Elementary Number Theory and Methods of Proof

CSE 215, Foundations of Computer Science

Stony Brook University

http://www.cs.stonybrook.edu/~cse215



Number theory

- Properties of integers (whole numbers), rational numbers (integer fractions), and real numbers.
- Truth of mathematical statements.
- Example:

Definition: For any real number x, the floor of x, [x], is the largest integer that is less than or equal to x

[2.3] = 2; [12.99999] = 12; [-1.5] = -2

Properties: For any real number x, is $\lfloor x-1 \rfloor = \lfloor x \rfloor - 1$?

• yes (true)

- For any real numbers x and y, is [x-y] = [x] [y]?
 - no (false)

o
$$[2.0-1.1] = [0.9] = 0$$

o [2.0] - [1.1] = 2 - 1 = 1

Number theory

- Proof example:
 - If x is a number with 5x + 3 = 33, then x = 6<u>Proof:</u>

If 5x + 3 = 33, then 5x + 3 - 3 = 33 - 3 since subtracting the same number from two equal quantities gives equal results.
5x + 3 - 3 = 5x because adding 3 to 5x and then subtracting 3 just leaves 5x, and also, 33 - 3 = 30.

Hence 5x = 30.

That is, x is a number which when multiplied by 5 equals 30. The only number with this property is 6. Therefore, if 5x + 3 = 33 then x = 6.

Number theory introduction

- Properties of equality:
 - (1) A = A
 - (2) if A = B then B = A
 - (3) if A = B and B = C, then A = C
- The set of all integers is closed under addition, subtraction, and multiplication

Number theory introduction

• An integer n is *even* if, and only if, n equals twice some integer:

n is even $\Leftrightarrow \exists$ an integer k such that n = 2k

• An integer n is *odd* if, and only if, n equals twice some integer plus 1:

n is odd $\Leftrightarrow \exists$ an integer k such that n = 2k + 1

- Reasoning examples:
 - Is 0 even? Yes, $0 = 2 \cdot 0$
 - Is -301 odd? Yes, -301 = 2(-151) + 1.
 - If a and b are integers, is 6a²b even?

Yes, $6a^2b = 2(3a^2b)$ and $3a^2b$ is an integer

being a product of integers: 3, a, a and b .

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Number theory introduction

- An integer n is *prime* if, and only if, n>1 and for all positive integers r and s, if n=r·s, then either r or s equals n:
 n is prime ⇔ ∀ positive integers r and s, if n = r·s then either
 r = 1 and s = n or r = n and s = 1
- An integer n is *composite* if, and only if, n>1 and n=r·s for some integers r and s with 1<r<n and 1<s<n:
 n is composite ⇔ ∃ positive integers r and s such that n = r·s and 1 < r < n and 1 < s < n
- Example: Is every integer greater than 1 either prime or composite? Yes. Let n be an integer greater than 1. There exist at least two pairs of integers r=n and s=1, and r=1 and s=n, s.t. n=rs. If there exists a pair of positive integers r and s such that n = rs and neither r nor s equals either 1 or n (1 < r < n and 1 < s < n), then n is composite. Otherwise, it's prime.

Proving Existential Statements

- ∃x ∈ D such that Q(x) is true if, and only if, Q(x) is true for at least one x in D
 - **Constructive proofs of existence:** find an x in D that makes Q(x) true OR give a set of directions for finding such x
- Examples:
 - ∃ an even integer n that can be written in two ways as a sum of two prime numbers

Proof: n=10=5+5=3+7 where 5, 3 and 7 are prime numbers

• \exists an integer k such that 22r + 18s = 2k where r and s are integers

Proof: Let k = 11r + 9s. k is an integer because it is a sum of products of integers. By distributivity of multiplication the equality is proved.

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Proving Existential Statements

- Nonconstructive proofs of existence:
 - the evidence for the existence of a value of x is guaranteed by an axiom or theorem
 - the assumption that there is no such x leads to a contradiction
 - Problems: gives no idea of what x is

Disproving Universal Statements by Counterexample

- Disprove $\forall x \text{ in } D$, if P(x) then Q(x)
 - The statement is false is equivalent to its negation is true by giving an example
 - The negation is: $\exists x \text{ in } D \text{ such that } P(x) \land \sim Q(x)$
- **Disproof by Counterexample:** ∀x in D, if P(x) then Q(x) is false if we find a value of x in D for which the hypothesis P(x) is true and the conclusion Q(x) is false

• x is called a **counterexample**

• Example:

Disprove \forall real numbers a and b, if $a^2 = b^2$ then a = b

Counterexample: Let a = 1 and b = -1.

$$a^2 = b^2 = 1$$
, but $a \neq b$

Proving Universal Statements

- Universal statement: $\forall x \in D$, if P(x) then Q(x)
- The method of exhaustion: if D is finite or only a finite number of elements satisfy P(x), then we can try all possibilities
- Example:
 - Prove $\forall n \in \mathbb{Z}$, if n is even and $4 \le n \le 7$, then n can be written as a sum of two prime numbers.

Proof:

$$4 = 2 + 2$$
 and $6 = 3 + 3$

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Proving Universal Statements

• Method of Generalizing from the Generic Particular

suppose x is a *particular* but *arbitrarily chosen* element of the set, and show that x satisfies the property

- no special assumptions about x that are not also true of all other elements of the domain
- Method of Direct Proof:
 - **1. Statement:** $\forall x \in D$, if P(x) then Q(x)
 - 2. Let x is a particular but arbitrarily chosen element of D for which the hypothesis P(x) is true
 - 3. Show that the conclusion Q(x) is true

Method of Direct Proof

- Example: prove that the sum of any two even integers is even
 - 1. Formalize: \forall integers m, n, if m and n are even then m + n is even
 - 2. Suppose m and n are any even integers
 - Existential Instantiation: If the existence of a certain kind of object is assumed or has been deduced then it can be given a name
 Since m and n equal twice some integers, we can give those integers names m = 2r, for some integer r and n = 2s, for some integer s m + n = 2r + 2s = 2(r + s)
 - However, r + s is an integer because the sum of any two integers is an integer, so m + n is an even number
 - The example can be formalized as a proved theorem

Common Mistakes

- Arguing from examples: it is true because it's true in one particular case – NO
- 2. Using the same letter to mean two different things
- 3. Jumping to a conclusion **NO**, we need complete proofs!
- 4. Circular reasoning: x is true because y is true since x is true
- 5. Confusion between what is known and what is still to be shown:
 - What is known? Premises, axioms and proved theorems.
- 6. Use of any rather than some
- 7. Misuse of if

Showing That an Existential Statement Is False

- The negation of an existential statement is universal:
 - To prove that an existential statement is false, we must prove that its negation (a universal statement) is true.
- Example prove falsity of the existential statement: There is a positive integer n such that $n^2 + 3n + 2$ is prime.
 - The negation is:

For all positive integers n, $n^2 + 3n + 2$ is not prime.

Let n be any positive integer

 $n^{2} + 3n + 2 = (n + 1)(n + 2)$

where $n+1 \geq 1$ and $n+2 \geq 1$ because $n \geq 1$

Thus $n^2 + 3n + 2$ is a product of two integers each greater than 1, and so it is not prime.

Rational Numbers

- A real number r is **rational** if, and only if, it can be expressed as a quotient of two integers with a nonzero denominator
- r is rational \Leftrightarrow ∃integers a and b such that r = a / b and b ≠ 0
- Examples: 10/3, 5/39, 0.281 = 281/1000, 7 = 7/1, 0 = 0/1, 0.12121212... = 12/99
- Every integer is a rational number: n = n/1

A Sum of Rationals Is Rational

- \forall real numbers r and s, if r and s are rational then r + s is rational
 - Suppose r and s are particular but arbitrarily chosen real numbers such that r and s are rational
 - r = a / b and s = c / d for some integers a, b, c, and d, where b = 0 and d = 0

$$\mathbf{r} + \mathbf{s} = \mathbf{a} / \mathbf{b} + \mathbf{c} / \mathbf{d}$$

- = ad / bd + bc / bd (rewriting the fraction with a common denominator)
- = (ad + bc) / bd (by adding fractions with a common denominator)

Deriving Additional Results about Even and Odd Integers

Prove:

if a is any even integer and b is any odd integer, then (a²+b²+1)/2 is an integer

Using the properties:

1. The sum, product, and difference of any two even integers are even.

2. The sum and difference of any two odd integers are even.

- 3. The product of any two odd integers is odd.
- 4. The product of any even integer and any odd integer is even.
- 5. The sum of any odd integer and any even integer is odd.
- 6. The difference of any odd integer minus any even integer is odd.
- 7. The difference of any even integer minus any odd integer is odd.

- Suppose a is any even integer and b is any odd integer.
- By property 3, b² is odd.
- By property 1, a² is even.
- By property 5, $a^2 + b^2$ is odd.
- By property 2, $a^2 + b^2 + 1$ is even.
- By definition of even, there exists an integer k such that $a^2 + b^2 + 1 = 2k$.
- $(a^2+b^2+1)/2 = k$, which is an integer.

Divisibility

 If n and d are integers and d ≠ 0 then n is divisible by d if, and only if, n equals d times some integer

 $d \mid n \Leftrightarrow \exists an integer k such that n = dk$

- n is a multiple of d
- d is a factor of n
- d **is a divisor of** n
- d **divides** n
- Notation: d | n (read "d divides n")
- Examples: 21 is divisible by 3, 32 is a multiple of -16,
- 5 divides 40, 6 is a factor of 54, 7 is a factor of -7
 - Any nonzero integer k divides 0 as $0 = k \cdot 0$

A Positive Divisor of a Positive Integer

- For all integers a and b, if a and b are positive and a divides b, then a ≤ b
 - Suppose a and b are positive integers and a divides b
 - Then there exists an integer k so that b = ak
 - $1 \le k$ because every positive integer is greater than or equal to 1
 - Multiplying both sides by a gives a ≤ ka = b, since a is a positive number

Transitivity of Divisibility

- For all integers a, b, and c, if a | b and b | c, then a | c
 - Since a | b, b = ar for some integer r.
 - Since $b \mid c$, c = bs for some integer s.

Hence, c = bs = (ar)s = a(rs) by the associative law for multiplication

rs is an integer, so a | c

Counterexamples and Divisibility

For all integers a and b, if a | b and b | a then a = b.
Counterexample:
Let a = 2 and b = -2
Then a | b since 2 | (-2) and b | a since (-2) | 2,
but a ≠ b since 2 ≠ -2

Therefore, the statement is false.

Unique Factorization of Integers Theorem

Given any integer n > 1, there exist a positive integer k, distinct prime numbers $p_1, p_2, ..., p_k$, and positive integers $e_1, e_2, ..., e_k$ such that:

$$n = p_1^{e_1} p_2^{e_2} p_3^{e_3} \dots p_k^{e_k}$$

and any other expression for n as a product of prime numbers is identical to this except for the order in which the factors are written.

Standard factored form: $p_1 < p_2 < \dots < p_k$

The Quotient-Remainder Theorem

• Given any integer n and positive integer d, there exist unique integers q and r such that

$$n = dq + r \qquad \text{and} \qquad 0 \le r < d.$$

- $n \operatorname{div} d = the integer quotient obtained when n is divided by d$
- n mod d = the nonnegative integer remainder obtained when n is divided by d.

$$\begin{array}{ll} n \ div \ d = q & and & n \ mod \ d = r & \Longleftrightarrow & n = dq + r \\ & n \ mod \ d = n - d \cdot (n \ div \ d \) \end{array}$$

• Examples:

- $54 = 52+2 = 4 \cdot 13 + 2$; hence q = 13 and r = 2
- $-54 = -56 + 2 = 4 \cdot (-14) + 2$; hence q = -14 and r = 2

Parity

- The parity of an integer refers to whether the integer is even or odd
- Consecutive Integers Have Opposite Parity
 - Case 1: The smaller of the two integers is even
 - Case 2: The smaller of the two integers is odd

Method of Proof by Division into Cases

• To prove:

If A_1 or A_2 or ... or A_n , then C prove all of the following: If A_1 , then C, If A_2 , then C, ... If A_n , then C.

C is true regardless of which of A_1, A_2, \ldots, A_n happens to be the case

Method of Proof by Division into Cases

• Example: any integer can be written in one of the four forms:

 $n = 4q \quad \text{or} \quad n = 4q + 1 \quad \text{or} \quad n = 4q + 2 \quad \text{or} \quad n = 4q + 3$

<u>Proof:</u> By the quotient-remainder theorem to n with d =4: n = 4q + r and $0 \le r < 4$ the only nonnegative remainders r that are less than 4 are 0, 1, 2, and 3 Hence:

 $n = 4q \quad \text{or} \quad n = 4q + 1 \quad \text{or} \quad n = 4q + 2 \quad \text{or} \quad n = 4q + 3$ for some integer q

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Absolute Value and the Triangle Inequality

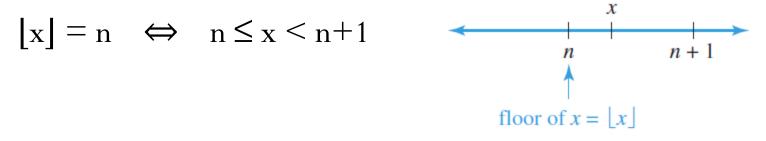
• The **absolute value** of x is:

$$|\mathbf{x}| = - \begin{bmatrix} \mathbf{x} & \text{if } \mathbf{x} \ge 0 \\ -\mathbf{x} & \text{if } \mathbf{x} < 0 \end{bmatrix}$$

- For all real numbers r, $-|r| \le r \le |r|$
 - Case 1 ($r \ge 0$): |r| = r
 - Case 2 (r<0): |r| = -r, so, -|r| = r

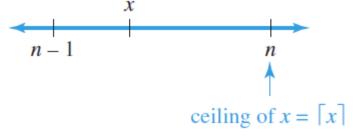
Floor and Ceiling

The floor of a real number x, [x], is a unique integer n such that n ≤ x < n+1:



The ceiling of a real number x, [x], is a unique integer n such that n−1 < x ≤ n:

 $[x] = n \iff n-1 < x \le n$



Floor and Ceiling

• Examples:

25/4 = 6.25, where 6 < 6.25 < 7
[25/4] = 6
[25/4] = 7
0.999, where 0 < 0.999 < 1
[0.999] = 0
[0.999] = 1
-2.01, where -3 < -2.01 < -2
[-2.01] = -3
[-2.01] = -2

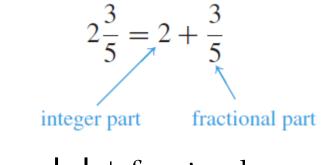
Disproving A Property of Floor

• Disproving:

For all real numbers x and y, [x + y] = [x] + [y] **Counterexample:** x = y = 1/2 [x + y] = [1] = 1[x] + [y] = [1/2] + [1/2] = 0 + 0 = 0

Hence, $[x + y] \neq [x] + [y]$

Hints on how to reason about [] & []



x = [x] + fractional part of x

x + y = [x] + [y] +the sum of the fractional parts of x and y x + y = [x+y] +the fractional part of (x + y) **Counterexample:** x = y = 1/2the sum of the fractional parts of x and y = 1the fractional part of (x + y) = 0

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Proving a Property of Floor

For all real numbers x and for all integers m, [x+m] = [x]+m
Suppose x is a particular but arbitrarily chosen real number m is a particular but arbitrarily chosen integer
n = [x] ⇔ n is an integer and n ≤ x < n + 1 add m: n + m ≤ x + m < n + m + 1 [x+m] = n + m = [x] + m, since n = [x]

The Floor of n/2

• For any integer n,

$$[n/2] = \begin{cases} n/2, \text{ if n is even} \\ (n-1)/2, \text{ if n is odd} \end{cases}$$

Suppose n is a particular but arbitrarily chosen integer **Case 1 (n is odd):** n = 2k + 1 for some integer k $\lfloor n/2 \rfloor = \lfloor (2k + 1)/2 \rfloor = \lfloor 2k/2 + 1/2 \rfloor = \lfloor k + 1/2 \rfloor = \lfloor k \rfloor = k$ (n - 1)/2 = (2k + 1 - 1)/2 = 2k/2 = k **Case 2 (n is even):** n = 2k for some integer k $\lfloor n/2 \rfloor = n/2 = k$

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Division quotient and remainder

If n is any integer and d is a positive integer, if q = [n/d] and r=n - d [n/d], then n = dq + r and 0 ≤ r < d
Proof: Suppose n is any integer, d is a positive integer dq + r = d[n/d]+(n - d [n/d]) = n

$$q \le n/d < q + 1 \qquad | * d$$

$$dq \le n < dq + d \qquad | -dq$$

$$0 \le n - dq < d$$

$$0 \le r < d \qquad [This is what was to be shown.]$$

Indirect Argument: Contradiction and Contraposition

- **Proof by Contradiction** (reductio ad impossible or reductio ad absurdum)
 - A statement is true or it is false but not both
 - Assume the statement is false
 - If the assumption that the statement is false leads logically to a contradiction, impossibility, or absurdity, then that assumption must be false
 - Hence, the given statement must be true

There Is No Greatest Integer

- Assumption: there is a greatest integer N $N \ge n$ for every integer n
- If there were a greatest integer, we could add 1 to it to obtain an integer that is greater

 $N + 1 \ge N$

• This is a contradiction, no greatest integer can exist (our initial assumption)

No Integer Can Be Both Even and Odd

• Suppose there is at least one integer n that is both even and odd n = 2a for some integer a, by definition of even n = 2b+1 for some integer b, by definition of odd 2a = 2b + 1 2a - 2b = 1a - b = 1/2

Since a and b are integers, the difference a – b must also be an integer, contradiction!

The Sum of a Rational Number and an Irrational Number

• The sum of any rational number and any irrational number is irrational

 \forall real numbers r and s, if r is rational and s is irrational, then r + s is irrational

Assume its negation is true:

 \exists a rational number r and an irrational number s such that r + s is rational

r = a/b for some integers a and b with $b \neq 0$

r + s = c/d for some integers c and d with $d \neq 0$

s = c/d - a/b = (bc - ad)/bd with $bd \neq 0$

This contradicts the supposition that it is irrational

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Argument by Contraposition

- Logical equivalence between a statement and its contrapositive
- We prove the contrapositive by a direct proof and conclude that the original statement is true

 $\forall x \text{ in } D, \text{ if } P(x) \text{ then } Q(x)$

Contrapositive: $\forall x \text{ in } D$, if Q(x) is false then P(x) is false

Prove the contrapositive by a direct proof

- 1. Suppose x is a (particular but arbitrarily chosen) element of D such that Q(x) is false
- 2. Show P(x) is false

Contraposition: the original statement is true

Contraposition Example

• If the Square of an Integer Is Even, Then the Integer Is Even Contrapositive: For all integers n, if n is odd then n^2 is odd Suppose n is any odd integer n = 2k + 1 for some integer k, by definition of odd

$$n^{2} = (2k + 1)^{2} = 4k^{2} + 4k + 1 = 2(2k^{2} + 2k) + 1$$
$$2(2k^{2} + 2k) \text{ is an integer}$$
$$n^{2} \text{ is odd}$$

[This was to be shown]

Contradiction Example

• If the Square of an Integer Is Even, Then the Integer Is Even Suppose the negation of the theorem:

There is an integer n such that n^2 is even and n is not even Any integer is odd or even, by the quotient-remainder theorem with $d = 2 \rightarrow since n$ is not even it is odd

n = 2k + 1 for some integer k $n^{2} = (2k + 1)^{2} = 4k^{2} + 4k + 1 = 2(2k^{2} + 2k) + 1$

 \rightarrow n² is odd

Contradiction: n² is both odd and even (by hypothesis)

The Irrationality of
$$\sqrt{2}$$

 $c^2 = 1^2 + 1^2 = 2$
 $c = \sqrt{2}$
 $\frac{\text{length (diagonal)}}{\text{length (side)}} \frac{c}{1} = \frac{\sqrt{2}}{1} = \sqrt{2}$
• Suppose the negation: $\sqrt{2}$ is rational
there exist 2 integers *m* and *n* with no common factors such that $\sqrt{2} = \frac{m}{n}$
 $m^2 = 2n^2$ implies that m^2 is even $m = 2k$ for some integer k
 $m^2 = (2k)^2 = 4k^2 = 2n^2$
 $n^2 = 2k^2$ n^2 is even, and so *n* is even
both *m* and *n* have a common factor of 2 Contradiction
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The set of all prime numbers is infinite

Proof (by contradiction):

Suppose the set of prime numbers is finite: some prime number p is the largest of all the prime numbers:

2, 3, 5, 7, 11,...,p

 $N = (2 \cdot 3 \cdot 5 \cdot 7 \cdot 11 \cdot \cdot \cdot p) + 1$

N > 1 → N is divisible by some prime number q in 2, 3,...,p q divides $2 \cdot 3 \cdot 5 \cdot 7 \cdot 11 \cdot \cdots p$, but not $(2 \cdot 3 \cdot 5 \cdot 7 \cdot 11 \cdot \ldots \cdot p) + 1 = N$ (also proved by contradiction)

Contradiction!

Application: Algorithms

- A **variable** refers to a specific storage location in a computer's memory
- The **data type** of a variable indicates the set in which the variable takes its values: integers, reals, characters, strings, boolean (the set {0, 1})
- Assignment statement: x := e
- Conditional statements:

if (condition) then s_1 else s_2

The condition is evaluated by substituting the current values of all algorithm variables appearing in it and evaluating the truth or falsity of the resulting statement

Application: Algorithms

$$x := 5$$

if x >2
then y := x + 1
else do
$$x := x - 1$$

$$y := 3 \cdot$$
end do

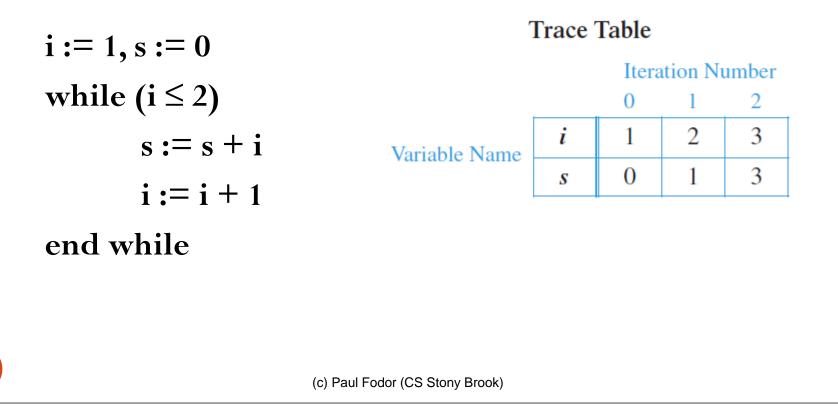
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• the condition x > 2 is true, then y := x + 1 := 6

Iterative statements

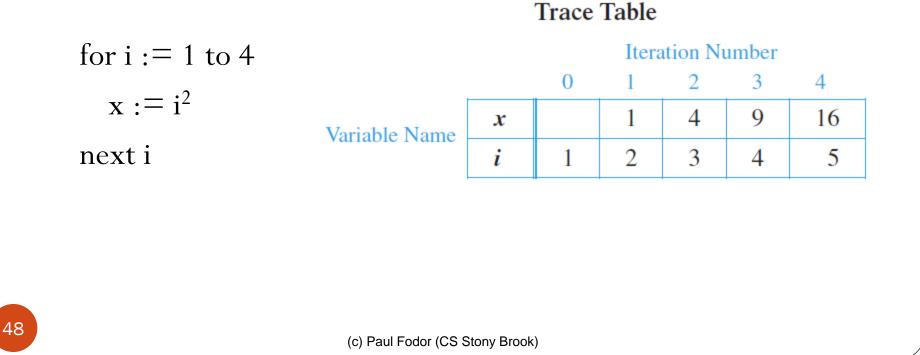
while (condition)

[statements that make up the body of the loop] end while



Iterative statements

for variable := initial expression to final expression
[statements that make up the body of the loop]
next (same) variable



The Division Algorithm

• Given a nonnegative integer a and a positive integer d, find integers q and r that satisfy the conditions a = dq + r and $0 \le r < d$

Input: a [a nonnegative integer], d [a positive integer] Algorithm Body:

```
r := a, q := 0
while (r \ge d)
r := r - d
q := q + 1
end while
```

The greatest common divisor

- The greatest common divisor of two integers a and b (that are not both zero), gcd(a, b), is that integer d with the following properties:
 - 1. d is a common divisor of both a and b:

 $d \mid a \text{ and } d \mid b$

2. For all integers c, if c is a common divisor of both a and b, then c is less than or equal to d:

for all integers c, if c \mid a and c \mid b, then c \leq d

• Examples:

 $gcd(72, 63) = gcd(9 \cdot 8, 9 \cdot 7) = 9$ If r is a positive integer, then gcd(r, 0) = r.

Euclidean Algorithm

• If a and b are any integers not both zero, and if q and r are any integers such that

$$a = bq + r,$$

then

$$gcd(a, b) \equiv gcd(b, r).$$

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Euclidean Algorithm

Given two integers A and B with A > B ≥ 0, this algorithm computes gcd(A, B)

Input: *A*, *B* [integers with $A > B \ge 0$]

Algorithm Body:

$$a := A, b := B, r := B$$

while $(b \neq 0)$

$$r := a \mod b$$

- a := b
- b := r

end while

gcd := a

Output: *gcd* [a positive integer]

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