

1 Introduction to Predicate Resolution

The resolution proof system for Predicate Logic operates, as in propositional case on sets of **clauses** and uses a **resolution rule** as the only rule of inference.

The **first goal** of this part is to define an effective **process of transformation** of any formula A of a predicate language $\mathcal{L} = \mathcal{L}_{\{\neg, \cup, \cap, \Rightarrow\}}(\mathbf{P}, \mathbf{F}, \mathbf{C})$ into its logically equivalent set of clauses \mathbf{C}_A .

The **second goal** of this part is extend the definition of the propositional **resolution rule** to the case of predicate language.

Observe that define, as in propositional case, a **clause** as a finite set of **literals** and we define a **literal** as an **atomic** formula or a **negation** of an **atomic** formula. The **difference** with propositional resolution is in the **language**, i.e. what is a **predicate atomic** formula as opposed to **propositional atomic formula**. **Reminder:**

An **atomic formula** of a **predicate language** $\mathcal{L}(\mathbf{P}, \mathbf{F}, \mathbf{C})$ is any element of \mathcal{A}^* (finite strings over the alphabet of \mathcal{L}) of the form

$$R(t_1, t_2, \dots, t_n)$$

where $R \in \mathbf{P}$, $\#R = n$ and $t_1, t_2, \dots, t_n \in \mathbf{T}$.

The set of all **atomic formulas** is denoted by \mathcal{AF} and is defined as

$$\mathcal{AF} = \{R(t_1, t_2, \dots, t_n) \in \mathcal{A}^* : R \in \mathbf{P}, t_1, t_2, \dots, t_n \in \mathbf{T}, n \geq 1\}$$

We use symbols R, Q, P, \dots with indices if necessary to **denote** the atomic formulas and we define formally the set \mathbf{L} of all **literals** of $\mathcal{L}(\mathbf{P}, \mathbf{F}, \mathbf{C})$ as follows.

$$\mathbf{L} = \{R : R \in \mathcal{AF}\} \cup \{\neg R : R \in \mathcal{AF}\}.$$

Reminder:

A formula of a **predicate language** is an **open formula** if it does not contain any quantifiers, i.e. it is a formula build out of **atomic formulas** and **propositional connectives** only.

Transforming any formula A of a predicate language into a set \mathbf{C}_A of clauses means that we can **represent** the formula A as a certain collection of atomic formulas and negations of atomic formulas, i.e. as a certain collection of **open formulas**.

In order to achieve our first **first goal** we **start** with transformation of any formula A of a predicate language into an **open formula** A^* of some **larger language** such that $A \equiv A^*$. The process is described in the following 2 section.

2 Prenex Normal Forms

We remind the following important notion.

Term t is free for x in $A(x)$. Let $A(x) \in \mathcal{F}$ and t be a term, $A(t)$ be a result of substituting t for all free occurrences of x in $A(x)$.

We say that t is **free for x in $A(x)$** , if no occurrence of a variable in t becomes a bound occurrence in $A(t)$.

In particular, if $A(x), A(x_1, x_2, \dots, x_n) \in \mathcal{F}$ and $t, t_1, t_2, \dots, t_n \in \mathbf{T}$, then

$$A(x/t), A(x_1/t_1, x_2/t_2, \dots, x_n/t_n)$$

or, more simply just

$$A(t), A(t_1, t_2, \dots, t_n)$$

denotes the result of replacing all occurrences of the free variables x, x_1, x_2, \dots, x_n , by the terms t, t_1, t_2, \dots, t_n , respectively, assuming that t, t_1, t_2, \dots, t_n are free for x, x_1, x_2, \dots, x_n , respectively, in A .

The assumption that t is **free for x in $A(x)$** while substituting t for x , is important because otherwise we would distort the meaning of $A(t)$. This is illustrated by the following example.

Example. Let $t = y$ and $A(x)$ be

$$\exists y(x \neq y).$$

Obviously t is not free for y in A . The substitution of t for x produces a formula $A(t)$ of the form

$$\exists y(y \neq y),$$

which has a different meaning than $\exists y(x \neq y)$.

Here are more examples illustrating the notion: t is free for x in $A(x)$.

Example Let $A(x)$ be a formula

$$(\forall y P(x, y) \cap Q(x, z))$$

and t be a term $f(x, z)$, i.e. $t = f(x, z)$.

None of the occurrences of the variables x, z of t is bound in $A(t)$, hence we say that $t = f(x, z)$ is **free for** x in $(\forall y P(x, y) \cap Q(x, z))$.

Substituting t on a place of x in $A(x)$ we obtain a formula $A(t)$ of the form

$$(\forall y P(f(x, z), y) \cap Q(f(x, z), z)).$$

Example Let $A(x)$ be a formula

$$(\forall y P(x, y) \cap Q(x, z))$$

The term $t = f(y, z)$ is **not free** for x in $A(x)$ because substituting $t = f(y, z)$ on a place of x in $A(x)$ we obtain now a formula $A(t)$ of the form

$$(\forall y P(f(y, z), y) \cap Q(f(y, z), z))$$

which contain a bound occurrence of the variable y of t ($\forall y P(f(y, z), y)$). The other occurrence ($Q(f(y, z), z)$) of y is free, but it is not sufficient, as for term to be free for x , all occurrences of its variables has to be free in $A(t)$.

Another important notion we will use here is the following notion of similarity of formulas. Intuitively, we say that $A(x)$ and $A(y)$ are similar if and only if $A(x)$ and $A(y)$ are the same except that $A(x)$ has free occurrences of x in exactly those places where $A(y)$ has free occurrences of y .

Example. The formulas $\exists z(P(x, z) \Rightarrow Q(x))$ and $\exists z(P(y, z) \Rightarrow Q(y))$ are similar.

The formal definition of this notion follows.

Definition Let x and y be two different variables. We say that the formulas $A(x)$ and $A(x/y)$ are **similar** and denote it by $A(x) \sim A(x/y)$ if and only if y is free for x in $A(x)$ and $A(x)$ **has no** free occurrences of y .

Example

The formulas $A(x): \exists z(P(x, z) \Rightarrow Q(x, y))$ and $A(x/y): \exists z(P(y, z) \Rightarrow Q(y, y))$ are **not similar**; y is free for x in $A(x)$, but the formula $A(x/y)$ **has** a free occurrence of y .

Example. The formulas $A(x): \exists z(P(x, z) \Rightarrow Q(x, y))$ and $A(x/w): \exists z(P(w, z) \Rightarrow Q(w, y))$ are **similar**; w is free for x in $A(x)$ and the formula $A(x/w)$ has no free occurrence of w .

Directly from the definition we get the following.

Lemma 2.1 *For any formula $A(x) \in \mathcal{F}$, if $A(x)$ and $A(x/y)$ are similar, i.e. $A(x) \sim A(y)$, then*

$$\forall x A(x) \equiv \forall y A(y),$$

$$\exists x A(x) \equiv \exists y A(y).$$

We prove, by the induction on the number of connectives and quantifiers in a formula A the following.

Theorem 2.1 (Replacement Theorem) *For any formulas $A, B \in \mathcal{F}$, if B is a sub-formula of A , if A^* is the result of replacing zero or more occurrences of B in A by a formula C , and $B \equiv C$, then $A \equiv A^*$.*

Directly from the above lemma and the replacement theorem we get that the following theorem holds.

Theorem 2.2 (Change of Bound Variables) *For any formula $A(x), A(y), B \in \mathcal{F}$, if $A(x)$ and $A(x/y)$ are similar, i.e. $A(x) \sim A(y)$, and the formula $\forall x A(x)$ or $\exists x A(x)$ is a sub-formula of B , and B^* is the result of replacing zero or more occurrences of $A(x)$ in B by a formula $\forall y A(y)$ or $\exists y A(y)$, then $B \equiv B^*$.*

Definition 2.1 (Naming Variables Apart) *We say that a formula B has its variables **named apart** if no two quantifiers in B bind the same variable and no bound variable is also free.*

We can now use theorem 2.2 to prove its more general version.

Theorem 2.3 (Naming Variables Apart) *Every formula $A \in \mathcal{F}$ is logically equivalent to one in which all variables are named apart.*

We use the above theorems plus the **equational laws** for quantifiers to prove, as a next step a so called a **Prenex Form Theorem**.

In order to do so we first we define an important notion of **prenex normal form** of a formula.

Definition 2.2 (Closure of a Formula) By a **closure** of a formula A we mean a **closed** formula A' obtained from A prefixing in universal quantifiers all those variables that are free in A ; i.e. if $A(x_1, \dots, x_n)$ then $A' \equiv A$ is

$$\forall x_1 \forall x_2 \dots \forall x_n A(x_1, x_2, \dots, x_n)$$

Example

Let A be a formula $(P(x, y) \Rightarrow \neg \exists z R(x, y, z))$, its **closure** $A' \equiv A$ is $\forall x \forall y (P(x, y) \Rightarrow \neg \exists z R(x, y, z))$.

Definition 2.3 (Prenex Normal Form) Any formula A of the form

$$Q_1 x_1 Q_2 x_2 \dots Q_n x_n B$$

where each Q_i is a universal or existential quantifier, i.e. for all $1 \leq i \leq n$, $Q_i \in \{\exists, \forall\}$, $x_i \neq x_j$ for $i \neq j$, and B contains no quantifiers, is said to be in **Prenex Normal Form (PNF)**.

We include the case $n = 0$ when there are no quantifiers at all.

We assume that the formula A in **PNF** is **closed**. If it is not closed we form its **closure** (definition 2.2) instead. We prove that, for every formula, we can effectively construct a formula that is its equivalent **PNF**.

Theorem 2.4 (PNF Theorem) There is an effective procedure for transforming any formula $A \in \mathcal{F}$ into a logically equivalent formula A' in the **prenex normal form**.

We define the procedure by induction on the number k of occurrences of connectives and quantifiers in A .

Let's consider few examples.

Exercise

Find a prenex normal form **PNF** of a formula $A: \forall x(P(x) \Rightarrow \exists xQ(x))$.

We find **PNF** in the following steps.

Step 1: Rename Variables Apart

By the theorem 2.2 we can make all bound variables in A different, i.e. we transform A into an equivalent formula A'

$$\forall x(P(x) \Rightarrow \exists yQ(y)).$$

Step 2: Pull out Quantifiers

We apply the equational law

$$(C \Rightarrow \exists y Q(y)) \equiv \exists y (C \Rightarrow Q(y))$$

to the sub-formula $B : (P(x) \Rightarrow \exists y Q(y))$ of A' for $C = P(x)$, as $P(x)$ does not contain the variable y . We get its equivalent formula $B^* : \exists y (P(x) \Rightarrow Q(y))$. We substitute now B^* on place of B in A' and get a formula A'' :

$$\forall x \exists y (P(x) \Rightarrow Q(y))$$

such that $A'' \equiv A' \equiv A$.

A'' is a required prenex normal form **PNF** for A

Example

Let's now find **PNF** for the formula A :

$$(\exists x \forall y R(x, y) \Rightarrow \forall y \exists x R(x, y))$$

Step 1: Rename Variables Apart

Take a sub-formula $B(x, y) : \forall y \exists x R(x, y)$ of A , get $B(x/z, y/w) : \forall z \exists w R(z, w)$ and replace $B(x, y)$ by $B(x/z, y/w)$ in A and get

$$(\exists x \forall y R(x, y) \Rightarrow \forall z \exists w R(z, w))$$

Step 2: Pull out quantifiers

We use corresponding equational laws for quantifiers to pull out first quantifiers $\forall x \exists y$ and then quantifiers $\forall z \exists w$ and get the following **PNF** for A

$$\exists x \forall y \exists z \forall w (R(x, y) \Rightarrow R(z, w))$$

Observe we can also perform **Step 2** that by pulling first the quantifiers $\forall z \exists w$ and then quantifiers $\forall x \exists y$ and obtain **another PNF** for A

$$\exists z \forall w \exists x \forall y (R(x, y) \Rightarrow R(z, w))$$

3 Skolemization

We will show now how any formula A in its prenex normal form **PNF** we can transformed it into a certain **open formula** A^* , such that $A \equiv A^*$.

The open formula A^* belongs to a richer language then the initial language to which the formula A belongs. The transformation process **adds** new constants,

called **Skolem constants**, and new function symbols, called **Skolem function symbols** to the initial language.

The whole process is called the **Skolemization** of the initial language, the such build extension of the initial language is called the **Skolem extension**.

Elimination of Quantifiers

Given a formula A be in its **Prenex Normal Forma PNF**

$$Q_1x_1Q_2x_2\dots Q_nx_nB(x_1,x_2,\dots x_n)$$

where each Q_i is a universal or existential quantifier, i.e. for all $1 \leq i \leq n$, $Q_i \in \{\exists, \forall\}$, $x_i \neq x_j$ for $i \neq j$, and $B(x_1, x_2, \dots x_n)$ **contains no quantifiers**.

We describe now a procedure of **elimination of all quantifiers** from the formula A and hence transforming into a logically equivalent **open formula A^*** . We assume that A is **closed**. If it is not closed we form its **closure** instead. We consider 3 cases.

Case 1

All quantifiers Q_i for $1 \leq i \leq n$ are **universal**, i.e. formula A is

$$\forall x_1 \forall x_2 \dots \forall x_n B(x_1, x_2, \dots, x_n)$$

We replace the formula A by the **open formula A^*** :

$$B(x_1, x_2, \dots, x_n).$$

Case 2

All quantifiers Q_i for $1 \leq i \leq n$ are **existential**, i.e. formula A is

$$\exists x_1 \exists x_2 \dots \exists x_n B(x_1, x_2, \dots x_n)$$

We replace the formula A by the **open formula A^*** :

$$B(c_1, c_2, \dots, c_n)$$

where c_1, c_2, \dots, c_n and **new** individual constants, all different, **added** to our original language \mathcal{L} . We call such constants added to the language **Skolem constants**

Case 3

The quantifiers are **mixed**. We assume that A is **closed**. If it is not closed we form its **closure** instead. We eliminate quantifiers one by one and step by step

depending on first, and consecutive quantifiers.

Given a **closed PNF** formula A

$$Q_1x_1Q_2x_2\dots Q_nx_nB(x_1,x_2,\dots x_n)$$

Step 1 Elimination of Q_1x_1

We have two possibilities for the first quantifier Q_1x_1 , namely **P1** Q_1x_1 is **universal** or **P2** Q_1x_1 is **existential**.

Consider **P1**

First quantifier in A is universal, i. e. A is

$$\forall x_1Q_2x_2\dots Q_nx_nB(x_1,x_2,\dots x_n)$$

We **replace** A by a formula A_1 :

$$Q_2x_2\dots Q_nx_nB(x_1,x_2,\dots x_n)$$

We have **eliminated** the quantifier Q_1 in this case.

Consider **P2**

First quantifier in A is **existential**, i. e. A is

$$\exists x_1Q_2x_2\dots Q_nx_nB(x_1,x_2,\dots x_n)$$

We **replace** A by a formula A_1 :

$$Q_2x_2\dots Q_nx_nB(b_1,x_2,\dots x_n)$$

where b_1 is a **new** constant symbol **added** to our original language \mathcal{L} . We call such constant symbol added to the language **Skolem constant** symbol.

We have **eliminated** the quantifier Q_1 in this case. We have covered all cases and this **ends** the **Step 1**.

Step 2 Elimination of Q_2x_2 .

Consider now the **PNF** formula A_1 from **Step1- P1**

$$Q_2x_2\dots Q_nx_nB(x_1,x_2,\dots x_n)$$

Remark that the formula A_1 might not be closed.

We have again two possibilities for elimination of the quantifier Q_2x_2 , namely **P1** Q_2x_2 is **universal** or **P2** Q_2x_2 is **existential**.

Consider **P1**

First quantifier in A_1 is **universal**, i.e. A_1 is

$$\forall x_2 Q_3 x_3 \dots Q_n x_n B(x_1, x_2, x_3, \dots x_n)$$

We replace A_1 by the following A_2

$$Q_3 x_3 \dots Q_n x_n B(x_1, x_2, x_3, \dots x_n)$$

We have **eliminated** the quantifier Q_2 in this case.

Consider **P2**

First quantifier in A_1 is **existential**, i.e. A_1 is

$$\exists x_2 Q_3 x_3 \dots Q_n x_n B(x_1, x_2, x_3, \dots x_n)$$

Observe that now the variable x_1 is a **free** variable in $B(x_1, x_2, x_3, \dots x_n)$ and hence in A_1 .

We replace A_1 by the following A_2

$$Q_3 x_3 \dots Q_n x_n B(x_1, f(x_1), x_3, \dots x_n)$$

where f is a **new** one argument functional symbol **added** to our original language \mathcal{L} . We call such functional symbols added to the original language **Skolem** functional symbols.

We have **eliminated** the quantifier Q_2 in this case.

Consider now the **PNF** formula A_1 from **Step1 - P2**

$$Q_2 x_2 Q_3 x_3 \dots Q_n x_n B(b_1, x_2, \dots x_n)$$

Again we have two cases.

Consider **P1**

First quantifier in A_1 is **universal**, i.e. A_1 is

$$\forall x_2 Q_3 x_3 \dots Q_n x_n B(b_1, x_2, x_3, \dots x_n)$$

We replace A_1 by the following A_2

$$Q_3 x_3 \dots Q_n x_n B(b_1, x_2, x_3, \dots x_n)$$

We have **eliminated the quantifier** Q_2 in this case.

Consider **P2**

First quantifier in A_1 is **existential**, i.e. A_1 is

$$\exists x_2 Q_3 x_3 \dots Q_n x_n B(b_1, x_2, x_3, \dots x_n)$$

We replace A_1 by A_2

$$Q_3 x_3 \dots Q_n x_n B(b_1, b_2, x_3, \dots x_n)$$

where $b_2 \neq b_1$ is a new Skolem constant symbol **added** to our original language \mathcal{L} .

We have **eliminated** the quantifier Q_2 in this case. We have covered all cases and this **ends** the **Step 2. Step 3** Elimination of $Q_3 x_3$

Let's now consider, as an **example** formula A_2 from **Step 2; P1** i.e. the formula

$$Q_3 x_3 \dots Q_n x_n B(x_1, x_2, x_3, \dots x_n)$$

We have again 2 choices to consider, but will describe only the following.

P2 First quantifier in A_2 is **existential**, i. e. A_2 is

$$\exists x_2 Q_4 x_4 \dots Q_n x_n B(x_1, x_2, x_3, x_4, \dots x_n)$$

Observe that now the variables x_1, x_2 are **free** variables in $B(x_1, x_2, x_3, \dots x_n)$ and hence in A_2 .

We replace A_2 by the following A_3

$$Q_4 x_3 \dots Q_n x_n B(x_1, x_2, g(x_1, x_2), x_4 \dots x_n)$$

where g is a **new** two argument functional symbol **added** to our original language \mathcal{L} .

We have **eliminated** the quantifier Q_3 in this case.

Step i

At each **Step i**, for $1 \leq i \leq n$, we build a **binary tree** of possibilities **P1** $Q_i x_i$ is **universal** or **P2** $Q_i x_i$ is **existential** and as result we obtain a formula A_i with one less quantifier. The elimination process builds a sequence of formulas

$$A, A_1, A_2, \dots, A_n = A^*$$

where the formula A belongs to our original language

$$\mathcal{L} = \mathcal{L}_{\{\neg, \cup, \cap, \Rightarrow\}}(\mathbf{P}, \mathbf{F}, \mathbf{C}),$$

the formula A^* belongs to its **Skolem extension**.

The **Skolem extension** $S\mathcal{L}$ is obtained from \mathcal{L} in the quantifiers elimination process and

$$S\mathcal{L} = \mathcal{L}_{\{\neg, \cup, \cap, \Rightarrow\}}(\mathbf{P}, \mathbf{F} \cup S\mathbf{F}, \mathbf{C} \cup S\mathbf{C})$$

Observe that in the elimination process an **universal quantifier** introduces free variables in the formula $B(x_1, x_2, \dots, x_n)$. The **elimination** of an existential quantifier that follows universal quantifiers introduces a **new** functional symbol with number of arguments equal the number of universal quantifiers preceding it.

The resulting **open** formula A^* logically equivalent to the $\underline{\text{PNF}}$ formula A .

Example 1

Let A be a **PNF** formula

$$\forall y_1 \exists y_2 \forall y_3 \exists y_4 B(y_1, y_2, y_3, y_4, y_4)$$

We eliminate $\forall y_1$ and get a formula A_1

$$\exists y_2 \forall y_3 \exists y_4 B(y_1, y_2, y_3, y_4)$$

We eliminate $\exists y_2$ by replacing y_2 by $h(y_1)$ where h is a **new** one argument functional symbol **added** to our original language \mathcal{L} .

We get a formula A_2

$$\forall y_3 \exists y_4 B(y_1, h(y_1), y_3, y_4)$$

We eliminate $\forall y_3$ and get a formula A_3

$$\exists y_4 B(y_1, h(y_1), y_3, y_4)$$

We eliminate $\exists y_4$ by replacing y_4 by $f(y_1, y_3)$, where f is a **new** two argument functional symbol **added** to our original language \mathcal{L} .

We get a formula A_4 that is our resulting **open** formula A^*

$$B(y_1, h(y_1), y_3, f(y_1, y_3))$$

Example 2

Let now A be a **PNF** formula

$$\exists y_1 \forall y_2 \forall y_3 \exists y_4 \exists y_5 \forall y_6 B(y_1, y_2, y_3, y_4, y_4, y_5, y_6)$$

We eliminate $\exists y_1$ and get a formula A_1

$$\forall y_2 \forall y_3 \exists y_4 \exists y_5 \forall y_6 B(b_1, y_2, y_3, y_4, y_4, y_5, y_6)$$

where b_1 is a **new** constant symbol **added** to our original language \mathcal{L} .
 We eliminate $\forall y_2, \text{forall } y_3$ and get a formulas A_2, A_3 ; here is the formula A_3

$$\exists y_4 \exists y_5 \forall y_6 B(b_1, y_2, y_3, y_4, y_4, y_5, y_6)$$

We eliminate $\exists y_4$ and get a formula A_4

$$\exists y_5 \forall y_6 B(b_1, y_2, y_3, g(y_2, y_3), y_5, y_6)$$

where g is a **new** two argument functional symbol **added** to our original language \mathcal{L} .

We eliminate $\exists y_5$ and get a formula A_5

$$\forall y_6 B(b_1, y_2, y_3, g(y_2, y_3), h(y_2, y_3), y_6)$$

where h is a **new** two argument functional symbol **added** to our original language \mathcal{L} .

We eliminate $\forall y_6$ and get a formula A_6 that is the resulting **open** formula A^*

$$B(b_1, y_2, y_3, g(y_2, y_3), h(y_2, y_3), y_6)$$

4 Clausual Form of Formulas

5 Unification

Unification is the process of determining whether **two** atomic formulas, i.e. **two** positive literals can be made identical by appropriate substitution for their variables. Unification is an essential part of resolution.

Intuitively, a **substitution** is a set of associations between variables and terms in which **1.** each variable is associated with at most one term, and **2.** no variable with an associated term occurs within any of the associated terms.

For example, the following is a well defined substitution

$$\{x/c, y/f(b), z/w\}$$

and the following is not a substitution

$$\{x/g(y), y/f(x)\}$$

as the variable x which is associated with term $g(y)$, occurs in the term $f(x)$ associated with y ; the variable y occurs in term $g(y)$ associated with variable x .

Given two atomic formulas (positive literals) $P_1 = P(x, y, z)$ and $P_2 = P(c, f(b), w)$. The substitution $\{x/c, y/f(b), z/w\}$ **unifies** P_1 and P_2 , as when applied to P_1 produces P_2 , i.e.

$$P_1 = P(x, y, z)\{x/c, y/f(b), z/w\} = P(x, y, z)\{x/c, y/f(b), z/w\} = P(c, f(b), w) = P_2$$

We often speak of terms associated with variables in a substitution as *bindings* for those variables; the substitution itself is called a *binding list*; the variables with bindings are said to be *bound*.

We apply substitution to literals in the clauses and produce new clauses. For example,