

cse547, math547  
DISCRETE MATHEMATICS

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# LECTURE 11

## CHAPTER 3 INTEGER FUNCTIONS

**PART 1:** Floors and Ceilings

**PART 2:** Floors and Ceilings Applications

# PART 1

## Floors and Ceilings

## Floor and Ceiling Definitions

### Floor Definition

For any  $x \in \mathbb{R}$  we define

$\lfloor x \rfloor$  = the **greatest** integer less than or equal to  $x$

### Ceiling Definition

For any  $x \in \mathbb{R}$  we define

$\lceil x \rceil$  = the **least (smallest)** integer greater than or equal to  $x$

## Floor and Ceiling Definitions

**Definitions** written **Symbolically**

**Floor**

$$\lfloor x \rfloor = \max\{a \in \mathbb{Z} : a \leq x\}$$

**Ceiling**

$$\lceil x \rceil = \min\{a \in \mathbb{Z} : a \geq x\}$$

## Floor and Ceiling Basics

**Remark:** we use, after the book the notion of **max, min** elements instead of the **least( smallest)** and **greatest** elements because for the **Posets**  $P_1, P_2$  we have that

$P_1 = (\{ a \in \mathbb{Z} : a \leq x \}, \leq)$  has **unique max** element that is the **greatest** and

$P_2 = (\{ a \in \mathbb{Z} : a \geq x \}, \geq)$  has **unique min** element that is the **least (smallest)**

## Floor and Ceiling Basics

### Fact 1

For any  $x \in \mathbb{R}$

$\lfloor x \rfloor$  and  $\lceil x \rceil$  **exist** and are **unique**

We **define** functions

### Floor

$$f_1: \mathbb{R} \longrightarrow \mathbb{Z}$$

$$f_1(x) = \lfloor x \rfloor = \max\{a \in \mathbb{Z} : a \leq x\}$$

### Ceiling

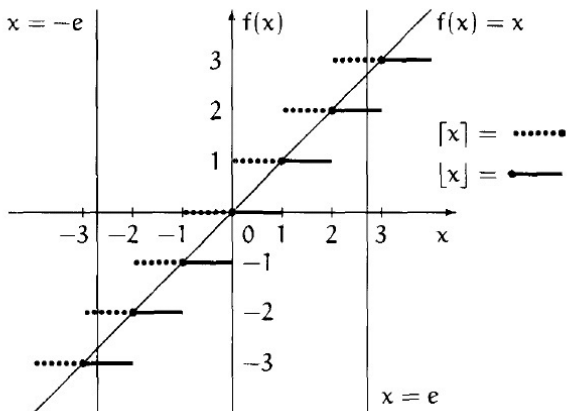
$$f_2: \mathbb{R} \longrightarrow \mathbb{Z}$$

$$f_2(x) = \lceil x \rceil = \min\{a \in \mathbb{Z} : a \geq x\}$$



## Floor and Ceiling Basics

Graphs of  $f_1, f_2$



## Properties of $\lfloor x \rfloor$ and $\lceil x \rceil$

1.  $\lfloor x \rfloor = x$  if and only if  $x \in \mathbb{Z}$
2.  $\lceil x \rceil = x$  if and only if  $x \in \mathbb{Z}$
3.  $x - 1 < \lfloor x \rfloor \leq \lceil x \rceil < x + 1$   $x \in \mathbb{R}$
4.  $\lfloor -x \rfloor = -\lceil x \rceil$   $x \in \mathbb{R}$

## Properties of $\lfloor x \rfloor$ and $\lceil x \rceil$

5.  $\lceil -x \rceil = -\lfloor x \rfloor \quad x \in \mathbb{R}$

6.  $\lceil x \rceil - \lfloor x \rfloor = \begin{cases} 0 & x \in \mathbb{Z} \\ 1 & x \notin \mathbb{Z} \end{cases}$  characteristic function of  $x \notin \mathbb{Z}$

we re- write **6.** as follows

7.  $\lceil x \rceil - \lfloor x \rfloor = 0$  for  $x \in \mathbb{Z}$

$$\lceil x \rceil - \lfloor x \rfloor = 1 \text{ for } x \notin \mathbb{Z}$$

## Properties of $\lfloor x \rfloor$ and $\lceil x \rceil$

8.  $\lfloor x \rfloor = n$  if and only if  $n \leq x < n+1$  for  $x \in \mathbb{R}$ ,  $n \in \mathbb{Z}$

9.  $\lceil x \rceil = n$  if and only if  $x-1 < n \leq x$  for  $x \in \mathbb{R}$ ,  $n \in \mathbb{Z}$

## Properties of $\lfloor x \rfloor$ and $\lceil x \rceil$

10.  $\lceil x \rceil = n$  if and only if  $n-1 < x \leq n$  for  $x \in \mathbb{R}$ ,  $n \in \mathbb{Z}$

11.  $\lfloor x \rfloor = n$  if and only if  $x \leq n < x+1$  for  $x \in \mathbb{R}$ ,  $n \in \mathbb{Z}$

12.  $\lfloor x+n \rfloor = \lfloor x \rfloor + n$  and  $\lceil x+n \rceil = \lceil x \rceil + n$  for  $x \in \mathbb{R}$ ,  $n \in \mathbb{Z}$

## Some Proofs

**Proof of**

$$12. \lfloor x+n \rfloor = \lfloor x \rfloor + n \text{ for } x \in R, n \in Z$$

Directly from definition we have that

$$\lfloor x \rfloor \leq x < \lfloor x \rfloor + 1$$

Adding  $n$  to all sides we get

$$\lfloor x \rfloor + n \leq x+n < \lfloor x \rfloor + n + 1$$

Applying

$$8. \lfloor x \rfloor = m \text{ if and only if } m \leq x < m+1 \text{ for } x \in R, m \in Z$$

for  $m = \lfloor x \rfloor + n$  we get  $\lfloor x+n \rfloor = m$ , i.e.

$$\lfloor x+n \rfloor = \lfloor x \rfloor + n$$

## Some Proofs

**Observe** that it is **not true** that for all  $x \in \mathbb{R}$ ,  $n \in \mathbb{Z}$

$$\lfloor nx \rfloor = n \lfloor x \rfloor$$

Take  $n = 2$ ,  $x = \frac{1}{2}$  and we get that

$$\left\lfloor 2 \cdot \frac{1}{2} \right\rfloor = 1 \neq 2 \left\lfloor \frac{1}{2} \right\rfloor = 0$$

## More Properties of $\lfloor x \rfloor$ and $\lceil x \rceil$

In all properties  $x \in \mathbb{R}$ ,  $n \in \mathbb{Z}$

13.  $x < n$  if and only if  $\lfloor x \rfloor < n$

14.  $n < x$  if and only if  $n < \lceil x \rceil$

15.  $x \leq n$  if and only if  $\lfloor x \rfloor \leq n$

16.  $n \leq x$  if and only if  $n \leq \lceil x \rceil$



## Some Proofs

**Proof of 13.**  $x < n$  if and only if  $\lfloor x \rfloor < n$

Let  $x < n$

We know that  $\lfloor x \rfloor \leq x$  so  $\lfloor x \rfloor \leq x < n$

and hence  $\lfloor x \rfloor < n$

Let  $\lfloor x \rfloor < n$

By property 3.  $x - 1 < \lfloor x \rfloor \leq \lceil x \rceil < x + 1$ ,  $x \in R$

$x - 1 < \lfloor x \rfloor$ , i.e  $x < \lfloor x \rfloor + 1$

But  $\lfloor x \rfloor < n$ , so  $\lfloor x \rfloor + 1 \leq n$  and

$$x < \lfloor x \rfloor + 1 \leq n$$

Hence  $x < n$  what ends the proof

## Fractional Part of $x$

### Definition

We define:  $\{x\} = x - \lfloor x \rfloor$

$\{x\}$  is called a **fractional** part of  $x$

$\lfloor x \rfloor$  is called the **integer** part of  $x$

By definition

$$0 \leq \{x\} < 1$$

and we write

$$x = \lfloor x \rfloor + \{x\}$$

## Fractional Part of $x$

### Fact 2

IF  $x = n + \Theta$ ,  $n \in \mathbb{Z}$  and  $0 \leq \Theta < 1$

THEN  $n = \lfloor x \rfloor$  and  $\Theta = \{x\}$

### Proof

Let  $x = n + \Theta$ ,  $\Theta \in [0, 1)$ . We get by **12**.

$$\lfloor x \rfloor = \lfloor n + \Theta \rfloor = n + \lfloor \Theta \rfloor = n \text{ and}$$

$$x = n + \Theta = \lfloor x \rfloor + \Theta = \lfloor x \rfloor + \{x\}$$

so  $\Theta = \{x\}$

## Properties

We have proved in **12**.

$$\lfloor x+n \rfloor = \lfloor x \rfloor + n \text{ for } x \in \mathbb{R}, n \in \mathbb{Z}$$

**Question:** What happens when we consider

$$\lfloor x+y \rfloor \text{ where } x \in \mathbb{R} \text{ and } y \in \mathbb{R}$$

Is it possible (and when it is possible) that for any  $x, y \in \mathbb{R}$

$$\lfloor x+y \rfloor = \lfloor x \rfloor + \lfloor y \rfloor$$

## Properties

Consider

$$x = \lfloor x \rfloor + \{x\}, \text{ and } y = \lfloor y \rfloor + \{y\}$$

We evaluate using **12.**  $\lfloor x + n \rfloor = \lfloor x \rfloor + n$

$$\lfloor x + y \rfloor = \lfloor \lfloor x \rfloor + \lfloor y \rfloor + \{x\} + \{y\} \rfloor = \lfloor x \rfloor + \lfloor y \rfloor + \lfloor \{x\} + \{y\} \rfloor$$

By definition  $0 \leq \{x\} < 1$  and  $0 \leq \{y\} < 1$  so we have that

$$0 \leq \{x\} + \{y\} < 2$$

Hence we have proved the following property

## Properties

### Fact 3

For any  $x, y \in \mathbb{R}$

$$\lfloor x + y \rfloor = \lfloor x \rfloor + \lfloor y \rfloor \quad \text{when } 0 \leq \{x\} + \{y\} < 1$$

and

$$\lfloor x + y \rfloor = \lfloor x \rfloor + \lfloor y \rfloor + 1 \quad \text{when } 1 \leq \{x\} + \{y\} < 2$$

## Examples

### Example 1

Find  $\lceil \log_2 35 \rceil$

**Observe** that  $2^5 < 35 \leq 2^6$

Taking log with respect to base 2 , we get

$$5 < \log_2 35 \leq 6$$

We use property

$$10. \quad \lceil x \rceil = n \text{ if and only if } n-1 < x \leq n$$

and get

$$\lceil \log_2 35 \rceil = 6$$

## Examples

### Example 2

Find  $\lceil \log_2 32 \rceil$

**Observe** that  $2^4 < 32 \leq 2^5$

Taking log with respect to base 2 , we get

$$4 < \log_2 32 \leq 5$$

We use property **10.** and get

$$\lceil \log_2 32 \rceil = 5$$



## Examples

### Example 3

Find  $\lfloor \log_2 35 \rfloor$

**Observe** that  $2^5 \leq 35 < 2^6$

Taking log with respect to base 2 , we get

$$5 \leq \log_2 35 < 6$$

We use property

$$\mathbf{8.} \quad \lfloor x \rfloor = n \text{ if and only if } n \leq x < n+1$$

and we get

$$\lfloor \log_2 35 \rfloor = 5 = \lceil \log_2 32 \rceil$$

## Observation

**Observe** that 35 has **6 digits** in its binary representation  
 $35 = (1000011)_2$  and  $\lceil \log_2 35 \rceil = 6$

### Question

Is the **number of digits** in binary representation of  $n$  always equal  $\lceil \log_2 n \rceil$  ?

**Answer:** **NO**, it is not true

Consider  $32 = (1000000)_2$

32 has **6 digits** in its binary representation but

$$\lceil \log_2 32 \rceil = 5 \neq 6$$

## Small Problem

**Question:** Can we develop a connection (formula) between  $\lfloor \log_2 n \rfloor$  and number of digits ( $m$ ) in the binary representation of  $n$  ( $n > 0$ )?

**Answer:** YES

## Small Problem Solution

Let  $n \neq 0, n \in \mathbb{N}$  be such such that it has  $m$  bits in **binary representation**

Hence, by definition we have

$$n = a_{m-1}2^{m-1} + \dots + a_0$$

and

$$2^{m-1} \leq n < 2^m$$

So we get **solution**

$$m-1 \leq \log_2 n < m \quad \text{if and only if} \quad \lfloor \log_2 n \rfloor = m-1$$

## Small Fact and Exercise

We have proved the following

### Fact 4

For any  $n \neq 0, n \in \mathbb{N}$  such such that it has  $m$  bits in **binary representation** we have that

$$\lfloor \log_2 n \rfloor = m - 1$$

### Example

Take  $n = 35, m = 6$  so  $\lfloor \log_2 35 \rfloor = 6 - 1 = 5$

Take  $n = 32, m = 6$  so we get  $\lfloor \log_2 32 \rfloor = 6 - 1 = 5$

**Exercise** Develop similar formula for  $\lfloor \log_2 n \rfloor$

## Another Small Fact

### Fact 5

For any  $x \in \mathbb{R}$ ,  $x \geq 0$  the following property holds

$$\lfloor \sqrt{\lfloor x \rfloor} \rfloor = \lfloor \sqrt{x} \rfloor$$

### Proof

Take  $\lfloor \sqrt{\lfloor x \rfloor} \rfloor$

We proceed as follows

**First** we get rid of the **outside**  $\lfloor \cdot \rfloor$  and **then** of the **square root** and of the **inside**  $\lfloor \cdot \rfloor$

## Proof

Let  $m = \lfloor \sqrt{\lfloor x \rfloor} \rfloor$

By property

$$\mathbf{8.} \quad \lfloor x \rfloor = n \text{ if and only if } n \leq x < n+1$$

we get that

$$m = \lfloor \sqrt{\lfloor x \rfloor} \rfloor \text{ if and only if } m \leq \sqrt{\lfloor x \rfloor} < m+1$$

Squaring all sides of the inequality we get

$$(\star) \quad m = \lfloor \sqrt{\lfloor x \rfloor} \rfloor \text{ if and only if } m^2 \leq \lfloor x \rfloor < (m+1)^2$$

## Proof

We proved that

$$(\star) \quad m = \lfloor \sqrt{\lfloor x \rfloor} \rfloor \quad \text{if and only if} \quad m^2 \leq \lfloor x \rfloor < (m+1)^2$$

Using property

$$16. \quad n \leq x \quad \text{if and only if} \quad n \leq \lfloor x \rfloor$$

on the left of inequality in  $(\star)$  and property

$$13. \quad x < n \quad \text{if and only if} \quad \lfloor x \rfloor < n$$

on the right side of inequality in  $(\star)$  we get

$$(\star\star) \quad m = \lfloor \sqrt{\lfloor x \rfloor} \rfloor \quad \text{if and only if} \quad m^2 \leq x < (m+1)^2$$



## Proof

We already proved that

$$(**) \quad m = \lfloor \sqrt{\lfloor x \rfloor} \rfloor \quad \text{if and only if} \quad m^2 \leq x < (m+1)^2$$

Now we retrace our steps backwards. First taking  $\sqrt{x}$  on all sides of inequality  $(**)$  (all components are  $\geq 0$ ), we get

$$m = \lfloor \sqrt{\lfloor x \rfloor} \rfloor \quad \text{if and only if} \quad m \leq \sqrt{x} < m+1$$

We use now the property

$$8. \quad \lfloor x \rfloor = n \quad \text{if and only if} \quad n \leq x < n+1$$

and get

$$m = \lfloor \sqrt{\lfloor x \rfloor} \rfloor \quad \text{if and only if} \quad \lfloor \sqrt{x} \rfloor = m$$

and hence

$$\lfloor \sqrt{\lfloor x \rfloor} \rfloor = \lfloor \sqrt{x} \rfloor$$

It **ends** the **proof**

## Exercise

Write a proof of

$$\lceil \sqrt{\lfloor x \rfloor} \rceil = \lceil \sqrt{x} \rceil$$

### Question

How can we **GENERALIZE** our just proven properties for other functions then  $f(x) = \sqrt{x}$  ?

For which functions  $f = f(x)$  (class of which functions?) the following holds

$$\lfloor f(\lfloor x \rfloor) \rfloor = \lfloor f(x) \rfloor$$

and

$$\lceil f(\lceil x \rceil) \rceil = \lceil f(x) \rceil$$

## Generalization

Here is a proper generalization of the **Fact 4**

### Fact 5

Let  $f: R' \rightarrow R$  where  $R' \subseteq R$  is the domain of  $f$

**IF**  $f = f(x)$  is continuous, monotonically increasing on its domain  $R'$ , and additionally has the following property **P**

$$\mathbf{P} \quad \text{if } f(x) \in Z \text{ then } x \in Z$$

**THEN** for all  $x \in R'$  for which the property **P** holds we have that

$$\lfloor f(\lfloor x \rfloor) \rfloor = \lfloor f(x) \rfloor$$

and

$$\lceil f(\lceil x \rceil) \rceil = \lceil f(x) \rceil$$

## Fact 5 Proof

### Proof

We want to show that under assumption that  $f$  is **continuous, monotonic, increasing** on its domain  $R'$  the property

$$\lceil f(\lceil x \rceil) \rceil = \lceil f(x) \rceil$$

holds for all  $x \in R'$  for which the property **P** holds

**Case 1** take  $x = \lceil x \rceil$

We get

$$\lceil f(x) \rceil = \lceil f(\lceil x \rceil) \rceil$$

is trivial as in this case we have that  $x \in Z$

## Fact 5 Proof

**Case 2** take  $x \neq \lceil x \rceil$

By definition  $x < \lceil x \rceil$  and function  $f$  is monotonically increasing so we have

$$f(x) < f(\lceil x \rceil)$$

By the fact that  $\lceil \cdot \rceil$  is non- decreasing , i.e.

$$\text{If } x < y \text{ then } \lceil x \rceil \leq \lceil y \rceil$$

we get

$$\lceil f(x) \rceil \leq \lceil f(\lceil x \rceil) \rceil$$

Now we show that  $<$  is impossible

Hence we will have  $=$

## Fact 5 Proof

Assume

$$\lceil f(x) \rceil < \lceil f(\lceil x \rceil) \rceil$$

Since  $f$  is continuous, then there is  $y$ , such that

$$f(y) = \lceil f(x) \rceil$$

and

$$(*) \quad x \leq y < \lceil x \rceil$$

But  $f(y) = \lceil f(x) \rceil$ , i.e.  $f(y) \in \mathbb{Z}$  hence by property **P** we get

$$(**) \quad y \in \mathbb{Z}$$

**Observe** that  $(*)$  and  $(**)$  are **contradictory** as **there is no  $y \in \mathbb{Z}$**  between  $x$  and  $\lceil x \rceil$  and this **ends the proof**

## Exercises

### Exercise 1

**Prove** the first part of the **Fact 5**, i.e.

$$\left\lfloor \sqrt{\lfloor f(x) \rfloor} \right\rfloor = \left\lfloor \sqrt{f(x)} \right\rfloor$$

### Exercise 2

**Prove** that for any  $x \in \mathbb{R}$ ,  $n, m \in \mathbb{Z}$

$$1. \left\lfloor \frac{x+m}{n} \right\rfloor = \left\lfloor \frac{\lfloor x \rfloor + m}{n} \right\rfloor$$

and

$$2. \left\lceil \frac{x+m}{n} \right\rceil = \left\lceil \frac{\lceil x \rceil + m}{n} \right\rceil$$

## Exercise 2 Solution

Let's prove

$$1. \left\lfloor \frac{x+m}{n} \right\rfloor = \left\lfloor \frac{\lfloor x \rfloor + m}{n} \right\rfloor$$

Proof for  $\lceil \rceil$  is carried similarly and is left as an exercise

Take a function

$$f(x) = \frac{x+m}{n}$$

for  $n, m \in \mathbb{Z}, x \in \mathbb{R}$

**Observe** that

$$f(x) = \frac{x+m}{n} = \frac{x}{n} + \frac{m}{n}$$

is a line  $f(x) = ax + b$  and hence is **continuous,**  
**monotonically increasing**



## Exercise 2 Solution

We have to check now if the property **P**

$$\mathbf{P} \quad \text{if } f(x) \in Z \text{ then } x \in Z$$

holds for it, i.e. to check if **all assumptions** of the **Fact 5** are fulfilled

Then by the **Fact 5** we will get that

$$\lfloor f(\lfloor x \rfloor) \rfloor = \lfloor f(x) \rfloor$$

i.e.

$$\left\lfloor \frac{\lfloor x \rfloor + m}{n} \right\rfloor = \left\lfloor \frac{x + m}{n} \right\rfloor$$

## Exercise 2 Solution

**Poof** that the property **P** holds for

$$f(x) = \frac{x+m}{n}$$

Assume  $f(x) \in \mathbb{Z}$ , i.e. there is  $k \in \mathbb{Z}$  such that

$$\frac{x+m}{n} = k$$

It means that

$$x+m = nk$$

and

$$x = nk - m \in \mathbb{Z} \quad \text{as } n, k, m \in \mathbb{Z}$$

## Integers in the Intervals

## Intervals

**Standard Notation** and definition of a **Closed Interval**

$$[\alpha, \beta] = \{x \in \mathbb{R} : \alpha \leq x \leq \beta\}$$

**Book Notation**

$$[\alpha \dots \beta] = \{x \in \mathbb{R} : \alpha \leq x \leq \beta\}$$

We use book notation, because  $[P(x)]$  denotes in the book the characteristic function of  $P(x)$

# Intervals

## Closed Interval

$$[\alpha, \beta] = \{x \in \mathbb{R} : \alpha \leq x \leq \beta\} = [\alpha \dots \beta]$$

## Open Interval

$$(\alpha, \beta) = \{x \in \mathbb{R} : \alpha < x < \beta\} = (\alpha \dots \beta)$$

## Half Open Interval

$$[\alpha, \beta) = \{x \in \mathbb{R} : \alpha \leq x < \beta\} = [\alpha \dots \beta)$$

## Half Open Interval

$$(\alpha, \beta] = \{x \in \mathbb{R} : \alpha < x \leq \beta\} = (\alpha \dots \beta]$$

## Integers in the Intervals

### Problem

How many integers are there in the intervals?

In other words, for

$$A = \{ x \in \mathbb{Z} : \alpha \leq x \leq \beta \}$$

$$A = \{ x \in \mathbb{Z} : \alpha < x \leq \beta \}$$

$$A = \{ x \in \mathbb{Z} : \alpha \leq x < \beta \}$$

$$A = \{ x \in \mathbb{Z} : \alpha < x < \beta \}$$

We want to find  $|A|$

## Integers in the Intervals

### Solution

We bring our  $\lceil \cdot \rceil$ ,  $\lfloor \cdot \rfloor$  properties **13. - 16.**

$$13. \quad x < n \quad \text{if and only if} \quad \lfloor x \rfloor < n$$

$$14. \quad n < x \quad \text{if and only if} \quad n < \lceil x \rceil$$

$$15. \quad x \leq n \quad \text{if and only if} \quad \lfloor x \rfloor \leq n$$

$$16. \quad n \leq x \quad \text{if and only if} \quad n \leq \lceil x \rceil$$

and we get for  $\alpha, \beta \in \mathbb{R}$  and  $n \in \mathbb{Z}$

$$\alpha \leq n < \beta \quad \text{if and only if} \quad \lceil \alpha \rceil \leq n < \lceil \beta \rceil$$

$$\alpha < n \leq \beta \quad \text{if and only if} \quad \lfloor \alpha \rfloor \leq n < \lfloor \beta \rfloor$$

## Integers in the Intervals

### Solution

$[\alpha \dots \beta)$  contains exactly  $\lceil \beta \rceil - \lceil \alpha \rceil$  integers

$(\alpha \dots \beta]$  contains exactly  $\lfloor \beta \rfloor - \lfloor \alpha \rfloor$  integers

$[\alpha \dots \beta]$  contains exactly  $\lfloor \beta \rfloor - \lceil \alpha \rceil + 1$  integers

We must assume  $\alpha \neq \beta$  to evaluate

$(\alpha \dots \beta)$  contains exactly  $\lceil \beta \rceil - \lfloor \alpha \rfloor - 1$  integers

We

because  $(\alpha \dots \alpha) = \emptyset$  and can't contain  $-1$  integers



## Integers in the Intervals

INTERVAL	Number of INTEGERS	RESTRICTIONS
$[\alpha \dots \beta]$	$\lfloor \beta \rfloor - \lceil \alpha \rceil + 1$	$\alpha \leq \beta$
$[\alpha \dots \beta)$	$\lfloor \beta \rfloor - \lceil \alpha \rceil$	$\alpha \leq \beta$
$(\alpha \dots \beta]$	$\lfloor \beta \rfloor - \lfloor \alpha \rfloor$	$\alpha \leq \beta$
$(\alpha \dots \beta)$	$\lfloor \beta \rfloor - \lfloor \alpha \rfloor - 1$	$\alpha < \beta$

## Casino Problem

## Casino Problem

### Casino Problem

There is a roulette wheel with 1,000 slots numbered 1 ... 1,000

**IF** the number  $n$  that comes up on a spin is divisible by  $\lfloor \sqrt[3]{n} \rfloor$  what we write as

$$\lfloor \sqrt[3]{n} \rfloor \mid n$$

**THEN**  $n$  is the **winner**

### Reminder

We **define divisibility**  $\mid$  in a standard way:

$k \mid n$  if and only if there exists  $m \in \mathbb{Z}$  such that  $n = km$

## Average Winnings

In the game **Casino** pays \$5 if you are the **winner**; but the **loser** has to pay \$1

**Can we expect to make money if we play this game?**

Let's compute **average** winnings, i.e. the amount **we win (or lose) per play**

**Denote**

**W** - number of **winners**

**L** - number of **losers** and  $L = 1000 - W$

**Strong Rule:** each number **comes once** during 1000 plays

## Casino Winnings

Under the **Strong Rule** we win  $5W$  and lose  $L$  dollars and the **average winnings** in 1000 plays is

$$\frac{5W - L}{1000} = \frac{5W - (1000 - W)}{1000} = \frac{6W - 1000}{1000}$$

We have **advantage** if

$$6W > 1000$$

i.e. when

$$W > 167$$

## Casino Winnings

### Answer

**IF** there is **167** or more winners and we play under the

**Strong Rule:** each number **comes once** during 1000 plays

**THEN** we have the **advantage**, otherwise **Casino wins**

## Number of Winners

### Problem

How to **count** the **number of winners** among 1 to 1000

### Method

Use summation

$$W = \sum_{n=1}^{1000} [n \text{ is a winner}]$$

## Casino Problem

### Reminder of Casino Problem

There is a roulette wheel with 1,000 slots numbered 1 ... 1,000

**IF** the number  $n$  that comes up on a spin is divisible by  $\lfloor \sqrt[3]{n} \rfloor$ , i.e.  $\sqrt[3]{n} \mid n$

**THEN**  $n$  is the winner

The summations becomes

$$W = \sum_{n=1}^{1000} [n \text{ is a winner}] = \sum_{n=1}^{1000} [\lfloor \sqrt[3]{n} \rfloor \mid n]$$

where we **define divisibility**  $\mid$  in a standard way

$k \mid n$  if and only if there exists  $m \in \mathbb{Z}$  such that  $n = km$



## Book Solution

Here are **7 steps** of our **BOOK solution**

$$1 \quad W = \sum_{n=1}^{1000} [n \text{ is a winner}] = \sum_{n=1}^{1000} [\lfloor \sqrt[3]{n} \rfloor | n]$$

$$2 \quad W = \sum_{k,n} [k = \lfloor \sqrt[3]{n} \rfloor] [k|n] [1 \leq n \leq 1000]$$

$$3 \quad W = \sum_{k,n,m} [k^3 \leq n < (k+1)^3] [n = km] [1 \leq n \leq 1000]$$

$$4 \quad W = 1 + \sum_{k,m} [k^3 \leq km < (k+1)^3] [1 \leq k < 10]$$

$$5 \quad W = 1 + \sum_{k,m} \left[ m \in \left[ k^2 \dots \frac{(k+1)^3}{k} \right) \right] [1 \leq k < 10]$$

$$6 \quad W = 1 + \sum_{1 \leq k < 10} \left( \lceil k^2 + 3k + 3 + \frac{1}{k} \rceil - \lceil k^2 \rceil \right)$$

$$7 \quad W = 1 + \sum_{1 \leq k < 10} (3k + 4) = 1 + \frac{7+31}{2} \cdot 9 = 172$$

## Class Problem

Here are the **BOOK** comments

1. This derivation **merits careful study**
2. The only **"difficult"** maneuver is the decision between lines **3** and **4** to treat  **$n = 1000$**  as a special case
3. The inequality  **$k^3 \leq n < (k+1)^3$**  does not combine easily with  **$1 \leq n \leq 1000$**  when  **$k=10$**

## Book Solution Comments

### Class Problem

Write down **explanation** of **each step** with **detailed** justifications (Facts, definitions) why they are **correct**

By doing so fill all gaps in the **proof** that

$$W = \sum_{n=1}^{1000} [\lfloor \sqrt[3]{n} \rfloor \mid n] = 172$$

This problem can also appear on your **tests**

## QUESTIONS about Book Solution

Here are **questions** to answer about the steps in the BOOK solution

$$1 \quad W = \sum_{n=1}^{1000} [n \text{ is a winner}] = \sum_{n=1}^{1000} [\lfloor \sqrt[3]{n} \rfloor \mid n]$$

Q1 Explain why  $[n \text{ is a winner}] = [\lfloor \sqrt[3]{n} \rfloor \mid n]$

$$2 \quad W = \sum_{k,n} [k = \lfloor \sqrt[3]{n} \rfloor] [k \mid n] [1 \leq n \leq 1000]$$

Q2 Explain why and how we have changed a sum  $\sum_{n=1}^{1000}$  into a sum  $\sum_{k,n}$  and

$$\sum_{n=1}^{1000} [\lfloor \sqrt[3]{n} \rfloor \mid n] = \sum_{k,n} [k = \lfloor \sqrt[3]{n} \rfloor] [k \mid n] [1 \leq n \leq 1000]$$

## QUESTIONS about Book Solution

$$3 \quad W = \sum_{k,n,m} \left[ k^3 \leq n < (k+1)^3 \right] [n = km] [1 \leq n \leq 1000]$$

Q3 Explain why

$$[k = \lfloor \sqrt[3]{n} \rfloor] [k|n] = \left[ k^3 \leq n < (k+1)^3 \right] [n = km]$$

Explain why and how we have changed sum  $\sum_{k,n}$  into a sum  $\sum_{k,n,m}$

## QUESTIONS about Book Solution

$$4 \quad W = 1 + \sum_{k,m} \left[ k^3 \leq km < (k+1)^3 \right] [1 \leq k < 10]$$

Q4 There are three **sub-questions**; the last one is one of the book questions

1. Explain why

$$\left[ k^3 \leq n < (k+1)^3 \right] [n = km] [1 \leq n \leq 1000] = \\ \left[ k^3 \leq km < (k+1)^3 \right] [1 \leq k < 10]$$

2. Explain why and how we have changed sum  $\sum_{k,n,m}$  into

a sum  $\sum_{k,m}$

3. Explain HOW and why we have got  $1 + \sum_{k,m}$

## QUESTIONS about Book Solution

$$5 \quad W = 1 + \sum_{k,m} \left[ m \in \left[ k^2 \dots \frac{(k+1)^3}{k} \right) \right] [1 \leq k < 10]$$

Q5 Explain transition

$$\left[ k^3 \leq km < (k+1)^3 \right] = \left[ m \in \left[ k^2 \dots \frac{(k+1)^3}{k} \right) \right]$$

## QUESTIONS about Book Solution

$$6 \quad W = 1 + \sum_{1 \leq k < 10} \left( \lceil k^2 + 3k + 3 + \frac{1}{k} \rceil - \lceil k^2 \rceil \right)$$

Q6 Explain (prove) why

$$\sum_{k,m} \left[ m \in \left[ k^2 \dots \frac{(k+1)^3}{k} \right) \right] [1 \leq k < 10] =$$
$$\sum_{1 \leq k < 10} \left( \lceil k^2 + 3k + 3 + \frac{1}{k} \rceil - \lceil k^2 \rceil \right)$$

Observe that  $\left[ m \in \left[ k^2 \dots \frac{(k+1)^3}{k} \right) \right]$  is a **characteristic function** and  $\left( \lceil k^2 + 3k + 3 + \frac{1}{k} \rceil - \lceil k^2 \rceil \right)$  is an **integer**



## QUESTIONS about Book Solution

$$7 \quad W = 1 + \sum_{1 \leq k < 10} (3k + 4) = 1 + \frac{7+31}{2} 9 = 172$$

**Q7** Explain (prove) why

$$(\lceil k^2 + 3k + 3 + \frac{1}{k} \rceil - \lceil k^2 \rceil) = (3k + 4)$$

Before we giving answers to **Q1 - Q7** we need to review some of the SUMS material