

cse541
LOGIC FOR COMPUTER SCIENCE

Professor Anita Wasilewska

Spring 2015

LECTURE 7

Chapter 7

GENERAL PROOF SYSTEMS

PART 1: Introduction- Intuitive definitions

PART 2: Formal Definition of a Proof System

PART 3: Formal Proofs and Simple Examples

PART 4: Consequence, Soundness and Completeness

PART 5: Decidable and Syntactically Decidable Proof Systems

PART 1: General Introduction

Proof Systems - Intuitive Definition

Proof systems are built to prove, it means to **construct formal proofs** of statements formulated in a given **language**

First component of any **proof system** is hence its **formal language** \mathcal{L}

Proof systems are **inference machines** with statements called **provable statements** being their **final products**

Semantical Link

The **starting points** of the **inference machine** of a proof system **S** are called its **axioms**

We distinguish two kinds of axioms: **logical axioms** **LA** and **specific axioms** **SA**

Semantical link: we usually build a **proof systems** for a given **language** and its **semantics** i.e. for a **logic defined semantically**

Semantical Link

We always choose as a set of **logical axioms** **LA** some **subset of tautologies**, under a given **semantics**

We will **consider here** only proof systems with **finite sets** of **logical** or **specific axioms**, i.e we will examine only **finitely axiomatizable** proof systems

Semantical Link

We can, and we often do, consider **proof systems** with languages **without yet established semantics**

In this case the **logical axioms LA** serve as description of **tautologies** under a **future semantics** yet to be built

Logical axioms LA of a proof system **S** are hence not only **tautologies** under an established **semantics**, but they can also guide us how to **define a semantics** when it is yet **unknown**

Specific Axioms

The **specific axioms** **SA** consist of statements that describe a specific knowledge of an universe we want to use the proof system **S** to prove facts about

Specific axioms **SA** are not universally true

Specific axioms **SA** are **true only** in the universe we are interested to **describe** and **investigate** by the use of the proof system **S**

Formal Theory

Given a **proof system** S with **logical axioms** LA

Specific axioms SA of the proof system S is any finite set of formulas that **are not tautologies**, and hence they are always disjoint with the set of **logical axioms** LA of S

The **proof system** S with added set of **specific axioms** SA is called a **formal theory** based on S

Inference Machine

The **inference machine** of a proof system **S** is defined by a **finite set** of **inference rules**

The **inference rules** describe the way we are allowed to **transform** the information within the system with **axioms** as a starting point

We depict it **informally** on the next slide

Inference Machine

AXIOMS



RULES applied to AXIOMS



RULES applied to any expressions above



Provable formulas

Semantical Link

Semantical link:

Rules of inference of a system **S** have to **preserve the truthfulness** of what they are being used to prove

The notion of **truthfulness** is always defined by a given **semantics M**

Rules of inference that **preserve the truthfulness** are called **sound rules** under a given **semantics M**

Rules of inference can be **sound** under one semantics and **not sound** under another

Soundness Theorem

Goal 1

When developing a proof system **S** the first goal is prove the following theorem about it and its semantics **M**

Soundness Theorem

For any formula **A** of the language of the system **S**

If a formula **A** is **provable** from **logical axioms** **LA** of **S** only,
then **A** is a **tautology** under the **semantics** **M**

Propositional Proof Systems

We discuss here first only proof systems for **propositional languages** and call them **proof systems** for different **propositional logics**

Remember

The notion of **soundness** is connected with a given **semantics**

A proof system **S** can be **sound** under **one semantics**, and **not sound** under the **other**

For example a set of axioms and rules **sound under classical logic semantics** might **not be sound** under **\perp logic semantics**, or **K logic semantics**, or others

Completeness of the Proof Systems

In general there are **many** proof systems that are **sound** under a given **semantics**, i.e. there are many **sound** proof systems for a given **logic** semantically defined

Given a proof system **S** with **logical axioms** **LA** that is **sound** under a **semantics** **M**.

Notation

Denote by **T_M** the set of all **tautologies** defined by the semantics **M**, i.e. we have that

$$\mathbf{T_M} = \{A \in \mathcal{F} : \models_{\mathbf{M}} A\}$$

Completeness Property

A **natural question** arises:

Are all **tautologies** i.e formulas $A \in \mathbf{T_M}$ **provable** in the system **S** ??

We assume that we have already proved that **S** is **sound** under the semantics **M**

The **positive answer** to this question is called **completeness property** of the system **S** .

Completeness Theorem

Goal 2

Given for a **sound** proof system **S** under its semantics **M**, our the second goal is to prove the following theorem about **S**

Completeness Theorem

For any formula **A** of the language of **S**

A is provable in **S** iff **A** is a **tautology** under the semantics **M**

We write the **Completeness Theorem** **symbolically** as

$$\vdash_S A \text{ iff } \models_M A$$

Completeness Theorem is composed of two parts:

Soundness Theorem and the **Completeness Part** that proves the **completeness property** of a sound proof system

Proving Soundness and Completeness

Proving the **Soundness Theorem** for **S** under a semantics **M** is usually a straightforward and not a very difficult task

We **first prove** that all **logical axioms LA** are **tautologies**, and then we **prove** that all **inference rules** of the system **S** **preserve** the notion of the truth

Proving the **completeness part** of the **Completeness Theorem** is always a crucial, difficult and sometimes impossible task

OUR PLAN

We will study **two proofs** of the **Completeness Theorem** for **classical propositional** proof system in **Chapter 9**

We will present a **constructive** proofs of **Completeness Theorem** for two different **Gentzen style** automated theorem proving systems for **classical Logic** in **Chapter 11**

We discuss the **Intuitionistic Logic** in **Chapter 12**

Predicate Logics are discussed **Chapters 13, 14**

Kripke Models for **Modal Logics** and **Intuitionistic Logic** are presented in **Chapter 15**

PART 2

PROOF SYSTEMS: Formal Definitions

Proof System S

In this section we present **formal definitions** of the following notions

Proof system S

Formal proof from **logical axioms** in a proof system S

Formal proof from **specific axioms** in a proof system S

Formal Theory based on a proof system S

We also give **examples** of different simple **proof systems**

Components: Language

Language \mathcal{L} of a **proof system** \mathcal{S} is any formal language \mathcal{L}

$$\mathcal{L} = (\mathcal{A}, \mathcal{F})$$

We assume as before that both sets \mathcal{A} and \mathcal{F} are enumerable, i.e. we deal here with **enumerable languages**

The **Language** \mathcal{L} can be **propositional** or **first order** (predicate) but we discuss **propositional languages** first

Components: Expressions

Expressions \mathcal{E} of a proof system \mathbf{S}

Given a set \mathcal{F} of well formed formulas of the language \mathcal{L} of the system \mathbf{S}

We often **extend** the set \mathcal{F} to some set \mathcal{E} of **expressions** build out of the language \mathcal{L} and some **extra symbols**, if needed

In this case all other **components** of \mathbf{S} are also defined on basis of elements of the set of **expressions** \mathcal{E}

In particular, and **most common case** we have that $\mathcal{E} = \mathcal{F}$

Expressions Examples

Automated theorem proving systems usually use as their **basic components** different sets of **expressions** build out of **formulas** of the language \mathcal{L}

In **Chapters 11 and 13** we consider **finite sequences of formulas** instead of formulas, as **basic expressions** of the proof systems **RS** and **RQ**

We also present there proof systems that use yet other kind of **expressions**, called original **Gentzen sequents** or their modifications

Some systems use yet **other expressions** such as **clauses**, **sets of clauses**, or **sets of formulas**, others use **yet still different** expressions

Semantical Link

We always have to **extend** a given semantics **M** for the language \mathcal{L} of the system **S** to the set \mathcal{E} of all **expression** of the system **S**

Sometimes, like in case of **Resolution** based **proof systems** we have also to **prove** a **semantic equivalency** of new created expressions \mathcal{E} (sets of clauses in Resolution case) with appropriate formulas of \mathcal{L}

Example

For example, in the automated theorem proving system **RS** presented in Chapter 11 the basic expressions \mathcal{E} are **finite sequences** of formulas of $\mathcal{L} = \mathcal{L}_{\{\neg, \cap, \cup, \Rightarrow\}}$.

We **extend** our classical semantics for \mathcal{L} to the set \mathcal{F}^* of all **finite sequences** of formulas as follows:

For any $v : \text{VAR} \rightarrow \{F, T\}$ and

any $\Delta \in \mathcal{F}^*$, $\Delta = A_1, A_2, \dots, A_n$, we put

$$\begin{aligned} v^*(\Delta) &= v^*(A_1, A_2, \dots, A_n) \\ &= v^*(A_1) \cup v^*(A_2) \cup \dots \cup v^*(A_n) \end{aligned}$$

i.e. in a shorthand notation

$$\Delta \equiv (A_1 \cup A_2 \cup \dots \cup A_n)$$

Components: Logical Axioms

Logical axioms LA of S form a non-empty subset of the set \mathcal{E} of **expressions** of the proof system S , i.e.

$$LA \subseteq \mathcal{E}$$

In particular, LA is a non-empty subset of **formulas**, i.e.

$$LA \subseteq \mathcal{F}$$

We **assume here** that the set LA of **logical axioms** is always **finite**, i.e. that we consider here **finitely axiomatizable** systems

In general, **we assume** that the set LA is **primitively recursive** i.e. that there is an effective procedure to determine whether a given expression $E \in \mathcal{E}$ **is** or **is not** in LA

Components: Axioms

Semantical link

Given a semantics **M** for \mathcal{L} and its **extension** to the set \mathcal{E} of all expressions

We extend the notion of **tautology** to the expressions and write

$$\models_{\mathbf{M}} E$$

to denote that the **expression** $E \in \mathcal{E}$ is a **tautology** under semantics **M** and we put

$$\mathbf{T}_{\mathbf{M}} = \{E \in \mathcal{E} : \models_{\mathbf{M}} E\}$$

Logical axioms LA are always a subset of expressions that are **tautologies** of under the semantics **M**, i.e.

$$LA \subseteq \mathbf{T}_{\mathbf{M}}$$

Components: Rules of Inference

Rules of inference \mathcal{R}

We **assume** that a proof system contains only a **finite number** of **inference rules**

We **assume** that each rule has a **finite number** of **premisses** and **one conclusion**

We also **assume** that one can **effectively decide**, for any **inference rule**, whether a given string of expressions **form** its premisses and conclusion or **do not**, i.e. that

All rules $r \in \mathcal{R}$ are **primitively recursive**

Components: Rules of Inference

Definition

Each **rule of inference** $r \in \mathcal{R}$ is a **relation** defined in the set \mathcal{E}^m , where $m \geq 1$ with values in \mathcal{E} , i.e.

$$r \subseteq \mathcal{E}^m \times \mathcal{E}$$

Elements P_1, P_2, \dots, P_m of a tuple $(P_1, P_2, \dots, P_m, C) \in r$ are called **premisses** of the rule r and C is called its **conclusion**

All $r \in \mathcal{R}$ are **primitively recursive** relations

Components: Rules of Inference

We write the **inference rules** in a following convenient way

One premiss rule

$$(r) \quad \frac{P_1}{C}$$

Two premisses rule

$$(r) \quad \frac{P_1 ; P_2}{C}$$

m premisses rule

$$(r) \quad \frac{P_1 ; P_2 ; \dots ; P_m}{C}$$

Semantic Link: Sound Rules of Inference

Given some **m** premisses rule

$$(r) \quad \frac{P_1 ; P_2 ; \dots ; P_m}{C}$$

Semantical link

Given a semantics **M** for the language \mathcal{L} and for the set of expressions \mathcal{E}

We want the **rules of inference** $r \in \mathcal{R}$ to **preserve truthfulness** i.e. to be **sound** under the semantics **M**

General Definition: Sound Rule of Inference

Definition

Given an inference rule $r \in \mathcal{R}$

$$(r) \quad \frac{P_1 ; P_2 ; \dots ; P_m}{C}$$

We say that the inference rule $r \in \mathcal{R}$ is **sound** under a semantics **M**

if and only if

all **M** - **models** of the set $\{P_1, P_2, \dots, P_m\}$ of its **premisses** are also **M** - **models** of its **conclusion C**

Propositional Definition: Sound Rule of Inference

In **propositional languages** case, the semantics **M**, and hence the **M** - **models** are defined in terms of the truth assignment $v : VAR \rightarrow LV$, where **LV** is the set of **logical values** for the semantics **M**

Definition

An inference rule $r \in \mathcal{R}$, such that

$$(r) \quad \frac{P_1 ; P_2 ; \dots ; P_m}{C}$$

is sound under a semantics **M**

if and only if

the condition below holds or any $v : VAR \rightarrow LV$

If $v \models_M \{P_1, P_2, \dots, P_m\}$, **then** $v \models_M C$

Example

Given a rule of inference

$$(r) \quad \frac{(A \Rightarrow B)}{(B \Rightarrow (A \Rightarrow B))}$$

Prove that (r) is **sound** under classical semantics

Let v be any truth assignment, such that $v \models (A \Rightarrow B)$, i.e.
by definition $v^*(A \Rightarrow B) = T$

We evaluate logical value of the **conclusion** under v as follows

$$v^*(B \Rightarrow (A \Rightarrow B)) = v^*(B) \Rightarrow T = T$$

for any B and any value of $v^*(B)$

This proves that $v \models (B \Rightarrow (A \Rightarrow B))$ and hence the **soundness** of (r)

Formal Definition: Proof System

Definition

By a **proof system** we understand a quadruple

$$S = (\mathcal{L}, \mathcal{E}, LA, \mathcal{R})$$

where

$\mathcal{L} = \{\mathcal{A}, \mathcal{F}\}$ is a **language** of S with a set \mathcal{F} of formulas

\mathcal{E} is a set of **expressions** of S

In particular case $\mathcal{E} = \mathcal{F}$

$LA \subseteq \mathcal{E}$ is a **finite set** of **axioms** of S

\mathcal{R} is a **finite set** of **rules of inference** of S

PART 3: Formal Proofs

Simple Examples of Proof Systems

Provable Expressions

A **final product** of a **single** or **multiple** use of the **inference rules** of **S**, with **axioms** taken as a **starting point** are called **provable expressions** of the proof system **S**

A **single** use of an **inference rule** is called a **direct consequence**

A **multiple** application of rules of inference with **axioms** taken as a **starting point** is called a **proof**

Definition: Direct Consequence

Formal definitions are as follows

Direct consequence

For any rule of inference $r \in \mathcal{R}$ of the form

$$(r) \quad \frac{P_1 ; P_2 ; \dots ; P_m}{C}$$

C is called a **direct consequence** of P_1, \dots, P_n by virtue of the rule $r \in \mathcal{R}$

Definition: Formal Proof

Formal Proof of an expression $E \in \mathcal{E}$ in a proof system

$$S = (\mathcal{L}, \mathcal{E}, LA, \mathcal{R})$$

is a sequence

$$A_1, A_2, \dots, A_n \text{ for } n \geq 1$$

of expressions from \mathcal{E} , such that

$$A_1 \in LA, \quad A_n = E$$

and for each $1 < i \leq n$, either $A_i \in LA$ or A_i is a **direct consequence** of some of the **preceding expressions** by virtue of **one of the rules of inference**

$n \geq 1$ is the **length of the proof** A_1, A_2, \dots, A_n

Formal Proof Notation

We write

$$\vdash_S E$$

to denote that $E \in \mathcal{E}$ **has a proof** in S

When the proof system S is **fixed** we write $\vdash AE$

Any $E \in \mathcal{E}$, such that $\vdash_S E$ is called a **provable expression** of S

The set of **all provable expressions** of S is denoted by \mathbf{P}_S , i.e. we put

$$\mathbf{P}_S = \{E \in \mathcal{E} : \vdash_S E\}$$

Simple System S_1

Example 1

Consider a very simple proof system system S_1 with $\mathcal{E} = \mathcal{F}$

$$S_1 = (\mathcal{L}_{\{P, \Rightarrow\}}, \mathcal{F}, \{(A \Rightarrow A)\}, (r) \frac{B}{PB}),$$

where $A, B \in \mathcal{F}$ are any formulas and

where P is some one argument connective;

we might read PA for example as "it is possible that A "

Observe that even the system S_1 has only **one axiom**, it represents an **infinite** number of formulas.

We call such axiom an **axiom schema**

Simple System S_2

Example 2

Consider now a system S_2

$$S_2 = (\mathcal{L}_{\{P, \Rightarrow\}}, \quad \mathcal{F}, \quad \{(a \Rightarrow a)\}, \quad (r) \frac{B}{PB}),$$

where $a \in VAR$ is any variable (atomic formula) and $B \in \mathcal{F}$ is any formula

Observe that the system S_2 also has only **one axiom** similar to the axiom of S_1 and they have the same rule of inference but they are **different proof systems** as for example a formula

$$((Pa \Rightarrow (b \Rightarrow c)) \Rightarrow (Pa \Rightarrow (b \Rightarrow c)))$$

is an axiom of system S_1

but is not an axiom of the system S_2

Some Provable Formulas

Example 3

We have that

$$\vdash_{S_1} ((Pa \Rightarrow (b \Rightarrow c)) \Rightarrow (Pa \Rightarrow (b \Rightarrow c)))$$

because

$$((Pa \Rightarrow (b \Rightarrow c)) \Rightarrow (Pa \Rightarrow (b \Rightarrow c))) \in \text{LA of } S_1$$

other provable formulas are

$$\vdash_{S_1} P(a \Rightarrow a), \quad \vdash_{S_2} P(a \Rightarrow a),$$

$$\vdash_{S_1} PP(a \Rightarrow a), \quad \vdash_{S_2} PP(a \Rightarrow a)$$

Formal Proofs

Formal proofs in both systems of above formulas are identical and are as follows.

Formal proof of $P(a \Rightarrow a)$ in S_1 and S_2 is:

$A_1 = (a \Rightarrow a),$	$A_2 = P(a \Rightarrow a)$
axiom	rule application
	for $B = (a \Rightarrow a)$

Formal Proofs

Formal proof of $PP(a \Rightarrow a)$ in S_1 and S_2 is:

$A_1 = (a \Rightarrow a),$	$A_2 = P(a \Rightarrow a),$	$A_3 = PP(a \Rightarrow a)$
axiom	rule application	rule application
	for $B = (a \Rightarrow a)$	for $B = P(a \Rightarrow a)$

Formal Proofs

Exercise

Given a proof system:

$$S = (\mathcal{L}_{\{\neg, \Rightarrow\}}, \mathcal{F}, \{(A \Rightarrow A), (A \Rightarrow (\neg A \Rightarrow B))\}, \mathcal{R} = \{(r)\})$$

$$\text{where } (r) \frac{(A \Rightarrow B)}{(B \Rightarrow (A \Rightarrow B))}$$

Write a **formal proof** in S with 2 applications of the rule (r)

Solution: There are many solutions. Here is one of them.

Required formal proof is a sequence A_1, A_2, A_3 , where

$$A_1 = (A \Rightarrow A)$$

(Axiom)

$$A_2 = (A \Rightarrow (A \Rightarrow A))$$

Rule (r) application 1 for $A = A, B = A$

$$A_3 = ((A \Rightarrow A) \Rightarrow (A \Rightarrow (A \Rightarrow A)))$$

Rule (r) application 2 for $A = A, B = (A \Rightarrow A)$

Simple System S_3

Consider a very simple proof system system S_3 defined as follows

$$S_3 = (\mathcal{L}_{\{P, \Rightarrow\}}, \mathcal{F}, \{(A \Rightarrow A)\}, (r_1) \frac{B}{PB}, (r_2) \frac{A ; B}{P(A \Rightarrow B)})$$

Exercise

Write two **formal proofs** in S_3 both of the **lengths 4**, one of which must contain at least one application of the rule r_2

PART 4: Hypothesis, Consequence, Soundness and Completeness

Proof from Hypothesis

While proving expressions we often use **some extra information** available, besides the axioms of the proof system. This extra information is called **hypothesis** in the proof.

Let $\Gamma \subseteq \mathcal{E}$ be a set expressions called **hypothesis**

A proof of $E \in \mathcal{E}$ from the set of **hypothesis** Γ in S is a **formal proof** in S , where the expressions from Γ are treated as **additional hypothesis added** to the set **LA** of the **logical axioms** of the system S

Notation: $\Gamma \vdash_S A$

We read it : **A has a proof in S from the set Γ and logical axioms LA**

Definition: Proof from Hypothesis

We say that A has a proof in S from the set Γ and logical axioms LA and denote it as

$$\Gamma \vdash_S A$$

if there is a sequence A_1, \dots, A_n of expressions from \mathcal{E} , such that

$$A_1 \in LA \cup \Gamma, \quad A_n = A$$

and for each $1 < i \leq n$, either $A_i \in LA \cup \Gamma$ or A_i is a **direct consequence** of some of the preceding expressions by virtue of one of the rules of inference.

Special Cases

We usually consider and use the case when the set of hypothesis is finite.

Case of $\Gamma \subseteq \mathcal{E}$ **finite set** and $\Gamma = \{B_1, B_2, \dots, B_n\}$

We write

$$B_1, B_2, \dots, B_n \vdash_S A$$

instead of $\{B_1, B_2, \dots, B_n\} \vdash_S A$

Case of $\Gamma = \emptyset$ is also a special one.

By the definition of a proof of A from Γ , $\emptyset \vdash A$ means that in the proof of A we use **only axioms LA** of S

We hence write

$$\vdash_S A$$

to denote that A has a proof from **empty Γ**

Definition: Consequences of Γ

For any $\Gamma \subseteq \mathcal{E}$, and $A \in \mathcal{E}$,

If $\Gamma \vdash_S A$, then A is called a **consequence** of Γ in S

We denote by $\mathbf{Cn}_S(\Gamma)$ the **set of all consequences** of Γ in S , i.e. we put

$$\mathbf{Cn}_S(\Gamma) = \{A \in \mathcal{E} : \Gamma \vdash_S A\}$$

Definition: Consequence Operation

Observe that by defining a consequence of Γ in S , we define in fact a **function** which to every set $\Gamma \subseteq \mathcal{E}$ assigns a set of **all its consequences** $\mathbf{Cn}_S(\Gamma)$

We denote this function by \mathbf{Cn}_S and adopt the following

Definition

Any function

$$\mathbf{Cn}_S : 2^{\mathcal{E}} \longrightarrow 2^{\mathcal{E}}$$

such that for every $\Gamma \in 2^{\mathcal{E}}$

$$\mathbf{Cn}_S(\Gamma) = \{A \in \mathcal{E} : \Gamma \vdash_S A\}$$

is called the **consequence operation** in S

Consequence Operation: Monotonicity

Take any **consequence operation**

$$\mathbf{Cn}_S : 2^{\mathcal{E}} \longrightarrow 2^{\mathcal{E}}$$

Monotonicity Property

For any sets Γ, Δ of expressions of S ,

if $\Gamma \subseteq \Delta$ then $\mathbf{Cn}_S(\Gamma) \subseteq \mathbf{Cn}_S(\Delta)$

Exercise: write the proof;

it follows directly from the definition of \mathbf{Cn}_S and definition of the formal proof

Consequence Operation: Transitivity

Take any **consequence operation**

$$\mathbf{Cn}_S : 2^{\mathcal{E}} \longrightarrow 2^{\mathcal{E}}$$

Transitivity Property

For any sets $\Gamma_1, \Gamma_2, \Gamma_3$ of expressions of S ,

if $\Gamma_1 \subseteq \mathbf{Cn}_S(\Gamma_2)$ and $\Gamma_2 \subseteq \mathbf{Cn}_S(\Gamma_3)$, **then** $\Gamma_1 \subseteq \mathbf{Cn}_S(\Gamma_3)$

Exercise: write the proof;

it follows directly from the definition of \mathbf{Cn}_S and definition of the formal proof

Consequence Operation: Finiteness

Take any **consequence operation**

$$\mathbf{Cn}_S : 2^{\mathcal{E}} \longrightarrow 2^{\mathcal{E}}$$

Finiteness Property

For any expression $A \in \mathcal{E}$ and any set $\Gamma \subseteq \mathcal{E}$,

$A \in \mathbf{Cn}_S(\Gamma)$ if and only if there is a **finite subset** Γ_0 of Γ such that $A \in \mathbf{Cn}_S(\Gamma_0)$

Exercise: write the proof;

it follows directly from the definition of \mathbf{Cn}_S and definition of the formal proof

Definition: Sound S

Definition

Given a proof system

$$S = (\mathcal{L}, \mathcal{E}, LA, \mathcal{R})$$

We say that the system S is **sound** under a semantics M iff the following conditions hold

1. $LA \subseteq T_M$
2. Each rule of inference $r \in \mathcal{R}$ is **sound**

Example

Given a proof system:

$$S = (\mathcal{L}_{\{\neg, \Rightarrow\}}, \mathcal{F}, \{(A \Rightarrow A), (A \Rightarrow (\neg A \Rightarrow B))\}, (r) \frac{(A \Rightarrow B)}{(B \Rightarrow (A \Rightarrow B))})$$

1. Prove that **S** is **sound** under **classical semantics**
2. Prove that **S** is **not sound** under **K** semantics

Example

1. Both **axioms** of **S** are basic classical **tautologies** and we have just proved that the rule of inference **(r)** is **sound**, hence **S** is **sound**

2. Axiom $(A \Rightarrow A)$ is not a **K** semantics tautology

Any truth assignment **v** such that $v^*(A) = \perp$ is a **counter-model** for it

This proves that **S** is **not sound** under **K** semantics

Soundness Theorem

Let \mathbf{P}_S be the set of all provable expressions of S i.e.

$$\mathbf{P}_S = \{A \in \mathcal{E} : \vdash_S A\}$$

Let \mathbf{T}_M be a set of all expressions of S that are tautologies under a semantics \mathbf{M} , i.e.

$$\mathbf{T}_M = \{A \in \mathcal{E} : \models_M A\}$$

Soundness Theorem for S and semantics \mathbf{M}

$$\mathbf{P}_S \subseteq \mathbf{T}_M$$

i.e. for any $A \in \mathcal{E}$, the following implication holds

$$\text{IF } \vdash_S A \quad \text{THEN } \models_M A$$

Exercise: prove by Mathematical Induction over the length of a proof that if S is sound, the **Soundness Theorem** holds for S

Completeness Theorem

Completeness Theorem for **S** and semantics **M**

$$\mathbf{P_S = T_M}$$

i.e. for any $A \in \mathcal{E}$, the following holds

$$\vdash_S A \quad \text{if and only if} \quad \models_M A$$

The **Completeness Theorem** consists of two parts:

Part 1: **Soundness Theorem**

$$\mathbf{P_S \subseteq T_M}$$

Part 2: **Completeness Part** of the Completeness Theorem

$$\mathbf{T_M \subseteq P_S}$$

Formal Theory

Let a set $SA \subseteq \mathcal{E}$ be such that

$$SA \cap \mathbf{T_M} = \emptyset$$

A **Formal Theory** with the set of **specific axioms** SA is denoted by $Th_S(SA)$ and defined as follows

$$Th_S(SA) = \{A \in \mathcal{E} : SA \vdash_S A\}$$

Soundness Theorem for the Theory

Soundness Theorem for formal theory $Th_S(SA)$ based on a **sound** system S says:

For any formula A of the language of the theory $Th_S(SA)$,

If a formula A is **provable** in the theory $Th_S(SA)$,
then A is **true** in any **model** of the set of **specific axioms** SA

Completeness of the Theory $Th_S(SA)$

The **Completeness Theorem** for the System S established equivalency of the notion of provability and tautology:

$$P_S = T_M$$

Observe holds for theories $Th_S(SA)$ **only** when $SA = \emptyset$.

We nevertheless talk about Complete Theories!

Completeness of the Theory $Th_S(SA)$

Here is the definition of a notion of completeness for proof system **S** with a language that contains negation \neg :

Definition of a Complete Theory

A formal theory $Th_S(SA)$ is **complete** iff for any **A** of the language of the theory the following holds

$$A \in Th_S(SA) \text{ or } \neg A \in Th_S(SA)$$

The **completeness** of a theory means that **we can prove or disapprove** any statement formulated within it.

It hence corresponds to the natural meaning of the word "complete" information.

Consistency of the Theory $Th_S(SA)$

Definition of a Consistent Theory

Let S be a proof system with a language that contains negation \neg

A **formal theory** $Th_S(SA)$ is **consistent** iff **there is no** expression A of the language of the theory such that

$$A \in Th_S(SA) \quad \text{and} \quad \neg A \in Th_S(SA)$$

Inconsistency and Completeness are two most important properties of any theory

We use the notion of a **formal theory** to formalize it and to be able to reason about it.

PART 5: Decidable and Syntactically Decidable Proof Systems

Decidable and Syntactically Decidable Proof Systems

A proof system S is called **decidable** when there is a **finite, mechanical method** for determining, given any expression $A \in \mathcal{E}$ whether **there is** a proof of A in S ; i.e. whether $A \in \mathbf{P}_S$

otherwise S is called **undecidable**

Observe that the above notion of decidability of the system **does not require to find a proof**

It requires only a mechanical procedure of deciding whether a **proof exists** for any expression of the system.

Example

We **prove now** that A Hilbert style proof system S for classical propositional logic presented in Chapter 9 is **decidable**

We first prove the **Completeness Theorem** for it

$$\mathbf{P}_S = \mathbf{T}_M$$

We get that for any $A \in \mathcal{E}$

$$A \notin \mathbf{P}_S \quad \text{iff} \quad A \notin \mathbf{T}_M$$

We have proved already that that the notion of classical propositional tautology, i.e. the statement $A \notin \mathbf{T}_M$ is **decidable**

We conclude: the system S is **decidable**

Syntactically Decidable Systems

A proof system S is **syntactically decidable** if it is possible to define for it a **finite, mechanical method** that **generates a proof** for any given expression A of S

otherwise the system S **is not syntactically decidable**

We call such syntactically decidable systems **automated theorem proving** systems

Syntactically Decidable Systems

All **Gentzen type** proof systems presented here are both **decidable** or **semi-decidable** and **syntactically decidable** or **syntactically semi-decidable**.

We usually call them **automated theorem proving** systems for different logics under consideration.

Resolution based proof systems are also widely known examples of the syntactically decidable, or semi-decidable systems.

Finding a Gentzen Type, or Resolution type formalization for a given logic is a **standard question** one asks about any logic being developed.

Formal Proofs

Remember that the notion of a **formal proof** in a system S is **purely syntactical** in its nature

Formal Proof carries a semantical meaning via established semantics and the **Soundness Theorem**

The **rules of inference** of a proof system define only how to **transform strings of symbols** of the language **into another string** of symbols.

The **formal proof**, by the definition says that in order to **prove** an expression A in a system S one has to construct of a **sequence** of proper transformations, **defined** by the rules of inference.

Simple System S_1

Consider a very simple proof system system S_1 with $\mathcal{E} = \mathcal{F}$

$$S_1 = (\mathcal{L}_{\{P, \Rightarrow\}}, \mathcal{F}, LA1 = \{(A \Rightarrow A)\}, (r) \frac{B}{PB}),$$

where $A, B \in \mathcal{F}$ are any formulas and

where P is some one argument connective;

we might read PA for example as "it is possible that A "

Observe that even the system S_1 has only **one axiom**, it represents an **infinite** number of formulas.

We call such axiom **axiom schema**

Simple System S_2

Consider now a system S_2

$$S_2 = (\mathcal{L}_{\{P, \Rightarrow\}}, \mathcal{F} \text{ LA2} = \{(a \Rightarrow a)\}, (r) \frac{B}{PB}),$$

where $a \in VAR$ is any variable (atomic formula) and $B \in \mathcal{F}$ is any formula

Observe that even the system S_1 has only **one axiom**, it is also an **axiom schema**

Observe that for example a formula

$$((Pa \Rightarrow (b \Rightarrow c)) \Rightarrow (Pa \Rightarrow (b \Rightarrow c)))$$

is an **axiom** of system S_1

but **is not** an axiom of the system S_2

Some Provable Formulas

We have that

$$\vdash_{S_1} ((Pa \Rightarrow (b \Rightarrow c)) \Rightarrow (Pa \Rightarrow (b \Rightarrow c)))$$

because

$$((Pa \Rightarrow (b \Rightarrow c)) \Rightarrow (Pa \Rightarrow (b \Rightarrow c))) \in \text{LA1}$$

other provable formulas are

$$\vdash_{S_1} P(a \Rightarrow a), \quad \vdash_{S_2} P(a \Rightarrow a),$$

$$\vdash_{S_1} PP(a \Rightarrow a), \quad \vdash_{S_2} PP(a \Rightarrow a)$$

Formal Proofs

Formal proofs in both systems of above formulas are identical and are as follows.

Formal proof of $P(a \Rightarrow a)$ in S_1 and S_2 is:

$A_1 = (a \Rightarrow a),$	$A_2 = P(a \Rightarrow a)$
axiom	rule application
	for $B = (a \Rightarrow a)$

Formal Proofs

Formal proof of $PP(a \Rightarrow a)$ in S_1 and S_2 is:

$A_1 = (a \Rightarrow a),$	$A_2 = P(a \Rightarrow a),$	$A_3 = PP(a \Rightarrow a)$
axiom	rule application	rule application
	for $B = (a \Rightarrow a)$	for $B = P(a \Rightarrow a)$

Proof Search

Let's **search for a proof** (if exists) of the formula **A** below in S_2

$$A = PP((Pa \Rightarrow (b \Rightarrow c)) \Rightarrow (Pa \Rightarrow (b \Rightarrow c)))$$

Observe, that if **A** had the proof, **the only last step** in this proof would be the application of the **rule** $(r) \frac{B}{PB}$ to the formula

$$P((Pa \Rightarrow (b \Rightarrow c)) \Rightarrow (Pa \Rightarrow (b \Rightarrow c)))$$

This formula, in turn, if it had the proof, **the only** last step in its proof would be the application of the **rule** r to the formula

$$((Pa \Rightarrow (b \Rightarrow c)) \Rightarrow (Pa \Rightarrow (b \Rightarrow c)))$$

The **search process stops here**

Proof Search

Observe that

$$((Pa \Rightarrow (b \Rightarrow c)) \Rightarrow (Pa \Rightarrow (b \Rightarrow c))) \notin LA2$$

what means that our **search** for the proof has **failed**;

i.e. our **found sequence** of formulas **does not constitute a proof**

Moreover, the search was, **at each step unique** what proves that the proof of **A** in **S₂** **does not exist**, i.e.

$$\not\models_{S_2} PP((Pa \Rightarrow (b \Rightarrow c)) \Rightarrow (Pa \Rightarrow (b \Rightarrow c)))$$

Proof Search Procedure

We easily **generalize** above example to a proof search procedure to any formula **A** of S1 or S2 as follows

Procedure SP

Step: Check the **main connective** of **A**

If **main connective** is **P**, it means that **A** was obtained by the rule **r**

Erase the main connective **P**

Repeat until no **P** as a **main connective** is left.

If the main connective is \Rightarrow check if a formula is an **axiom**

If **it is** an axiom, **stop** and **yes** we have a **proof**

If **it is not** an axiom, **stop** and **no**, proof **does not exist**

Syntactical Decidability

The **Procedure SP** is a **finite, effective, automatic** procedure of searching for a proof of formulas in both our proof systems. This proves the following.

Fact Proof systems S_1 and S_2 are **syntactically decidable**

Semantical link

Remark that we haven't defined a **semantics** for the language $\mathcal{L}_{\{\Rightarrow, P\}}$ of systems **S1, S2**

We can't talk about the **soundness** of these systems yet but we can think how to define a sound semantics for our systems.

If we want to understand statement **PA** as "**A is possible**" we need to define some kind of **modal** semantics.

Semantical link

All known **modal semantics** **extend** the classical semantics, i.e. they are **the same** as classical one on **non-modal connectives**

Hence under any possible modal semantics axioms **S1, S2** of would be a **sound axiom** under standard modal logics semantics, as they are classical tautologies.

To **assure the soundness** of both systems we must have a modal semantics M that makes the rule $(r) \frac{B}{PB}$ **sound**, i.e. such that

$$\models_M (B \Rightarrow PB)$$

Otherwise they will **not be sound** under any **modal interpretation** of the connective **P**

General Question 1

General Q1: Are all proof systems decidable?

Answer Q1: No, not all proof systems are decidable

The most "natural" and historically first developed proof system for **classical predicate logic** is **not decidable**

General Question 2

General Q2 Can we give an **example of a logic** and its complete proof system which **is not decidable**, but the logic does have another complete, **syntactically decidable** proof system?

Answer Q2: Hilbert style proof system for classical propositional logic **complete** and **decidable** but **is not syntactically decidable**

We present in Chapter 9 **two complete proof systems** for classical propositional logic that are **syntactically decidable**