#### Lecture 9: Graph Traversal

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### Graphs

Graphs are one of the unifying themes of computer science. A graph G = (V, E) is defined by a set of *vertices* V, and a set of *edges* E consisting of ordered or unordered pairs of vertices from V.

In modeling a road network, the vertices may represent the cities or junctions, certain pairs of which are connected by roads/edges. In analyzing human interactions, the vertices typically represent people, with edges connecting pairs of related souls.

#### **Flavors of Graphs**

The first step in any graph problem is determining which flavor of graph you are dealing with:

- Undirected vs. Directed A graph G = (V, E) is undirected if edge  $(x, y) \in E$  implies that (y, x) is also in E.
- Weighted vs. Unweighted In weighted graphs, each edge (or vertex) of G is assigned a numerical value, or weight.

- Cyclic vs. Acyclic An acyclic graph does not contain any cycles. Trees are connected acyclic undirected graphs.
   Directed acyclic graphs are called DAGs.
- *Implicit vs. Explicit* Many graphs are not explicitly constructed and then traversed, but built as we use them. A good example is in backtrack search.

#### **Data Structures for Graphs**

There are several possible ways to represent graphs. Say graph G = (V, E) contains n vertices and m edges.

- Adjacency Matrix We can represent G using an n × n matrix M, where element M[i, j] is, say, 1, if (i, j) is an edge of G, and 0 if it isn't. It may use excessive space for graphs with many vertices and relatively few edges, however.
- Adjacency Lists in Lists We can more efficiently represent sparse graphs by using linked lists to store the neighbors adjacent to each vertex. Adjacency lists require pointers but are not frightening.

- Adjacency Lists in Matrices Adjacency lists can also embedded in matrices, thus eliminating the need for pointers. We can represent a list in an array counting how many elements there are, and packing them into the first elements of the array. Now we can visit successive the elements from the first to last by incrementing an index in a loop instead of cruising through pointers.
  - This data structure looks like it combines the worst properties of adjacency matrices (large space) with the worst properties of adjacency lists (the need to search for edges). However, it is simple to program and understand and what I will use in my examples.

#### **List in Array Representation**

For each graph, we keep count of the number of vertices, and assign each vertex a unique number from 1 to nvertices. The edges go in an MAXV × MAXDEGREE array, so each vertex can be adjacent to MAXDEGREE others. Defining MAXDEGREE to be MAXV can be wasteful of space for lowdegree graphs:

```
#define MAXV
                        100
                                   /* maximum number of vertices */
#define MAXDEGREE
                        50
                                   /* maximum vertex outdegree */
typedef struct {
  int edges[MAXV+1][MAXDEGREE];
                                 /* adjacency info */
  int degree[MAXV+1];
                                  /* outdegree of each vertex */
  int nvertices;
                                   /* number of vertices in graph */
  int nedges;
                                   /* number of edges in graph */
} graph;
```

### **Directed and Undirected Edges**

We represent a directed edge (x, y) by the integer y in x's adjacency list, which is located in the subarray graph->edges[x].

The degree field counts the number of meaningful entries for the given vertex.

An undirected edge (x, y) appears twice in any adjacencybased graph structure, once as y in x's list, and once as x in y's list.

### **Reading a Graph**

A typical graph format consists of an initial line featuring the number of vertices and edges in the graph, followed by a listing of the edges at one vertex pair per line.

```
read graph(graph *g, bool directed)
         int i;
                                           /* counter */
         int m;
                                            /* number of edges */
                                            /* vertices in edge (x,y) */
         int x, y;
         initialize_graph(g);
         scanf("%d %d",&(q->nvertices),&m);
         for (i=1; i<=m; i++) {</pre>
                 scanf("%d %d",&x,&y);
                 insert edge(q,x,y,directed);
initialize_graph(graph *g)
        int i;
                                            /* counter */
         q \rightarrow nvertices = 0;
        q \rightarrow nedges = 0;
         for (i=1; i<=MAXV; i++) q->degree[i] = 0;
```

### **Inserting an Edge**

The critical routine is insert\_edge. We parameterize it with a Boolean flag directed to identify whether we need to insert two copies of each edge or only one. Note the use of recursion to solve the problem:

#### **Breadth-First Traversal**

The basic operation in most graph algorithms is completely and systematically traversing the graph. We want to visit every vertex and every edge exactly once in some welldefined order.

Breadth-first search is appropriate if we are interested in shortest paths on unweighted graphs.

#### **Discovered vs. Processed Vertices**

Our implementation bfs uses two Boolean arrays to maintain our knowledge about each vertex in the graph. A vertex is discovered the first time we visit it. A vertex is considered processed after we have traversed all outgoing edges from it. Thus each vertex passes from undiscovered to discovered to processed over the search.

Once a vertex is discovered, it is placed on a queue. Since we process these vertices in first-in, first-out order, the oldest vertices are expanded first, which are exactly those closest to the root:

#### **Initializing Search**

```
bool processed[MAXV]; /* which vertices have been processed */
bool discovered[MAXV]; /* which vertices have been found */
int parent[MAXV]; /* discovery relation */
initialize_search(graph *g)
{
    int i; /* counter */
    for (i=1; i<=g->nvertices; i++) {
        processed[i] = discovered[i] = FALSE;
        parent[i] = -1;
    }
}
```

#### **BFS Implementation**

```
bfs(graph *g, int start)
                               /* queue of vertices to visit */
        queue q;
        int v;
                               /* current vertex */
                               /* counter */
        int i;
        init_queue(&q);
        enqueue(&q,start);
        discovered[start] = TRUE;
        while (empty(&g) == FALSE) {
                v = dequeue(&q);
                process_vertex(v);
                processed[v] = TRUE;
                for (i=0; i<g->degree[v]; i++)
                    if (valid_edge(g->edges[v][i]) == TRUE) {
                        if (discovered[g->edges[v][i]] == FALSE) {
                                enqueue(&q,q->edges[v][i]);
                                discovered[g->edges[v][i]] = TRUE;
                                parent[g->edges[v][i]] = v;
                        if (processed[g->edges[v][i]] == FALSE)
                                process_edge(v,g->edges[v][i]);
                    }
}
```

## **Exploiting Traversal**

The exact behavior of bfs depends upon the functions process\_vertex() and process\_edge(). Through these functions, we can easily customize what the traversal does as it makes one official visit to each edge and each vertex. By setting the functions to

```
process_vertex(int v)
{
     printf("processed vertex %d\n",v);
}
process_edge(int x, int y)
{
     printf("processed edge (%d,%d)\n",x,y);
}
```

we print each vertex and edge exactly once.

## **Finding Paths**

The vertex which discovered vertex i is defined as parent[i]. The parent relation defines a tree of discovery with the initial search node as the root of the tree.

The unique BFS tree path from the root to any node  $x \in V$  uses the smallest number of edges (or equivalently, intermediate nodes) possible on any root-to-x path in the graph.

We can reconstruct this path by following the chain of ancestors backwards from x to the root. We cannot find the path from the root to x, since that does not follow the direction of the parent pointers.

Since this is the reverse of how we normally want the path, we can either (1) store it and then explicitly reverse it using a stack, or (2) let recursion reverse it for us, as in the following slick routine:

## **Depth-First Search**

Depth-first search uses essentially the same idea as backtracking. Both involve exhaustively searching all possibilities, and backing up as soon as there is no unexplored possibility for further advancement. Both are best understood as recursive algorithms.

Depth-first search can be thought of as breadth-first search with a stack instead of a queue. The beauty of implementing dfs recursively is that recursion eliminates the need to keep an explicit stack:

#### **DFS Implementation**

```
dfs(graph *g, int v)
{
        int i;
                                      /* counter */
        int y;
                                       /* successor vertex */
        if (finished) return;
                                      /* allow for search termination */
        discovered[v] = TRUE;
        process_vertex(v);
        for (i=0; i<g->degree[v]; i++) {
                y = g - edges[v][i];
                if (valid_edge(g->edges[v][i]) == TRUE) {
                        if (discovered[y] == FALSE) {
                                parent[y] = v;
                                dfs(g,y);
                        } else
                                 if (processed[y] == FALSE)
                                        process_edge(v,y);
                if (finished) return;
        }
        processed[v] = TRUE;
}
```

#### **Connected Components**

The *connected components* of an undirected graph are the separate "pieces" of the graph such that there is no connection between the pieces.

Many seemingly complicated problems reduce to finding connected components. Testing whether Rubik's cube or the 15-puzzle can be solved from any position is really asking whether the graph of legal configurations is connected.

Connected components can found using DFS or BFS. Anything we discover during this search must be part of the same connected component. We then repeat the search from any undiscovered vertex (if one exists) to define the next component, until all vertices have been found:

#### **Connected Components Implementation**

# **Topological Sorting**

Topological sorting is the fundamental operation on directed acyclic graphs (DAGs). It constructs an ordering of the vertices such that all directed edges go from left to right. Such an ordering clearly cannot exist if the graph contains any directed cycles, because there is no way you can keep going right on a line and still return back to where you started from!

### **Longest Path in a DAG**

The importance of topological sorting is that it gives us a way to process each vertex before any of its successors.

Suppose we seek the shortest (or longest) path from x to y in a DAG. Certainly no vertex appearing after y in the topological order can contribute to any such path, because there will be no way to get back to y.

We can appropriately process all the vertices from left to right in topological order, considering the impact of their outgoing edges, and know that we will look at everything we need before we need it.

## **Topological Sorting Algorithms**

Topological sorting can be performed using DFS. However, a more straightforward algorithm does an analysis of the in-degrees of each vertex in a DAG. Any in-degree 0 vertex may safely be placed first in topological order. Deleting its outgoing edges may create new in-degree 0 vertices, continuing the process.

```
topsort(graph *g, int sorted[])
ł
        int indegree[MAXV];
                                        /* indegree of each vertex */
        queue zeroin;
                                        /* vertices of indegree 0 */
                                        /* current and next vertex */
        int x, y;
        int i, j;
                                         /* counters */
        compute_indegrees(g,indegree);
        init_queue(&zeroin);
        for (i=1; i<=g->nvertices; i++)
                if (indegree[i] == 0) enqueue(&zeroin,i);
        j=0;
        while (empty(&zeroin) == FALSE) {
                j = j + 1;
                x = dequeue(&zeroin);
                sorted[j] = x;
                for (i=0; i<g->degree[x]; i++) {
                        y = g - edges[x][i];
                        indegree[y] --;
                        if (indegree[y] == 0) enqueue(&zeroin,y);
                }
        }
        if (j != g->nvertices)
                printf("Not a DAG -- only %d vertices found\n",j);
}
```

## **110902 (Playing with Wheels)**

Move from one number to another number using a minimum number of steps, while avoiding forbidden states. What is the graph, and is it weighted or unweighted?

## 110903 (The Tourist Guide)

Minimize the number of trips a guide needs to make in order to ferry a group of tourists from one place to another. What is the graph theoretic problem being solved here?

### **110906 (Tower of Cubes)**

Build the tallest possible of cubes such that touching face colors match and lighter cubes are always on top of heavier cubes.

What is the graph, and is it directed or undirected?

# 110907 (From Dusk Till Dawn)

Find the shortest train trip between two different cities subject to a vampire's particular constraints. What is the graph, and is it weighted or unweighted?