



# GRAPHS (CH14)



Presentation for use with the textbook Data Structures and Algorithms in Java, 6<sup>th</sup> edition, by M. T. Goodrich, R. Tamassia, and M. H. Goldwasser, Wiley, 2014

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## GRAPHS

### \* A graph is a pair (V, E), where

- + V is a set of nodes, called vertices (aka nodes)
- + E is a collection of pairs of vertices, called edges (aka arcs)
- + Vertices and edges are positions and store elements
- × Example:
  - + A vertex represents an airport and stores the three-letter airport code
  - + An edge represents a flight route between two airports and stor es the mileage of the route



# EDGE TYPES

#### × Directed edge

- + <u>ordered</u> pair of vertices (*u*, *v*)
- + first vertex *u* is the origin
- + second vertex  $\mathbf{v}$  is the destination
- + e.g., a flight

### Undirected edge

- + <u>unordered</u> pair of vertices (*u*, *v*)
- + e.g., a flight route

### Directed graph

- + all the edges are directed
- + e.g., route network
- Undirected graph
  - + all the edges are undirected
  - + e.g., flight network



 Mixed graph : graph that has both directed and undirected edges

# APPLICATIONS

- × Electronic circuits
  - + Printed circuit board
  - + Integrated circuit
- × Transportation networks
  - + Highway network
  - + Flight network
- Computer networks
  - + Local area network
  - + Internet
  - + Web
- × Databases
  - + Entity-relationship diagram



### TERMINOLOGY

- End vertices (or endpoints) of an edge
  - + U and V are the endpoints of a
- Edges incident on a vertex
  - + a, d, and b are incident on V
- × Adjacent vertices
  - + U and V are adjacent
- × **Degree** of a vertex
  - + deg(X)= 5; X has degree 5
- Parallel edges (multiple edges)
  - + h and i are parallel edges
  - + Edges are collections (not sets)
- × Self-loop
  - + j is a self-loop



#### \* *outgoing edges* of a vertex:

 + directed edges whose origin is that vertex.

#### *incoming edges* of a vertex:

 + directed edges whose destination is that vertex.

#### *in-degree* & *out-degree* of a vertex *v*

- + the number of the incoming and outgoing edges of *v*,
- + Denoted indeg(v) and outdeg(v)

## TERMINOLOGY (CONT.)

#### × Path

- + sequence of alternating vertices and edges
- + begins with a vertex
- + ends with a vertex
- + each edge is preceded and followed by its endpoints
- × Simple path
  - + path such that <u>all its vertices</u> <u>and edges are distinct</u>
- × Examples
  - +  $P_1 = (V,b,X,h,Z)$  is a simple path
  - + P<sub>2</sub>=(U,c,W,e,X,g,Y,f,W,d,V) is a path that is **not** simple



- Graphs are said to be *simple* if they do not have parallel edges or self-loops
- Most graphs are simple; we will assume that a graph is simple unless otherwise specified

# TERMINOLOGY (CONT.)

### × Cycle

- + <u>circular sequence of alternating</u> <u>vertices and edges</u>
- + each edge is preceded and followed by its endpoints
- × Simple cycle
  - + cycle such that <u>all its vertices</u> <u>and edges are distinct, except</u> <u>for the first and the last</u>
- × Examples
  - + C<sub>1</sub>=(V,b,X,g,Y,f,W,c,U,a,⊥) is a simple cycle
  - + C<sub>2</sub>=(U,c,W,e,X,g,Y,f,W,d,V,a,→) is a cycle that is **not** simple



# TERMINOLOGY (CONT.)

- Given vertices u and v of a (directed) graph G,
- *u* reaches v, and that v is reachable from u, if G has a (directed) path from u to v.

### × reachability :

- undirected graph
   *reachability* is symmetric,
   that is to say, u reaches v if
   an only if v reaches u.
- directed graph *reachability* is asymmetric, it is possible that *u* reaches *v* but *v* does not reach *u*,



subgraph of the vertices and edges reachable from ORD

removal of the dashed edges results in a directed acyclic graph

#### Depth-First Search

## SUBGRAPHS

- A subgraph S of a graph G is a graph such that
  - + The vertices of S are a subset of the vertices of G
  - The edges of S are a subset of the edges of G
- A spanning subgraph of G is a subgraph that contains all the vertices of G



## CONNECTIVITY

- A graph is *connected* if, for any two vertices, there is a path between them.
- A directed graph G is
   *strongly connected* if for
   any two vertices u and v
   of G, u reaches v and v
   reaches u.
- A connected component of a graph G is a maximal connected subgraph of G



Depth-First Search

## TREES AND FORESTS

- A (free) tree is an undirected graph T such that
  - + T is connected
  - + T has no cycles
  - This definition of tree is different from the one of a rooted tree
- A forest is an undirected graph without cycles
- The connected components of a forest are trees



Forest

# SPANNING TREES AND FORESTS

- A spanning tree of a connected graph is a spanning subgraph that is a tree
- \* A spanning tree is not unique unless the graph is a tree
- A spanning forest of a graph is a spanning subgraph that is a forest



### PROPERTIES Property 1: If G is a graph

Property 1: If G is a graph with *m* edges and vertex set *V*, then

$$\sum_{v \text{ in } V} \deg(v) = 2m$$

Proof: each edge is counted twice

Property 2: If G is a directed graph with *m* edges and vertex set *V*, then

$$\sum_{v \text{ in } V} \text{ indeg}(v) = \sum_{v \text{ in } V} \text{ outdeg}(v) = m$$

Property 3: Let G be a simple graph with n vertices and m edges. If G is undirected, then

 $m \le n(n-1)/2$ 

Proof: each vertex has degree at most (*n* - 1)

=> <u>A simple graph with *n* vertices has *O(n<sup>2</sup>)* edges.
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#### Notation

*n* number of vertices *m* number of edges deg(v) degree of vertex vExample • n = 4• m = 6• deg(v) = 3

Let G be an undirected graph

- If G is connected, then  $m \ge n-1$ .
- If G is a tree, then m = n-1.
- If G is a forest, then  $m \le n-1$ .

### VERTICES AND EDGES

- **\*** A graph is a collection of vertices and edges.
- \* We model the abstraction as a combination of three data types: Vertex, Edge, and Graph.
- A Vertex is a lightweight object that stores an arbitrary element provided by the user (e.g., an airport code)
  - + We assume it supports a method, element(), to retrieve the stored element.
- An Edge stores an associated object (e.g., a flight number, travel distance, cost), retrieved with the element() method.

# GRAPH ADT

either *undirected* or *directed*  numVertices(): Returns the number of vertices of the graph.

- vertices(): Returns an iteration of all the vertices of the graph.
- numEdges(): Returns the number of edges of the graph.

edges(): Returns an iteration of all the edges of the graph.

- getEdge(u, v): Returns the edge from vertex u to vertex v, if one exists; otherwise return null. For an undirected graph, there is no difference between getEdge(u, v) and getEdge(v, u).
- endVertices(e): Returns an array containing the two endpoint vertices of edge e. If the graph is directed, the first vertex is the origin and the second is the destination.
- opposite(v, e): For edge *e* incident to vertex *v*, returns the other vertex of the edge; an error occurs if *e* is not incident to *v*.

outDegree(v): Returns the number of outgoing edges from vertex v.

inDegree(v): Returns the number of incoming edges to vertex v. For an undirected graph, this returns the same value as does outDegree(v).

outgoingEdges(v): Returns an iteration of all outgoing edges from vertex v.

incomingEdges(v): Returns an iteration of all incoming edges to vertex v. For an undirected graph, this returns the same collection as does outgoingEdges(v).

insertVertex(x): Creates and returns a new Vertex storing element x.

insertEdge(u, v, x): Creates and returns a new Edge from vertex u to vertex v, storing element x; an error occurs if there already exists an edge from u to v.

removeVertex(v): Removes vertex v and all its incident edges from the graph.
removeEdge(e): Removes edge e from the graph.

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### DATA STRUCTURES FOR GRAPHS

- × In an *edge list*, we maintain an <u>unordered list of all edges</u>.
  - + This minimally suffices, but there is no efficient way to locate a particular edge (u,v), or the set of all edges incident to a vertex v.
- In an *adjacency list*, we additionally maintain, <u>for each vertex, a</u> <u>separate list containing those edges</u> that are incident to the vertex.
  - + This organization allows us to more efficiently find all edges incident to a given vertex.
- An *adjacency map* is similar to an adjacency list, but the secondary container of <u>all edges incident to a vertex is organized as a map</u>, rather than as a list, with the adjacent vertex serving as a key.
  - + This allows more efficient access to a specific edge (u,v), for example, in O(1) expected time with hashing.
- An *adjacency matrix* provides worst-case O(1) access to a specific edge (u,v) by maintaining an  $n \times n$  matrix, for a graph with n vertices.
  - + Each slot is dedicated to storing a reference to the edge (u,v) for a particular pair of vertices u and v; if no such edge exists, the slot will store null.

### DATA STRUCTURES FOR GRAPHS: EDGE LIST

- All vertex objects are stored in an <u>unordered list V</u>, and all edge objects are stored in <u>an unordered list E</u>.
- × Components:
  - + Vertex object
    - reference to element v, to support getElement()
    - reference to position in vertex sequence for efficiently removed
  - + Edge object
    - x reference to element e, to support getElement()
    - References to the origin vertex object & destination vertex object, to support endVertices(e) and opposite e).
    - reference to position in edge sequence sequence for efficiently removed
  - + Vertex sequence
    - × sequence of vertex objects
  - + Edge sequence
    - × sequence of edge objects





### PERFORMANCE OF THE EDGE LIST STRUCTURE



space usage is O(n+m)

incident to *v* must also be removed

Method	Running Time	
numVertices(), numEdges()	O(1)	
vertices()	O(n)	needed.
edges()	<i>O</i> ( <i>m</i> )	
getEdge(u, v), $outDegree(v)$ , $outgoingEdges(v)$	O(m)	
insertVertex(x), $insertEdge(u, v, x)$ , $removeEdge(e)$	<i>O</i> (1)	when a vertex v is
removeVertex(v)	<i>O</i> ( <i>m</i> )	removed from the
		graph, all edges

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### DATA STRUCTURES FOR GRAPHS: ADJACENCY LIST

- Adds extra information to the edge list structure that supports direct access to the incident edges
  - For each vertex v, we maintain a collection *l*(*v*), called *incidence collection* of v
- × Components:
  - + Incidence sequence for each vertex
    - sequence of references to edge objects of incident edges
  - + Augmented edge objects
    - references to associated positions in incidence sequences of end vertices





positional list to represent V

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### PERFORMANCE OF THE ADJACENCY LIST STRUCTURE



assuming that the primary collection V and E, and all secondary collections I(v) are implemented with <u>doubly linked lists</u>.

using O(n+m) space



## DATA STRUCTURES FOR GRAPHS: ADJACENCY MAP

- use a <u>hash-based map to</u> <u>implement *I(v)* for each vertex *V*.
  </u>
- Iet the opposite endpoint of each incident edge serve as a key in the map, with the edge structure serving as the value
- getEdge(u, v) method can be implemented in <u>expected O(1)</u> <u>time</u>





### DATA STRUCTURES FOR GRAPHS: ADJACENCY MATRIX

- *adjacency matrix* A allows us to locate an edge between a given pair of vertices in <u>worst-case</u> <u>O(1) time</u>.
- cell A[i][j] holds a reference to the edge (u,v),
   if it exists, where u is the vertex with index i
   and v is the vertex with index j
- × Edge list structure
- Augmented vertex objects
  - + Integer key (index) associated with vertex
- × 2D-array adjacency array
  - Reference to edge object for adjacent verti ces
  - + Null for non nonadjacent vertices
- The "old fashioned" version just has 0 for no e dge and 1 for edge

O(n<sup>2</sup>) space usage





### PERFORMANCE: SIMPLE GRAPH

Method	Edge List	Adj. List	Adj. Map	Adj. Matrix
numVertices()	O(1)	O(1)	O(1)	O(1)
numEdges( )	O(1)	<i>O</i> (1)	O(1)	O(1)
vertices()	O(n)	O(n)	O(n)	O(n)
edges( )	O(m)	O(m)	O(m)	O(m)
getEdge(u, v)	O(m)	$O(\min(d_u, d_v))$	$O(1) \exp$ .	O(1)
outDegree(v)	O(m)	O(1)	O(1)	O(n)
inDegree(v)				
outgoingEdges(v)	O(m)	$O(d_v)$	$O(d_v)$	O(n)
incomingEdges(v)				
insertVertex(x)	<i>O</i> (1)	O(1)	O(1)	$O(n^2)$
<pre>removeVertex(v)</pre>	O(m)	$O(d_v)$	$O(d_v)$	$O(n^2)$
insertEdge(u, v, x)	O(1)	O(1)	O(1) exp.	O(1)
removeEdge( <i>e</i> )	O(1)	O(1)	O(1) exp.	<i>O</i> (1)

adjacency matrix uses  $O(n^2)$  space, while all other structures use O(n+m) space

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## JAVA IMPLEMENTATION OF ADJACENCY MAP

- Positional lists to represent each of the primary lists V and E
- × use a hash-based map to represent the secondary incidence map I(v) for each vertex v in V
  - + each vertex maintains two different map references: outgoing and incoming.
  - + Directed graphs: initialized to two distinct map instances, representing  $I_{out}(v)$  and  $I_{in}(v)$ , respectively.
  - + Undirected graph: assign both outgoing and incoming as aliases to a single map instance.
- × For details of the code: please look at the book.

Depth-First Search

### GRAPH TRAVERSALS: DEPTH-FIRST SEARCH



### **GRAPH TRAVERSAL**

- \* A *traversal* is a systematic procedure for exploring a graph by examining all of its vertices and edges.
- A traversal is efficient if it visits all the vertices and edges in time proportional to their number, that is, in <u>linear time</u>.
- × We will look at two efficient graph traversal algorithms
  - + depth-first search (DFS)
  - + breadth-first search (BFS)

### DEPTH-FIRST SEARCH

#### × A DFS traversal of a graph G

- + Visits all the vertices and edges of G
- + Determines whether G is connected
- + Computes the connected components of G
- + Computes a spanning forest of G
- The DFS process naturally identifies what is known as the *depth-first search tree* rooted at a starting vertex s.

- × DFS on a graph with nvertices and m edges takes O(n + m) time
- DFS can be further extended to solve other graph problems
  - Find and report a path between two given vertices
  - + Find a cycle in the graph
- Depth-first search is to graphs what Euler tour is to binary trees

# DFS ALGORITHM FROM A VERTEX

**Algorithm** DFS(*G*, *u*):

*Input:* A graph *G* and a vertex *u* of *G* 

*Output:* A collection of vertices reachable from *u*, with their discovery edges

Mark vertex *u* as visited.

for each of *u*'s outgoing edges, e = (u, v) do

if vertex v has not been visited then

Record edge *e* as the discovery edge for vertex *v*.

Recursively call DFS(G, v).

### JAVA IMPLEMENTATION

```
/** Performs depth-first search of Graph g starting at Vertex u. */
 public static \langle V, E \rangle void DFS(Graph\langle V, E \rangle g, Vertex\langle V \rangle u,
2
                        Set<Vertex<V>> known, Map<Vertex<V>,Edge<E>> forest) {
3
                                                    // u has been discovered
4
      known.add(u);
5
      for (Edge<E> e : g.outgoingEdges(u)) { // for every outgoing edge from u
        Vertex<V>v=g.opposite(u, e);
6
        if (!known.contains(v)) {
7
          forest.put(v, e);
8
                                                    // e is the tree edge that discovered v
          DFS(g, v, known, forest);
                                                    // recursively explore from v
9
10
11
12
```

Data Structures Abstraction and Design Using Java, 2nd Edition by Elliot B. Koffman & Paul A. T. Wolfgang, Wiley, 2010

0

# **Example of a Depth-First Search**

0







Choose an adjacent vertex that is not being visited

Discovery (Visit) order: 0

0





Choose an adjacent vertex that is not being visited

Discovery (Visit) order: 0, 1





(Recursively) choose an adjacent vertex that is not being visited

Discovery (Visit) order: 0, 1, 3









(Recursively) choose an adjacent vertex that is not being visited

Discovery (Visit) order: 0, 1, 3

Finish order:



visited

being visited

0



(Recursively) choose an adjacent vertex that is not being visited

Discovery (Visit) order: 0, 1, 3, 4

0






There are no vertices adjacent to 4 that are not being visited

Discovery (Visit) order: 0, 1, 3, 4

Finish order:









being visited

Return from the recursion to 3; all adjacent nodes to 3 are being visited



being visited

0

Finish order: 4













All vertices adjacent to 1 are being visited



visited

0

being visited

0

Finish order: 4, 3







unvisited

0

visited

0

being visited

0



0

unvisited

4, 3, 1























0 unvisited







0 unvisited







unvisited

0

0 being visited

visited

 $\cap$ 

There are no vertices adjacent to 6 not being visited; mark 6 as visited

Discovery (Visit) order: 0, 1, 3, 4, 2, 5, 6

Finish order: 4, 3, 1







being visited

0

There are no vertices adjacent to 6 not being visited; mark 6 as visited

Discovery (Visit) order: 0, 1, 3, 4, 2, 5, 6

Finish order: 4, 3, 1, 6







being visited

0



) being visited







being visited

0



0 unvisited







0 unvisited



being visited

0



0

visited

0

 $\left( \right)$ 



unvisited

0

visited

 $\left( \right)$ 

being visited

0

unvisited

0

There are no nodes adjacent to 0 not being visited











0



#### PROPERTIES OF DFS

Property 1 DFS(G, v) visits all the v ertices and edges in the connected component o f v

#### Property 2

The discovery edges lab eled by DFS(G, v) form a spanning tree of the c onnected component of v



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#### ANALYSIS OF DFS



- × Setting/getting a vertex/edge label takes **O**(1) time
- \* Each vertex is labeled twice
  - + once as UNEXPLORED
  - + once as VISITED (Finished)
- × Each edge is labeled twice
  - + once as UNEXPLORED
  - + once as DISCOVERY or BACK
- Method incidentEdges is called once for each vertex
- DFS runs in O(n + m) time provided the graph is represented by the adjacency list structure
  - + Recall that  $\Sigma_{v} \deg(v) = 2m$

**Breadth-First Search** 

#### **GRAPH TRAVERSALS:** BREADTH-FIRST SEARCH $L_1$ $L_2$ E F

#### BREADTH-FIRST SEARCH

- A BFS traversal of a graph G
  - + Visits all the vertices and edges of G
  - + Determines whether G is connected
  - + Computes the connected components of G
  - + Computes a spanning forest of G

- × BFS on a graph with nvertices and m edges takes O(n + m) time
- BFS can be further extended to solve other graph problems
  - Find and report a path with the minimum number of edges between two given vertices
  - Find a simple cycle, if there is one

#### BFS ALGORITHM

 The algorithm uses a mechanism for setting and getting "labels" of vertices and edges

```
Algorithm BFS(G)
```

```
Input graph G

Output labeling of the edges

and partition of the

vertices of G

for all u \in G.vertices()

setLabel(u, UNEXPLORED)

for all e \in G.edges()

setLabel(e, UNEXPLORED)

for all v \in G.vertices()

if getLabel(v) = UNEXPLORED

BFS(G, v)
```

Algorithm BFS(G, s) $L_0 \leftarrow$  new empty sequence  $L_0.addLast(s)$ setLabel(s, VISITED)  $i \leftarrow 0$ while  $\neg L_i$ , is Empty()  $L_{i+1} \leftarrow$  new empty sequence for all  $v \in L_i$ .elements() for all  $e \in G.incidentEdges(v)$ if getLabel(e) = UNEXPLORED  $w \leftarrow opposite(v,e)$ if getLabel(w) = UNEXPLORED setLabel(e, DISCOVERY) setLabel(w, VISITED)  $L_{i+1}$ .addLast(w) else setLabel(e, CROSS)  $i \leftarrow i + 1$ 

#### JAVA IMPLEMENTATION

```
/** Performs breadth-first search of Graph g starting at Vertex u. */
    public static <V,E> void BFS(Graph<V,E> g, Vertex<V> s,
 2
 3
                      Set<Vertex<V>> known, Map<Vertex<V>,Edge<E>> forest) {
      PositionalList<Vertex<V>> level = new LinkedPositionalList<>();
 4
 5
      known.add(s);
      level.addLast(s);
                                            // first level includes only s
 6
      while (!level.isEmpty()) {
 7
        PositionalList<Vertex<V>> nextLevel = new LinkedPositionalList<>();
 8
 9
        for (Vertex<V> u : level)
10
          for (Edge<E> e : g.outgoingEdges(u)) {
            Vertex<V>v=g.opposite(u, e);
11
            if (!known.contains(v)) {
12
              known.add(v);
13
              forest.put(v, e);
14
                                           // e is the tree edge that discovered v
              nextLevel.addLast(v);
15
                                            // v will be further considered in next pass
16
17
        |eve| = nextLeve|;
18
                                            // relabel 'next' level to become the current
19
      }
20
```

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#### **Example of a Breadth-First Search**





While visiting it, we can identify its adjacent nodes



We identify its adjacent nodes and add them to a queue of identified nodes

Visit sequence: 0



We identify its adjacent nodes and add them to a queue of identified nodes

Queue: 1, 3

Visit sequence: 0










Select all its adjacent nodes that have not been visited or identified

Queue: 3

Visit sequence: 0, 1



Select all its adjacent nodes that have not been visited or identified

Queue: 3, 2, 4, 6, 7

Visit sequence: 0, 1





and then visit the next node in the queue, 3 (which was identified in the first selection)

Queue: 3, 2, 4, 6, 7

Visit sequence: 0, 1



and then visit the next node in the queue, 3 (which was identified in the first selection)

Queue: 2, 4, 6, 7

Visit sequence: 0, 1, 3



3 has two adjacent vertices. 0 has already been visited and 2 has already been identified. We are done with 3

Queue: 2, 4, 6, 7

Visit sequence: 0, 1, 3







8 and 9 are the only adjacent vertices not already visited or identified

Queue: 4, 6, 7, 8, 9

Visit sequence: 0, 1, 3, 2







Queue: 6, 7, 8, 9, 5

Visit sequence: 0, 1, 3, 2, 4



6 has no vertices not already visited or identified

Queue: 7, 8, 9, 5

Visit sequence: 0, 1, 3, 2, 4, 6



6 has no vertices not already visited or identified

Queue: 7, 8, 9, 5

Visit sequence: 0, 1, 3, 2, 4, 6



7 has no vertices not already visited or identified

Queue: 8, 9, 5

Visit sequence: 0, 1, 3, 2, 4, 6, 7



7 has no vertices not already visited or identified

Queue: 8, 9, 5

Visit sequence: 0, 1, 3, 2, 4, 6, 7





8 has no vertices not already visited or identified

Queue: 9, 5

Visit sequence: 0, 1, 3, 2, 4, 6, 7, 8



9 has no vertices not already visited or identified

Queue: 5

Visit sequence: 0, 1, 3, 2, 4, 6, 7, 8, 9







Queue: empty

Visit sequence: 0, 1, 3, 2, 4, 6, 7, 8, 9, 5



The queue is empty; all vertices have been visited

Queue: empty

Visit sequence: 0, 1, 3, 2, 4, 6, 7, 8, 9, 5



#### PROPERTIES

Notation  $G_s$ : connected component of s Property 1 **BFS**(G, s) visits all the vertices and edges of  $G_{\rm s}$ Property 2 The discovery edges labeled by **BF** S(G, s) form a spanning tree  $T_s$  of  $G_s$ **Property 3** For each vertex v in  $L_i$ + The path of  $T_s$  from s to v has i edd es

Every path from s to v in G<sub>s</sub> has at l east i edges







- × Setting/getting a vertex/edge label takes O(1) time
- \* Each vertex is labeled twice
  - + once as UNEXPLORED
  - + once as VISITED
- × Each edge is labeled twice
  - + once as UNEXPLORED
  - + once as DISCOVERY or CROSS
- × Each vertex is inserted once into a sequence  $L_i$
- Method incidentEdges is called once for each vertex
- \* BFS runs in O(n + m) time provided the graph is represented by the adjacency list structure
  - + Recall that  $\Sigma_v \deg(v) = 2m$

#### APPLICATIONS

- × Using the template method pattern, we can specialize the BFS traversal of a graph G to solve the following problems in O(n + m) time
  - + Compute the connected components of **G**
  - + Compute a spanning forest of G
  - + Find a simple cycle in G, or report that G is a forest
  - + Given two vertices of *G*, find a path in *G* between them with the minimum number of edges, or report that no such path exists