)
        !balance
    end
    else
        assert false;;
val withdraw : int -> int = < fun>
# withdraw 10;;
-: int = 90
# withdraw 10;;
-: int = 80
```



```
# let make_withdraw balance = (*balance is a local state var*)
fun amount ->
    if !balance >= amount
    then begin
        balance := !balance - amount;
        !balance
    end
    else assert false;;
val make_withdraw : int ref -> int -> int = <fun>
```

```
# let withdraw = make_withdraw (ref 100);;
val withdraw : int -> int = <fun>
```

```
# withdraw 10;;
- : int = 90
# withdraw 10;;
- : int = 80
```



- Computational object with local states
 - ⇒ assignments with local variables
- Problem: the substitution model does not work
 - Substitution model: replace the function parameters variables with actual parameter expressions

```
let double x = In substitution model
    x + x
    double (withdraw 10);;
- : int = 180 => (withdraw 10) + (withdraw 10)
```



Bank Account Example

```
(*bank account object
*)
<u>type</u> action = Withdraw | Deposit
let make account balance =
    <u>let</u> bal = ref balance <u>in</u> (*bal is a local state var*)
    let withdraw amount = (*subtract amount from bal*)
        if !bal >= amount
        then begin
            bal := !bal - amount;
             !bal
        end
        else
            assert false in
    <u>let</u> deposit amount = (*add amount to bal*)
        bal := !bal + amount;
        !bal in
```

...



Bank Account Example

```
let dispatch msg = (*dispatch: message-passing style*)
match msg with
    Withdraw -> withdraw
    Deposit -> deposit in
```

dispatch (*return dispatch as a result*);;

```
val make account : int -> action -> int -> int = <fun>
```

```
# let acc = make_account 100;;
val acc : action -> int -> int = <fun>
```

```
# acc Withdraw 10;;
- : int = 90
```

...

```
# acc Deposit 20;;
- : int = 110
```



Benefits of Introducing Assignments

- Modular design
 - Viewing systems as a collection of objects with local state
 - Without local variables, modularity can be broken
 - E.g.) Monte Carlo simulation
 - 6/π² is equal to the probability that two randomly chosen integers will have no common factors
 - $6/\pi^2 \sim \text{the probability of gcd} (\text{rand} ()) (\text{rand} ()) = 1$



```
(* Estimating pi: Monte Carlo simulation on Cesaro test
   local state: x in rand
*)
let rand_update x = (x * 16807) mod 0x7fffffff
let make rand rand init =
    let x = ref rand_init in
    fun () ->
        x := rand update !x;
        ! x
<u>let</u> rand = make_rand 1
<u>let</u> rec gcd a b =
    if a = 0 then b
    else if b = 0 then a
    else if a > b then gcd (a mod b) b
                        gcd (b mod a) a
    else
<u>let</u> cesaro_test () = (*experiment*)
    (gcd (rand ()) (rand ())) = 1
```



```
(*monte_carlo: estimates the probability that an experiment succeeds
    - the function can be used for different experiments
*)
let monte_carlo trials experiment =
    let rec iter n cnt_passed =
        if n = 0 then
            (float cnt_passed) /. (float trials)
        else if experiment () then
            iter (n - 1) (cnt_passed + 1)
        else
            iter (n - 1) (cnt_passed) in
```

```
let estimate_pi trials =
    sqrt (6. /. (monte_carlo trials cesaro_test))
```

```
<u>let</u> _ = estimate_pi 1000000
```

```
# #use "montecarlo.ml";;
- : float = 3.1410943510648726
```



Benefits of Introducing Assignments

- Monte Carlo simulation without using a local state
 - Use rand_update instead of rand
 - Monte Carlo idea cannot be isolated
 - experiment cannot be passed as a parameter
 - monte_carlo method is fixed for the cesaro test
 - The state variable x for rand should be carried through the iter function



```
(*Monte Carlo simulation WITHOUT a local state
 monte_carlo cannot be separated from the experiment (cesaro test)
*)
<u>let</u> monte_carlo trials =
    let rec iter n cnt passed r =
        <u>let</u> r1 = rand_update r <u>in</u>
        <u>let</u> r2 = rand_update r1 <u>in</u>
        if n = 0 then
             (float cnt passed) /. (float trials)
        else if (gcd r1 r2) = 1 then
             iter (n - 1) (cnt passed + 1) r2
        else
             iter (n - 1) (cnt_passed) r2 in
    iter trials 01
```

```
let estimate_pi trials =
    sqrt (6. /. (monte_carlo trials))
```

```
<u>let</u> _ = estimate_pi 1000000
```



Costs of Introducing Assignments

- The substitution model does not work
- "Nice" mathematical properties cannot be an adequate framework for objects
 - Referential transparency (equals can be substituted for equals) is violated
 - Reasoning about programs becomes drastically more difficult

```
# let w1 = make_withdraw (ref 25);;  # w1 10;;
val w1 : int -> int = <fun> - : int = 15
# let w2 = make_withdraw (ref 25);;  # w1 10;;
val w2 : int -> int = <fun> - : int = 5
# w2 10;;
- : int = 15
```



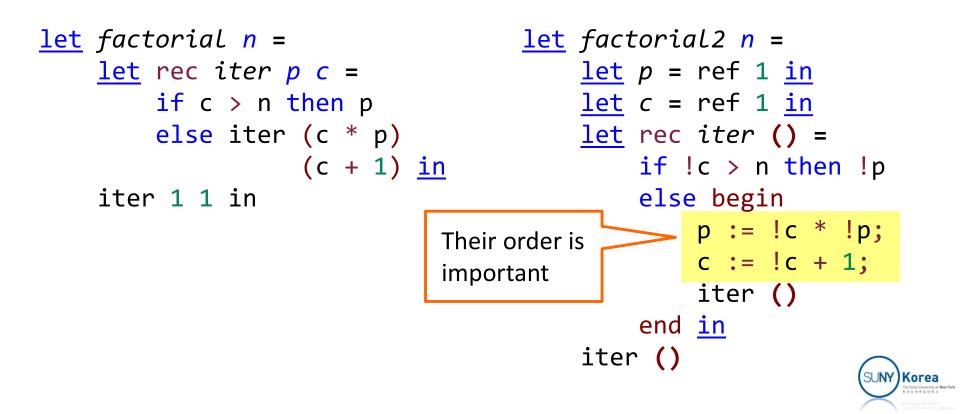
Costs of Introducing Assignments

- Functional programming
 - Programming without using assignments
 - Procedures can be viewed as mathematical functions
 - Two evaluations of the same procedure with the same arguments produce the same result
 - Referential transparency is preserved



Costs of Introducing Assignments

- Imperative programming
 - Extensive use of assignments
 - The order of assignment is important
 - E.g.) factorial



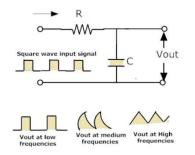


- Modeling state
 - We saw assignments as a tool for modeling states
 - Real world object with local state → computational object with local variable
 - Time variation in the real world → assignments to local variables
 - Streams: alternative approach
 - Time varying behavior of a variable x → a function of time x (t)
 - The function itself does not change



Streams

- A stream is simply a sequence
- Delayed evaluation → enables representing very large (possibly infinite) sequences as a stream
- Streams → modeling systems with states without using assignments





Abstractions for Sequences

- Abstractions for manipulating sequences
 map, filter, accumulate, ...
 - Elegantly manipulate sequences
 - But the elegance is bought at the price of inefficiency (both time and space)



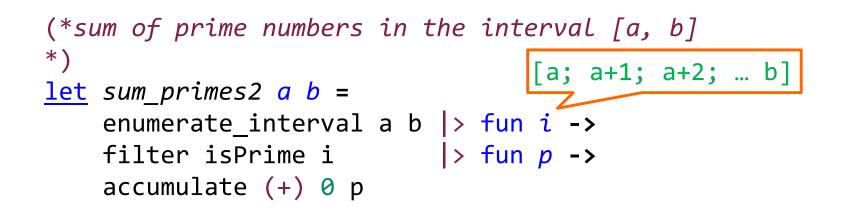
Abstractions for Sequences

```
(*sum of prime numbers in the interval [a, b]
*)
let sum_primes1 a b =
   let iter count accum =
        if count > b then
            accum
        else if isPrime count then
            iter (count + 1) (count + accum)
        else
            iter (count + 1) accum <u>in</u>
        iter a 0
```

sum_primes1 needs to store only the accumulated sum



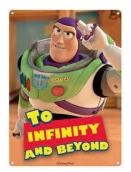
Abstractions for Sequences



 sum_primes2: generates an interval of list, generates a filtered list, then accumulate the list



Streams are Delayed Lists

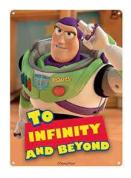


- With streams
 - Formulate programs elegantly as a sequence manipulation
 - Attaining the efficiency of incremental computation
- Streams
 - Construct a stream only partially
 - Pass the partial construction to its consumer
 - If the consumer tries to access unconstructed part of the stream → the stream will construct just enough more





```
type 'a stream = Nil
               Cons of 'a * (unit -> 'a stream) (*thunk*)
let cons a thunk =
    Cons (a, thunk)
let car s =
    match s with
    Nil -> assert false
    Cons (x, _) -> x
let cdr s =
    match s with
    Nil -> assert false
    Cons ( , f) -> f () (*force*)
<u>let</u> rec from n = cons n (fun () -> from (n + 1))
let nat = from 0 (*natural numbers*)
                                                              SUNY )Korea
```



map and filter functions

let rec map proc s =
 if s = Nil then Nil
 else cons (proc (car s))
 (fun () -> map proc (cdr s))

let plus1 = map (fun x -> x + 1) nat
let _ = plus1 |> cdr |> cdr |> cdr |> car
- : int = 4



thunking will delay

map and filter functions

let rec filter predi s =
 if s = Nil then Nil
 else if predi (car s) then
 cons (car s)
 (fun () -> filter predi (cdr s))
 else filter predi (cdr s)

```
<u>let</u> even = filter (fun x \rightarrow x \mod 2 = 0) nat
```

let _ = even |> cdr |> cdr |> cdr |> car

-: int = 6



Fibonacci Numbers with Streams

Fibonacci numbers

```
let fibs =
    let rec fibgen a b =
        cons a (fun () -> fibgen b (a + b)) in
        fibgen 0 1

let _ = fibs |> cdr |> cdr |> cdr |> cdr |> cdr |> car
- : int = 5
```



Prime Numbers with Streams

- Prime numbers (sieve of Eratosthenes)
- -0-

- Start with 2 (the 1st prime number)
- Get streams by filtering the multiples of 2
 - Leaves us a stream string with 3 (the 2nd prime number)
- Get streams by filtering the multiples of 3
 - Leaves us a stream string with 5 (the 3rd prime number)
- Get streams by filtering the multiples of 5
 - Leaves us a stream string with 7 (the 4th prime number)



Prime Numbers with Streams

Prime numbers by sieve of Eratosthenes

```
let rec sieve s =
    let h = car s in
    <u>let</u> thunk = fun () ->
        cdr s
        | filter (fun x -> (x mod h) <> 0)
        > sieve in
    cons h thunk
let primes = from 2 > sieve
(*n-th element of s*)
let rec stream_ref n s =
    if n = 0 then car s
             else stream_ref (n - 1) (cdr s)
let = stream ref 50 primes
```



Monte Carlo Simulation with Streams

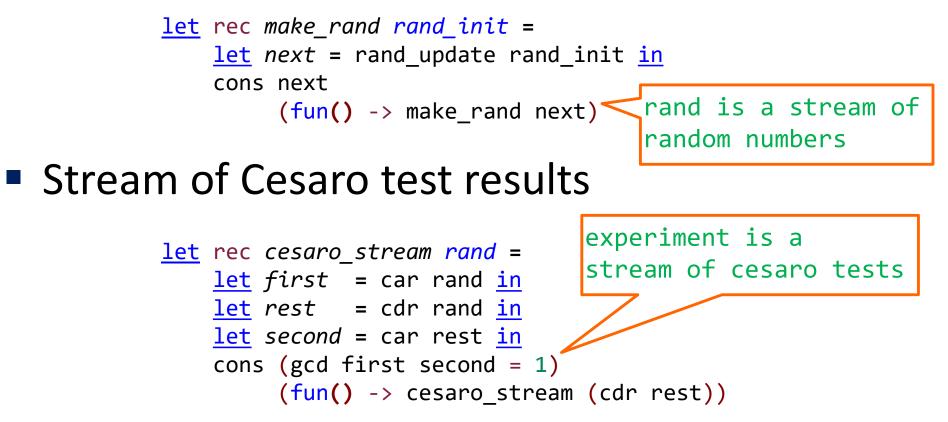
- Monte Carlo simulation using a stream
 - make_rand returns a stream of pseudo random numbers
 - cesaro_stream is a stream of Cesaro experiment
 - Monte Carlo simulation is separated from experiment
 - monte_carlo runs Cesaro test passed as an experiment
 - Modularity is regained



Monte Carlo Simulation with Streams

Stream of random numbers

let rand_update x = (x * 16807) mod 0x7ffffff





Monte Carlo Simulation with Streams

Monte Carlo simulation

monte_carlo is a stream
of probabilities

```
let rec monte_carlo passed trials experiment =
    let next passed trials =
        let h = (float passed) /. (float trials) in
        let thunk = fun() ->
            monte_carlo passed trials (cdr experiment) in
        cons h thunk in
        if car experiment
        then next (passed + 1) (trials + 1)
        else next passed (trials + 1)
```



Monte Carlo Simulation with Stream

The simulation program

```
let pi = make_rand 1
    |> cesaro_stream
    |> monte_carlo 0 0
    |> map (fun p -> sqrt (6. /. p))
 (*n-th element of s*)
let rec stream_ref n s =
    if n = 0
    then car s
    else cdr s |> stream_ref (n -1)
let _ = pi |> stream_ref 100000
```



Parameter Passing Modes

- Terms
 - Formal parameters: parameter names in the declaration of a subroutine
 - Actual parameters (arguments): expressions that are passed to a subroutine
- Parameter passing mode
 - How the parameters are passed
 - Call by value
 - Call by reference
 - Call by name
 - Call by need

```
void square(int x) {
    x = x * x;
}
void foo() {
    square(1 + 2);
}
```



Call by Value and Call by Reference

- First, the arguments to a function are fully evaluated before invoking the function (eager evaluation)
- Call by value: copies of the arguments are passed
- Call by reference: the addresses of arguments are passed

```
void square(int x) {
    x = x * x;
    }
void foo() {
    int y = 1 + 2;
    square(y);
}
void foo() {
    remove(o);
}
void remove(Object o) {
    o = null;
    void foo() {
    void foo() {
        Object o = new Object();
        remove(o);
    }
}
```



Call by Value and Call by Reference

- Why call by reference
 - To change the actual parameter value
 - When the size of actual parameter is large
- In call by value
 - Explicitly pass the addresses of variables (pointers in C)

```
void square(int* x) {
    int y = *x;
    *x = y * y;
}
void foo() {
    int y = 1 + 2;
    square( &y );
}
```



Call by Name and Call by Need

- Call by name: parameters are passed as literal substitution
 - Lazy evaluation
 - E.g. lambda calculus
- Call by need: call by name + memorize the evaluation results of actual parameters

```
int square(int x) {
    return x * x;
    void foo() {
    square(very_complex());
  }
```



Lazy Evaluation



- Function application
 - Arguments (without being evaluated) are stored in an environment as a thunk
 - Delay evaluating the actual parameters until they are necessary
- When the variable is actually used
 - The thunk is forced



Tiny: Lazy Evaluation

Call-by-name examples

```
(*no division by 0 error*)
( (lambda (c t f)
        (if c t f))
        true (+ 1 1) (/ 1 0))
```



Tiny: Lazy Evaluation

type expr = NUM of int (*number*) **BOOL** of bool (*Boolean*) **VAR** of string (*variable*) (*arithmetic exprs*) ADD of expr * expr | SUB of expr * expr ... (*function definition: parameter, body*) **FUN** of string * expr (*closure: parameter, body, environment*) | CLO of string * expr * (string * expr) list (**lazy eval*, *thunk*: *expr*, *env**) **TNK** of expr * (string * expr) **list** (*function application: operator, operand*) APP of expr * expr



```
(*evaluate expr in env*)
<u>let</u> rec eval expr env =
...
   let force = function
       TNK (e, ev) -> eval e ev (*Lazy*)
        | x -> x in
   match expr with
     BOOL b -> BOOL b
    NUM n -> NUM n
    VAR v -> lookup v env |> force
...
    FUN (v, e) -> CLO (v, e, env)
    (*Lazy: thunk a and env without evaluating a*)
    APP (f, a) -> eval f env |> fun clo ->
                     dropCLO clo |> fun (v, e, ev) ->
                     eval e ((v, TNK (a, env))::ev)
    -> assert false
```



```
(*no division by 0 error*)
<u>let</u> ite = parse
            "( (lambda (c t f))
                    (if c t f))
                true (+ 1 1) (/ 1 0))"
(*stream without thunking*)
let nat = parse
            "( (lambda (rec cons car cdr)\
                     ( (lambda (s) (car (cdr (cdr s)))))\ (*4th*)
                         (rec (lambda (self n)\ (*nat: stream wo thunk*)
                                 (cons n (self self (+ n 1))))
                              0)))\
                 (lambda (f) (f f)) \setminus
                 (lambda (x y z) (if z x y)) \setminus
                 (lambda (x) (x true))\
                 (lambda (x) (x false)))"
eval ite [] > print;
                                            Results:
eval nat [] > print;
                                            NUM(2)
()
                                            NUM(3)
```



Optional: Lazy module of OCaml

Lazy module

- Iazy <expr>: make expr of u type u Lazy.t type without evaluating it
- Lazy.force <expr>: evaluate Lazy.t type expr

```
module type Lazy = sig
    type 'a t = 'a lazy_t
    val force: 'a t -> 'a
end
```

```
# let a = lazy (1+2);;
val a : int lazy_t = <lazy>
# Lazy.force a;;
- : int = 3
```



Optional: Lazy module of OCaml

end

Lazy stream

```
module Stream = struct
   type 'a stream = Nil
   Cons of 'a * (unit -> 'a stream)
    let cons a thunk =
       Cons (a, thunk)
    let car s =
        match s with
        Cons (x, ) \rightarrow x
        _ -> assert false
    let cdr s =
        match s with
        Cons (_, f) -> f ()
        -> assert false
end
```

```
module StreamLazy = struct
    type 'a stream = Nil |
    Cons of 'a * 'a stream Lazy.t
```

```
let cons a thunk =
   Cons (a, thunk)
```

```
let cdr s =
   match s with
    | Cons (_, f) -> Lazy.force f
    | _ -> assert false
```

Optional: Lazy module of OCaml

end

```
module StreamTest = struct
    open Stream
```

```
let rec sum a b =
    cons ((car a) + (car b))
    (fun () -> sum (cdr a) (cdr b))
let rec fibs () =
```

```
cons 1 (fun () ->
cons 2 (fun () ->
sum (fibs ()) (cdr (fibs ()))
))
```

```
let rec stream_ref s n =
    if n = 0
    then car s
    else stream_ref (cdr s) (n -1)
```

end

```
let _ = let open StreamTest in
    stream_ref (fibs ()) 10
```

module StreamLazyTest = struct
 open StreamLazy

```
let rec sum a b =
    cons ((car a) + (car b))
    (lazy (sum (cdr a) (cdr b)))
```

```
let rec fibs () =
    cons 1 (lazy (
        cons 2 (lazy (
        sum (fibs ()) (cdr (fibs ()))
        ))))
```

```
let rec stream_ref s n =
    if n = 0
    then car s
    else stream_ref (cdr s) (n -1)
```

```
let _ = let open StreamLazyTest in
    stream_ref (fibs ()) 10
```



Assignment 6

- In this assignment we will simulate simple circuits using streams
 - Wires as a stream
 - Logical gates
 - Half adder, Full adder, and n-bit adder
 - Download, adder.ml; implement all TODOs; and submit adder.ml to Brightspace
- Due date 5/2/2024



```
*)
module type IStream = sig
   type 'a stream = Nil | Cons of 'a * (unit -> 'a stream)
   val cons: 'a -> (unit -> 'a stream) -> 'a stream
   val nil: unit -> 'a stream
   val car: 'a stream -> 'a
   val cdr: 'a stream -> 'a stream
   val index: 'a stream -> int -> 'a
end
(* TODO: impelemnt Stream module
*)
module Stream: IStream = struct
   type 'a stream = Nil | Cons of 'a * (unit -> 'a stream)
   let cons h t =
   let nil () =
   let car = function
   let cdr = function
   let rec index s n = (*return the n-th element of stream s*)
end
```





```
*)
module type IWire = sig
   include IStream
   type wire = int stream
   val w zero: wire
   val w_one: wire
   val probe: wire list -> int -> unit
end
module Wire: IWire = struct
   include Stream
   type wire = int stream
   (* TODO: implement constant
      constant c returns the infinite stream of c
   *)
   let rec constant c =
   let w_zero = constant 0
   let w_one = constant 1
end
```





```
*)
module type IGate = sig
   open Wire
                                              And-gate
                                    Inverter
                                                         Or-gate
   val g_not: wire -> wire
   val g_and: wire -> wire -> wire
   val g_or: wire -> wire -> wire
end
module GateBuilder (P: IGateParam): IGate = struct
   open Wire
    (* delay d stream adds d 0's to the front of stream
       e.g. delay 3 stream => [0; 0; 0; stream]
   *)
   let rec delay d stream =
       if d = 0
       then stream
       else cons 0 (fun () -> delay (d-1) stream)
```



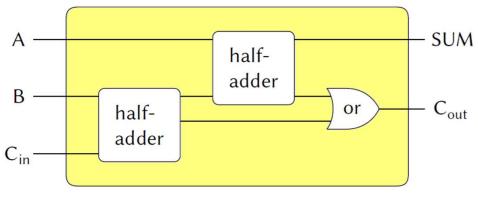
```
(*not-gate: returns the negated stream of w a
    e.g. g_not [1; 1; 0; 0; ...] => [0; 0; 1; 1; ...]
*)
let g not w a =
    let rec iter wa =
        let a = car wa in
        let o = if a = 0 then 1 else 0 in
        cons o (fun () -> iter (cdr wa)) in
    iter w_a |> delay P.delay_not
(*TODO: impement g and, the and-gate
    - g and returns the stream of the conjunction of w a and w b
    e.g. g_and [1; 1; 0; 0; ...] [1; 0; 1; 0; ...] => [1; 0; 0; 0; ...]
*)
let g and w a w b =
(*TODO: impement g or, the or-gate
    - g_or returns the stream of the disjunction of w_a and w_b
    e.g. g_and [1; 1; 0; 0; ...] [1; 0; 1; 0; ...] => [1; 1; 1; 0; ...]
*)
let g_or w_a w b =
```



...

```
A -
                                                             D
                                                                          - S
*)
module type IAdder = sig
                                                                          - C
                                                B
   open Wire
                                                       A half-adder circuit.
   val half_adder: wire -> wire -> (wire * wire)
   val full_adder: wire -> wire -> wire -> (wire * wire)
                   wire list -> wire list -> wire list
   val adder:
end
module AdderBuilder (G:IGate) : IAdder = struct
   open Wire
   open G
    (*TODO: impement half adder, a half-adder
       - half adder returns the tuple of the sum and the carry streams
         of w_a and w_b
       e.g. half_adder [1; 1; 0; 0; ...]
                       [1; 0; 1; 0; ...]
                   => ([0; 1; 1; 0; ...], [1; 0; 0; 0; ...])
   *)
   let half adder w a w b =
```





A full-adder circuit.



(*TODO: impement adder, an n-bit adder - wl_a, wl_b: list of wires of the form [LSB wire; ...; MSB wire] - adder returns the sum of wl_a, wl_b with carry in the form [LSB wire; ...; MSB wire; carry wire] e.g. adder [[1; 0; 1; 1; ...]; $[1; 1; 1; 0; \ldots]$ $[[1; 1; 1; 0; \ldots];$ [0; 1; 1; 1; ...]] [[0; 1; 0; 1; ...]; => $[0; 0; 1; 1; \ldots];$ $[1; 1; 1; 0; \ldots]$ i.e. adder [3; 2; 3; 1; ...] $[1; 3; 3; 2; \ldots]$ => [(0, 1); (1, 1); (2, 1); (3, 0); ...] *) let adder wl a wl b = let rec iter wl a wl b w c = iter wl a wl b w zero end $A_3 B_3 C_3 I I$ $A_1 B_1 C_1$ $A_2 B_2 C_2$ $A_n B_n C_n = 0$ MSB FA LSB FA FA FA C C_{n-1} S₁ S2 S. S2

A ripple-carry adder for *n*-bit numbers.

