

CHAPTER 1  
Problem 20  
SOLUTION

## Problem

Use the **repertoire method** to solve the general five-parameter recurrence **RF**

**Solve** means here **FIND** the closed formula **CF** equivalent to **RF**

$$h(1) = \alpha;$$

$$h(2n + 0) = 4h(n) + \gamma_0 n + \beta_0;$$

$$h(2n + 1) = 4h(n) + \gamma_1 n + \beta_1, \text{ for all } n \geq 1.$$

## General Form of CF

Our RF for  $h$  is a FIVE parameters function and it is a generalization of the General Josephus GJ f considered before

So we guess that now the general form of the CF is also a generalization of the one we already proved for GJ , i.e.

General form of CF is

$$h(n) = \alpha A(n) + \gamma_0 B(n) + \gamma_1 C(n) + \beta_0 D(n) + \beta_1 E(n)$$

The Problem 20 asks us to use repertoire method to prove that CF equivalent to RF.

## Thinking Time

Solution requires a system of 5 equations on  $A(n)$ ,  $B(n)$ ,  $C(n)$ ,  $D(n)$ ,  $E(n)$  and accordingly a 5 repertoire function!

Let's **THINK a bit** before we embark on quite complicated calculations and without certainty that they would succeed (look at the Problem 16!)

**First** : we observe that when when  $\gamma_0 = \gamma_1 = 0$ , we get that  $h$  becomes for Generalize Josephus function  $f$  below for  $k = 4$

$$f(1) = \alpha, \quad f(2n + j) = kf(n) + \beta_j,$$

where  $k \geq 2$ ,  $j = 0, 1$  and  $n \geq 0$

It seems **worth to examine** the case  $\gamma_0 = \gamma_1 = 0$  first.

## GJ f Closed Formula Solution

We proved that GJ f has a relaxed k- representation closed formula

$$f((1, b_{m-1}, \dots, b_1, b_0)_2) = (\alpha, \beta_{b_{m-1}}, \dots, \beta_{b_0})_k$$

where  $\beta_{b_j}$  are defined by

$$\beta_{b_j} = \begin{cases} \beta_0 & b_j = 0 \\ \beta_1 & b_j = 1 \end{cases} ; \quad j = 0, \dots, m-1,$$

for relaxed k- radix representation defined as

$$(\alpha, \beta_{b_{m-1}}, \dots, \beta_{b_0})_k = \alpha k^m + k^{m-1} \beta_{b_{m-1}} + \dots + \beta_{b_0}$$

## Special Case of h

Consider now a **special case** of our **h**, when  $\gamma_0 = \gamma_1 = 0$

We know that it now has a **relaxed 4 - representation** closed formula

$$h((1, b_{m-1}, \dots, b_1, b_0)_2) = (\alpha, \beta_{b_{m-1}}, \dots, \beta_{b_0})_4$$

It means that we get

**Fact 0** For any  $n = (1, b_{m-1}, \dots, b_1, b_0)_2$ ,

$$h(n) = (\alpha, \beta_{b_{m-1}}, \dots, \beta_{b_0})_4$$

Observe that our general form of **CF** in this case becomes

$$h(n) = \alpha A(n) + \beta_0 D(n) + \beta_1 E(n)$$

We must have  $h(n) = h(n)$ , for all  $n$ , so from this and Fact 0 we get the following equation 1.

## Equation 1

**Fact 1** For any  $n = (1, b_{m-1}, \dots, b_1, b_0)_2$ ,

$$\alpha A(n) + \beta_0 D(n) + \beta_1 E(n) = (\alpha, \beta_{b_{m-1}}, \dots, \beta_{b_0})_4$$

This provides us with the **Equation 1** for finding our general form of **CF**

## Next Observation

Observe that  $A(n)$  in the Original Josephus was given (and proved to be) by a formula

$$A(n) = 2^k, \text{ for all } n = 2^k + \ell, 0 \leq \ell < 2^k,$$

So we wonder if we could have a **similar solution** for our  $A(n)$



## Special Case of h

We evaluate now few initial values for h in case  $\gamma_0 = \gamma_1 = 0$

$$h(1) = \alpha;$$

$$\begin{aligned} h(2) &= h(2(1) + 0) = 4h(1) + \beta_0 \\ &= 4\alpha + \beta_0; \end{aligned}$$

$$\begin{aligned} h(3) &= h(2(1) + 1) = 4h(1) + \beta_1 \\ &= 4\alpha + \beta_1; \end{aligned}$$

$$\begin{aligned} h(4) &= h(2(2) + 0) = 4h(2) + \beta_0 \\ &= 16\alpha + 5\beta_0; \end{aligned}$$

## Equation 2

It is pretty obvious that we do have a similar formula for  $A(n)$  as on the Original Josephus O.

We write it as our Fact 2 and get our **Equation 2**.

**Fact 2** for all  $n = 2^k + \ell, 0 \leq \ell < 2^k, n \in \mathbb{N} - \{0\}$ ,

$$A(n) = 4^k$$

The proof is almost identical to the one in the OJ, we re-write is here for our case as an exercise.

## Reminder

**Reminder:** we investigate the case when  $\gamma_0 = \gamma_1 = 0$ , i.e. now our formulas are

$$\text{RF: } h(1) = \alpha, h(2n + j) = 4h(n) + \beta_j,$$

where  $j = 0, 1$  and  $n \geq 0$ , and the closed formula is

$$\text{CF: } h(n) = \alpha A(n) + \beta_0 D(n) + \beta_1 E(n)$$

## Proof of the Equation 2

Consider now a further case  $\beta_0 = \beta_1 = 0$ , and  $\alpha = 1$ , i.e.

RF :  $h(1) = 1$ ,  $h(2n) = 4h(n)$ ,  $h(2n + 1) = 4h(n)$   
and CF :  $h(n) = A(n)$

We use  $h(n) = A(n)$  and re-write RF in terms of  $A(n)$

AR :  $A(1) = 1$ ,  $A(2n) = 4A(n)$ ,  $A(2n + 1) = 4A(n)$

FACT: Closed formula CAR for AR is:

CAR:  $A(n) = A(2^k + \ell) = 4^k$ ,  $0 \leq \ell < 2^k$

Observe that this FACT is equivalent to our Fact 2, i.e. to validity of the Equation 2, so we are now proving

**Fact 2** for all  $n = 2^k + \ell, 0 \leq \ell < 2^k$ ,

$$A(n) = 4^k$$

## Proof of the Equation 2

**Proof** by induction on  $k$

BASE:  $k=0$  i.e  $n=2^0 + l, 0 \leq l < 1, n = 1$  and **AR**:  $A(1) = 1$ ,  
**CAR**:  $A(1) = 4^0 = 1$ , and **AR** = **CAR**

Inductive Assumption:

$$A(2^{k-1} + l) = A(2^{k-1} + l) = 4^{k-1}, \quad 0 \leq l < 2^{k-1}$$

Inductive Thesis:

$$A(2^k + l) = A(2^k + l) = 4^k, \quad 0 \leq l < 2^k$$

Two cases:  $n \in \text{even}$ ,  $n \in \text{odd}$

**C1**:  $n \in \text{even}$

$n := 2n$ , and we have  $2^k + l = 2n$  iff  $l \in \text{even}$

## Proof of the Equation 2

We evaluate n:

$$2n = 2^k + l, \quad n = 2^{k-1} + \frac{l}{2}$$

We use  $n$  in the inductive step. Observe that the correctness of using  $\frac{l}{2}$  follows from that fact that  $l \in \text{even}$  so  $\frac{l}{2} \in \mathbb{N}$  and it can be proved formally like on the previous slides.

Proof:

$$A(2n) \stackrel{\text{reprn}}{=} A(2^k + l) \stackrel{\text{evaln}}{=} 4A(2^{k-1} + \frac{l}{2}) \stackrel{\text{ind}}{=} 4 * 4^{k-1} = 4^k$$

## Proof of the Equation 2

**C2:**  $n \in \text{odd}$

$n := 2n+1$ , and we have  $2^k + l = 2n + 1$  iff  $l \in \text{odd}$

We evaluate  $n$ :

$$2n + 1 = 2^k + l, \quad n = 2^{k-1} + \frac{l-1}{2}$$

We use  $n$  in the inductive step. Observe that the correctness of using  $\frac{l-1}{2}$  follows from that fact that  $l \in \text{odd}$  so  $\frac{l-1}{2} \in \mathbb{N}$

Proof:

$$\begin{aligned} A(2n + 1) &=_{\text{reprn}} A(2^k + l) =_{\text{evaln}} 4A(2^{k-1} + \frac{l-1}{2}) =_{\text{ind}} \\ &4 * 4^{k-1} = 4^k \end{aligned}$$

It ends the proof of the Fact 2:  $A(n) = 4^k$

## Repertoire Method

We return now to our original functions:

$$\text{RF: } h(1) = \alpha, h(2n) = 4h(n) + \gamma_0 n + \beta_0,$$

$$h(2n + 1) = 4h(n) + \gamma_1 n + \beta_1,$$

$$\text{CF: } h(n) = \alpha A(n) + \gamma_0 B(n) + \gamma_1 C(n) + \beta_0 D(n) + \beta_1 E(n)$$

We have already developed 2 equations ( Fact 1 and Fact 2)  
so we need now to consider only **3 repertoire functions**



## Repertoire Function 1

Consider a repertoire function 1:  $h(n) = 1$ , for all  $n \in \mathbb{N} - \{0\}$ ,

We have  $h(n) = 1$ ,  $h(1) = \alpha$ , so we get  $\alpha = 1$  and we evaluate

$$h(2n) = 4h(n) + \gamma_0 n + \beta_0$$

$$1 = 4 + \gamma_0 n + \beta_0$$

$$0 = 3 + \gamma_0 n + \beta_0$$

$$h(2n + 1) = 4h(n) + \gamma_1 n + \beta_1;$$

$$1 = 4 + \gamma_1 n + \beta_1$$

$$0 = 3 + \gamma_1 n + \beta_1$$

Solution:  $\gamma_0 = \gamma_1 = 0$ ,  $\beta_0 = \beta_1 = -3$

### Equation 3

**CF:**  $h(n) = \alpha A(n) + \gamma_0 B(n) + \gamma_1 C(n) + \beta_0 D(n) + \beta_1 E(n)$

We evaluate **CF** for  $\alpha = 1, \gamma_0 = \gamma_1 = 0, \beta_0 = \beta_1 = -3$  and get

**CF** = **RF** iff the following holds

**Fact 3** For all  $n \in N - \{0\}$ ,

$$A(n) - 3D(n) - 3E(n) = 1$$

## Repertoire Function 2

Consider a repertoire **function 2**:  $h(n) = n$ , for all  $n \in N - \{0\}$   
 $h(1) = \alpha$ ,  $h(1) = 1$  and  $h(n) = h(n)$ , hence  $\alpha = 1$

$$h(2n) = 4h(n) + \gamma_0 n + \beta_0$$

$$2n = 4n + \gamma_0 n + \beta_0$$

$$0 = (\gamma_0 + 2)n + \beta_0$$

$$h(2n + 1) = 4h(n) + \gamma_1 n + \beta_1;$$

$$2n + 1 = 4n + \gamma_1 n + \beta_1$$

$$0 = (\gamma_1 + 2)n + (\beta_1 - 1)$$

Solution:  $\gamma_0 = \gamma_1 = -2$ ,  $\beta_0 = 0$ ,  $\beta_1 = 1$

## Equation 4

**CF:**  $h(n) = \alpha A(n) + \gamma_0 B(n) + \gamma_1 C(n) + \beta_0 D(n) + \beta_1 E(n)$

We evaluate **CF** for  $\alpha = 1, \gamma_0 = \gamma_1 = -2, \beta_0 = 0, \beta_1 = 1$   
and get

**CF** = **RF** iff the following holds

**Fact 4** For all  $n \in N - \{0\}$

$$A(n) - 2B(n) - 2C(n) + E(n) = n$$

## Repertoire Function 3

Consider a repertoire function 3:  $h(n) = n^2$ , for all  $n \in \mathbb{N}$

$h(1) = \alpha$ ,  $h(1) = 1$ , hence  $\alpha = 1$

$$h(2n + 0) = 4h(n) + \gamma_0 n + \beta_0$$

$$(2n)^2 = 4n^2 + \gamma_0 n + \beta_0$$

$$4n^2 = 4n^2 + \gamma_0 n + \beta_0$$

$$0 = \gamma_0 n + \beta_0$$

$$h(2n + 1) = 4h(n) + \gamma_1 n + \beta_1;$$

$$(2n + 1)^2 = 4n^2 + \gamma_1 n + \beta_1$$

$$4n^2 + 4n + 1 = 4n^2 + \gamma_1 n + \beta_1$$

$$0 = (\gamma_1 - 4)n + (\beta_1 - 1)$$

Solution:  $\gamma_0 = 0$ ,  $\gamma_1 = 4$ ,  $\beta_0 = 0$ ,  $\beta_1 = 1$ .

## Equation 5

**CF:**  $h(n) = \alpha A(n) + \gamma_0 B(n) + \gamma_1 C(n) + \beta_0 D(n) + \beta_1 E(n)$

We evaluate **CF** for  $\alpha = 1, \gamma_0 = 0, \gamma_1 = 4, \beta_0 = 0, \beta_1 = 1$  and get

**CF** = **RF** iff the following holds

**Fact 5** For all  $n \in N - \{0\}$

$$A(n) + 4C(n) + E(n) = n^2$$

## Repertoire Method System of Equations

We obtained the following system of 5 equations on  $A(n)$ ,  $B(n)$ ,  $C(n)$ ,  $D(n)$ ,  $E(n)$

1.  $\alpha A(n) + \beta_0 D(n) + \beta_1 E(n) = (\alpha, \beta_{b_{m-1}}, \dots, \beta_{b_0})_4$

2.  $A(n) = 4^k$

3.  $A(n) - 3D(n) - 3E(n) = 1$

4.  $A(n) - 2B(n) - 2C(n) + E(n) = n$

5.  $A(n) + 4C(n) + E(n) = n^2$

We solve it and put the solution into

$$h(n) = \alpha A(n) + \gamma_0 B(n) + \gamma_1 C(n) + \beta_0 D(n) + \beta_1 E(n)$$