

# CSE216 Programming Abstractions

## Procedural Abstraction

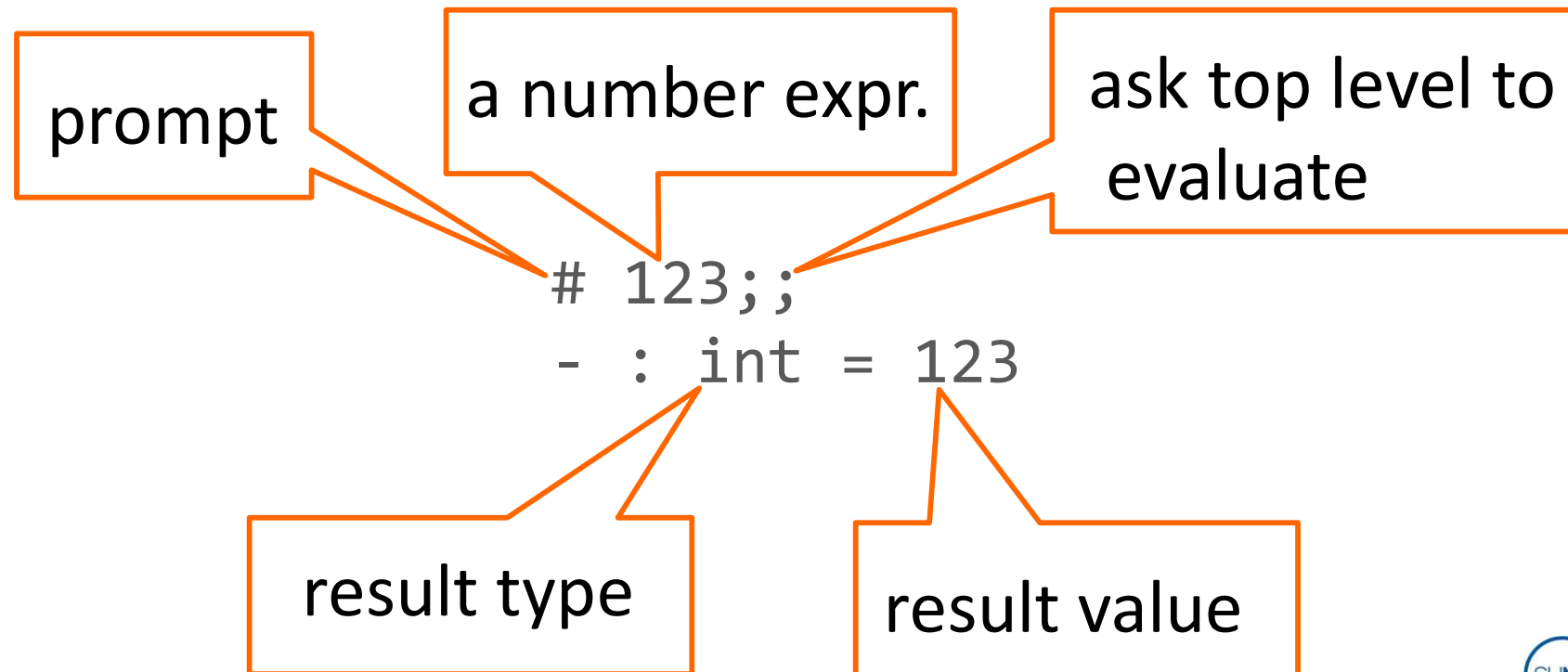
YoungMin Kwon

# Elements of Programming

- Primitive expressions
  - The simplest entries the language is concerned with
- Means of combination
  - By which compound elements are built from simpler ones
- Means of abstraction
  - By which compound elements can be named and manipulated as units

# Numbers

- Number
  - A primitive expression
  - Type `123;;` to the OCaml interactive system (a.k.a. toplevel)



# Combining Numbers

- Arithmetic operators

- Using arithmetic operators

- `+ - * / mod`     `+. -. *. /. **`

- Type `1 + 2 * 3` in the OCaml top level

```
# 1 + 2 * 3;;  
- : int = 7
```

- Type `1. +. 2. *. 3.`

```
# 1. +. 2. *. 3.;;  
- : float = 7.
```

# Combining Numbers

- Arithmetic operators

- For each operator, there is a corresponding function

```
# (+);;  
- : int -> int -> int = <fun>
```

- Function application

- No parenthesis around parameters
- Parameters are separated by spaces

```
# (+) 1 2;;  
- : int = 3
```

# Combining Numbers

- Type coercion is not automatic in OCaml

```
# 1.0 + 2.0;;  
Characters 0-3:  
  1.0 + 2.0;;  
  ^^^
```

Error: This expression has type float but an expression  
was expected of type int

```
# 1.0 +. 2.0;;  
- : float = 3.  
# (+.);;  
- : float -> float -> float = <fun>  
  
# float_of_int 1;; (* or float 1 *)  
- : float = 1.  
# int_of_float 1.5;;  
- : int = 1
```

# Abstraction by Names

- **Names** are to refer to objects
  - Name: variable
  - Its value: object
  - Names provide a mean of abstraction
- Create a variable to name a value
  - **let binding**

*let* <variable> = <expr>

```
# let x = 1 + 2;;  
val x : int = 3
```

```
# let add = (+);;  
val add : int -> int -> int = <fun>
```

# Abstraction by Names

- Environment

- A data structure that keeps track of name-value pairs

```
# x;;  
- : int = 3
```

```
# add;;  
- : int -> int -> int = <fun>
```

```
# add x 1;;  
- : int = 4
```



# Evaluating Combinations

- How to evaluate a **combination** (prefix operator case)
  1. Evaluate the sub-expressions of the combination
  2. Apply the function (the first sub-expr) to the arguments (the other sub-exprs)

# Evaluating Combinations

- To evaluate sub-expressions
  - If a sub-expr is a **combination**: recursively evaluate the combination
  - If a sub-expr is a **primitive** expression
    - Number: the value of the number
    - Built-in operator: the code that executes the operation
    - Name: object associated with the name in the environment

# Evaluating Combinations

- Example

```
# let add = (+);;  
val add : int -> int -> int = <fun>
```

```
# let mul = ( * );;  
val mul : int -> int -> int = <fun>
```

```
# let x = 5;;  
val x : int = 5
```

*notice the space:  
(\* would start a comment*

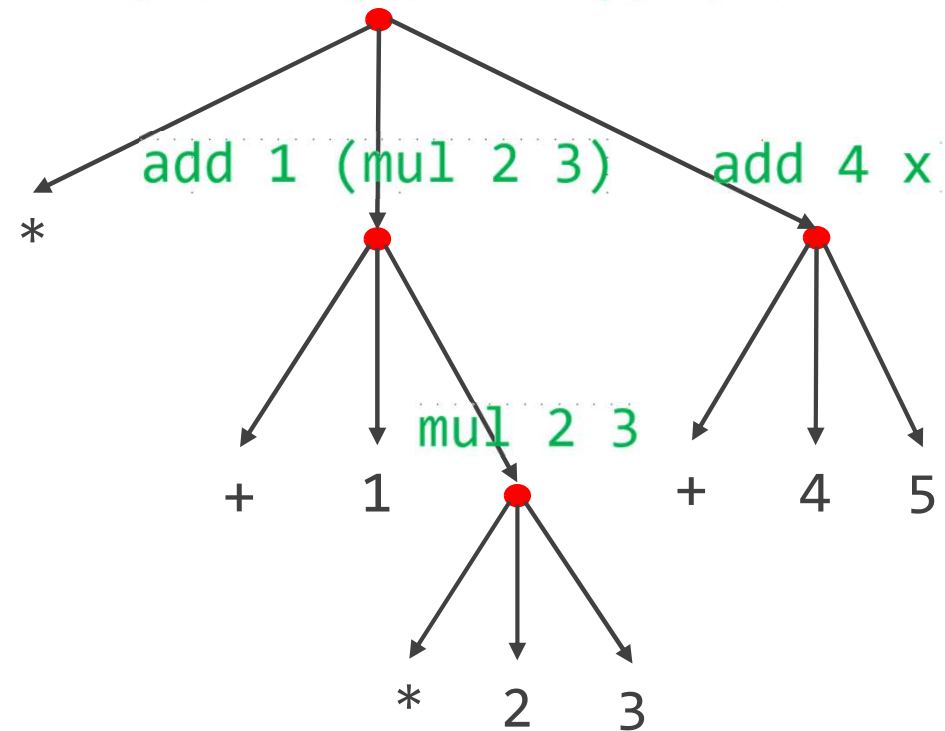
```
# mul (add 1 (mul 2 3))  
      (add 4 x);;  
- : int = 63
```

# Evaluating Combinations

- Example

```
# mul (add 1 (mul 2 3))  
      (add 4 x);;  
- : int = 63
```

mul (add 1 (mul 2 3)) (add 4 x)



# Abstraction by Functions

- Function definition
  - With compound operations, it provides a powerful *abstraction* mechanism

*Let* <name> <formal parameters> = <body>

```
# let square x = x * x;;  
val square : int -> int = <fun>
```

name

formal  
parameter

body

# Abstraction by Functions

- Function application

*<operator-expr> <operand-expr>*

```
# square 2;;  
- : int = 4
```

function

actual parameter

# Abstraction by Functions

## ■ Examples

```
# square 3;;  
- : int = 9
```

```
# square (1+2);;  
- : int = 9
```

```
# square (square 3);;  
- : int = 81
```

```
# let sum_of_squares x y = square x + square y;;  
val sum_of_squares : int -> int -> int = <fun>
```

```
# sum_of_squares 3 4;;  
- : int = 25
```

square is used as a building block of another procedure

# Abstraction by Functions

- Anonymous function definition

*fun* <formal parameters> -> <body>

```
# fun x -> x * x;;  
- : int -> int = <fun>
```

anonymous  
function

formal  
parameter

body

```
# (fun x -> x * x) 3;;  
- : int = 9
```

```
# let square = fun x -> x * x;;  
val square : int -> int = <fun>
```



# Abstraction by Functions

- Multi-parameter functions
  - Nested single parameter functions

```
let add x y = x + y  
≡ let add = fun x -> fun y -> x + y  
≡ let add = fun x -> (fun y -> x + y)
```

```
add 2 3  
≡ (add 2) 3
```

```
≡ let add2 = add 2  
   add2 3
```

- Pattern matching on a tuple

```
let add (x, y) = x + y  
add (2, 3)
```

```
≡ let p = (2, 3)  
   add p
```

# Currying

- Currying
  - Tuple parameter function → nested single parameter functions

```
# let add (a, b) = a + b;;  
val add : int * int -> int = <fun>  
# add (1, 2);;  
- : int = 3
```

```
# let add' a b = a + b;;  
val add' : int -> int -> int = <fun>  
# add' 1 2;;  
- : int = 3
```

```
# let inc = add' 1;;  
val inc : int -> int = <fun>  
# inc 2;;  
- : int = 3
```

# Currying

- A curry function

*(\*take x and y separately and apply them together as a pair\*)*

```
let curry f = fun x -> fun y -> f (x, y)
```

```
let add' = curry add
```

```
let inc = add' 1
```

```
let _ = inc 3
```

```
val curry : ('a * 'b -> 'c) -> 'a -> 'b -> 'c = <fun>
```

```
val add : int * int -> int = <fun>
```

```
val add' : int -> int -> int = <fun>
```

```
val inc : int -> int = <fun>
```

```
- : int = 4
```

# Function Composition

- Function composition operator (`|>`)
  - A way to avoid **nested function calls**
  - A way to bind a **temporary result** to a variable

*(\*function composition operator\*)*

```
let (|>) x f = f x
```

```
let inc x = (+) 1
```

```
let _ = 1 |> inc |> inc |> inc  
- : int = 4
```

# Function Composition

*(\*function composition\*)*

```
let (|>) x f = f x
```

```
val ( |> ) : 'a -> ('a -> 'b) -> 'b = <fun>
```

```
let square x = x * x
```

```
val square : int -> int = <fun>
```

```
let sum_of_squares x y =
```

```
  square x |> fun xx -> (*bind result to a temp. var. xx*)
```

```
  square y |> fun yy -> xx + yy
```

```
val sum_of_squares : int -> int -> int = <fun>
```

```
let _ = sum_of_squares 3 4
```

```
- : int = 25
```

# Order of Evaluation

- Application order
  - Evaluate the **parameters** and **then apply** the function

```
# let if_then_else p t f = if p then t else f;;  
val if_then_else : bool -> 'a -> 'a -> 'a = <fun>
```

```
# if_then_else (1 < 2) 1 2;;  
- : int = 1
```

```
# if_then_else (1 < 2) (1 / 1) (1 / 0);;  
Exception: Division_by_zero.
```

# Order of Evaluation

- **Substitution model** for function application
  - Evaluate the body with each formal parameter **replaced** by its actual parameter

sum\_of\_squares 3 4

⇒ square 3 + square 4

⇒ (mul 3 3) + (mul 4 4)

⇒ (( \* ) 3 3) + (( \* ) 4 4))

⇒ 9 + 16

⇒ 25

```
let mul = ( * )
```

```
let square x = mul x x
```

```
let sum_of_squares x y =  
  square x + square y
```

# Order of Evaluation

- Normal order
  - **Substitute** operand expressions for parameters until only primitive expressions left
    - Do not evaluate the operands until their values are needed

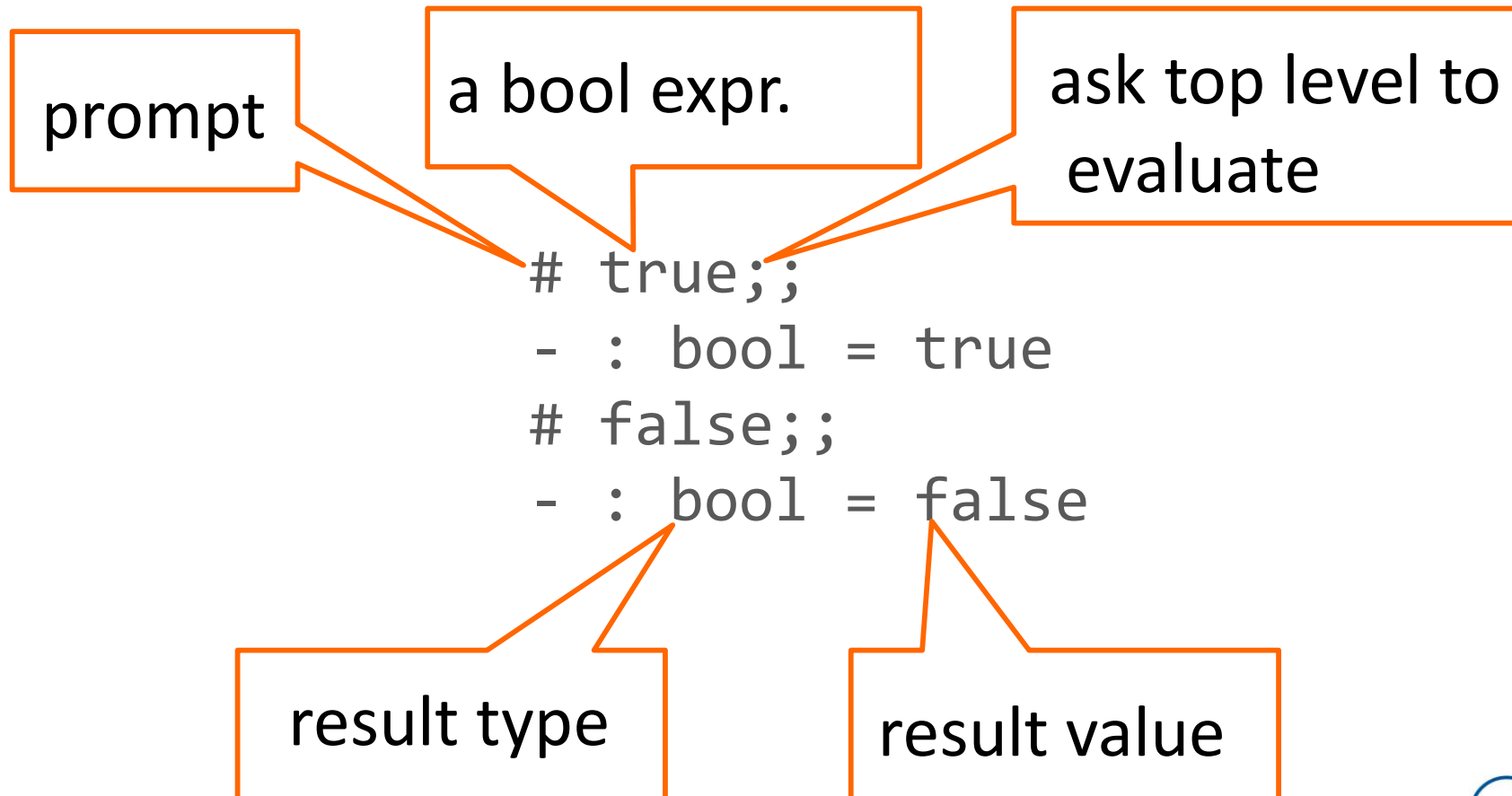
```
# if_then_else (1 < 2) (1 / 1) (1 / 0);; ??
```

```
# if 1 < 2 then 1 / 1 else 1 / 0;;  
- : int = 1
```



# Boolean Expression

- Bool
  - Primitive expressions



# Comparisons

```
# (=);;  
- : 'a -> 'a -> bool = <fun>
```

```
# (<>);;  
- : 'a -> 'a -> bool = <fun>
```

```
# (>);;  
- : 'a -> 'a -> bool = <fun>
```

```
# 2 > 1;;  
- : bool = true
```

```
# 2. > 1.;;  
- : bool = true
```

```
# 2 > 1.;;  
Characters 4-6:  
  2 > 1.;;  
    ^^
```

Error: This expression has  
Type float but an expression  
was expected of type int

```
# int_of_float 1. > 2;;  
- : bool = false
```

```
# float_of_int 1 > 2.;;  
- : bool = false
```

```
# float 1 > 2.;;  
- : bool = false
```

```
# "abc" = "abc";;  
- : bool = true
```

```
# "abc" <> "abc";;  
- : bool = false
```

```
# "abc" < "def";;  
- : bool = true
```

# Comparisons

```
(* =, <>: compare structures,  
    ==, !=: compare addresses *)
```

```
# (==);;
```

```
- : 'a -> 'a -> bool = <fun>
```

```
# (!=);;
```

```
- : 'a -> 'a -> bool = <fun>
```

```
# "hello" = "hello";;
```

```
- : bool = true
```

```
# "hello" <> "hello";;
```

```
- : bool = false
```

```
# "hello" == "hello";;
```

```
- : bool = false
```

```
# "hello" != "hello";;
```

```
- : bool = true
```

```
# let v = "hello";;
```

```
val v : string = "hello"
```

```
# v = v;;
```

```
- : bool = true
```

```
# v <> v;;
```

```
- : bool = false
```

```
# v == v;;
```

```
- : bool = true
```

```
# v != v;;
```

```
- : bool = false
```

```
# let u = v;;
```

```
val u : string = "hello"
```

```
# u == v;;
```

```
- : bool = true
```

```
# u != v;;
```

```
- : bool = false
```

# Logical Connectives

- Logical connectives: **&&**, **||**, **not**
  - **!** is a dereference operator

```
# (&&);;  
- : bool -> bool -> bool = <fun>
```

```
# let inside lb ub x = lb <= x && x <= ub;;  
val inside : 'a -> 'a -> 'a -> bool = <fun>
```

```
# inside 0 10 5;;  
- : bool = true
```

```
# let outside lb ub x = not (inside lb ub x);;  
val outside : 'a -> 'a -> 'a -> bool = <fun>
```

```
# outside 0 10 5;;  
- : bool = false
```

# Logical Connectives

- Evaluation order of **&&** and **||**

```
# false && 1/0 > 0;;  
- : bool = false
```

```
# (&&) false (1/0 > 0);; (* not exactly normal order  
eval., but similar to it *)  
- : bool = false
```

```
# true || 1/0 > 0;;  
- : bool = true
```

```
# false || 1/0 > 0;;  
Exception: Division_by_zero.
```

# Conditional Expressions

- Predicate
  - An expression whose value is interpreted as either true or false
- Conditional expression

```
if <predicate> then <consequent>  
      else <alternative>
```

```
# let abs x = if x >= 0 then x else - x;;  
val abs : int -> int = <fun>
```

```
# abs (-3);;  
- : int = 3
```

# Conditional Expressions

- Example: factorial

```
# let rec factorial x =  
  if x = 0  
  then 1  
  else x * factorial (x - 1);;  
val factorial : int -> int = <fun>
```

```
# factorial 4;;  
- : int = 24
```

- To define a *recursive function*, use **let rec**

# Conditional Expressions

- Example: even and odd

```
# let rec even x =  
    if x = 0 then true else odd (x - 1)  
    and odd x =  
        if x = 0 then false else even (x - 1);;  
val even : int -> bool = <fun>  
val odd  : int -> bool = <fun>  
  
# even 3;;  
- : bool = false  
  
# odd 3;;  
- : bool = true
```

- To define *mutually recursive functions*, use **let rec** and



# Conditional Expressions

- Example gcd

```
# let rec gcd x y =  
  if      x > y then gcd (x - y) y  
  else if x < y then gcd (y - x) x  
  else x;;  
val gcd : int -> int -> int = <fun>
```

```
# gcd 15 6;;  
- : int = 3
```

# Assignment 1

- Implement move function
  - Download TowerOfHanoi.ml and implement its move function



- Upload TowerOfHanoi.ml to Brightspace
- Due date: 3/14/2024

```
(* Tower of Hanoi
*)
```

```
(* TODO: implement move function
```

```
  move n src dst aux:
```

```
    moves n disks from src to dst using aux
```

```
  if n is 1,
```

```
    print the movement from src to dst
```

```
  otherwise,
```

```
    move n-1 disks from src to aux,
```

```
    move 1 disk from src to dst, and
```

```
    move n-1 disks from aux to dst.
```

```
  hint: use Printf.printf "move from %s to %s\n" ...
```

```
  hint: for a series of expressions use begin ... end
```

```
    e.g. begin move...; move...; move... end
```

```
*)
```

```
let main () =  
  move 3 "A" "B" "C"
```

```
let _ = main ()
```

```
(*  
expected result:  
#use "TowerOfHanoi.ml";;  
val move : int -> string -> string -> string -> unit = <fun>  
val main : unit -> unit = <fun>  
move from A to B  
move from A to C  
move from B to C  
move from A to B  
move from C to A  
move from C to B  
move from A to B  
- : unit = ()  
*)
```

# Procedural Abstraction

- Procedural abstraction
  - Regard procedures as a **black box**
  - Concern only with the fact that a procedure computes the **correct result**, but not with **how**
  - Any procedures that compute the result are **equally good**

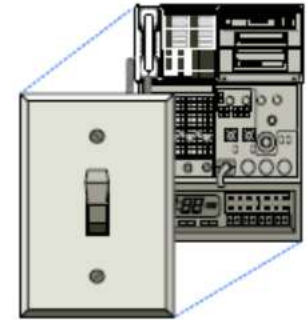


# Procedural Abstraction

- Example

```
# let square x = x *. x;;  
val square : float -> float = <fun>
```

```
# let square x = exp (log x +. log x);;  
val square : float -> float = <fun>
```



- A user should not need to know how the procedure is **implemented** in order to **use** it
- Procedure definitions should be able to **suppress details**

# Procedural Abstraction

- Local names
  - Formal parameter names should not matter to the user of the procedure
    - **Parameter names** should be **local** to procedure body

```
# let square x = x *. x;;  
val square : float -> float = <fun>
```

```
# let square y = y *. y;;  
val square : float -> float = <fun>
```

- These procedures should **not** be **distinguishable**

# Procedural Abstraction

- Local names

```
# let square x = x *. x;;  
val square : float -> float = <fun>
```

```
# let sum_of_squares x y = (square x) +. (square y);;  
val sum_of_squares : float -> float -> float = <fun>
```

- **x** in the body of square should be different from the **x** in the body of sum\_of\_squares



# Procedural Abstraction

- Computing  $\pi$  (Nilakantha series)

$$\pi = 3 + \frac{4}{2 \times 3 \times 4} - \frac{4}{4 \times 5 \times 6} + \frac{4}{6 \times 7 \times 8} - \frac{4}{8 \times 9 \times 10} + \dots$$

- How to run a program from a file
  - To test large programs.
  - Write `pi.ml` with the definition of pi above
  - In the OCaml top level type `#use "pi.ml";;`

# Procedural Abstraction

$$\pi = 3 + \frac{4}{2 \times 3 \times 4} - \frac{4}{4 \times 5 \times 6} + \frac{4}{6 \times 7 \times 8} - \frac{4}{8 \times 9 \times 10} + \dots$$

```
(* pi.ml  
   Computes pi using Nilakantha series  
*)
```

```
let abs x =  
  if x < 0. then -. x else x
```

```
let good_enough guess old_guess tol =  
  (abs (guess -. old_guess)) <= tol;;
```

```
let term x sign =  
  sign *. 4. /. (x *. (x +. 1.) *. (x +. 2.))
```

# Procedural Abstraction

```
let rec pi_iter guess old_guess x sign tol =  
  if good_enough guess old_guess tol  
  then guess  
  else pi_iter (guess +. (term x sign))  
              guess  
              (x +. 2.)  
              (-. sign)  
              tol
```

```
let pi tol =  
  pi_iter 3. 0. 2. 1. tol
```

```
let _ = pi 1e-10
```

```
# #use "pi.ml";;  
val abs : float -> float = <fun>  
val good_enough : float -> float -> float -> bool = <fun>  
val term : float -> float -> float = <fun>  
val pi_iter : float -> float -> float -> float -> float -> float...  
val pi : float -> float = <fun>  
- : float = 3.1415926535398846
```

$$\pi = 3 + \frac{4}{2 \times 3 \times 4} - \frac{4}{4 \times 5 \times 6} + \frac{4}{6 \times 7 \times 8} - \frac{4}{8 \times 9 \times 10} + \dots$$

# Procedural Abstraction



- Internal definitions
  - In the previous program,
    - `pi` is the only procedure that is important to users
    - The other procedures only clutter up their minds
  - Solution  $\Rightarrow$  allow procedures to have **internal definitions** that are local to the procedure

# Procedural Abstraction

- Block structure
  - Nesting of definitions

*let* <variable> = <expr1> *in* <expr2>

- In expr2, variable is equal to expr1
- *let* binding is equivalent to

( *fun* <variable> -> <expr2> ) <expr1> or  
<expr1> |> *fun* <variable> -> <expr2>

```
let foo () =  
  let x = 1 in  
  let y = x + 1 in  
  let z = y + 1 in  
  z + 3
```

```
let foo' () =  
  (fun x ->  
    (fun y ->  
      (fun z -> z + 3)  
      (y + 1))  
    (x + 1))
```

1

```
let foo'' () =  
  1 |> fun x ->  
  x + 1 |> fun y ->  
  y + 1 |> fun z ->  
  z + 3
```

```

(* compute pi, Nilakantha series *)
let pi tol =
  let rec pi_iter guess old_guess step sign =
    let good_enough () =      (*(), called unit, is like void*)
      let abs x =
        if x < 0. then -. x else x in
      (abs (guess -. old_guess)) <= tol in

    let term x =
      sign *. 4. /. (x *. (x +. 1.) *. (x +. 2.)) in

    if good_enough ()
    then guess
    else pi_iter (guess +. (term step))
                guess
                (step +. 2.)
                (-. sign) in
  pi_iter 3. 0. 2. 1.

let _ = pi 1e-10

# #use "pi_iter2.ml";;
val pi : float -> float = <fun>
- : float = 3.1415926535398846

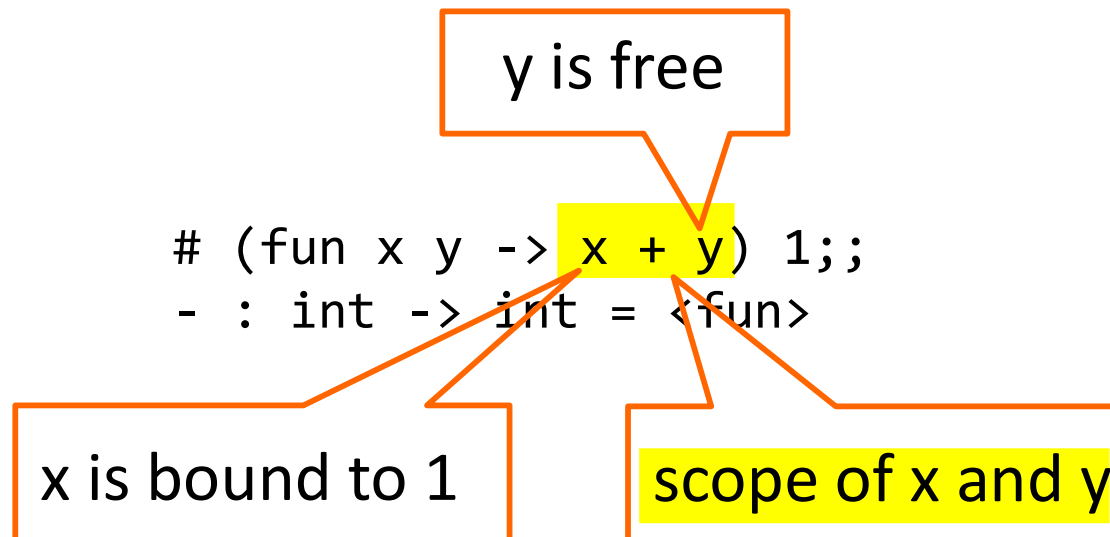
```

# Variable Binding

- Variable **binding**
  - Associate variable names with values
  - **Bound variable**: a variable that is bound to a value
  - **Free variable**: a variable that is not bound
  - **Scope**: the set of expressions for which a binding defines a name

# Variable Binding

- Variable binding
  - Formal parameters are bound to actual parameters
  - The scope of formal parameters is the procedure body





# Variable Binding

- Lexical (static) scoping
  - Find the binding from the closest nesting procedures and let bindings
- Dynamic scoping
  - Each time a function is invoked, a new scope is pushed onto the stack

# Variable Binding

```
let first x =  
  let a = 1 in  
    let second x =  
      let b = 2 in  
        let third x =  
          x + a + b in  
          let fourth x =  
            let a = 3 in  
              let b = 4 in  
                x + third b in  
            x + fourth b in  
          x + second a in  
first 10 -> 20
```

Lexical scoping

```
third:  
x = 4
```

```
fourth:  
x = 2, a = 3, b = 4
```

```
second:    x = 1, b = 2,  
third = ..., fourth = ...
```

```
first:  
x = 10, a = 1, second = ...
```

```
first 10 -> 24
```

Dynamic scoping

# Higher-Order Procedures

- First-class elements
  - Named by **variables**
  - Passed as **arguments** to procedures
  - **Returned** as the results of procedures
  - Included in **data structures**
- Procedures are a first-class element

# Higher-Order Procedures

- **Abstractions** with higher-order procedures
  - The *same programming pattern* will be used with *different procedures*
  - To express such **patterns as concepts**, we need *higher-order procedures*
- **Higher-order procedures** are procedures that
  - Accept procedures as arguments
  - Return procedures as values

# Higher-Order Procedures

- Example

- **Sigma notation**: an abstraction of summation of a series

$$\sum_{n=a}^b f(n) = f(a) + \cdots + f(b)$$

```
let rec sum term n next b =  
  if n > b then 0.  
  else (term n) +. (sum term (next n) next b)
```

# Higher-Order Procedures

```
let sum_cubes a b =  
  let cube x = x ** 3. in  
  let inc x = x +. 1. in  
  sum cube a inc b
```

```
let _ = sum_cubes 0. 3.
```

```
- : float = 36.
```

```
let sum_ints a b =  
  let identity x = x in  
  let inc x = x +. 1. in  
  sum identity a inc b
```

```
let _ = sum_ints 0. 10.
```

```
- : float = 55.
```

# Higher-Order Procedures

- Computing  $\pi$  (Nilakantha series)

$$\pi = 3 + \frac{4}{2 \times 3 \times 4} - \frac{4}{4 \times 5 \times 6} + \frac{4}{6 \times 7 \times 8} - \frac{4}{8 \times 9 \times 10} + \dots$$

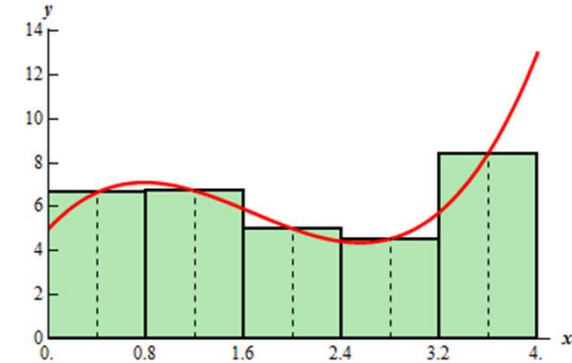
```
let sum_pi n =  
  let term x =  
    let y = x *. 2. in  
    let sign = -1. ** (x +. 1.) in  
    sign *. 4. /. (y *. (y +. 1.) *. (y +. 2.)) in  
  let inc x = x +. 1. in  
  3. +. sum term 1. inc n
```

```
let _ = sum_pi 100.
```

```
- : float = 3.1415924109719806
```

# Higher-Order Procedures

- Numerical integration



$$\int_a^b f = \left[ f\left(a + \frac{dx}{2}\right) + f\left(a + dx + \frac{dx}{2}\right) + f\left(a + 2dx + \frac{dx}{2}\right) + \dots \right] dx$$

```
let integral f a b dx =  
  let term x = f (x +. dx /. 2.) in  
  let next x = x +. dx in  
  dx *. (sum term a next b)
```

```
let _ = integral sin 0. 3.141592 0.001
```

```
- : float = 2.0000000003679608
```



# Lambda

- Anonymous function definition

```
let <name> = fun <formal parameters> -> <body>
```

```
# let square = fun x -> x * x;;  
val square : int -> int = <fun>
```

- Anonymous **recursive** function definition

```
let rec <name> = fun <formal parameters> -> <body>
```

```
# let rec fact = fun x ->  
    if x = 0 then 1 else x * fact (x - 1);;  
val fact : int -> int = <fun>
```

# Lambda

## ■ Examples

```
let sum_cubes a b =  
  let cube x = x ** 3. in  
  let inc x = x +. 1. in  
  sum cube a inc b
```

```
let sum_cubes2 a b =  
  sum (fun x -> x ** 3.) a (fun x -> x +. 1.) b
```

```
let sum_ints a b =  
  let identity x = x in  
  let inc x = x +. 1. in  
  sum identity a inc b
```

```
let sum_ints2 a b =  
  sum (fun x -> x) a (fun x -> x +. 1.) b
```

# Lambda

- let and lambda
  - let bindings can be rewritten using lambda
  - The following two expressions are equivalent

```
Let <name_1> = <expr_1> in      (fun <name_1>
Let <name_2> = <expr_2> in      <name_2> ...
...                               <name_n> -> <body>)
Let <name_n> = <expr_n> in      <expr_1>
<body>                          <expr_2> ...
                                <expr_n>
```

```
# let x = 3 in
  let y = 4 in
    x + y;;
- : int = 7
```

```
# (fun x y -> x + y) 3 4;;
- : int = 7
```

# Example: Bisection Method

```
let bisection f a b =  
  let eps = 1e-10 in  
  let abs x = if x < 0. then -. x else x in  
  let rec iter a b fa fb =  
    let m = (a +. b) /. 2. in  
    let fm = f m in  
    if abs (a -. b) < eps then  
      m  
    else if fa *. fm < 0. then  
      iter a m fa fm  
    else  
      iter m b fm fb in  
  iter a b (f a) (f b)
```

```
let sqrt x =  
  bisection (fun y -> y *. y -. x) 0. 10.
```

```
let sqrt2 = sqrt 2.
```

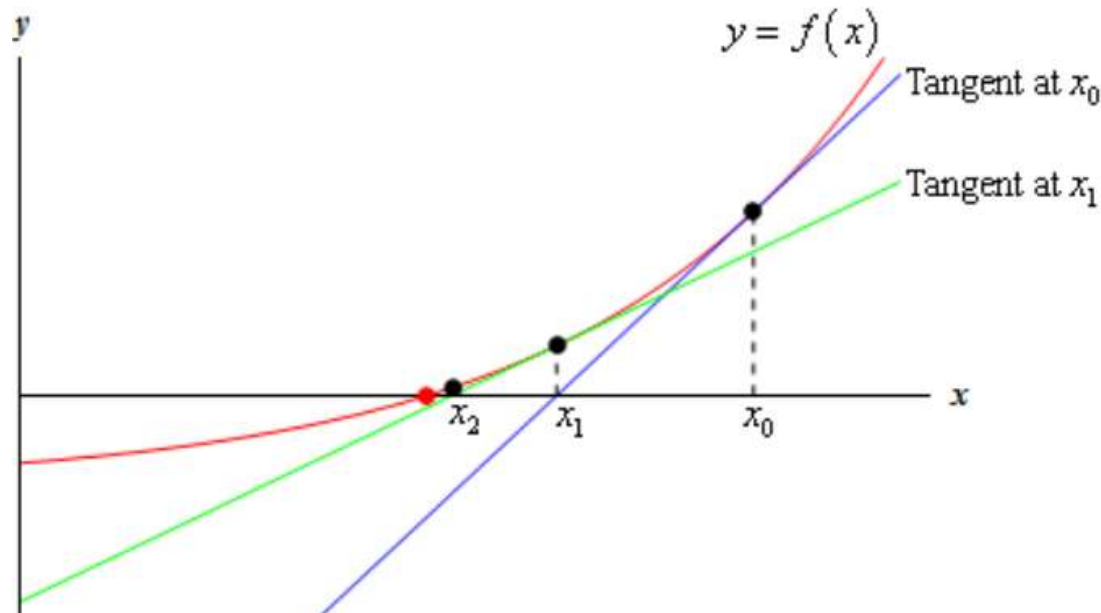
```
val sqrt2 : float = 1.414213562347868
```

# Assignment 2

- Implement Newton's method for complex functions
  - Download newton.zip
  - Implement all **TODOs** in `complex.ml`, `complex_arith.ml` and `newton.ml`
  - Zip the three modified files and upload the single zip file to Brightspace
- Due date: 3/21/2024

# Newton's Method

- Newton's method is a numerical method that can find a root of an equation as below
  - $x_{n+1} = x_n - f(x_n) / f'(x_n)$
  - i.e.  $x_{n+1} = \text{next}(x_n) : x_1 = \text{next}(x_0), x_2 = \text{next}(x_1), x_3 = \text{next}(x_2), \dots$



# Newton's Method

- **Fixed point** of a function  $f: \mathbb{R} \rightarrow \mathbb{R}$  is  $x$  such that  $f(x) = x$ 
  - **fixedPoint**:  $(\mathbb{R} \rightarrow \mathbb{R}) \rightarrow \mathbb{R}$  is a function that returns the fixed point of  $f$
  - Apply  $f$  to  $x_n$  until the difference between  $x_{n+1}$  and  $x_n$  becomes less than  $\varepsilon$ , where  $x_{n+1} = f(x_n)$
- Given a function  $f: \mathbb{R} \rightarrow \mathbb{R}$ , **next**:  $(\mathbb{R} \rightarrow \mathbb{R}) \rightarrow (\mathbb{R} \rightarrow \mathbb{R})$  is a function such that
  - $(\text{next } f) x = x - (f x) / (f' x)$
- Given a function  $f: \mathbb{R} \rightarrow \mathbb{R}$ , **Newton's method** finds a **fixed point of next f**
  - `fixed_point (next f)`

# Program Overview

- App.ml will run the unit test cases

```
(*app.ml*)
#use "complex.ml"
#use "complex_arith.ml"
#use "newton.ml"

(*run the test cases*)
let _ = test_complex ()
let _ = test_polar ()

let _ = test_arith_complex ()
let _ = test_arith_polar ()

let _ = test_sqrt ()
let _ = test_poly ()
```

Expected output

```
> ocaml
...
# #use "app.ml";;
...
testing complex...
success.
- : unit = ()
...
testing arith...
success.
- : unit = ()
testing newton (sqrt -2)...
sa: 0.000000 + i 1.414214
sb: 1.414214 \_ 1.000000
success.
- : unit = ()
testing newton (solve x^2 + 1)...
ans: 0.000000 + i 1.000000
success.
- : unit = ()#
```



```
(*complex.ml*)
```

```
(*complex number in rectangular form*)
```

```
(*sel is one of "real", "imag", "mag", and "ang"*)
```

```
let complex r i = (*TODO: implement this function*)  
  fun sel ->
```

```
(*complex number in polar form*)
```

```
(*sel is one of "real", "imag", "mag", and "ang"*)
```

```
let polar m a = (*TODO: implement this function*)  
  fun sel ->
```

```
(*test*)
```

```
...
```

```
let test_complex () =  
  Printf.printf "testing complex...\n";
```

```
...
```

```
let test_polar () =  
  Printf.printf "testing polar...\n";
```

```

(*complex_arith.ml*)
#use "complex.ml"

(*arithmetic operations on complex numbers*)
(*opr is one of "add", "sub", "mul", and "div"*)
let rec complex_arith opr =
  let add a b = (*TODO: implement add in rectangular form: using real and imag*)

  let sub a b = (*TODO: implement sub in rectangular form: using real and imag*)

  let mul a b = (*TODO: implement mul in polar form: using mag and ang*)

  let div a b = (*TODO: implement div in polar form: using mag and ang*)

  (*TODO: return add, sub, mul or div depending on opr*)

(*test*)
...
let test_arith a b =
  Printf.printf "testing arith...\n";
...
let test_arith_complex () =
...
let test_arith_polar () =

```

```

(*newton.ml*)
#use "complex_arith.ml"

(*TODO: implement newton's method*)
let newton f x0 =
  let ( + ) = complex_arith "add" in
  let ( - ) = complex_arith "sub" in
  let ( / ) = complex_arith "div" in
  let eps   = 1e-8 in (*epsilon: a small number*)
  let delta = complex eps eps in

  (*difference*)
  let diff a b =
    (a - b) "mag" in

  (*the derivative of f: (f(x + delta) - f(x)) / delta*)
  (*TODO: implement derivative*)
  let derivative f =

```

```
(*return a function that finds the next guess from the current guess*)  
(*TODO: implement next*)
```

```
let next f =  
  let dfdx = derivative f in (*f'(x)*)
```

```
(*fixed point of f is x such that x = f(x) *)  
(*TODO: recursively apply f(x) to f until the difference  
  between x and f(x) is less than eps*)
```

```
let rec fixed_point f x =
```

```
(*return the solution*)  
(*TODO: find a fixed point of next f starting from x0*)
```

```
let complex_sqrt x =  
  let ini = complex 1. 1. in  
  let ( - ) = complex_arith "sub" in  
  let ( * ) = complex_arith "mul" in  
  newton (fun y -> y * y - x) ini  
  
(*test*)  
...  
let test_sqrt () =  
  Printf.printf "testing newton (sqrt -2)...\\n";  
  
let test_poly () =  
  Printf.printf "testing newton (solve x^2 + 1)...\\n";
```