Volume Visualization and Volume Graphics
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Figure 1: Some images obtained with volume rendering. From left to right: engine block (acquired via industrial CT), human knee, human head, human skeleton (all acquired via medical CT).

1 INTRODUCTION

Volume visualization is a method of extracting meaningful information from volumetric data using interactive graphics and imaging. It is concerned with volume data representation, modeling, manipulation, and rendering [36][137][138][227]. Volume data are 3D (possibly time-varying) entities that may have information inside them, might not consist of tangible surfaces and edges, or might be too voluminous to be represented geometrically. They are obtained by sampling, simulation, or modeling techniques. For example, a sequence of 2D slices obtained from Magnetic Resonance Imaging (MRI), Computed Tomography (CT), functional MRI (fMRI), or Positron Emission Tomography (PET), is 3D reconstructed into a volume model and visualized for diagnostic purposes or for planning of treatment or surgery. The same technology is often used with industrial CT for non-destructive inspection of composite materials or mechanical parts. Similarly, confocal microscopes produce data which is visualized to study the morphology of biological structures. In many computational fields, such as in computational fluid dynamics, the results of simulations typically running on a supercomputer are often visualized as volume data for analysis and verification. Recently, the sub-area of volume graphics [144] has been expanding, and many traditional geometric computer graphics applications, such as CAD and flight simulation, have been exploiting the advantages of volume techniques.

Over the years many techniques have been developed to render volumetric data. Since methods for displaying geometric primitives were already well-established, most of the early methods involve approximating a surface contained within the data using geometric primitives. When volumetric data are visualized using a surface rendering technique, a dimension of information is essentially lost. In response to this, volume rendering techniques were developed that attempt to capture the entire 3D data in a single 2D image. Volume rendering conveys more information than surface rendering images, but at the cost of increased algorithm complexity, and consequently increased rendering times. To improve interactivity in volume rendering, many optimization methods both for software and for graphics accelerator implementations, as well as several special-purpose volume rendering machines, have been developed.

2 VOLUMETRIC DATA

A volumetric data set is typically a set \( V \) of samples \( (x,y,z,v) \), also called voxels, representing the value \( v \) of some property of the data, at a 3D location \( (x,y,z) \). If the value is simply a 0 or an integer \( i \) within a set \( I \), with a value of 0 indicating background and the value of \( i \) indicating the presence of an object \( Q_i \), then the data is referred to as binary data. The data may instead be multi-valued, with the value representing some measurable property of the data, including, for example, color, density, heat or pressure. The value \( v \) may even be a vector, representing, for example, velocity at each location, results from multiple scanning modalities, such as anatomical (CT, MRI) and functional imaging (PET, fMRI), or color (RGB) triples, such as the Visible Human cryosection dataset [122]. Finally, the volume data may be time-varying, in which case \( V \) becomes a 4D set of samples \( (x,y,z,t,v) \).

In general, the samples may be taken at purely random locations in space, but in most cases the set \( V \) is isotropic containing samples taken at regularly spaced intervals along three orthogonal axes. When the spacing between samples along each axis is a constant, but there may be three different spacing constants for the three axes the set \( V \) is anisotropic. Since the set of samples is defined on a regular grid, a 3D array (also called the volume buffer, 3D raster, or simply the volume) is typically used to store the values, with the element location indicating position of the sample on the grid. For this reason, the set \( V \) will be referred to as the array of \( V(x,y,z) \), which is defined only at grid locations. Alternatively, either rectilinear, curvilinear (structured), or unstructured grids, are employed (e.g., [306]). In a rectilinear grid the cells are axis-aligned, but grid spacings along the axes are arbitrary. When such a grid has been non-linearly transformed while preserving the grid topology, the grid becomes curvilinear. Usually, the rectilinear grid defining the logical organization is called computational space, and the curvilinear grid is called physical space. Otherwise the grid is called unstructured or irregular. An unstructured or irregular volume data is a collection of cells whose connectivity has to be specified explicitly. These cells can be of an arbitrary shape such as tetrahedra, hexahedra, or prisms.

3 RENDERING VIA GEOMETRIC PRIMITIVES

To reduce the complexity of the volume rendering task, several techniques have been developed which approximate a surface contained within the volumetric data by ways of geometric primitives, most commonly triangles, which can then be rendered using conventional graphics accelerator hardware. A surface can be defined by applying a binary segmentation function \( B(v) \) to the vol-
The volume grid \( V \) is a representation that allows for the visualization of data contained within a volumetric data set. However, there are several main drawbacks to this approach. First, geometric primitives can only approximate surfaces contained within the original data. Adequate approximations may require an excessive amount of geometric primitives. Therefore, a trade-off must be made between accuracy and space requirements. Second, since only a surface representation is used, much of the information contained within the data is lost during the rendering process. For example, in CT scanned data useful information is contained not only on the surfaces, but within the data as well. Also, amorphous phenomena, such as clouds, fog, and fire cannot be adequately represented using surfaces, and therefore must have a volumetric representation, and must be displayed using volume rendering techniques.

However, before moving to techniques that visualize the data directly, without going through an intermediate surface extraction step, we first discuss in the next section some of the general principles that govern the theory of discretized functions and signals, such as the discrete volume data. We also present some specialized theoretical concepts, more relevant in the context of volume visualization.

5 VOLUMETRIC FUNCTION INTERPOLATION

The volume grid \( V \) only defines the value of some measured property \( f(x,y,z) \) at discrete locations in space. If one requires the value of \( f(x,y,z) \) at an off-grid location \((x,y,z)\), a process called interpolation must be employed to estimate the unknown value across the grid and inspects every cell for a possible iso-surface. This can be wasteful when users want to interactively change the iso-value \( v_{\text{iso}} \) and -surface to explore the different surfaces embedded in the data. By realizing that an iso-surface can only pass through a cell if at least one voxel has a value above or equal \( v_{\text{iso}} \) and at least one voxel has a value below or equal \( v_{\text{iso}} \) one can devise data structures that only inspect cells where this criterion is fulfilled. Examples are the NOISE algorithm [184] that uses a K-D tree embedded into span-space for quickly identifying the candidate cells (this method was later improved by [41] who used an interval tree), as well as the ISSUE algorithm [288]. Finally, since often triangles are generated that are later occluded during the rendering process, it is advisable to visit the cells in front-to-back order and only extract and render triangles that fall outside previously occluded areas [89].

4 DIRECT VOLUME RENDERING: PRELUDE

Representing a surface contained within a volumetric data set using geometric primitives can be useful in many applications, however, there are several main drawbacks to this approach. First, geometric primitives can only approximate surfaces contained within the original data. Adequate approximations may require an excessive amount of geometric primitives. Therefore, a trade-off must be made between accuracy and space requirements. Second, since only a surface representation is used, much of the information contained within the data is lost during the rendering process. For example, in CT scanned data useful information is contained not only on the surfaces, but within the data as well. Also, amorphous phenomena, such as clouds, fog, and fire cannot be adequately represented using surfaces, and therefore must have a volumetric representation, and must be displayed using volume rendering techniques.

However, before moving to techniques that visualize the data directly, without going through an intermediate surface extraction step, we first discuss in the next section some of the general principles that govern the theory of discretized functions and signals, such as the discrete volume data. We also present some specialized theoretical concepts, more relevant in the context of volume visualization.
along arable in higher dimensions. The first 4 linear interpolations are as 3 stages of 7 linear interpolations, since the filter function is separable, i.e., the value at any location \((x,y,z)\) is simply that of the grid sample closest to that location:

\[
f(x, y, z) = V(\text{round}(x), \text{round}(y), \text{round}(z))
\]

which gives rise to a box filter (black curve in Fig. 4). With this interpolation method there is a region of constant value around each sample in \(V\). The human eye is very sensitive to the jagged edges and unpleasant staircasing that result from a zero-order interpolation, and therefore this kind of interpolation gives generally the poorest visual results (see Fig. 3a).

Linear or first-order interpolation (magenta curve in Fig. 4) is the next-best choice, and its 2D and 3D versions are called bi-linear and tri-linear interpolation, respectively. It can be written in 3D as 3 stages of 7 linear interpolations, since the filter function is separable in higher dimensions. The first 4 linear interpolations are along \(x\):

\[
f(u, v, 0, 1, w, 0, 1) = (1 - u)V(0, v, 0, 1, w, 0, 1) + uV(1, v, 0, 1, w, 0, 1)
\]

Using these results, 2 linear interpolations along \(y\) follow:

\[
f(u, v, 0, 1, 0) = (1 - v)f(u, 0, v, 0, 1) + vf(u, 1, v, 0, 1)
\]

One final interpolation along \(z\) yields the interpolation result:

\[
f(x, y, z) = f(u, v, w) = (1 - w)f(u, v, w, 0) + wf(u, v, 1)
\]

Here the \(u,v,w\) are the distances (assuming a cell of size 1\(^3\), without loss of generality) of the sample at \((x,y,z)\) from the lower, left, rear voxel in the cell containing the sample point (e.g., the voxel with value 50 in Fig. 1). A function interpolated with a linear filter no longer suffers from staircase artifacts (see Fig. 3b). However, it has discontinuous derivatives at cell boundaries, which can lead to noticeable banding when the visual quantities change rapidly from one cell to the next.

A second-order interpolation filter that yields a \(f(x,y,z)\) with a continuous first derivative is the cardinal spline function, whose 1D function is given by (see blue curve in Fig. 4):

\[
h(u) = \begin{cases} (a + 2)|u|^3 - (a + 3)|u|^2 + 1 & 0 \leq |u| < 1 \\ a|u|^3 - 5a|u|^2 + 8a|u| - 4a & 1 \leq |u| \leq 2 \\ 0 & |u| > 2 \end{cases}
\]

Here, \(u\) measures the distance of the sample location to the grid points that fall within the extent of the kernel, and \(a = -0.5\) yields the Catmull-Rom spline which interpolates a discrete function with the lowest third-order error [149]. The 3D version of this filter \(h(u,v,w)\) is separable, i.e.,

\[
h(u,v,w) = h(u)h(v)h(w)
\]

and therefore interpolation in 3D can be written as a 3-stage nested loop.

A more general form of the cubic function has two parameters and the interpolation results obtained with different settings of these parameters has been investigated by Mitchell and Netravali [214]. In fact, the choice of filters and their parameters always presents trade-offs between the sensitivity to noise, sampling frequency ripple, aliasing (see below), ringing, and blurring, and there is no optimal setting that works for all applications. Marschner and Lobb [200] extended the filter discussion to volume rendering and created a challenging volumetric test function with a uniform frequency spectrum that can be employed to visually observe the characteristics of different filters (see Fig. 5). Finally, Möller et al. [217] applied a Taylor series expansion to devise a set of optimal \(n\)-th order filters that minimize the \((n+1)\)-th order error.

Generally, higher filter quality comes at the price of wider spatial extent (compare Fig. 4) and therefore larger computational effort. The best filter possible in the numerical sense is the sinc filter, but it has infinite spatial extent and also has noticeable ringing [214]. Sinc filters make excellent, albeit expensive, interpolation filters when used in truncated form and multiplied by a window function [200][322], possibly adaptive to local detail [197]. In practice, first-order or linear filters give satisfactory results for most applications, providing good cost-quality trade-offs, but cubic filters are also used. Zero-order filters give acceptable results when the discrete function has already been sampled at a very high rate, for example in high-definition function lookup tables [349].

All filters presented thus far are grid-interpolating filters, i.e., their interpolation yields \(f(x,y,z) = V(x,y,z)\) at grid points [324]. When presented with a uniform grid signal they also interpolate a uniform \(f(x,y,z)\) everywhere. This is not the ease with a Gaussian filter function (red curve in Fig. 4) which can be written as:

\[
h(u, v, w) = b \cdot e^{-a(u^2 + v^2 + w^2)}
\]

Here, \(a\) determines the width of the filter and \(b\) is a scale factor.
may consult standard texts, such as [361] and [75], for more detail. Illustrates this by ways of an example, and the interested reader filtering after aliasing will not undo these adverse effects. Fig. 6 limit, mostly for the purpose of estimating the amount of light to be performed whenever a signal is sampled below its strong gradients indicate stronger surfaces and therefore stronger create sharp edges, as we shall see later.

The gradient of \( f(x,y,z) \) is also of great interest in volume visualization, mostly for the purpose of estimating the amount of light reflected from volumetric surfaces towards the eye (for example, strong gradients indicate stronger surfaces and therefore stronger reflections). There are three popular methods to estimate a gradient from the volume data [216]. The first computes the gradient vector at each grid point via a process called central differencing:

\[
\begin{align*}
\nabla x &= \frac{V(x+1, y, z) - V(x-1, y, z)}{2} \\
\nabla y &= \frac{V(x, y+1, z) - V(x, y-1, z)}{2} \\
\nabla z &= \frac{V(x, y, z+1) - V(x, y, z-1)}{2}
\end{align*}
\]

and then interpolates the gradient vectors at \((x,y,z)\) using any of the filters described above. The second method also uses central differencing, but it does it at \((x,y,z)\) by interpolating the required support samples on the fly. The third method is the most direct and employs a gradient filter [12] in each of the three axis directions to estimate the gradients. These three gradient filters could be simply the \((u,v,w)\) partial derivatives of the filters described above or they could be a set of optimized filters [216]. The third method gives the best results since it only performs one interpolation step, while the other two methods have lower complexity and often have practical application-specific advantages. An important observation is that gradients are much more sensitive to the quality of the interpolation filter since they are used in illumination calculations, which consist of higher-order functions that involve the normal vectors, which in turn are calculated from the gradients via normalization [217].

6 VOLUME RENDERING TECHNIQUES

In the next subsections various fundamental volume rendering techniques are explored. Volume rendering or direct volume rendering is the process of creating a 2D image directly from 3D volumetric data, hence it is often called direct volume rendering. Although several of the methods described in these subsections render surfaces contained within volumetric data, these methods operate on the actual data samples, without generating the intermediate geometric primitive representations used by the algorithms in the previous section.

Volume rendering can be achieved using an object-order, an image-order, or a domain-based technique. Hybrid techniques have also been proposed. Object-order volume rendering techniques use a forward mapping scheme where the volume data is mapped onto the image plane. In image-order algorithms, a backward mapping scheme is used where rays are cast from each pixel in the image plane through the volume data to determine the final pixel value. In a domain-based technique the spatial volume data is first transformed into an alternative domain, such as compression, frequency, or wavelet, and then a projection is generated directly from that domain.

6.1 Image-Order Techniques

There are four basic volume rendering modes: X-ray rendering, Maximum Intensity Projection (MIP), iso-surface rendering, and full volume rendering, where the third mode is just a special case of the fourth. These four modes share two common operations: (i) They all cast rays from the image pixels, sampling the grid at discrete locations along their paths, and (ii) they all obtain the samples via interpolation, using the methods described earlier. The modes differ, however, in how the samples taken along a ray are combined. In X-ray, the interpolated samples are simply summed, giving rise to a typical image obtained in projective diagnostic imaging (Fig. 7a), while in MIP only the interpolated sample with the largest value is written to the pixel (Fig. 7b). In full volume rendering (Fig. 7c and Fig. 7d), on the other hand, the interpolated samples are further processed to simulate the light transport within a volumetric medium according to one of many possible models. In the remainder of this section, we shall concentrate on the full volume rendering mode since it provides the greatest degree of freedom, although rendering algorithms have been proposed that merge the different modes into a hybrid image generation model [110].

The fundamental element in full volume rendering is the volume rendering integral. In this section we shall assume the low-albedo scenario, in which a certain light ray only scatters once before leaving the volume. The low-albedo optical model was first described by [15] and [134], and then formally derived by [201]. It computes, for each cast ray, the quantity \( I_s(x,r) \), which is the amount of light of wavelength \( \lambda \) coming from ray direction \( r \) that is received at point \( x \) on the image plane:
the other quantities in this integral, are derived from the interpolated volume densities composed of particles with certain mass density values. Here the image plane. Thus, in (8), by the volume densities on its path from the light source to the eye (blue ray in Fig. 8). But the light received at \( s \) is also attenuated by the volume densities on its path from the light source to \( s \) (red ray in Fig. 8). This gives rise to the following term for \( C_j \) in (9), which is now dependent on the location \( s \):

\[
C_j(s) = C_j \exp\left(-\int_s^T \mu(t) \, dt\right) \tag{10}
\]

Here, \( C_j \) is the color of the lightsource and \( T \) is the distance from \( s \) to the light source (see Fig. 8). The inclusion of this term into (9) produces volumetric shadows, which give greater realism to the image \([246][372]\) (see Fig. 9). In practice, applications that compute volumetric shadows are less common, due to the added computational complexity, but an interactive hardware-based approach has been recently proposed \([156][157]\).

The analytic volume rendering integral cannot, in the general case, be computed efficiently, if at all, and therefore a variety of approximations are in use. An approximation of (8) can be formulated using a discrete Riemann sum, where the rays interpolate a set of samples, most commonly spaced apart by a distance \( \Delta s \):

\[
L / \Delta s - 1 \sum_{i=0}^{\Delta s - 1} C(a(i \Delta s)) \mu(i \Delta s) / \Delta s, \tag{11}
\]

A few more approximations make the computation of this equation more efficient. First, the transparency \( \mu(i \Delta s) \) is defined as \( \exp(-\mu(i \Delta s) \Delta s) \). Transparency assumes values in the range \([0, 1]\). The opacity \( \alpha(i \Delta s) = (1 - \mu(i \Delta s)) \) is the inverse of the transparency. Further, the exponential term in (11) can be approximated by the first two terms of its Taylor series expansion: \( \mu(i \Delta s) \Delta s \approx 1 - \mu(i \Delta s) \Delta s \). Then, one can write: \( \mu(i \Delta s) \Delta s \approx 1 - \mu(i \Delta s) \Delta s \). This transforms (11) into the well-known compositing equation:

\[
L / \Delta s - 1 \sum_{i=0}^{\Delta s - 1} C(a(i \Delta s)) \alpha(i \Delta s) / \Delta s, \tag{12}
\]

This is a recursive equation in \( (1-\alpha) \) and gives rise to the recursive front-to-back compositing formula \([174][266]\):

\[
c = C(\alpha(i \Delta s))(1 - \alpha) + c \\
\alpha = \alpha(i \Delta s)(1 - \alpha) + \alpha \tag{13}
\]

Thus, a practical implementation of volumetric ray would traverse the volume from front to back, calculating colors and opacities at each sampling site, weighting these colors and opacities by the current accumulated transparency \( (1-\alpha) \), and adding these terms to the accumulated color and transparency to form the terms for the next sample along the ray. An attractive property of the front-to-back
The mapping is implemented as a set of mapping functions, often implemented as 2D tables, called transfer functions. By ways of the transfer functions, users can interactively change the properties of the volume dataset. Most applications give access to four mapping functions: \( R(d), G(d), B(d), A(d) \), where \( d \) is the value of a grid voxel, typically in the range of \([0,255]\) for 8-bit volume data. Thus, users can specify semi-transparent materials by mapping their densities to opacities \( \alpha < 1.0 \), which allows rays to acquire a mix of colors that is due to all traversed materials. More advanced applications give users also access to transfer functions that map \( \kappa_r(d), \kappa_g(d), ns(d), \) and others. Wittenbrink pointed out that the colors and opacities at each voxel should be multiplied prior to interpolation to avoid artifacts on object boundaries [360].

The model in (12) is called the pre-classified model, since voxel densities are mapped to colors and opacities prior to interpolation. This model cannot resolve high frequency detail in the transfer functions (see Fig. 10 for an example), and also typically gives blurry images under magnification [231]. An alternative model that is more often used is the post-classified model. Here, the raw volume values are interpolated by the rays, and the interpolation result is mapped to color and opacity:

\[
\begin{align*}
    c &= c(1 - \alpha(i\Delta s)) + C(i\Delta s) \\
    \alpha &= \alpha(1 - \alpha(i\Delta s)) + \alpha(i\Delta s)
\end{align*}
\]

(14)

Back-to-front compositing is a generalization of the Painter’s algorithm and does not enjoy speed-up opportunities of early ray termination and is therefore less frequently used.

Equation (12) assumes that a ray interpolates a volume that stores at each grid point a color vector (usually a (red, green, blue) = RGB triple) as well as an \( \alpha \) value [174]-[175]. There, the colors are obtained by shading each grid point using (9). Before we describe the alternative representation, let us first discuss how the voxel densities are mapped to the colors \( C_i \) in (9).

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A color and opacity using the shading equation (9) and the transfer most basic one (see Fig. 12). Here, the object points are traversed aligned sheet-buffered, and image-aligned sheet-buffered splatting. This rasterization can either be performed via fast DDA procedures print (basis image) onto a polygon [52].

The composite-only method was proposed first [348] and is the most parallel to the image plane at all times, which gives rise to the image-aligned sheet-buffered splatting approach [224] (see Fig. 13b). Here, a slab is advanced across the volume and all kernels that intersect the slab are sliced and projected. Kernel slices can be pre-integrated into footprints as well, and thus this sheet-buffered approach differs from the original one in that each voxel has to be considered more than once. The image-aligned splatting method provides the most accurate reconstruction of the voxel field prior to compositing and eliminates both color bleeding and popping artifacts. It is also best suited for post-classified rendering since the density (and gradient) field is reconstructed accurately in each sheet. However, it is more expensive due to the multiple splatting of a voxel.

The divergence of rays under perspective viewing causes undersampling of the volume portions further away from the viewpoint (see Fig. 14). This leads to aliasing in these areas. As was demonstrated in Fig. 6, lowpassing can eliminate the artifacts caused by aliasing and replace them by blur (see Fig. 15). For perspective rendering the amount of required lowpassing increases with distance from the viewpoint. The kernel-based approaches can achieve this progressive lowpassing by simply stretching the footprints of the voxels as a function of depth, since stretched kernels act as lowpass filters (see Fig. 14) [230][318]. EWA (Elliptical Weighted Average) Splatting [375] provides a general framework to define the screen-space shape of the footprints, and their mapping into a generic footprint, for generalized grids under perspective viewing. An equivalent approach for raycasting is to split the rays in more distant volume slices to always maintain the proper sampling rate [245]. Kreeger et al. [161] proposed an improvement of this scheme that splits and merges rays in an optimal way.

A major advantage of object-order methods is that only the compositing order when the major viewing direction changes and an orthogonal stack of volume slices must be used to organize the voxels. This causes noticeable popping artifacts where some surfaces suddenly reflect less light and others more [224]. The solution to this problem is to align the compositing sheet with the image plane at all times, which gives rise to the image-aligned sheet-buffered splatting approach [224] (see Fig. 13b). Here, a slab is advanced across the volume and all kernels that intersect the slab are sliced and projected. Kernel slices can be pre-integrated into footprints as well, and thus this sheet-buffered approach differs from the original one in that each voxel has to be considered more than once. The image-aligned splatting method provides the most accurate reconstruction of the voxel field prior to compositing and eliminates both color bleeding and popping artifacts. It is also best suited for post-classified rendering since the density (and gradient) field is reconstructed accurately in each sheet. However, it is more expensive due to the multiple splatting of a voxel.

The divergence of rays under perspective viewing causes undersampling of the volume portions further away from the viewpoint (see Fig. 14). This leads to aliasing in these areas. As was demonstrated in Fig. 6, lowpassing can eliminate the artifacts caused by aliasing and replace them by blur (see Fig. 15). For perspective rendering the amount of required lowpassing increases with distance from the viewpoint. The kernel-based approaches can achieve this progressive lowpassing by simply stretching the footprints of the voxels as a function of depth, since stretched kernels act as lowpass filters (see Fig. 14) [230][318]. EWA (Elliptical Weighted Average) Splatting [375] provides a general framework to define the screen-space shape of the footprints, and their mapping into a generic footprint, for generalized grids under perspective viewing. An equivalent approach for raycasting is to split the rays in more distant volume slices to always maintain the proper sampling rate [245]. Kreeger et al. [161] proposed an improvement of this scheme that splits and merges rays in an optimal way.

A major advantage of object-order methods is that only the

Figure 14: Stretching the basis functions in volume layers $z > z_k$, where the sampling rate of the ray grid is progressively less than the volume resolution.

An attempt to solve this problem gave way to the axis-aligned sheet-buffered splatting approach [347] (see Fig. 13a). Here, the grid points are organized into sheets (basically the volume slices most parallel to the image plane), assigned a color and opacity, and splatted into the sheet’s color and opacity buffers. The important difference is that now all splats within a sheet are added and not composited, while only subsequent sheets are composited. This prevents potential color bleeding of voxels located in consecutive sheets, due to the more accurate reconstruction of the opacity layer. The fact that the voxel sheets must be formed by the volume slices most parallel to the viewing axis leads to a sudden switch of the

Figure 13: Sheet-buffered splatting: (a) axis-aligned - the entire kernel within the current sheet is added, (b) image-aligned - only slices of the kernels intersected by the current sheet-slab are added.

Figure 12: Object-order volume rendering with kernel splatting implemented as footprint mapping.
points (or other basis primitives, such as tetrahedral or polygonal cells [352]) which make up the object must be stored. This can be advantageous when the object has an intricate shape, with many pockets of empty space [208]. While raycasting would spend much effort traversing (and storing) the empty space, kernel-based or point-based objects will not consider the empty space, neither during rendering nor for storage. However, there are trade-offs, since the rasterization of a footprint takes more time than the commonly used trilinear interpolation of ray samples, since the radially symmetric kernels employed for splatting must be larger than the trilinear kernels to ensure proper blending. Hence, objects with compact structure are more favorably rendered with image-order methods or hybrid methods (see next section). Another disadvantage of object-order methods is that early ray termination is not available to cull occluded material early from the rendering pipeline. The object-order equivalent is early point elimination, which is more difficult to achieve than early ray termination. Finally, image-order methods allow the extension of raycasting to raytracing, where secondary and higher-order rays are spawned at reflection sites. This facilitates mirroring on shiny surfaces, inter-reflections between objects, and soft shadows.

There are a number of ways to store and manage point-based objects. These schemes are mainly distinguished by their ability to exploit spatial coherence during rendering. The lack of spatial coherence requires more depth sorting during rendering and also means more storage for spatial parameters. The least spatial coherence results from storing the points sorted by density [51]. This has the advantage that irrelevant points, being assigned transparent values in the transfer functions, can be quickly culled from the rendering pipeline. However, it requires that \((x,y,z)\) coordinates and, possibly gradient vectors, are stored along with the points since neighborhood relations are completely lost. It also requires that all points be view-transformed before they can be culled due to occlusion or exclusion from the viewing pyramid. The method also requires that the points be depth-sorted during rendering, or at least, tossed into depth bins [228]. A compromise is struck by Ihm and Lee [128] who sort points by density within volume slices only, which gives implicit depth-ordering when used in conjunction with an axis-aligned sheet-buffer method. A number of approaches exist that organize the points into RLE (Run Length Encoded) lists, which allow the spatial coordinates to be incrementally computed when traversing the runs [150][234]. However, these approaches do not allow points to be easily culled based on their density value. Finally, one may also decompose the volume into a spatial octree and maintain a list of voxels in each node. This provides depth sorting on the node-level.

A number of surface-based splatting methods have also been described. These do not provide the flexibility of volume exploration via transfer functions, since the original volume is discarded after the surface has been extracted. They only allow a fixed geometric representation of the object that can be viewed at different orientations and with different shadings. A popular method is shell-rendering [329] which extracts from the volume (possibly with a sophisticated segmentation algorithm) a certain thin or thick surface or contour and represents it as a closed shell of points. Shell-rendering is fast since the number of points is minimized and its data structure used has high cache coherence. More advanced point-based surface rendering methods are QSplat [275], Surfels [260], and Surface Splats [374], which have been predominantly developed for point-clouds obtained with range scanners, but can also be used for surfaces extracted from volumes [375].

### 6.3 Hybrid Techniques

Hybrid techniques seek to combine the advantages of the image-order and object-order methods, i.e., they use object-centered storage for fast selection of relevant material (which is a hallmark of object-order methods) and they use early ray termination for fast occlusion culling (which is a hallmark of image-order methods).

The shear-warp algorithm [165] is such a hybrid method. In shear-warp, the volume is rendered by a simultaneous traversal of RLE-encoded voxel and pixel runs, where opaque pixels and transparent voxels are efficiently skipped during these traversals (see Fig. 16a) [268]. Further speed comes from the fact that sampling only occurs in the volume slices via bilinear interpolation, and that the ray grid resolution matches that of the volume slices, and therefore the same bilinear weights can be used for all rays within a slice (see Fig. 16b). The caveat is that the image must first be rendered from a sheared volume onto a so-called base-plane, that is aligned with the volume slice most parallel to the true image plane (Fig. 16a). After completing the base-plane rendering, the base plane image must be warped onto the true image plane and the resulting image is displayed. All of this combined enables framing rates in excess of 10 frames/s on current PC processors, for a 128³ volume. There are a number of compromises that had to be made in the process:

- Since the interpolation only occurs within one slice at a time, more accurate tri-linear interpolation reduces to less accurate bi-linear interpolation and the ray sampling distance varies between 1 and \(\sqrt{3}\), depending on the view orientation. This leads to aliasing and staircasing effects at viewing angles near 45°.
- Since the volume is run-length one needs to use three sets of voxel encodings (but it could be reduced to two [319]), one for each major viewing direction. This triples the memory required for the runs, but in return, the RLE encoding saves considerable space.
- Since there is only one interpolated value per voxel-slice 4-neighborhood, zooming can only occur during the warping phase and not during the projection phase. This leads to considerable blurring artifacts at zoom factors greater than 2. The post-rendering magnification in fact is a major source of the speedup for the shear-warp algorithm.

An implementation of the shear-warp algorithm is publicly available as the volpack package [121] from Stanford University.

### 6.4 Domain Volume Rendering

In domain rendering, the spatial 3D data is first transformed into another domain, such as compression, frequency, and wavelet domain, and then a projection is generated directly from that domain or with the help of information from that domain. The frequency domain rendering applies the Fourier slice projection theorem, which states that a projection of the 3D data volume from a certain view direction can be obtained by extracting a 2D slice per-
The high computational complexity of volume rendering has led to a great variety of approaches for its acceleration. In the current section, we will discuss general acceleration techniques that can benefit software as well as hardware implementations. We have already mentioned a few acceleration techniques in the previous section, such as early ray termination [174], post-rendering warps for magnified viewing [165], and the splatting of pre-integrated voxel basis functions [349]. The latter two gave rise to independent algorithms, that is, shear-warp [165] and splatting [349]. Acceleration techniques generally seek to take advantage of properties of the data, such as empty space, occluded space, and entropy, as well as properties of the human perceptional system, such as its insensitivity to noise over structural artifacts [86].

A number of techniques have been proposed to accelerate the grid traversal of rays in image-order rendering. Examples are the 3D DDA (Digital Differential Analyzer) method [1][83], in which new grid positions are calculated by fast integer-based incremental arithmetic, and the template-based method [366], in which templates of the ray paths are precomputed and used during rendering to quickly identify the voxels to visit. Early-ray termination can be sophisticated into a Russian Roulette scheme [57] in which some rays terminate with lower and others with higher accumulated opacities. This capitalizes on the human eye’s tolerance to error masked as noise [195]. In the object-order techniques, fast differential techniques to determine the screen-space projection of the points as well as to rasterize the footprints [196][225] are also available.

Most of the object-order approaches deal well with empty space - they simply don’t store and process it. In contrast, ray casting relies on the presence of the entire volume grid since it requires it for sample interpolation and address computation during grid traversal. Although opaque space is quickly culled, via early ray ter-
mination, the fast leaping across empty space is more difficult. A number of techniques are available to achieve this (see Fig. 17 for an illustration of the methods described in the following text). The simplest form of space leaping is facilitated by enclosing the object into a set of boxes, possibly hierarchical, and first quickly determine and test the rays’ intersection with each of the boxes before engaging into more time-consuming volumetric traversal of the material within [147]. A better geometrical approximation is obtained by a polyhedral representation, chosen crudely enough to still maintain ease of intersection. In fact, one case utilize conventional graphics hardware to perform the intersection calculation, where one projects the polygons twice to create two Z- (depth) buffers. The first Z-buffer is the standard closest-distance Z-buffer, while the second is a farthest-distance Z-buffer. Since the object is completely contained within the representation, the two Z-buffer values for a given image plane pixel can be used as the starting and ending points of a ray segment on which samples are taken. This algorithm has been known as PARC (Polygon Assisted Ray Casting) [303] and it is part of the VolVis volume visualization system [4][5], which also provides a multi-algorithm progressive refinement approach for interactivity. By using available graphics hardware, the user is given the ability to interactively manipulate a polyhedral representation of the data. When the user is satisfied with the placement of the data, light sources, and viewpoint, the Z-buffer information is passed to the PARC algorithm, which produces a ray-cast image.

A different technique for empty-space leaping was devised by Zuiderfeld et al. [373] as well as Cohen and Shefer [44] who introduced the concept of proximity clouds. Proximity clouds employ a distance transform of the object to accelerate the rays in regions far from the object boundaries. In fact, since the volume densities are irrelevant in empty volume regions, one can simply store the distance transform values in their place and therefore storage is not increased. Since the proximity clouds are the iso-distance layers around the object’s boundaries, they are insensitive to the viewing direction. Thus, rays that ultimately miss the object are often still slowed down. To address this shortcoming, Sramek and Kaufman [307] proposed a view-sensitive extension of the proximity clouds approach. Wan [333] places a sphere at every empty voxel position, where the sphere radius indicates the closest non-empty voxel. They apply this technique for the navigation inside hollow volumetric objects, as occurring in virtual colonoscopy [118], and reduce a ray’s space traversal to just a few hops until a boundary wall is reached. Finally, Meissner [209] suggested an algorithm that quickly re-computes the proximity cloud when the transfer function changes.

Proximity clouds only handle the quick leaping across empty space, but methods are also available that traverse occupied space faster when the entropy is low. These methods generally utilize a hierarchical decomposition of the volume where each non-leaf node is obtained by low-pass filtering its children. Commonly this hierarchical representation is formed by an octree [204] since these are easy to traverse and store. An octree is the 3D extension of a quadtree [279], which is the 2D extension of a binary tree. Most often a non-leaf node stores the average of its children, which is synonymous with a box filtering of the volume, but more sophisticated filters are possible. Octree don’t have to be balanced [353] nor fully expanded into a single root node or into single-voxel leaf nodes. The latter two give rise to a brick-of-bricks decomposition, where the volume is stored as a flat hierarchy of bricks of size $n^3$ to improve cache-coherence in the volume traversal. Parker et al. [250][251] utilize this decomposition for the raycasting of very large volumes, and they also gives an efficient indexing scheme to quickly find the memory address of the voxels located in the 8-neighborhood required for trilinear interpolation.

When octrees are used for entropy-based rendering, non-leaf node store either an entropy metric of its children, such as standard deviation [57], minimum-maximum range [353], or Lipschitz range [311], or a measure of the error committed when the children are not rendered, such as the root mean square or the absolute error [102]. The idea is to either have the user specify a tolerable error before the frame is rendered or to make the error dependent on the time maximally allowed to render the frame, which is known as time-critical rendering. In either case, the rays traversing the volume will advance across the volume, but also descend up and down the octree, based on the metric used, which will either accelerate or decelerate them on their path. A method called $\beta$-acceleration will make this traversal also sensitive to the ray’s accumulated opacity so far. The philosophy here is that the observable error from using a coarser node will be relatively small when it is weighted by a small transparency in (13). Note, however, that the interpolated opacity must be normalized to unit stepsize before it is used in the compositing equation (see chapter 6 in [183]).

Octrees are also easily used with object-order techniques, such as splatting. Laur and Hanrahan [169] have proposed an implementation that approximates non-leaf octree nodes by kernels of a radius that is twice the radius of the childrens’ kernels, which gives rise to a magnified footprint. They store the childrens’ average as well as an error metric based on their standard deviation in each parent node and use a pre-set error to select the nodes during rendering. While this approach uses non-leaves nodes during rendering, other splatting approaches only exploit them for fast occlusion culling. Lee and Ihm [170] as well as Mora et al. [222] store the volume as a set of bricks which they render in conjunction with a dynamically computed hierarchical occlusion map to quickly cull voxels within occluded bricks from the rendering pipeline. Hierarchical occlusion maps [371] are continuously updated during the rendering and thus store a hierarchical opacity map of the image rendered so far. Regions in which the opacity is high are tagged, and when octree nodes fall within such a region all voxels contained in them can be immediately culled. If the octree node does not fall into a fully opaque region then it has to be subdivided and its children are subjected to the same test. An alternative scheme that performs occlusion culling on a finer scale than the box-basis of an octree decomposition is to calculate an occlusion map in which each pixel represents the average of all pixels within the

![Figure 17: Various object approximation techniques: (blue) iso-surface of the object, (lightly shaded) discretized object (proximity cloud =0), (red) bounding box, (green) polygonal hull used in PARC, (darker shaded areas) proximity clouds with grey level indicating distance to the object. Note also that while the right magenta ray is correctly sped up by the proximity clouds, the left magenta ray missing the object is unnecessarily slowed down.](image-url)
order methods, since these use the spherical (radially symmetric) BCC grids are best used in conjunction with point-based object-being stored in a 4D BCC at only 50% of the 4D CC samples. The dimensions as well, for example, a time-varying (4D) volume can of the grid and Fig. 20 for images). The theorem extends to higher dimensions as well, for example, a time-varying (4D) volume can be stored in a 4D BCC at only 50% of the 4D CC samples. The BCC grids are best used in conjunction with point-based object-order methods, since these use the spherical (radially symmetric) filter required to preserve the spherical shape of the BCC grid-sam-

Hierarchical decomposition is not the only way to reduce the number of point primitives needed to represent an object for rendering. An attractive solution that does not reduce the volume’s frequency content, by ways of averaging, is to exploit more space-efficient grids for storage. The most optimal regular lattices are the face-centered cartesian (FCC) lattices (see Fig. 19) [48][63]. The FCC lattices give the densest packings of a set of equal-sized spheres. If the frequency spectrum of the signal represented in the volume is spherical (and many of them are due to the sampling kernel used for volume generation), then they can be packed in the FCC lattice (see Fig. 18 for the 2D equivalent, the hexagonal lattice). The FCC lattice’s dual in the spatial domain is the body-centered cubar cartesian (BCC) lattice, and the spacing of samples there is the reciprocal of that in the frequency domain, according to the Fourier scaling theorem [18]. This BCC grid gives rise to two possible frequency domain lattices. The latter provides the tightest packing of a discrete 2D signal’s circularly-bounded frequency spectrum. (Here, the dark, red circle contains the main spectrum, while the others contain the replicas or aliases.)

Figure 18: The cartesian grid (left) vs. the hexagonal grid (right) as two possible frequency domain lattices. The latter provides the tightest packing of a discrete 2D signal’s circularly-bounded frequency spectrum. (Here, the dark, red circle contains the main spectrum, while the others contain the replicas or aliases.)

box-neighborhood covered by a footprint [228]. Occlusion of a particular voxel is then determined by indexing the occlusion map with the voxel’s screen-space coordinate to determine if its footprint must be rasterized. One could attempt to merge these two methods to benefit both from the large-scale culling afforded by the octree-nodes and from the fine-scale culling of the average-occlusion map.

A comprehensive system for accelerated software-based volume rendering is the UltraVis system devised by Knittel [158]. It can render 256³ volume at 10 frames/s. It achieves this by optimizing cache performance during both volume traversal and shading, which is rooted in the fact that good cache management is key to achieve fast volume rendering, since the data are so massive. As we have mentioned before, this was also realized by Parker et al. [250][251], and it plays a key role in both custom and commodity hardware approaches as well, as we shall see later. The UltraVis system manages the cache by dividing it into four blocks: one block each for volume bricks, transfer function tables, image blocks, and temporary buffers. Since the volume can only map into a private cache block, it can never be swapped out by a competing data structure, such as a transfer function table or an image tile array. This requires that the main memory footprint of the volume is four times as high since no volume data may be stored in an address space that would map outside the volume’s private cache slots. By using a bricked volume decomposition in conjunction with a flock of rays that are traced simultaneously across the brick, the brick’s data will only have to be brought in once before it can be discarded when all rays have finished its traversal. A number of additional acceleration techniques give further performance.

Another type of acceleration is achieved by breaking the volume integral of (12) or (15) into segments and storing the com-

Figure 19: Various grid cells, drawn in relative proportions. We assume that the sampling interval in the CC grid is T=1. (a) Cubic cartesian (CC) for cartesian grids (all other grid cells shown are for grids that can hold the same spherically bandlimited, signal content); (b) Face-centered cubic (FCC); (c) Body-centered (BCC) cell.

Figure 20: Foot dataset rendered on: (left) Cubic Cartesian (CC) grid, (right) Body Centered (BCC) grid. The renderings are almost identical, but the BCC rendering took 70% of the time of the CC rendering.

Figure 21: (a) The volume is decomposed into slabs, and each slab is rendered into an image from view direction Va. The ray integrals for view direction Vb can now be approximated with higher accuracy by combining the appropriate partial ray integrals from view Vb (stored in the slab image). Interpolation is used to obtain partial integrals at non-grid positions, (b) The three billboard images can be composited for any view, such as Vb shown here.
ited color and opacity for each partial ray into a data structure. The idea is then to re-combine these partial rays into complete rays for images rendered at viewpoints near the one for which the partial rays were originally obtained (see Fig. 21). This saves the cost for fully integrating all rays for each new viewpoint and reduces it to the expense of composting a few partial segments per ray, which is much lower. This method falls into the domain of image-based rendering (IBR) [33][34][203][284] and is, in some sense, a volumetric extension of the lumigraph [97] or lightfield [177], albeit dynamically computed. However, one could just as well store a set of partial rays into a static data structure to be used for volumetric-dynamically computed. However, one could just as well store a set of partial rays into a static data structure to be used for volumetric-

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8 CLASSIFICATION AND TRANSFER FUNCTIONS

In volume rendering we seek to explore the volumetric data using visuals. This exploration process aims to discover and emphasize interesting structures and phenomena embedded in the data, while de-emphasizing or completely culling away occluding structures that are currently not of interest. Clipping planes and more general clipping primitives [342] provide geometric tools to remove or displace occluding structures in their entirety. On the other hand, transfer functions which map the raw volume density data to color and transparencies, can alter the overall look-and-feel of the dataset in a continuous fashion.

The exploration of a volume via transfer functions constitutes a navigation task, which is performed in a 4D transfer function space, assuming three axes for RGB color and one for transparency (or opacity). It is often easier to specify colors in HSV (Hue, Saturation, Value) color space, since it provides separate mappings for color and brightness. Simple algorithms exist to convert the HSV values into the RGB triples used in the volume rendering [75]. Fig. 23 shows a transfer function editor that also allows the mapping of the other rendering attributes in equation (9).

A generalization of the usual RGB color model has been pursued in spectral volume rendering [253], where the light transport occurs within any number of spectral bands. Noordmans [243] employed this concept to enable achromatic, elastic, and inelastic light scattering, which facilitates the rendering of inner structures through semi-transparent, yet solid (i.e., non-fuzzy) exterior structures. Bergner et al. [13] described a spectral renderer that achieves interactive speeds by factoring the illumination term out of the spectral volume rendering integral and using post-illumination for the final lighting (a related technique, in RGB space, using a Fourier series approach was presented by Kaneda et al. [136]). They describe a system which allows designers of a guided visualization to specify a set of lights and materials, whose spectral properties allow users to emphasize, de-emphasize, or merge specific structures by simply varying the intensity of the light sources.

Given the large space of possible settings, choosing an effective transfer function can be a daunting task. It is generally more convenient to gather more information about the data before the exploration via transfer functions begins. The easiest presentation of support data is in the form of 1D histograms, which are data statistics collected as a function of raw density, or some other quantity. A histogram of density values can be a useful indicator to point out dominant structures with narrow density ranges. A fuzzy classification function [61] can then be employed to assign different colors and opacities to these structures (see Fig. 24). This works well if the data are relatively noise-free, the density ranges of the features are well isolated, and not many distinct materials (e.g., bone, fat, and skin) are present. In most cases, however, this is not the case. In these settings, it helps to also include the first and second derivative into the histogram-based analysis [151]. The magnitude of the first derivative (the gradient strength) is useful since it peaks at densities where interfaces between different features exist (see Fig. 25). Plotting a histogram of first derivatives
over density yields an arc that peaks at the interface density (see Fig. 26). Knowing the densities at which feature boundaries exist narrows down the transfer function exploration task considerably. One may now visualize these structures by assigning different colors and opacities within a narrow interval around these peaks. Levoy [174] showed that a constant width of (thick) surface can be obtained by making the width of the chosen density interval a linear function of the gradient strength (see Fig. 27). Kindlemann and Durkin [151] proposed a technique that uses the first and second derivative to generate feature-sensitive transfer functions automatically. This method provides a segmentation of the data, where the segmentation metric is a histogram of the first and second derivative. Tengmakai and Machiraju [321] extended the arsenal of metrics to higher order moments, and compute from them additional measures, such as kurtosis and skew, in small neighborhoods. These can provide better delineations of features in histogram space. Another proposed analysis method is based on maxima in cumulative Laplacian-weighted density histograms [254].

There are numerous articles (we can only reference a few here) on the topic of automatic segmentation of images and higher-dimensional datasets, using neural network-type approaches [191], statistical classifiers [285], region growing [160], the watershed algorithm [293], and many others. To that end, Tiede [325] describes an algorithm for rendering the tagged and segmented volumes at high quality. However, despite the great advances that have been made, automated segmentation of images and volumes remains a difficult task and is also in many cases observer and task dependent. In this regard, semi-supervised segmentation algorithms where users guide the segmentation process in an interactive fashion have a competitive edge. There are two examples for such systems: the PAVLOV architecture that implements an interactive region-grow to delineate volumetric features of interest [160], and the dual-domain approach of Kniss et al. [154][155], who embed Kindlemann’s algorithm into an interactive segmentation application. Here, users work simultaneously within two domains, i.e., the histogram-coupled transfer function domain and the volume rendering domain, to bring out certain features of interest. To be effective, an interactive (hardware-based) volume renderer is required, and the technique could embed more advanced metrics as well [321].

Another way to analyze the data is to look for topological changes in the iso-contours of the volume, such as a merge of split of two contours (see Fig. 28). These events are called critical points. By topologically sorting the critical points as a function of density one can construct a contour graph, contour tree, or Hyper Reeb Graph which yields a roadmap for an exploration of the volume [8][30][84][163][291][320]. One can either use the contour graph to come up with an automatic transfer function (simply posit-
albedo lighting simulation, which is important in achieving improvements in realism. This is accomplished by incorporating a large number of applications (e.g., clouds, skin, and stone) to cast soft shadows by volume density objects. Generous case of global illumination. The reflected illumination adds a great deal of intuitive information to the image. This low albedo lighting simulation has the ability to illuminate the hollow spots.

9 VOLUMETRIC GLOBAL ILLUMINATION

In the local illumination equation (9), the global distribution of light energy is ignored and shading calculations are performed assuming full visibility of and a direct path to all light sources. While this is useful as a first approximation, the incorporation of global light visibility information (shadows, one instance of global illumination) adds a great deal of intuitive information to the image. This low albedo lighting simulation has the ability to cast soft shadows by volume density objects. Generous improvements in realism are achieved by incorporating a high albedo lighting simulation [302], as illumination is computed for a volume sample, rays are cast toward the light sources, sampling the partial visibility of each. In computing high-albedo scattering illumination, Max [201] used the method of discrete ordinates to transport energy from voxel to voxel. For calculations of volumetric radiosity, voxels are usually regarded as discrete elements in the usual radiosity calculation on pairs of elements, thereby computing on a voxel-by-voxel basis [274][302]. Particle tracing methods for global illumination track paths of scattered light energy through space starting at the light sources [132].

In many cases, the back-projection can be reorganized into a single sweep through the volume, processing slice-by-slice. Because sunlight travels in parallel rays in one direction only, Kajiya and Von Herzen [134] calculated the light intensity of a cloud-like volume, one horizontal slice at a time. A similar technique was demonstrated as part of the Heidelberg ray-tracing model [206] in which shadow rays were propagated simultaneously slice-by-slice and in the same general direction as rendering. Dachille et al. [54] described a backprojection approach that scatters the energy in the volume by a multi-pass slice-by-slice sweep at random angles. He also devised a custom hardware architecture for a cache-efficient implementation of this algorithm.

Kniss et al. [156][157] proposed a single-pass algorithm that approximates the scattering of light within a volume by a recursive slice-blurring operation, starting at the light source. The profile of the blurring filter is determined by the user-specified phase function. The method exploits 3D texture mapping hardware in conjunction with a dual image buffer, and runs at interactive frame rates. One buffer, the repeatedly blurred (light) buffer, contains the transported and scattered light energy on its path away from the source, and the other (frame) buffer holds the energy headed for the eye and is attenuated by the densities along the path to the eye. At each path increment energy is transferred from the light buffer to

\[
I(\gamma, \omega) = \int_{V} q(\omega', \omega') I(\gamma, \omega') d\omega' dv
\]

where \( \Gamma \) is the set of all directions and \( V \) is the set of all voxels \( v \). This means that the illumination at any voxel is dependent upon the illumination at every other voxel. In practice, this integral equation is solved by finite repeated projection of energy among voxels. This leads to a finite energy transport path, which is generally sufficient for visual fidelity.

In physics, equations of this sort are solved via Monte-Carlo simulations. A large set of rays is cast from the energy sources into the volume and at each voxel a “dice is rolled” to determine how much energy is absorbed and how much energy is scattered and into what direction. After many iterations the simulation is stopped, and a final scattering of the radiosity volume is performed towards an arbitrarily positioned eye point. A practical implementation of this process is volumetric backprojection. Backprojection is usually performed on a voxel-by-voxel basis, since this is the most obvious and direct method of computation. For example, in volumetric ray tracing [302], as illumination is computed for a volume sample, rays are cast toward the light sources, sampling the partial visibility of each. In computing high-albedo scattering illumination, Max [201] used the method of discrete ordinates to transport energy from voxel to voxel. For calculations of volumetric radiosity, voxels are usually regarded as discrete elements in the usual radiosity calculation on pairs of elements, thereby computing on a voxel-by-voxel basis [274][302]. Particle tracing methods for global illumination track paths of scattered light energy through space starting at the light sources [132].

Figure 29: Feature management with contour graphs. Once the features are detected they can be isolated and be given private visual attributes. This is not possible with global transfer functions. For example, the two valves in the engine block are now separate features and one can be colored in different shades (left image), or the two lobster claws are now different features, and one can be rendered opaque and the other rendered translucent (right image).

Figure 30: Engine block rendered without (left) and with (right) global illumination. We observe that only the multiply scattered light is able to illuminate the hollow spots.
to the frame buffer.

10 RENDERING ON PARALLEL ARCHITECTURES

Much research towards parallel ray-casting has been reported in the literature, primarily due to the simplicity of the algorithm. To avoid volume data redistribution costs, researchers have proposed the distribution of data to processing nodes, where each node processes its own data for all frames or views. Each node generates a partial image with its data, which are then accumulated and composited into the final image [120][193][194][220][250][251].

Researchers have also investigated partitioning screen space into square tiles or contiguous scanlines, to be used as the basic task to be sent or assigned to processing nodes. For better load balancing, the task queue can be ordered in decreasing task size, such that the concurrency gets finer until the queue is exhausted [31]. Load balancing can also be achieved by having nodes steal smaller tasks from other nodes, once they have completed their own tasks [238][350]. Finally, time-out stamps for each node can be added, such that if the node cannot finish its task before the time-out, it takes the remnant of the task, re-partitions it and re-distributes it [50].

A parallel shear-warp implementation on shared-memory architectures has been reported in [166], with decent timing benchmarks. Amin et. al [2] ported the shear-warp algorithm onto a distributed memory architecture, by partitioning in sheared volume space and using an adaptive load balancing. The parallel shear-warp implementation has been improved on distributed memory architectures by dividing the volume data after the shear operation into subvolumes parallel to an intermediate image plane of the shear-warp factorization [280].

Splatting and cell projection methods have also been parallelized using a sort-last paradigm [218]. The community has researched parallel splatting algorithms [182] that do not utilize occlusion-based acceleration. The volume data is distributed in either slices (axis-aligned planes) [69] or blocks [194] to processing nodes. Those are then rendered, in parallel, to partial images which are composited for the final image by the master node. Speed-ups can further be achieved by only passing the non-empty parts of the partial images [69] or by parallelizing the final compositing stage using a screen space partitioning [182]. Hierarchical data structures such as a k-d tree can be applied to facilitate prompt compositing and occlusion culling [194]. Machiraju and Yagel [196] report a parallel implementation of splatting, where the tasks are defined by a subvolumes. Each processor is assigned a subvolume. The images are composited together in depth-sort order, also performed in parallel. This implementation splats all voxels, no matter if they are empty or occluded, while Huang [123] presents a parallel splatting algorithm that takes into account visibility and occlusion, which is considerably more challenging for load-balancing. PVR [294] is a parallel ray casting kernel that exploits image-space, object-space, and time-space parallelism. See also [192] for a tutorial article on two highly scalable, parallel software volume rendering algorithms for unstructured grids.

11 SPECIAL-PURPOSE RENDERING HARDWARE

The high computational cost of direct volume rendering makes it difficult for sequential implementations and general-purpose computers to deliver the targeted level of performance, although the recent advances in commodity graphics hardware have started to blur these boundaries (as we shall see in the next section). This situation is aggravated by the continuing trend towards higher and higher resolution datasets. For example, to render a dataset of 10243 16-bit voxels at 30 frames per second requires 2 GBytes of storage, a memory transfer rate of 60 GBytes per second and approximately 300 billion instructions per second, assuming 10 instructions per voxel per projection.

The same way as the special requirements of traditional computer graphics led to high-performance graphics engines, volume visualization naturally lends itself to special-purpose volume renderers that separate real-time image generation from general-purpose processing. This allows for stand-alone visualization environments that help scientists to interactively view their data on a single user workstation, augmented by a volume rendering accelerator. Furthermore, a volume rendering engine integrated in a graphics workstation is a natural extension of raster based systems into 3D volume visualization. Several researchers have proposed special-purpose volume rendering architectures [137] (chapter 6) [369][142][95][130][205][247][314][315][159][211][212]. Most recent research focuses on accelerators for ray-casting of regular datasets. Ray-casting offers room for algorithmic improvements while still allowing for high image quality. More recent architectures [115] include VOGUE, VIRIM, Cube, and VIZARD. The VolumePro board [259] is a commercial implementation of the Cube architecture.

VOGUE [159], a modular add-on accelerator, is estimated to achieve 2.5 frames per second for 2563 datasets. For each pixel a ray is defined by the host computer and sent to the accelerator. The VOGUE module autonomously processes the complete ray, consisting of evenly spaced resampling locations, and returns the final pixel color of that ray to the host. Several VOGUE modules can be combined to yield higher performance implementations. For example, to achieve 20 projections per second of 5123 datasets requires 64 boards and a 5.2 GB per second ring-connected cubic network.

VIRIM [100] is a flexible and programmable ray-casting engine. The hardware consists of two separate units, the first being responsible for 3D resampling of the volume using lookup tables to implement different interpolation schemes. The second unit performs the ray-casting through the resampled dataset according to user programmable lighting and viewing parameters. The underlying ray-casting model allows for arbitrary parallel and perspective projections and shadows. An existing hardware implementation for the visualization of 256x256x128 datasets at 10 frames per second requires 16 processing boards.

The Cube project aims at the realization of high-performance volume rendering systems for large datasets and pioneered several hardware architectures. Cube-1, a first generation hardware prototype, was based on a specially interleaved memory organization [143], which has also been used in all subsequent generations of the Cube architecture. This interleaving of the n2 voxels enables conflict-free access to any ray parallel to a main axis of n voxels. A fully operational printed circuit board (PCB) implementation of Cube-1 is capable of generating orthographic projections of 162 datasets from a finite number of predetermined directions in real-time. Cube-2 was a single-chip VLSI implementation of this prototype [9].

To achieve higher performance and to further reduce the critical memory access bottleneck, Cube-3 introduced several new concepts [262][264][265]. A high-speed global communication network aligns and distributes voxels from the memory to several parallel processing units and a circular cross-linked binary tree of voxel combination units composites all samples into the final pixel color. Estimated performance for arbitrary parallel and perspective projections is 30 frames per second for 5123 datasets. Cube-4 [261][263] has only simple and local interconnections, thereby allowing for easy scalability of performance. Instead of processing individual rays, Cube-4 manipulates a group of rays at a time. As a result, the rendering pipeline is directly connected to the memory. Accumulating compositors replace the binary compositing tree. A pixel-bus collects and aligns the pixel output from the compositors. Cube-4 is easily scalable to very high resolutions of 10243 16-bit
voxels and true real-time performance implementations of 30 frames per second.

EM-Cube [248] marked the first attempt to design a commercial version of the Cube-4 architecture. Its VLSI architecture features four rendering pipeline and four 64 Mbit SDRAMs to hold the volume data. VolumePro500 was the final design, in form of an ASIC, and was released to market by Mitsubishi Electric in 1999 [259]. VolumePro has hardware for gradient estimation, classification, and per-sample Phong illumination. It is a hardware implementation of the shear-warping algorithm, but with true trilinear interpolation which affords very high quality. The final warp is performed on the PC’s graphics card. The VolumePro streamers the data through four rendering pipelines, maximizing memory throughput by using a two-level memory block- and bank-skewing mechanism to take advantage of the burst mode of its SDRAMs. No occlusion culling or voxel skipping is performed. Advanced features such as gradient magnitude modulation of opacity and illumination, supersampling, cropping and cut planes are also available. The system renders 500 million interpolated, Phong illuminated, composited samples per second, which is sufficient to render volumes with up to 16 million voxels (e.g., 256³ volumes) at 30 frames per second.

While the VolumePro uses a brute-force rendering mode in which all rays are cast across the volume, the VIZARD II architecture [211] implements an early ray-termination mechanism. It has been designed to run on a PCI board populated with four FPGAs, a DSP, and SDRAM and SRAM memory. In contrast to the VolumePro, it supports perspective rendering, but uses a table-based gradient vector lookup scheme to compute the gradients at sample positions. The VIZARD II board is anticipated to render a 256³ dataset at interactive frame rates. The VolumePro1000 [364] is the successor of the VolumePro500 and employs a different factorization of the viewing matrix, termed shear-image order ray casting, which is a method of ray casting that eliminates shear-warp’s intermediate image and final warp step while preserving its memory access efficiency. VolumePro1000 uses empty space skipping and early ray termination, and it can render up to 10¹⁰ samples/s.

The choice of whether one adopts a general-purpose or a special-purpose solution to volume rendering depends upon the circumstances. If maximum flexibility is required, general-purpose hardware appears to be the best way to proceed. However, an important feature of graphics accelerators is that they are integrated into a much larger environment where software can shape the form of input and output data, thereby providing the additional flexibility that is needed. A good example is the relationship between the needs of conventional computer graphics and special-purpose graphics hardware. Nobody would dispute the necessity for polygon graphics acceleration despite its obvious limitations. The exact same argument can be made for special-purpose volume rendering architectures. The line between general-purpose and special-purpose, however, has become somewhat blurred in the past couple of years with the arrival of advanced, programmable commodity GPUs (Graphics Processing Units). Although these boards do not, and perhaps never will, provide the full flexibility of a CPU, they gain more generality as a general computing machine with every new product cycle. In the following section, we shall discuss the recent revolution in GPUs in light of their impact on interactive volume rendering and processing.

12 GENERAL-PURPOSE RENDERING HARDWARE

Another opportunity to accelerate volume rendering is to utilize the texture mapping capability of graphics hardware. The first such implementation was devised by Cabral et al. [26] and ran on SGI Reality Engine workstations. There are two ways to go about this. Either one represents the volume as a stack of 2D textures, one texture per volume slice, or as one single 3D texture, which requires more sophisticated hardware. In the former case, three texture stacks are needed, one for each major viewing direction. An image is then rendered by choosing the stack that is most parallel to the image plane, and rendering the textured polygons to the screen in front-to-back or back-to-front order. If the machine has 3D texture capabilities, then one specifies a set of slicing planes parallel to the screen and composites the interpolated textures in depth order. The 3D texturing approach generally provides better images since the slice distance can be chosen arbitrarily small and no popping caused by texture stack switching can occur. While the early approaches did not provide any shading, VanGelder and Kim [92] introduced a fast technique to pre-shade the volume on the fly and then slice and composite a RGB volume to obtain an image with shading effects. Meiβner et al. [210] provided a method to enable direct diffuse illumination for semi-transparent volume rendering. However, in this case multiple passes through the rasterizer that hardware led to a significant loss in rendering performance. Instead, Dachille et al. [53] provided a one-pass approach that employs 3D texture hardware interpolation together with software shading and classification. Westermann and Erli [346] introduced a fast multi-pass approach to display shaded isosurfaces. Both Boada et al. [16] and LaMar et al. [167] subdivided the texture space into an octree, which allows them to skip nodes of empty regions and use lower-resolution textures for regions far from the view point or of lower interest.

The emergence of advanced PC graphics hardware has made texture-mapped volume rendering accessible to a much broader community, at less than 2% of the cost of the workstations that were previously required. However, the decisive factor stemming the revolution that currently dominates the field was the manufacturer’s (e.g., NVidia, ATI, and 3DLabs) decision to make two of the main graphics pipeline components programmable. These two components are the vertex shaders, the units responsible for the vertex transformations (GLs Modelview matrix), and the fragment shaders, which are the units that take over after the rasterizer (GLs Projection matrix). The first implementation that used these new commodity GPUs for volume rendering was published by Rezk-Salama et al. [269], who used the stack-textures approach since 3D texturing was not supported at that time. They overcame the undersampling problems associated with the large inter-slice distance at off-angles by interpolating, on-the-fly, intermediate slices, using the register combiners in the fragment shader compartment. Engel et al. [70] replaced this technique by the use of pre-integrated transfer function tables (see our previous section on transfer functions). The implementation can perform fully-shaded semi-transparent and iso-surface volume rendering at 1-4 frames per second for 256³ volumes, using an NVidia GeForce3.

To compute the gradients required for shading, one must also load a gradient volume into the texture memory. The interpolation of a gradient volume without subsequent normalization is generally incorrect, but the artifacts are not always visible. Meiβner and Guthe [207] use a shading cube texture instead, which eliminates this problem. Even the most recent texture mapping hardware cannot reach the performance of the specialized volume rendering hardware, such as the VolumePro500 and the new VolumePro 1000, at least not when volume are rendered brute-force. Therefore, current research efforts have concentrated on reducing the load for the fragment shaders. Level-of-detail methods have been devised that rasterize lower-resolution texture blocks whenever the local volume detail or projected resolution allow them to do so [102][173]. Li and Kaufman [179][180] proposed an alternative approach that approximates the object by a set of texture boxes, which efficiently clips empty space from the rasterizer.

Commodity graphics hardware also found much use for the rendering of irregular grids and in non-photorealistic rendering, as
13 IRREGULAR GRIDS

All the algorithms discussed above handle only regular gridded data. Irregular gridded data come in a large variety, including curvilinear data or unstructured (scattered) data, where no explicit connectivity is defined between cells (one can even be given a scattered collection of points that can be turned into an irregular grid by interpolation [240][202]). Fig. 31 illustrates the most prominent grid types, and Fig. 32 shows an example of a translucent rendering of an irregular grid dataset.

For rendering purposes, manifold (locally homeomorphic to $\mathbb{R}^3$) grids composed of convex cells are usually necessary. In general, the most convenient grids for rendering purposes are tetrahedral grids and hexahedral grids. One disadvantage of hexahedral grids is that the four points on the side of a cell may not necessarily lie on a plane forcing the rendering algorithm to approximate the cells by convex ones during rendering. Tetrahedral grids have several advantages, including easier interpolation, simple representation (specially for connectivity information because the degree of the connectivity graph is bounded, allowing for compact data structure representation), and the fact that any other grid can be interpolated to a tetrahedral one (with the possible introduction of Steiner points). Among their disadvantages is the fact that the size of the datasets tend to grow as cells are decomposed into tetrahedra and sliver tetrahedra may be generated. In the case of curvilinear grids, an accurate (and naive) decomposition will make the cell complex contain five times as many cells.

As compared to regular grids, operations for irregular grids are more complicated and the effective visualization methods are more sophisticated in all fronts. Shading, interpolation, point location, etc., are all harder (and some even not well defined) for irregular grids. One notable exception is isosurface generation [185] that even in the case of irregular grids is fairly simple to compute given suitable interpolation functions. Slicing operations are also simple [306].

Volume rendering of irregular grids is a hard operation and there are several different approaches to this problem. One approach for rendering irregular grids is the use of feed-forward (or projection) methods, where the cells are projected onto the screen one by one, accumulating their contributions incrementally to the final image [354][202][352][292]. The projection algorithm that has gained popularity is the Projected Tetrahedra (PT) algorithm by Shirley and Tuchman [292]. It uses the projected profile of each tetrahedron with respect to the image plane to decompose it into a set of triangles. This gives rise to four classes of projections, which are shown in Fig. 33. The color and opacity values for each triangle vertex are approximated using ray integration through the thickest point of the tetrahedron. The resulting semi-transparent triangles are sorted in depth order and then rendered and composited using polygonal graphics hardware. Stein et al. [312] sort the cells before they are split into tetrahedra, and they utilize 2D texture mapping hardware to accelerate opacity interpolation and provide the correct per-pixel opacity values to avoid artifacts. While their method can only handle linear transfer functions without artifacts, Röttger et al. [272] introduced the concept of pre-integrated volume rendering to allow for arbitrary transfer functions. They create a 3D texture map to provide hardware support in interpolating along the ray between the front and back faces of a tetrahedral cell. In this texture map, two of the three coordinates correspond to values at the cell entry and exit points, with the third coordinate mapping to the distance through the cell. This texture map is then approximated using two-dimensional texture mapping.

Cell projection methods require a sorted list of cells to be passed to the hardware. Starting with Max et al.’s [202] and Williams’s [355] works, there has been substantial progress in the development of accurate visibility ordering algorithms [296][47]. A graphics hardware architecture was also proposed, but not yet realized, by King et al. [152], which can both rasterize and sort tetrahedral meshes in hardware.

An alternative technique to visualize irregular grids is by raycasting [91][330]. Ray-casting methods tend to be more exact than projection techniques since they are able to “stab” or integrate the cells in depth order, even in the presence of cycles. This is generally not possible in cell-by-cell projection methods. Many ray-cast-
ing approaches employ the plane sweep paradigm, which is based on processing geometric entities in an order determined by passing a line or a plane over the data. It was pioneered by Giertsen [93] for the use in volume rendering. It is based on a sweep plane that is orthogonal to the viewing plane (e.g., orthogonal to the y-axis). Events in the sweep are determined by vertices in the dataset and by values of y that correspond to the pixel rows. When the sweep plane passes over a vertex, an “Active Cell List” (ACL) is updated accordingly, so that it stores the set of cells currently intersected by the sweep plane. When the sweep plane reaches a y-value that defines the next row of pixels, the current ACL is used to process that row, casting rays, corresponding to the values of x that determine the pixels in the row, through a regular grid (hash table) that stores the elements of the ACL. This method has three major advantages: It is unnecessary to store explicitly the connectivity between the cells; it replaces the relatively expensive operation of 3D ray-casting with a simpler 2D regular grid ray-casting; and it exploits coherence of the data between scanlines. Since then, there has been a number of works that employ the sweep paradigm, most using a sweep plane that is parallel to the image plane. Some of these methods are assisted by hardware [367][346], while others are pure-software implementations [24][74][295]. The ZSweep [74] algorithm is very fast and has excellent memory efficiency. It sweeps the plane from front to back, and rasterizes the cell faces as they are encountered by the sweep plane. This keeps the memory footprint low since only the active cell set has been held in memory. Finally, Hong and Kaufman [116][117] proposed a very fast ray-casting technique, that exploits the special topology of curvilinear grids.

14 TIME-VARYING AND N-DIMENSIONAL DATA

A significant factor contributing to the growth in the size of computational science datasets is the fact that the time steps in the simulations have become increasingly finer in recent years. There have been significant developments in the rendering of time-varying volumetric datasets. These typically exploit time-coherence for compression and acceleration [3][102][190][289][317][345], but other methods have also been designed that allow general viewing [7][14][105][106][107][148][341] of high-dimensional (n-D) datasets and require a more universal data decomposition.

In n-D viewing, the direct projection from n-D to 2D (for n>3) is challenging. One major issue is that there are an infinite number of orderings to determine occlusion (for n=3 there are just two, the view from the front and the view from the back). In order to sim-

Figure 33: The four classes in tetrahedral projection.

Figure 34: Time-varying datasets. Top left: turbulent vortex field; Top right: turbulent jet; Botton: Turbulent jet sliced along the time axis.

plify the user interface and to eliminate the amount of occlusion explorations a user has to do, Bajaj et al. [7] performed the n-D volume renderings as an X-ray projection, where ordering is irrelevant. The authors demonstrated that, despite the lack of depth cues, much useful topological information of the n-D space can be revealed in this way. They also presented a scalable interactive user interface that allows the user to change the viewpoint into n-D space by stretching and rotating a system of n axis vectors.

On the other end of the spectrum are algorithms [14] (and the earlier [341]) that first calculate an n-D hyper-surface (a tetrahedral grid in 4D) for a specific iso-value, which can then be interactively sliced along any arbitrary hyperplane to generate an opaque 3D polygonal surface for hardware-accelerated view-dependent display. This approach is quite attractive as long as the iso-value is kept constant. However, if the iso-value is modified, a new iso-tetrahedralization must be generated which can take on the order of tens of minutes [14].

Since 4D datasets can become quite large, a variety of methods to compress 4D volumes were proposed in recent years. Researchers used wavelets [101], DCT-encoding [190], RLE-encoding [3], and images [288][289]. All are lossy to a certain degree, depending on a set tolerance. An alternative compression strategy is the use of more efficient sampling grids, such as the BCC grids. Neophytou and Mueller [234] extended these grids for 4D volume rendering and use a 3D hyperslicer to extract 3D volumes for shaded and semi-transparent volume visualization with occlusion ordering. Slices along any axes can be obtained, see Fig. 34.

Early work on 4D rendering includes a paper by Ke and Panduranga [148] who used the hyperslice approach to provide views onto the on-the-fly computed 4D Mandelbrot set. Another early work is a paper by Rossignac [273], who gave a more theoretical treatment of the options available for the rendering of 4D hyper-solids generated, for example, by time-animated or colliding 3D solids. Hanson et al. [105][106][107] wrote a series of papers that use 4D lighting in conjunction with a technique that augments 4D objects with renderable primitives to enable direct 4D renderings. The images they provided in [106] are somewhat reminiscent to objects rendered with motion blur. The 4D iso-surface algorithms proposed by Weigle and Banks [341] and Bhaniramaka, Wenger, and Crawfis [14] both use a Marching Cubes-type approach and generalize it into n-D.

Methods that focus more on the rendering of the time-variant aspects of 3D datasets have stressed the issue of compression and time-coherence to facilitate interactive rendering speeds. Shen and Johnson [290] used difference encoding of time-variant volumes to
reduce storage and rendering time. Westermann [345] used a wavelet decomposition to generate a multi-scale representation of the volume. Shen, Chiang, and Ma [289] proposed the Time-Space Partitioning (TSP) tree, which allows the renderer to cache and re-use partial (node) images of volume portions static over a time interval. It also enables the renderer to use data from sub-volumes at different spatial and temporal resolutions. Anagnostou [3] extended the RLE data encoding of the shear-warp algorithm [165] into 4D, inserting a new run block into the data-structure whenever a change is detected over time. They then composed the rendered run block with partial rays of temporally-unchanged volume portions. Sutton and Hansen [317] expanded the Branch-On-Need Octree (BONO) approach of Wilhelms and Van Gelder [353] to time-variant data to enable fast out-of-core rendering of time-variant isosurfaces. Lum, Ma, and Clyne [190] advocated an algorithm that DCT-compresses time-runs of voxels into single scalars that are stored in a texture map. These texture maps, one per volume slice, are loaded into a texture-map accelerated graphics board. Then, during time-animated rendering, the texture maps are indexed by a time-varying color palette that relates the scalars in the texture map to the current color of the voxel they represent. Although the DCT affords only a lossy compression, their rendering results are quite good and can be produced interactively. Another compression-based algorithm was proposed by Guthe and Straßer [102], who used a lossy MPEG-like approach to encode the time-variant data. These data were then decompressed on-the-fly for display with texture mapping hardware.

### 15 MULTI-COMPONENT AND MULTI-MODAL DATA

So far, we have assumed that a voxel had a scalar density value from which other multi-variant properties could be derived, for example, via transfer function lookup. We shall now extend this notion to datasets where the voxel data come originally in form of multi-variant vectors. In the context of this discussion, we shall distinguish between vectors of physical quantities, such as flow and strain, and vectors that store a list of voxel attributes. There is a large body of literature to visualize the former, including line integral convolution [25], spot noise [351], streamlines and streamballs [23], glyphs, texture splats [52], and many more. In this section, we shall focus on the latter scenario, that is, volumes composed of attribute vectors. These can be (i) multi-channel, such as the RGB color volumes obtained by cryosectioning the Visible Human [122] or multi-spectra remote sensing satellite data, or (ii) multi-modal, that is, volumes acquired by scanning an object with multiple modalities, such as MRI, PET, and CT.

The rendering of multi-modal volumes requires the mixing of the data at some point in the rendering pipeline. There are at least three locations at which this can happen [28]. For the following discussion, let us assume a set of two co-located volumes, but this is not a limitation. The simplest mixing technique is image-level intermixing, i.e., to render each volume separately as a scalar dataset and then blend the two images according to some weighting function that possibly includes the z-buffer or opacity channel (see Fig. a). This method is attractive since it does not require a modification of the volume renderer, but as Fig. 36a (top) shows, it gives results of limited practical value since depth ordering is not preserved. This can be fixed by intermixing the rendering results at every step along the ray, which gives rise to accumulation level intermixing. Here, we assign separate colors and opacities for each volume’s ray sample, and then combine these according to some mixing function (see Fig. 36a (bottom)). A third method is illumination model level intermixing, where one combines the ray samples before colors and opacities are computed. One could just use a weighted sum of the two densities to look up opacities and colors, or one could have one of the volumes act as an emission volume and the other as an attenuation volume. This would work quite naturally, for example, for the visualization of the emissive metabolic activities in a SPECT volume within the spatial context of a CT attenuation volume. Cai and Sakas [28] demonstrate this method in the scenario of dose planning in radiation therapy, where they visualize an (emissive) radiation beam embedded in an (attenuating) CT volume.

Multi-channel data, such as RGB data obtained by ways of photographing slices of real volumetric objects, have the advantage that there is no longer a need to search for suitable color transfer functions to reproduce the original look of the data. On the other hand, the photographic data do not provide an easy mapping to densities and opacities, which are required to compute normals and other parameters needed to bring out structural object detail in surface-sensitive rendering. One can overcome the perceptional non-linearities of the RGB space by computing gradients and higher derivatives in the perceptionally uniform color space L \*u\*v\* [65]. In this method, the RGB data are first converted into the L \*u\*v\* space, and the color distance between two voxels is calculated by their Euclidian distance in that color space. A gradient can then be calculated as usual via central differences, but replacing the voxel densities by the color distances. Although one cannot determine the direction of the normal vector with this method, this is not a limiting factor in practice. One can also derive more descriptive quantities, such as tensor gradients, since we are now dealing with vectors and not with densities in the gradient calculation. These can be used for segmentation, texture analysis, and others. Finally, opacities can be computed by using different functions of higher-level gradients to bring out different textural and structural aspects of the data [223].

### 16 NON-PHOTOREALISTIC VOLUME RENDERING

Non-photorealistic volume rendering (NPVR) is a relatively recent branch of volume rendering. It employs local image processing during the rendering to produce artistic and illustrative effects, such as feature halos, tone shading, distance color blending, stylized motion blur, boundary enhancements, fading, silhouettes, sketch lines, stipple patterns, and pen+ink drawings.
The overall goal of NPVR is to go beyond the means of photo-realistic volume rendering and produce images that emphasize critical features in the data, such as edges, boundaries, depth, and detail, to provide the user a better appreciation of the structures in the data. This is similar to the goals of medical and other illustrators, as well as related efforts in general computer graphics. Since the set of parameters that can be tuned in NPVR is even larger than for traditional volume rendering, interactive rendering of the NPVR effects is crucial, and indeed a number or researchers have proposed interactive implementations that exploit the latest generations of commodity programmable graphics hardware.

17 VOLUME GRAPHICS

Volume graphics is an emerging subfield of volume visualization and is concerned with the synthesis, modeling, manipulation, and rendering of volumetric geometric objects, stored in a volume buffer of voxels. Unlike the discussion so far, which focuses mainly on sampled and computed datasets, volume graphics is concerned primarily with modeled geometric scenes and commonly with those that are represented in a regular volume buffer. As an approach, volume graphics has the potential to greatly advance the field of 3D graphics by offering a comprehensive alternative to traditional surface graphics.

Although the 3D raster representation seems to be more natural for empirical imagery than for geometric objects, due to its ability to represent interiors and digital samples, nonetheless, the advantages of this representation are also attracting traditional surface-based applications that deal with the modeling and rendering of synthetic scenes made out of geometric models. The geometric model is voxelized (3D scan-converted) into a set of voxels that "best" approximate the model. Each of these voxels is then stored in the volume buffer together with the voxel pre-computed view-independent attributes. The voxelized model can be either binary (see [73][125][139][140][146]) or volume sampled [55][81][308][335]) which generates alias-free density voxelization of the model. Some surface-based application examples are the rendering of fractals [244], hyper textures [256], fur [135], foliage, grass, and hair [236], gases [68], clouds [58][201], and other complex models [300], including CAD models and terrain models for flight simulators [45][98][144][267][334][363]. Furthermore, in many applications involving sampled data, such as medial imaging, the data need to be visualized along with synthetic objects that may not be available in digital form, such as scalpels, prosthetic devices, injection needles, radiation beams, and isodose surfaces. These geometric objects can be voxelized and intermixed with the sampled organ in the voxel buffer [145]. An alternative is to leave these geometric objects in a polygonal representation and render the assembly of volumetric and polygonal data in a hybrid rendering mode [162][176][302].

In the next sub-sections we describe the volumetric approach to several common volume graphics modeling techniques. We describe the generation of object primitives from geometric models (voxelization) and images (reconstruction), 3D antialiasing, solid-texturing, modeling of amorphous and natural phenomena, modeling by block operations, constructive solid modeling, volume sculpting, volume deformation, and volume animation.

![Image](a)

![Image](b)

![Image](c)

![Image](d)

Figure 37: Rendering of multi-channel (photographic) data. (a) The L* component (related to brightness); (b) The u* component (related to the chromatic change in red-green colors); (c) Color difference gradient computed in RGB color space; (b) Gradients computed in L*u*v* space, using the second derivative along the gradient direction to compute opacity. (Images from [98]).
17.1 Voxelization

An indispensable stage in volume graphics is the synthesis of voxel-represented objects from their geometric representation. This stage, which is called voxelization, is concerned with converting geometric objects from their continuous geometric representation into a set of voxels that “best” approximates the continuous object. As this process mimics the scan-conversion process that pixellizes (rasterizes) 2D geometric objects, it is also referred to as 3D scan-conversion. In 2D rasterization the pixels are directly drawn onto the screen to be visualized and filtering is applied to reduce the aliasing artifacts. However, the voxelization process does not render the voxels but merely generates a database of the discrete digitization of the continuous object.

A voxelization algorithm for any geometric object should meet several criteria. First, it must be efficient and accurate and it must generate discrete surfaces that are thick enough such that they cannot be penetrated by a crossing line (separability criterion) [43]. Second, the discrete surfaces should only contain those voxels indispensable to satisfy the separability requirement, such that a faithful delineation of the object’s shape is warranted (minimality criterion) [43]. Third, the generated discrete object should have smooth boundaries to ensure the anti-aliased gradient estimation necessary for high-quality volume rendering (smoothness criterion) [335].

One practical meaning of separation is apparent when a voxelized scene is rendered by casting discrete rays from the image plane into the scene. The penetration of the background voxels (which simulate the discrete ray traversal) through the voxelized surface causes the appearance of a hole in the final image of the rendered surface. Another type of error might occur when a 3D flooding algorithm is employed either to fill an object or to measure its volume, surface area, or other properties. In this case the nonseparability of the surface causes a leakage of the fluid through the discrete surface. Unfortunately, the extension of the 2D definition of separation to the third dimension and to voxel surfaces is not straightforward since voxelized surfaces cannot be defined as an ordered sequence of voxels and a voxel on the surface does not have a specific number of adjacent surface voxels. Furthermore, there are important topological issues, such as the separation of both sides of a surface, which cannot be well-defined by employing 2D terminology. The theory that deals with these topological issues is called 3D discrete topology. We sketch below some basic notions and informal definitions used in this field.

17.2 Fundamentals of 3D Discrete Topology

The 3D discrete space is a set of integral grid points in 3D Euclidean space defined by their Cartesian coordinates (x, y, z). A voxel is the unit cubic volume centered at the integral grid point. The voxel value is mapped onto {0,1}: the voxels assigned “1” are called the “black” voxels representing opaque objects, and those assigned “0” are the “white” voxels representing the transparent background. Besides this binary representation, there are also non-binary approaches where the voxel value is mapped onto the interval [0,1] representing either partial coverage, variable densities, or graded opacities. Due to its larger dynamic range of values, this approach supports 3D antialiasing and thus supports higher quality rendering.

Two voxels are 26-adjacent if they share either a vertex, an edge, or a face. Every voxel has 26 such adjacent voxels: eight share a vertex (corner) with the center voxel, twelve share an edge, and six share a face. Accordingly, face-sharing voxels are defined as 6-adjacent, and edge-sharing and face-sharing voxels are defined as 18-adjacent. In the following, we shall use the prefix N to define the adjacency relation, where N = 6, 18, or 26. A sequence of voxels having the same value (e.g., “black”) is called an N-path if all consecutive pairs are N-adjacent. A set of voxels W is N-connected if there is an N-path between every pair of voxels in W. An N-connected component is a maximal N-connected set.

Given a 2D discrete 8-connected black curve, there are sequences of 8-connected white pixels (8-component) that pass from one side of the black component to its other side without intersecting it. This phenomenon is a discrete disagreement with the continuous case where there is no way of penetrating a closed curve without intersecting it. To avoid such a scenario, it has been the convention to define “opposite” types of connectivity for the white and black sets. “Opposite” types in 2D space are 4 and 8, while in 3D space 6 is “opposite” to 26 or to 18.

Assume that a voxel space, denoted by Σ, includes one subset of “black” voxels S. If Σ - S is not N-connected, that is, Σ - S consists of at least two white N-connected components, then S is said to be N-separating in Σ. Loosely speaking, in 2D, an 8-connected black path that divides the white pixels into two groups is 4-separating and a 4-connected black path that divides the white pixels into two groups is 8-separating.

There are no analogous results in 3D space. Let W be an N-separating surface. A voxel p ∈ W is said to be an N-simple voxel if W - p is still N-separating. An N-separating surface is called N-minimal if it does not contain any N-simple voxel. A cover of a continuous surface is a set of voxels such that every point of the continuous surface lies in a voxel of the cover. A cover is said to be a minimal cover if none of its subsets is also a cover. The cover property is essential in applications that employ space subdivision for fast ray tracing [94]. The subspaces (voxels) which contain objects have to be identified along the traced ray. Note that a cover is not necessarily separating, while on the other hand, as mentioned above, it may include simple voxels. In fact, even a minimal cover is not necessarily N-minimal for any N [43].

17.3 Binary Voxelization

An early technique for the digitization of solids was spatial enumeration which employs point or cell classification methods in either an exhaustive fashion or by recursive subdivision [171]. However, subdivision techniques for model decomposition into rectangular subspaces are computationally expensive and thus inappropriate for medium or high resolution grids. Instead, objects should be directly voxelized, preferably generating an N-separating, N-minimal, and covering set, where N is application dependent. The voxelization algorithms should follow the same paradigm as the 2D scan-conversion algorithms; they should be incremental, accurate, use simple arithmetic (preferably integer only), and have a complexity that is not more than linear with the number of voxels generated.

The literature of 3D scan-conversion is relatively small. Danielsson [56] and Mokryzcki [215] developed independently similar 3D curve algorithms where the curve is defined by the intersection of two implicit surfaces. Voxelization algorithms have been developed for 3D lines, 3D circles, and a variety of surfaces and solids, including polygons, polyhedra, and quadric objects [146]. Efficient algorithms have been developed for voxelizing polygons using an integer-based decision mechanism embedded within a scan-line filling algorithm [139], for parametric curves, surfaces, and volumes using an integer-based forward differencing technique [140], and for quadric objects such as cylinders, spheres, and cones using “weaving” algorithms by which a discrete circle/line sweeps along a discrete circle/line [46]. While these pioneering attempts focused more on efficiency and accuracy, later algorithms focused also on the adherence to the topological requirements (that is, the separability and minimality criteria).

Huang et al. [125] devised such an algorithm for the voxelization of polygon meshes, employing a geometric measure for each candidate voxel to determine its N-simplicity.
17.4 Anti-aliased Voxelization

The previous sub-section discussed binary voxelization, which generates topologically and geometrically consistent models, but exhibits object space aliasing, caused by the binary classification of voxels into the \{0,1\} set. Therefore, the resolution of the 3D raster ultimately determines the precision of the discrete model, and imprecise modeling results in jagged surfaces, known as object space aliasing, and leads to image space aliasing during the rendering (see Fig. 38). To avoid the aliasing one must employ object-space pre-filtering, in which scalar-valued voxels are used to represent the percentage of spatial occupancy of a voxel [336], an extension of the two-dimensional line anti-aliasing method of Gupta and Sproull [103]. The scalar-valued voxels determine a fuzzy set such that the boundary between inclusion and exclusion is smooth. Direct visualization from such a fuzzy set avoids image aliasing. A number of investigations on voxelization as well as de-binarization of sampled volume datasets has focused on generating a distance volume for subsequent use in manipulation [22] or rendering [81]. The latter also employed an elastic surface wrap, called surface nets, to enable the generation of smoother distance fields. By means of the distance one can then estimate smooth gradients and achieve pleasing renderings without jagged surfaces. Sramek and Kaufman [308] showed that the optimal sampling filter for central difference gradient estimation in areas of low curvature is a one-dimensional box filter of width \(2.43\) voxel units, oriented perpendicular to the surface. Since most volume rendering implementations utilize the central difference gradient estimation filter and trilinear sample interpolation, the oriented box filter is well suited for voxelization. Furthermore, this filter is an easily computed linear function of the distance from the triangle. Binary parametric surfaces and curves can be anti-aliased by using a (slower) 3D splatting technique.

Later methods have focused on providing more efficient algorithms for anti-aliased triangle voxelization, suitable for both software [55][133] and hardware implementations [55][73]. Since conventional graphics hardware only rasterizes points, lines, and triangles, higher order primitives must be expressed as combinations of these basic primitives, most often as triangles. To voxelize solid objects, one can first voxelize the boundary as a set of triangles, then fill the interior using a volumetric filling procedure. A commodity hardware-based voxelization algorithm was proposed by Fang and Chen [73], which performs the voxelization on a per-volume sheet basis by slicing the poly-mesh (with anti-aliased turned on) and storing the result in a 3D (volumetric) texture map.

Dachille and Kaufman [55] devised a more accurate software method (in terms of the anti-aliasing), that employs fast incremental arithmetic for rapid voxelization of poly-meshes on a per-triangle basis. Fig. 39 depicts the boundary region which is affected by the anti-aliased voxelization of a triangle, and the boundary profile of its voxelization. All voxels within the translucent surface, which is at a constant distance from the triangle, must be updated during the voxelization and assigned values corresponding to the distance to the triangle surface. The general idea of the algorithm is to voxelize a triangle by scanning a bounding box of the triangle in raster order. For each voxel in the bounding box, a filter equation (similar to that of [308]) is evaluated and the result is stored in memory. The value of the equation is a linear function of the distance from the triangle. The result is stored using a fuzzy algebraic union operator—the max operator. A similar algorithms was also implemented on the VolumePro volume rendering board [55].

17.5 Block Operations and Constructive Solid Modeling

An intrinsic characteristic of the volume buffer is that adjacent objects in the scene are also represented by neighboring memory cells. Therefore, rasters lend themselves to various meaningful grouping-based operations, such as bitblt in 2D, or voxblt in 3D [141]. These include transfer of volume buffer rectangular blocks (cuboids) while supporting voxel-by-voxel operations between source and destination blocks. Block operations add a variety of modeling capabilities which aid in the task of image synthesis and form the basis for the efficient implementation of a 3D “room manager”, which is the extension of window management to the third dimension.

Constructive solid geometry (CSG) is one of the most important modeling methods in computer graphics and computer aided design (CAD). It allows complicated objects to be built as various

![Figure 38: Binary sphere yields jagged surfaces when rendered.](image)

![Figure 39: (a) The 3D region of influence around a triangle, (b) the density profile of the oriented box filter along a line perpendicular to the triangle surface primitive. Here, \(T\) is the width of the triangle (usually very close to 0) and \(W\) is the width of the filter profile. The anti-aliased voxelization will maintain this profile everywhere within the red region of the triangle shown in (a). It is assumed that the iso-surface is positioned at a density value of 0.5, in the center of the profile. This ensures that the central difference operator meets a smooth boundary.](image)

![Figure 40: Voxelized objects with anti-aliased boundaries.](image)
ordered “union”, “intersection” and “difference” of simpler objects, which may be bounded primitives or half-spaces. It is supported by the Boolean algebra and a set of well-understood regularized set operations. Since the volume buffer lends itself to Boolean operations that can be performed on a voxel-by-voxel basis during the voxelization stage, it is advantageous to use CSG as the modeling paradigm with volumetric objects. Subtraction, union, and intersection operations between two discretized 3D objects are accomplished at the voxel level, thereby reducing the original problem of evaluating a CSG tree during rendering time down to a 1D Boolean operation between pairs of voxels during a preprocessing stage.

At the same time, the volume buffer also allows a major extension of the traditional CSG paradigm, since it can also be employed to manipulate physical properties that are associated with objects, for example scalar or even vector and tensor fields. In Constructive Volume Geometry (CVG) [35], the combinational operations, mostly defined in the real domain, can subsequently be used to model complex interior structures of objects and amorphus phenomena in a constructive manner, with a generalization of the well known CSG operators.

In CVG, combinational operators are defined upon unbounded spatial objects, and are constructed from simple arithmetic operations on scalars through a series of operational decomposition. The operations on scalars are normally defined in the real domain. The basic CVG operators include union, intersection, difference, and blending. With the flexibility and accuracy of the real domain, complex operators, such as those for data filtering and volume deformation, can easily be specified. CVG operates on the interior as well as the exterior of objects, and therefore preserves the main geometrical properties in volumetric datasets such as volume density and multiple iso-surfaces. Physical properties such as colors are defined and manipulated in the same way as geometry. CVG accommodates objects that are defined mathematically by scalar fields as well as those built from digitized volumetric datasets.

For two point-sampled binary objects the Boolean operations of CSG or voxelblt are trivially defined. However, the Boolean operations applied to volume-sampled models are analogous to those of fuzzy set theory (see [62]). The volume-sampled model is a density function \( d(x) \) over \( \mathbb{R}^3 \), where \( d = 1 \) inside the object, \( 0 \) outside the object, and \( 0 < d < 1 \) within the “soft” region of the filtered surface. Some of the common operations, intersection, complement, difference, and union, between two objects \( A \) and \( B \) are defined as follows:

\[
\begin{align*}
    d_{A \cap B} &= \min(d_A(x), d_B(x)) \\
    d_A &= 1 - d_A(x) \\
    d_{A - B} &= \min(d_A(x), 1 - d_B(x)) \\
    d_{A \cup B} &= \max(d_A(x), d_B(x))
\end{align*}
\]

(17)

Examples of these operations are illustrated in Fig. 41, using individual transfer functions for each object to map volume density to color and a linear function to map density to opacity. Complex geometric models can also be generated by performing the CVG operations in Equation (17) between sampled volumes, as obtained with 3D scanners. Volume-sampled models can also function as matte volumes [61] for various matting operations, such as for perform- ing cut-aways and merging multiple volumes into a single volume using the union operation.

The only law of set theory that is no longer true is the excluded-middle law (i.e., \( \neg (A \cap \neg A) \neq \varnothing \) and \( A \cup \neg A \neq \text{Universe} \). The use of the \( \min \) and \( \max \) functions causes discontinuity at the region where the soft regions of the two objects meet, since the density value at each location in the region is determined solely by

\[
\begin{align*}
    d_{A \cap B} &= d_A(x)d_B(x) \\
    d_A &= 1 - d_A(x) \\
    d_{A - B} &= d_A(x) - d_A(x)d_B(x) \\
    d_{A \cup B} &= d_A(x) + d_B(x) - d_A(x)d_B(x)
\end{align*}
\]

(18)

one of the two overlapping objects. In order to preserve continuity on the cut-away boundaries between the material and the empty space, one could use an alternative set of Boolean operators based on algebraic sum and algebraic product [62][256]:

\[
\begin{align*}
    d_{A \cap B} &= d_A(x)d_B(x) \\
    d_A &= 1 - d_A(x) \\
    d_{A - B} &= d_A(x) - d_A(x)d_B(x) \\
    d_{A \cup B} &= d_A(x) + d_B(x) - d_A(x)d_B(x)
\end{align*}
\]

Unlike the \( \min \) and \( \max \) operators, algebraic sum and product operators result in \( A \cup A \neq A \) which is undesirable. A consequence, for example, is that during meshing via sweeping, the resulting model is sensitive to the sampling rate of the swept path [336].

Once a CVG model has been constructed in voxel representation, it is rendered in the same way any other volume buffer is. This makes, for example, volumetric ray tracing [302] or splattling [221][286] of constructive solid models straightforward. Texture-mapping hardware-assisted rendering approaches will further promote the interactive modeling via CVG. Moreover, it is interesting to observe that the volume compositions generated via CVG and those constructed with the multi-modal/multi-valued datasets discussed earlier share a number of rendering challenges that will make a common rendering, composing, and modeling framework, most suitably using a volumetric scenegraph [233][358], attractive.

**17.6 Texture Mapping and Solid Texturing**

One type of object complexity involves objects that are enhanced with texture mapping, photo-mapping, environment mapping, or solid texturing. Texture mapping is commonly implemented during the last stage of the rendering pipeline, and its complexity is proportional to the object complexity. In volume graphics, however, texture mapping is performed during the voxelization stage, and the texture color is stored in each voxel in the volume buffer.

In photo mapping six orthogonal photographs of the real object are projected back onto the voxelized object. Once this mapping is applied, it is stored with the voxels themselves during the voxelization stage, and therefore does not degrade the rendering performance. Texture and photo mapping are also viewpoint independent attributes implying that once the texture is stored as part of the voxel value, texture mapping need not be repeated. This important feature is exploited, for example, by voxel-based flight simulators (see Fig. 42) and in CAD systems.

A central feature of volumetric representation is that, unlike surface representation, it is capable of representing inner structures of objects, which can be revealed and explored with appropriate.
manipulation and rendering techniques. This capability is essential for the exploration of sampled or computed objects. Synthetic objects are also likely to be solid rather than hollow. One method for modeling various solid types is solid texturing, in which a procedural function or a 3D map models the color of the objects in 3D. During the voxelization phase each voxel belonging to the objects is assigned a value by the texturing function or the 3D map. This value is then stored as part of the voxel information. On the other hand, if solid texturing is be used as a means to enrich a volume dataset with more detail, without increasing the stored resolution of the dataset, then the texturing function can also be evaluated during rendering time, at the ray sampling locations.

We shall now review some of the most important solid texturing basis functions: \textit{noise}, \textit{turbulence}, and \textit{n-th closest}, and then describe their use in the context of volume graphics [281].

\textbf{Noise.} Perlin's noise function [255] returns a pseudo-random value, in the range of (-1,1), by interpolating gradient vector between predetermined lattice points. There are numerous implementations of the noise algorithms [178], which all exhibit the following properties: (i) statistical invariance under rotation, (ii) a narrow bandpass limit in frequency, and (iii) statistical invariance under translation. The latter property makes solid textured objects appear as being "carved out" of the simulated material. Fig. 43, top row, shows the CT head rendered with a variety of solid textures created with the noise function.

\textbf{Turbulence.} The "turbulence" basis function gives the impression of Brownian motion (or turbulent flow) by summing noise values at decreasing frequencies, introducing a self-similar \(1/f\) pattern, where \(f\) is the frequency of the noise. The discontinuities of turbulent flow are introduced into the model with the use of the mathematical function \(a_b f\), which reflects the gradient vectors used by noise. Fig. 43, bottom row, shows the CT head rendered with solid textures created from the turbulence function.

\textbf{N-th Closest.} A third basis function, introduced by Worley and Hart [362], places "feature points" at random locations in \(R^2\). The \(n\)-th closest basis function calculates the distance from a surface point to each of the \(n\) closest feature points. Combinations of these distances can then be used to index a color spline, adding a flagstone style texture to the surface of the object.

17.7 Amorphous Phenomena

Solid texturing produces objects that have "simple" surface definitions. However many objects, such as fur, have surface definitions that are at best complex. Moreover, others, such as clouds, fire and smoke, have no well defined surface at all. While translucent objects can be represented by surface methods, these methods cannot efficiently support the modeling and rendering of these amorphous phenomena, which are volumetric in nature and lack any tangible surfaces. A common modeling and rendering approach is based on a function that, for any input point in 3D, calculates some object features such as density, reflectivity, or color. These functions can then be rendered by ray casting, which casts a ray from each pixel into the function domain. Along the passage of the ray, at constant intervals the function is evaluated to yield a sample. All samples along each ray are combined to form the pixel color.

Perlin and Hoffert [256] introduced a technique, called hypertextures, that allows for the production of such complex textures, through the manipulation of surface densities. That is, rather than just coloring an object's surface with a texture map, its surface structure is changed (during rendering) using a three dimensional texture function. Hypertextures introduce the idea of "soft objects", objects with a large boundary region, modeled using an "object density function", \(D(x)\), thus giving three possible states to a point:
- \textit{Inside}: the point is inside the object.
- \textit{Outside}: the point is outside of the object.
- \textit{Boundary}: the point is in the boundary, called the "soft", region of the object.

As with solid textures, combinations of noise and turbulence together with two new density modulation functions and bias (controls the density variation across the soft region) and gain (controls the rate at which density changes across the midrange of the soft region) are used to manipulate \(D(x)\) to create hypertextured objects. Fig. 44, top row, shows examples of hypertextured objects, illustrating the pleasing effects that can be produced when the hypertexture approach is applied to geometrically definable datasets. Satherley and Jones [281] showed that non-geometric datasets, such as volumes, can be augmented with hypertextures by first performing a distance transform on them and then applying the hypertexture framework on the resulting distance volume, within the soft region of the object. Fig. 44, bottom row, shows the results when such operations are performed on the CT head dataset.

The modeling of amorphous detail via volumetric techniques has found a number of applications, including the tetex approach introduced by Kajiya and Kay [135] for the rendering of fur, which was later extended by Neyret [236] for the rendering of foliage, grass, and hair. Other researchers have used volumetric representa-
demonstrate the visual effect of ablative processes, such as a beam method for the simulation of ablation on volumetric models. They consider materials, as well as sand, mud and snow [316].

[338] Advanced cellular automata methods (Lattice-Boltzmann) are physically-based methods, i.e., Navier-Stokes solvers [29] or other advanced cellular automata methods (Lattice-Boltzmann) [339][340]. Although this requires much larger computational effort, recent advances in graphics hardware have yielded powerful SIMD processors that can be employed to run the required numerical solvers or lattice calculations at speedups of an order of magnitude or more, when compared to traditional CPUs. For reasons of efficiency, the flow calculations are often performed on relatively coarse grids. Therefore, global illumination algorithms, such as photon maps [132], Monte Carlo volume renderers, or splats which are texture mapped with phenomena detail [153][338] are often used to visually enhance the level of detail for visualization.

17.8 Natural phenomena

Natural phenomena, such as the processes of thawing, natural weathering, or melting, are also inherently volumetric processes and are suitably modeled with volume graphics methods. Fujishiro and Aoki [85] have used a mathematical morphology operator to simulate the effects of thawing. Here, the volume model is thought of being made of ice and is left in the open (warm) air to thaw. The mathematical morphology operator is a phenomenological modeling operator and is shown in their work to provide a good approximation of the physical model. Further, to simulate the relegation of water on the base of volumetric ice statues, a cellular automata mechanism is employed. Dorsey et al. [60] model the weathering of stone by employing a simulation of the flow of moisture through the surface into the stone. Here, the model governs the erosion of material from the surface and the weathering process is confined to a thick crust on the surface of the volume. Ozawa and Fujishiro [249] use the mathematical morphology technique also for the weathering of stone. By applying a spatially variant structuring element for the morphology, they are able to simulate the stochastic nature of real weathering phenomena. Other researchers have used physically-based methods, i.e., Navier-Stokes solvers [29] or advanced cellular automata methods (Lattice-Boltzmann) [339][340] to simulate the process of melting and flowing of viscous materials, as well as sand, mud and snow [316].

Varadhan and Mueller [331] proposed a physically-based method for the simulation of ablation on volumetric models. They demonstrate the visual effect of ablative processes, such as a beam of heat emitted from a blow torch or a pencil of sand expelled from a sandblaster. Users are able to control ablative properties, such as energy propagation, absorption, and material evaporation, via a simple transfer function interface, while the effect of different beam shapes can be modeled by ways of weighting functions. Since continuous evaporation of material to expose interior object features can eliminate smooth object boundary layers required for good gradient estimation, their method leaves the original volume intact and instead operates on a smooth energy volume. The renderer then uses the energy volume to determine the current, smooth object boundaries, for the opacity and gradient calculations, while the original volume provides the visual material properties, such as color and shading coefficients. Fig. 45 shows a foot object to a thin laser penetrating the flesh but stopping at the bone.

17.9 Volume Sculpting

Surface-based sculpting has been studied extensively (e.g., [49][283]), while volume sculpting has been later introduced for clay or wax-like sculptures [126] and for comprehensive detailed sculpting [337]. The latter approach is a free-form interactive modeling technique based on the metaphor of sculpting and painting a voxel-based solid material, such as a block of marble or wood. There are two motivations for this approach. First, modeling topologically complex and highly-detailed objects are still difficult in most CAD systems. Second, sculpting has shown to be useful in volumetric applications. For example, scientists and physicians often need to explore the inner structures of their simulated or sampled datasets by gradually removing material.

Real-time human interaction could be achieved in this approach, since the actions of sculpting (e.g., carving, sawing) and painting are localized in the volume buffer, a localized rendering can be employed to reproject only those pixels that are affected. Carving is the process of taking a pre-existing volume-sampled tool to chip or chisel the object bit by bit. Since both the object and the tool are represented as independent volume buffers, the process of sculpting involves positioning the tool with respect to the object and performing a Boolean subtraction between the two volumes. Sawing is the process of removing a whole chunk of material at once, much like a carpenter sawing off a portion of a wood piece. Unlike carving, sawing requires generating the volume-sampled tool on-the-fly, using a user interface. To prevent object space aliasing and to achieve interactive speed, 3D splatting is employed.

An important issue in digital sculpting is the datastructure used to represent the sculpted object during and after the sculpting process. While earlier systems employed flat 3D arrays, multi-res-
olution datastructures, such as octrees, are better suited to capture high level of detail where needed and at the same time keep the memory requirements low in large homogenous regions. Bärentzen [6] suggested the use of octrees with dynamic resolution, where nodes are inserted at different levels in the octree. Hence, there is no longer a predefined leaf level since leaf nodes may be inserted at any level. This has two important implications: (i) non-empty homogenous regions may be grouped together and represented by a voxel at a lower level of subdivision, thereby storing the volume more efficiently, and (ii) fine details may be added at a high level of subdivision, whenever they are needed to capture the local detail of the sculpted object. Fig. 46 shows an object sculpted with the system’s additive spray and subtractive CSG tools. A related datastructure was introduced by Frisken et al. [82] who extended the work on distance volumes (see voxelization section) to adaptively sampled distance fields (ADFs) for use as a fundamental graphical data structure. A distance field is a scalar field that specifies the minimum distance to a shape, where the distance may be signed to distinguish between the inside and outside of the shape. In ADFs, distance fields are adaptively sampled according to local detail and stored in a spatial hierarchy for efficient processing. They subsequently used an improved version of ADFs as a datastructure within the Kizamu sculpting system [257], targeted to design digital characters for the entertainment industry.

17.10 Volume Deformation and Animation

Most of the research in volume deformation involves applying a transformation to every voxel in the object (free-form deformation) or defining a physical model for the full object (physically-based deformation). The computations can include spring-like models, continuum models [80], finite element methods [37] or landmark deformations [71]. Gibson and Mirtich [80] have presented a survey of work done in modeling deformable objects. Physically-based animation is used for realistic modeling of collision and deformation. In [37], a system is presented using a volumetric mass spring model and an FEM model for animating volume objects. In [79], a 3D Chain Mail algorithm is used to propagate deformation through a volume rapidly. In [164], volumes are indirectly deformed by deforming the rendering rays (see Fig. 47). In [131], a method was presented to compute 3D model deformation in hardware with pre-computed modal vibrations. The method does not directly deform volumetric objects (the volumes are used for the pre-computation). Another form of volume animation is targeted deformation, or volume morphing [72][111][127][172]. Hardware accelerated volume deformation algorithms are presented in [270][343]. The volumetric data is first preprocessed to extract an isosurface. The isosurface is then sliced parallel to the viewing direction and these slices are composited using hardware support (the isosurfacing and slicing is done in software). The advantage of using this system is that the isosurface coordinates can be deformed (for example, by “pulling” a vertex) and the resulting image will look like a warped volume. In [87], a volume animation system has been presented which allows an animator to specify an animation for a volume in the same way that they would specify an animation for a polygonal model. A volumetric skeleton is computed directly from the volume using the distance transform. The skeleton can then be deformed using a standard animation package. A volume is reconstructed about the skeleton using the distance field. This method was used to create realistic animations of the Visible Human Dataset [122]. In [88], a method was also presented to animate the Visible Human Dataset. This method subdivided the volume by hand into logical blocks. Each block could be transformed and the volume copied into the new location. Finally, in [299] a follow-up system is presented that renders these blocks via 3D texture-mapping hardware and composites the resulting images in back-to-front order. Gaps that may develop between displaced blocks, for example at bending knee joints, are filled by interpolating additional boxes in these areas, along the midplane of the crack [27]. The hardware acceleration enables near-interactive volume deformation and a sequence of images obtained with their system is shown in Fig. 48.

![Figure 47: Deformed skull reveals segmented brain.](Taken from [164])

![Figure 48: Animating the visible human via hardware-accelerated volume deformation. (Image from [299])](Image)

18 CONCLUSIONS

Many of the important concepts and computational methods of volume visualization have been presented. Surface rendering algorithms for volume data were briefly described in which an intermediate representation of the data is used to generate an image of a surface contained within the data. Object order, image order, domain-based, and hardware-based rendering techniques were presented for generating images of surfaces within the data, as well as volume rendered images that attempt to capture in the 2D image all 3D embedded information, possibly in non-photorealistically enhanced form, and thus enable a comprehensive exploration of the volumetric datasets. Several optimization techniques that aim at decreasing the rendering time for volume visualization as well as realistic global illumination rendering were also described.

Although volumetric representations and visualization techniques seem more natural for sampled or computed data sets, their advantages are also attracting traditional geometric-based applications. This trend implies an expanding role for volume visualization, and it has thus the potential to revolutionize the field of computer graphics as a whole, by providing an alternative to surface graphics, called volume graphics. The recently emerging interactive volume rendering capabilities on GPUs will only accelerate this trend.

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