

# Functional Programming

CSE 215, Foundations of Computer Science

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<http://www.cs.stonybrook.edu/~cse215>

# Functional Programming

- *Function evaluation* is the basic concept for a programming paradigm that has been implemented in functional programming languages.
- The language ML (“Meta Language”) was originally introduced in the 1970’s as part of a theorem proving system, and was intended for describing and implementing proof strategies.
  - Standard ML of New Jersey (SML) is an implementation of ML.
- The basic mode of computation in SML is the use of the definition and application of functions.

# Install Standard ML

- Download from:
  - <http://www.smlnj.org>
- Start Standard ML:
  - Type "sml" from the shell (run command line in Windows)
- Exit Standard ML:
  - Ctrl-Z under Windows
  - Ctrl-D under Unix/Mac

# Standard ML

- The basic cycle of SML activity has three parts:
  - read input from the user,
  - evaluate it,
  - print the computed value (or an error message).

# First SML example

- SML prompt:

-

- Simple example:

- 3;

**val it = 3 : int**

- The first line contains the SML prompt, followed by *an expression* typed in by the user and ended by *a semicolon*.
- The second line is SML's response, indicating the *value* of the input expression and its *type*.

# Interacting with SML

- SML has a number of built-in operators and data types.
  - it provides the standard arithmetic operators

- `3+2;`

```
val it = 5 : int
```

- The Boolean values `true` and `false` are available, as are logical operators such as `not` (negation), `andalso` (conjunction), and `orelse` (disjunction).

- `not(true);`

```
val it = false : bool
```

- `true andalso false;`

```
val it = false : bool
```

# Types in SML

- SML is a *strongly typed* language in that all (well-formed) expressions have a type that can be determined by examining the expression.
- As part of the evaluation process, SML determines the type of the output value using suitable methods of *type inference*.
- Simple types include *int*, *real*, *bool*, and *string*.
- One can also associate identifiers with values,

```
- val five = 3+2;
```

```
val five = 5 : int
```

and thereby establish a new value binding,

```
- five;
```

```
val it = 5 : int
```

# Function Definitions in SML

- The general form of a function definition in SML is:

```
fun <identifier> (<parameters>) =  
  <expression>;
```

- For example,

```
- fun double(x) = 2*x;
```

```
val double = fn : int -> int
```

declares **double** as a function from integers to integers, i.e., of  
type  $\text{int} \rightarrow \text{int}$

- Apply a function to an argument of the wrong type results in  
an error message:

```
- double(2.0);
```

```
Error: operator and operand don't agree ...
```



# Function Definitions in SML

- The user may also **explicitly** indicate types:

```
- fun max(x:int,y:int,z:int) =  
= if ((x>y) andalso (x>z)) then x  
= else (if (y>z) then y else z);  
val max = fn : int * int * int -> int
```

```
- max(3,2,2);  
val it = 3 : int
```

# Recursive Definitions

- The use of recursive definitions is a main characteristic of functional programming languages, and these languages encourage the use of recursion over iterative constructs such as while loops:

```
- fun factorial(x) = if x=0 then 1
= else x*factorial(x-1);
val factorial = fn : int -> int
```

- The definition is used by SML to evaluate applications of the function to specific arguments.

```
- factorial(5);
val it = 120 : int
- factorial(10);
val it = 3628800 : int
```

# Greatest Common Divisor

- The greatest common divisor (gcd) of two positive integers can be defined recursively based on the following observations:

1.  $\text{gcd}(n, n) = n$ ,
2.  $\text{gcd}(m, n) = \text{gcd}(n, m)$ , and
3.  $\text{gcd}(m, n) = \text{gcd}(m - n, n)$ , if  $m > n$ .

- These identities suggest the following recursive definition:

```
- fun gcd(m,n):int = if m=n then n
= else if m>n then gcd(m-n,n)
= else gcd(m,n-m) ;
```

```
val gcd = fn : int * int -> int
```

```
- gcd(12,30) ;           - gcd(1,20) ;           - gcd(125,56345) ;
```

```
val it = 6 : int       val it = 1 : int       val it = 5 : int
```

# Tuples in SML

- In SML tuples are finite sequences of arbitrary but fixed length, where different components need not be of the same type.

```
- val t1 = (1,2,3);
```

```
val t1 = (1,2,3) : int * int * int
```

```
- val t2 = (4, (5.0,6));
```

```
val t2 = (4, (5.0,6)) : int * (real * int)
```

- The components of a tuple can be accessed by applying the built-in functions `#i`, where `i` is a positive number.

```
- #1(t1);
```

```
val it = 1 : int
```

```
- #2(t2);
```

```
val it = (5.0,6) : real * int
```

If a function `#i` is applied to a tuple with fewer than `i` components, an error results.

# Lists in SML

- A list in SML is a finite sequence of objects, all of the same type:

- `[1,2,3];`

```
val it = [1,2,3] : int list
```

- `[true,false,true];`

```
val it = [true,false,true] : bool list
```

- `[[1,2,3],[4,5],[6]];`

```
val it = [[1,2,3],[4,5],[6]] : int list list
```

- The last example is a list of lists of integers.

# Lists in SML

- All objects in a list must be of the same type:

- `[1, [2]];`

**Error: operator and operand don't agree**

- An empty list is denoted by one of the following expressions:

- `[];`

```
val it = [] : 'a list
```

- `nil;`

```
val it = [] : 'a list
```

- Note that the type is described in terms of a type variable 'a. Instantiating the type variable, by types such as int, results in (different) empty lists of corresponding types.

# Operations on Lists

- SML provides various functions for manipulating lists.
  - The function `hd` returns the first element of its argument list.

```
- hd[1,2,3];
```

```
val it = 1 : int
```

```
- hd[[1,2],[3]];
```

```
val it = [1,2] : int list
```

Applying this function to the empty list will result in an error.

- The function `tl` removes the first element of its argument lists, and returns the remaining list.

```
- tl[1,2,3];
```

```
val it = [2,3] : int list
```

```
- tl[[1,2],[3]];
```

```
val it = [[3]] : int list list
```

- The application of this function to the empty list will also result in an error.

# Operations on Lists

- Lists can be constructed by the (binary) function `::` (read `cons`) that adds its first argument to the front of the second argument.

```
- 5::[];
```

```
val it = [5] : int list
```

```
- 1::[2,3];
```

```
val it = [1,2,3] : int list
```

```
- [1,2]::[[3],[4,5,6,7]];
```

```
val it = [[1,2],[3],[4,5,6,7]] : int list list
```

The the arguments must be of the right type:

```
- [1]::[2,3];
```

```
Error: operator and operand don't agree
```

- Lists can also be compared for equality:

```
- [1,2,3]=[1,2,3];
```

```
val it = true : bool
```

```
- [1,2]=[2,1];
```

```
val it = false : bool
```

```
- t1[1] = [];
```

```
val it = true : bool
```



# Defining List Functions

- Recursion is particularly useful for defining functions that process lists.
  - For example, consider the problem of defining an SML function that takes as arguments two lists of the same type and returns the concatenated list.
- In defining such list functions, it is helpful to keep in mind that a list is either
  - an empty list or
  - of the form  $x::y$ .

# Concatenation

- In designing a function for concatenating two lists  $x$  and  $y$  we thus distinguish two cases, depending on the form of  $x$ :
  - If  $x$  is an empty list, then concatenating  $x$  with  $y$  yields just  $y$ .
  - If  $x$  is of the form  $x1::x2$ , then concatenating  $x$  with  $y$  is a list of the form  $x1::z$ , where  $z$  is the results of concatenating  $x2$  with  $y$ .
    - We can be more specific by observing that
$$x = \text{hd}(x)::\text{tl}(x).$$

# Concatenation

```
- fun concat(x,y) = if x=[] then y  
= else hd(x)::concat(tl(x),y);
```

```
val concat = fn : 'a list * 'a list -> 'a list
```

- Applying the function yields the expected results:

```
- concat([1,2],[3,4,5]);
```

```
val it = [1,2,3,4,5] : int list
```

```
- concat([], [1,2]);
```

```
val it = [1,2] : int list
```

```
- concat([1,2], []);
```

```
val it = [1,2] : int list
```

# More List Functions

- The following function computes the length of its argument list:

```
- fun length(L) =  
= if (L=nil) then 0  
= else 1+length(tl(L));  
val length = fn : 'a list -> int
```

```
- length[1,2,3];  
val it = 3 : int  
- length[[5],[4],[3],[2,1]];  
val it = 4 : int  
- length[];  
val it = 0 : int
```

# More List Functions

- The following function doubles all the elements in its argument list (of integers):

```
- fun doubleall(L) =  
= if L=[] then []  
= else (2*hd(L))::doubleall(tl(L));  
val doubleall = fn : int list -> int list  
  
- doubleall[1,3,5,7];  
val it = [2,6,10,14] : int list
```

# Reversing a List

- Concatenation of lists, for which we gave a recursive definition, is actually a built-in operator in SML, denoted by the symbol `@`.
- We use this operator in the following recursive definition of a function that reverses a list.

```
- fun reverse(L) =  
= if L = nil then nil  
= else reverse(tl(L)) @ [hd(L)];  
val reverse = fn : ''a list -> ''a list  
  
- reverse [1,2,3];  
val it = [3,2,1] : int list
```

# Definition by Patterns

- In SML functions can also be defined via patterns.
- The general form of such definitions is:

```
fun <identifier>(<pattern1>) = <expression1>
| <identifier>(<pattern2>) = <expression2>
| ...
| <identifier>(<patternK>) = <expressionK>;
```

where the identifiers, which name the function, are all the same, all patterns are of the same type, and all expressions are of the same type.

- Example: the patterns are inspected in order and the first match determines the value of the function.

```
- fun reverse(nil) = nil
= | reverse(x::xs) = reverse(xs) @ [x];
val reverse = fn : 'a list -> 'a list
```

# Removing List Elements

- The following function removes all occurrences of its first argument from its second argument list.

```
- fun remove (x,L) =  
= if (L=[]) then []  
= else (if (x=hd(L))  
= then remove (x,tl(L))  
= else hd(L)::remove (x,tl(L)));  
val remove = fn : 'a * 'a list -> 'a list  
  
- remove (1, [5,3,1]);  
val it = [5,3] : int list  
- remove (2, [4,2,4,2,4,2,2]);  
val it = [4,4,4] : int list
```



# Removing List Elements

- The remove function can be used in the definition of another function that removes all duplicate occurrences of elements from its argument list:

```
- fun removedupl(L) =  
= if (L=[]) then []  
= else hd(L) :: remove(hd(L), removedupl(tl(L)));  
val removedupl = fn : 'a list -> 'a list
```

# Higher-Order Functions

- In functional programming languages functions can be used in definitions of other, so-called higher-order, functions.
  - The following function, `apply`, applies its first argument (a function) to all elements in its second argument (a list of suitable type):

```
- fun apply(f,L) =  
= if (L=[]) then []  
= else f(hd(L)) :: (apply(f,tl(L)));  
val apply = fn : ('a -> 'b) * 'a list -> 'b list
```

- We may apply `apply` with any function as argument:

```
- fun square(x) = (x:int)*x;  
val square = fn : int -> int  
- apply(square, [2,3,4]);  
val it = [4,9,16] : int list
```

# Sorting

- We next design a function for sorting a list of integers:
  - The function is recursive and based on a method known as Merge-Sort.
  - To sort a list L:
    - first split L into two disjoint sublists (of about equal size),
    - then (recursively) sort the sublists, and
    - finally merge the (now sorted) sublists.
  - This recursive method is known as **Merge-Sort**
  - It requires suitable functions for
    - splitting a list into two sublists AND
    - merging two sorted lists into one sorted list

# Splitting

- We split a list by applying two functions, `take` and `skip`, which extract alternate elements; respectively, the elements at odd-numbered positions and the elements at even-numbered positions (if any).
- The definitions of the two functions mutually depend on each other, and hence provide an example of mutual recursion, as indicated by the SML-keyword `and`:

```
- fun take(L) =  
= if L = nil then nil  
= else hd(L)::skip(tl(L))  
= and  
= skip(L) =  
= if L=nil then nil  
= else take(tl(L));  
val take = fn : 'a list -> 'a list  
val skip = fn : 'a list -> 'a list  
- take[1,2,3];  
val it = [1,3] : int list  
- skip[1,2,3];  
val it = [2] : int list
```

# Merging

- Merge pattern definition:

```
- fun merge([],M) = M
```

```
= | merge(L,[]) = L
```

```
= | merge(x::x1,y::y1) =
```

```
= if (x:int)<y then x::merge(x1,y::y1)
```

```
= else y::merge(x::x1,y1);
```

```
val merge = fn : int list * int list -> int  
list
```

```
- merge([1,5,7,9],[2,3,5,5,10]);
```

```
val it = [1,2,3,5,5,5,7,9,10] : int list
```

```
- merge([],[1,2]);
```

```
val it = [1,2] : int list
```

```
- merge([1,2],[]);
```

```
val it = [1,2] : int listfactor
```

# Merge Sort

```
- fun sort(L) =  
= if L=[] then []  
= else if tl(L)=[] then L  
= else merge(sort(take(L)),sort(skip(L)));  
val sort = fn : int list -> int list
```