

cse541  
LOGIC FOR COMPUTER SCIENCE

Professor Anita Wasilewska

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## LECTURE 10

## Chapter 10

# CLASSICAL AUTOMATED PROOF SYSTEMS

PART 1: **RS** SYSTEM

PART 2: **RS1, RS2, RS3** SYSTEMS

PART 3: GENTZEN SYSTEMS

## CLASSICAL AUTOMATED PROOF SYSTEMS

**Hilbert style systems** are easy to define and admit a relatively simple proofs of the Completeness Theorem but they are **difficult to use**

**Automated systems** are less intuitive than the Hilbert-style systems, but they will allow us to define **effective automatic procedures** for **proof search**, what is impossible in a case of the Hilbert-style systems

**The first idea** of this type was presented by **G. Gentzen** in 1934

We present in this chapter our version of original **Gentzen system** for **propositional classical logic**

We present the **original Gentzen** systems for **Intuitionistic** and **Classical** Propositional Logics in **Chapter 12**

# AUTOMATED PROOF SYSTEMS

## PART 1: RS System

The automated proof system we presented here is due to **Helena Rasiowa** and **Roman Sikorski**

We present here the propositional version of the original system and call it **RS system** for **Rasiowa - Sikorski**

The propositional **RS system** extends naturally to predicate logic **QRS system** which is presented in Chapter 14

Both systems **RS** and **QRS** admit a **constructive proof** of **Completeness Theorem**

First such constructive proofs were given, together with the formalization of the systems by **H. Rasiowa** and **Sikorski** in 1961

# AUTOMATED PROOF SYSTEMS

## PART 2: RS1, RS2, RS3 System

We define, as an exercise 3 versions of of the **RS System**, discuss their differences and show how the proof of **Completeness Theorem** for **RS extends** to similar proofs for all 3 systems

# AUTOMATED PROOF SYSTEMS

## PART 3: GENTZEN Systems - Lecture 13

We present our modern versions of **Gentzen Sequent** systems for propositional classical logic

Both systems **extend** easily to **predicate logic** and admit a **constructive proof** of **Completeness Theorem** via **Rasiowa-Sikorski** method

The **original Gentzen** system **LK** for **classical** propositional logic is presented in **Chapter 12** together with the **original Gentzen** system **LI** for the **Intuitionistic** propositional logic

PART1:  
RS Proof System for Classical Propositional Logic



## RS Proof System

Language of **RS** is

$$\mathcal{L} = \mathcal{L}_{\{\neg, \Rightarrow, \vee, \wedge\}}$$

The rules of inference of our system **RS** operate on **finite sequences** of formulas and we adopt

$$\mathcal{E} = \mathcal{F}^*$$

as the set of **expressions** of **RS**

### Notation

Elements of  $\mathcal{E}$  are finite sequences of formulas and we denote them by

$$\Gamma, \Delta, \Sigma \dots$$

with indices if necessary.

## RS Proof System

The the **intuitive meaning** of a sequence  $\Gamma \in \mathcal{F}^*$  is that the truth assignment  $v$  makes it **true** if and only if it makes the formula of the form of the disjunction of all formulas of  $\Gamma$  **true**

For any sequence  $\Gamma \in \mathcal{F}^*$ ,

$$\Gamma = A_1, A_2, \dots, A_n$$

we **denote**

$$\delta_\Gamma = A_1 \cup A_2 \cup \dots \cup A_n$$

We define as the next step a **formal semantics** for **RS**

## Formal Semantics for RS

Let  $v : VAR \rightarrow \{T, F\}$  be a truth assignment and  $v^*$  its classical semantics extension to the set of formulas  $\mathcal{F}$ . We formally **extend**  $v$  to the set  $\mathcal{F}^*$  of all finite sequences of  $\mathcal{F}$  as follows

$$v^*(\Gamma) = v^*(\delta_\Gamma) = v^*(A_1) \cup v^*(A_2) \cup \dots \cup v^*(A_n)$$

The sequence  $\Gamma$  is said to be **satisfiable** if there is a truth assignment  $v : VAR \rightarrow \{T, F\}$  such that  $v^*(\Gamma) = T$ .

We write it as

$$v \models \Gamma$$

and call  $v$  a **model** for  $\Gamma$ .

## Formal Semantics for RS

The sequence  $\Gamma$  is said to be **falsifiable** if there is a truth assignment  $v$ , such that  $v^*(\Gamma) = F$

Such a truth assignment  $v$  is called a **counter-model** for  $\Gamma$

The sequence  $\Gamma$  is said to be a **tautology** iff  $v^*(\Gamma) = T$  for all truth assignments  $v : VAR \rightarrow \{T, F\}$

We write as always,

$$\models \Gamma$$

to denote that  $\Gamma$  is a **tautology**

## Example

### Example

Let  $\Gamma$  be a sequence

$$a, (b \cap a), \neg b, (b \Rightarrow a)$$

The truth assignment  $v$  such that

$$v(a) = F \quad \text{and} \quad v(b) = T$$

**falsifies**  $\Gamma$ , i.e. is a **counter-model** for  $\Gamma$  as shows the following computation

$$\begin{aligned} v^*(\Gamma) &= v^*(\delta_\Gamma) = v^*(a) \cup v^*(b \cap a) \cup v^*(\neg b) \cup v^*(b \Rightarrow a) = \\ &F \cup (F \cap T) \cup F \cup (T \Rightarrow F) = F \cup F \cup F \cup F = F. \end{aligned}$$

## Rules of inference

Rules of inference of **RS** are of the form:

$$\frac{\Gamma_1}{\Gamma} \quad \text{or} \quad \frac{\Gamma_1 ; \Gamma_2}{\Gamma}$$

where  $\Gamma_1, \Gamma_2$  are called **premisses** and  $\Gamma$  is called the **conclusion** of the rule

Each rule of inference **introduces** a new **logical connective** or a **negation of a logical connective**

We **name** the rule that introduces the logical connective  $\circ$  in the conclusion sequent  $\Gamma$  by  $(\circ)$

**The notation**  $(\neg\circ)$  means that the **negation** of the logical connective  $\circ$  is introduced in the conclusion sequence  $\Gamma$

## Rules of inference of RS

**Proof System RS** contains seven inference rules:

$$(\cup), (\neg\cup), (\cap), (\neg\cap), (\Rightarrow), (\neg\Rightarrow), (\neg\neg)$$

Before we **define** the **rules of inference** of **RS** we need to introduce some definitions.

### Definition

Any **propositional variable**, or a **negation of propositional variable** is called a **literal**

The set

$$LT = VAR \cup \{\neg a : a \in VAR\}$$

is called a set of all propositional **literals**

The **variables** are called **positive literals**

**Negations of variables** are called **negative literals**.

## Literal

We denote by

$$\Gamma', \Delta', \Sigma' \dots$$

finite sequences (empty included) formed out of **literals** i.e

$$\Gamma', \Delta', \Sigma' \in LT^*$$

We will denote by

$$\Gamma, \Delta, \Sigma \dots$$

the elements of  $\mathcal{F}^*$



## Logical Axioms of RS

We adopt as an logical **axiom** of **RS** any sequence of **literals** which contains a **propositional variable** and its **negation**, i.e any sequence

$$\Gamma'_1, a, \Gamma'_2, \neg a, \Gamma'_3$$

$$\Gamma'_1, \neg a, \Gamma'_2, a, \Gamma'_3$$

where  $a \in \text{VAR}$  is any **propositional variable**

We denote by **LA** the set of all **logical axioms** of **RS**

## Inference Rules of RS

### Disjunction rules

$$(\cup) \frac{\Gamma', A, B, \Delta}{\Gamma', (A \cup B), \Delta},$$

$$(\neg\cup) \frac{\Gamma', \neg A, \Delta ; \Gamma', \neg B, \Delta}{\Gamma', \neg(A \cup B), \Delta}$$

### Conjunction rules

$$(\cap) \frac{\Gamma', A, \Delta ; \Gamma', B, \Delta}{\Gamma', (A \cap B), \Delta},$$

$$(\neg\cap) \frac{\Gamma', \neg A, \neg B, \Delta}{\Gamma', \neg(A \cap B), \Delta}$$

## Inference Rules of RS

### Implication rules

$$(\Rightarrow) \frac{\Gamma', \neg A, B, \Delta}{\Gamma', (A \Rightarrow B), \Delta}, \quad (\neg \Rightarrow) \frac{\Gamma', A, \Delta : \Gamma', \neg B, \Delta}{\Gamma', \neg(A \Rightarrow B), \Delta}$$

### Negation rule

$$(\neg\neg) \frac{\Gamma', A, \Delta}{\Gamma', \neg\neg A, \Delta}$$

where  $\Gamma' \in LT^*$ ,  $\Delta \in \mathcal{F}^*$ ,  $A, B \in \mathcal{F}$

## Proof System RS

Formally we define the system **RS** as follows

$$\mathbf{RS} = (\mathcal{L}_{\{\neg, \Rightarrow, \cup, \cap\}}, \mathcal{E}, \mathbf{LA}, \mathcal{R})$$

where the set of inference rules is

$$\mathcal{R} = \{(\cup), (\neg\cup), (\cap), (\neg\cap), (\Rightarrow), (\neg\Rightarrow), (\neg\neg)\}$$

and **LA** is the set of all logical axioms, as defined before

## Proof Trees

### Definition

By a **proof tree** in **RS** of  $\Gamma$  we understand a tree

$T_\Gamma$

built out of sequences satisfying the following conditions:

1. The topmost sequence, i.e the **root** of  $T_\Gamma$  is the sequence  $\Gamma$
2. all **leafs** are **axioms**
2. the **nodes** are sequences such that each sequence on the tree follows from the ones immediately preceding it by one of the **inference rules**

## Proof Trees

We picture, and write our **proof trees** with the **root** on the **top**, and the **leafs** on the very **bottom**,

**Additionally** we write our proof trees indicating the **name of the inference rule** used at each step of the proof

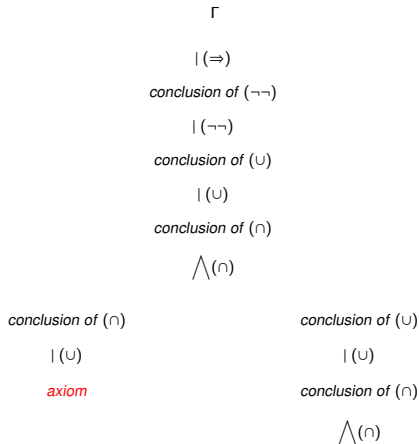
### Example

Assume that a **proof** of a sequence  $\Gamma$  from **some three axioms** was obtained by the subsequent use of the rules  $(\cap)$ ,  $(\cup)$ ,  $(\cup)$ ,  $(\cap)$ ,  $(\cup)$ , and  $(\neg\neg)$ ,  $(\Rightarrow)$

We represent it as the following tree

# Proof Trees

The tree  $T_\Gamma$



*axiom*

*axiom*

## Proof Trees

The **Proof Trees** represent a certain **visualization** for the proofs

Any **formal proof** in any proof system can be represented in a **tree form** and vice- versa

Any **proof tree** can be re-written in a linear form as a previously defined **formal proof**

### Example

The proof tree in **RS** of the **de Morgan Law**

$$A = (\neg(a \cap b) \Rightarrow (\neg a \cup \neg b))$$

is the as follows



## Proof Trees

The tree  $T_A$

$$(\neg(a \wedge b) \Rightarrow (\neg a \vee \neg b))$$

$$| (\Rightarrow)$$

$$\neg\neg(a \wedge b), (\neg a \vee \neg b)$$

$$| (\neg\neg)$$

$$(a \wedge b), (\neg a \vee \neg b)$$

$$\bigwedge (\wedge)$$

$$a, (\neg a \vee \neg b)$$

$$b, (\neg a \vee \neg b)$$

$$| (\vee)$$

$$| (\vee)$$

$$a, \neg a, \neg b$$

$$b, \neg a, \neg b$$

## Formal Proof

To obtain a **formal proof** (written in a vertical form) of **A** it we just write down the tree as a sequence, starting from the **leaves** and going up (from left to right) to the **root**

$$a, \neg a, \neg b$$

$$b, \neg a, \neg b$$

$$a, (\neg a \cup \neg b)$$

$$b, (\neg a \cup \neg b)$$

$$(a \cap b), (\neg a \cup \neg b)$$

$$\neg\neg(a \cap b), (\neg a \cup \neg b)$$

$$(\neg(a \cap b) \Rightarrow (\neg a \cup \neg b))$$

## Example

### Example

A search for the proof in **RS** of other de Morgan Law

$$A = (\neg(a \cup b) \Rightarrow (\neg a \cap \neg b))$$

consists of building a certain tree and proceeds as follows.

## Example

The tree  $T_A$

$$(\neg(a \cup b) \Rightarrow (\neg a \cap \neg b))$$

$$| (\Rightarrow)$$

$$\neg\neg(a \cup b), (\neg a \cap \neg b)$$

$$| (\neg\neg)$$

$$(a \cup b), (\neg a \cap \neg b)$$

$$| (\cup)$$

$$a, b, (\neg a \cap \neg b)$$

$$\bigwedge (\cap)$$

$$a, b, \neg a$$

$$a, b, \neg b$$

## Example

We construct its **formal proof** , as before, written in a vertical manner

Here it is

$$a, b, \neg b$$

$$a, b, \neg a$$

$$a, b, (\neg a \wedge \neg b)$$

$$(a \cup b), (\neg a \wedge \neg b)$$

$$\neg\neg(a \cup b), (\neg a \wedge \neg b)$$

$$(\neg(a \cup b) \Rightarrow (\neg a \wedge \neg b))$$

## Decomposition Trees

Our GOAL in inventing proof systems like **RS** is to facilitate automatic proof search

The method of such proof search is to generate what is called the **decomposition trees**

The **decomposition tree** for

$$A = (((a \Rightarrow b) \wedge \neg c) \vee (a \Rightarrow c))$$

is built as follows

# Decomposition Trees

The tree  $T_A$

$$(((a \Rightarrow b) \wedge \neg c) \vee (a \Rightarrow c))$$

$$\mid (\vee)$$

$$((a \Rightarrow b) \wedge \neg c), (a \Rightarrow c)$$

$$\bigwedge (\wedge)$$

$$(a \Rightarrow b), (a \Rightarrow c)$$

$$\neg c, (a \Rightarrow c)$$

$$\mid (\Rightarrow)$$

$$\mid (\Rightarrow)$$

$$\neg c, \neg a, c$$

$$\neg a, b, (a \Rightarrow c)$$

$$\mid (\Rightarrow)$$

$$\neg a, b, \neg a, c$$

## Decomposition Trees

**Observe** that the decomposition tree  $T_A$  contains an **non-axiom leaf**

$$\neg a, b, \neg a, c$$

hence **it is not a proof** of  $A$  in **RS**

Moreover, we are going to prove that a the **decomposition trees** in **RS** are always **unique**

From the **uniqueness** of  $T_A$  we have that if  $T_A$  has a **non-axiom leaf** then the **proof** of  $A$  in **RS** **does not exist**

This fact becomes crucial in our proof of **Completeness Theorem**



## Counter Models

The other crucial idea used in the proof of **Completeness Theorem** is that of a **Counter Model** defined by a decomposition tree

**Example** Given a formula **A**

$$((a \Rightarrow b) \cap \neg c) \cup (a \Rightarrow c))$$

and its decomposition tree **T<sub>A</sub>** presented on the next slide

## Counter Models

The tree  $T_A$

$$(((a \Rightarrow b) \wedge \neg c) \vee (a \Rightarrow c))$$

$$| (\vee)$$

$$((a \Rightarrow b) \wedge \neg c), (a \Rightarrow c)$$

$$\bigwedge (\wedge)$$

$$(a \Rightarrow b), (a \Rightarrow c)$$

$$\neg c, (a \Rightarrow c)$$

$$| (\Rightarrow)$$

$$| (\Rightarrow)$$

$$\neg c, \neg a, c$$

$$\neg a, b, (a \Rightarrow c)$$

$$| (\Rightarrow)$$

$$\neg a, b, \neg a, c$$

## Counter Models

Consider a **non-axiom leaf** of  $T_A$

$$\neg a, b, \neg a, c$$

Let now  $v$  be any variable assignment

$$v : VAR \longrightarrow \{T, F\}$$

such that **it makes this non-axiom leaf false**

We put constructing a  $v$  **restricted** to  $VAR_A$

$$v(a) = T, v(b) = F, v(c) = F$$

Obviously, we have that

$$v^*(\neg a, b, \neg a, c) = v^*(\neg a) \cup v^*(b) \cup v^*(\neg a) \cup v^*(c) = F$$

## Strong Soundness

Moreover, we are going to **prove** that all the **rules of inference** of **RS** are **strongly sound**, i.e.

$$C \equiv P, \quad C \equiv P_1 \cap P_2$$

**Strong soundness** of the rules means that if at least **one of premisses** of a rule is **false**, so is its **conclusion**

We use the **strong soundness** of the rules to **prove**, by induction on the degree of sequences on a branch of **T<sub>A</sub>** that starts with the formula **A** and ends with a **non-axiom leaf**, that any **v** that make this **non-axiom leaf false** also **falsifies** all sequences on the branch and hence falsifies the formula **A**

This means that **v**  $\not\models$  **A**, i.e. **v** is a **counter-model** for **A**

## Counter Models

Consider a branch of  $T_A$  with the **non-axiom leaf**

$$\neg a, b, \neg a, c$$

In particular, the formula  $A$  is on this branch, hence we get that

$$v^*(((a \Rightarrow b) \wedge \neg c) \cup (a \Rightarrow c)) = F$$

and  $v$  is a **counter-model** for  $A$

### Definition

Any truth assignment that **falsifies a non-axiom leaf** is called a **counter-model** for  $A$  **generated** by the decomposition tree  $T_A$

## Completeness Theorem

The construction of the **counter-models** generated by the **decomposition trees** is another **crucial point** to the proof of the **Completeness Theorem** for **RS**.

We **prove** first the following **Completeness Theorems** for formulas  $A \in \mathcal{F}$

**Completeness Theorem 1** For any formula  $A \in \mathcal{F}$

$$\vdash_{\text{RS}} A \quad \text{if and only if} \quad \models A$$

and then we generalize it to the following

**Completeness Theorem 2** For any  $\Gamma \in \mathcal{F}^*$ ,

$$\vdash_{\text{RS}} \Gamma \quad \text{if and only if} \quad \models \Gamma$$

## Strong Soundness of RS

## Strong Soundness

### Definition

Given a proof system

$$S = (\mathcal{L}, \mathcal{E}, AX, \mathcal{R})$$

### Definition

A rule  $r \in \mathcal{R}$  such that the **conjunction of all its premisses** is **logically equivalent** to its **conclusion** is called **strongly sound**

### Definition

A proof system  $S$  is called **strongly sound** iff  $S$  is sound and **all** its rules  $r \in \mathcal{R}$  are **strongly sound**



## Strong Soundness of RS

### Fact

The proof system **RS** is **strongly sound**

### Proof

We prove as an example the **strong soundness** of two of inference rules:  $(\cup)$  and  $(\neg\cup)$

Proof for all other rules follows the same patterns and is left as an exercise

By definition of **strong soundness** we have to show that

If  $P_1, P_2$  are premisses of a given rule and  $C$  is its conclusion, then for all  $v$ ,

$$v^*(P_1) = v^*(C)$$

in case of one premiss rule and

$$v^*(P_1) \cap v^*(P_2) = v^*(C)$$

in case of the two premisses rule.

## Strong Soundness of RS

Consider the rule  $(\cup)$

$$(\cup) \quad \frac{\Gamma', A, B, \Delta}{\Gamma', (A \cup B), \Delta}$$

We evaluate:

$$\begin{aligned} v^*(\Gamma', A, B, \Delta) &= v^*(\delta_{\{\Gamma', A, B, \Delta\}}) = v^*(\Gamma') \cup v^*(A) \cup v^*(B) \cup v^*(\Delta) \\ &= v^*(\Gamma') \cup v^*(A \cup B) \cup v^*(\Delta) = v^*(\delta_{\{\Gamma', (A \cup B), \Delta\}}) \\ &= v^*(\Gamma', (A \cup B), \Delta) \end{aligned}$$

## Strong Soundness of RS

Consider the rule  $(\neg\cup)$

$$(\neg\cup) \frac{\Gamma', \neg A, \Delta \quad : \quad \Gamma', \neg B, \Delta}{\Gamma', \neg(A \cup B), \Delta}$$

We evaluate:

$$\begin{aligned} v^*(P_1) \cap v^*(P_2) &= v^*(\Gamma', \neg A, \Delta) \cap v^*(\Gamma', \neg B, \Delta) \\ &= (v^*(\Gamma') \cup v^*(\neg A) \cup v^*(\Delta)) \cap (v^*(\Gamma') \cup v^*(\neg B) \cup v^*(\Delta)) \\ &= (v^*(\Gamma', \Delta) \cup v^*(\neg A)) \cap (v^*(\Gamma', \Delta) \cup v^*(\neg B)) \\ &=^{distrib} (v^*(\Gamma', \Delta) \cup (v^*(\neg A) \cap v^*(\neg B))) \\ &= v^*(\Gamma') \cup v^*(\Delta) \cup v^*(\neg A \cap \neg B) =^{deMorgan} v^*(\delta_{\{\Gamma', \neg(A \cup B), \Delta\}}) \\ &= v^*(\Gamma', \neg(A \cup B), \Delta) = v^*(C) \end{aligned}$$

## Soundness Theorem

**Observe** that the **strong soundness** notion implies soundness (not only by name!) and obviously all **LA** of **RS** are **tautologies** hence we have also proved the following

**Soundness Theorem** for **RS**

For any  $\Gamma \in \mathcal{F}^*$ ,

If  $\vdash_{\mathbf{RS}} \Gamma$ , then  $\models \Gamma$

In particular, for any  $A \in \mathcal{F}$ ,

If  $\vdash_{\mathbf{RS}} A$ , then  $\models A$

## Completeness Theorem

Our goal now is to prove **Completeness Part** of the **Completeness Theorem**, i.e. to prove that the following holds

For any  $A \in \mathcal{F}$ ,

If  $\models A$ , then  $\vdash_{\text{RS}} A$

We prove instead the **opposite implication**

### RS Completeness Part

If  $\not\vdash_{\text{RS}} A$  then  $\not\models A$

Here are main steps and facts needed for proof

**Step 1** Define, for each  $A \in \mathcal{F}$  its **decomposition tree**  $T_A$

## Completeness Theorem

**Step 2** Prove the following Lemmas

### Lemma 1

For any  $A \in \mathcal{F}$ , the decomposition tree  $T_A$  is **unique**

### Lemma 2

For any  $A \in \mathcal{F}$ ,  $T_A$  has the following property:

$\not\models_{RS} A$  if and only if **there is a leaf** of  $T_A$  which is **not an axiom**

## Completeness Theorem

### Lemma 3

For any  $A \in \mathcal{F}$ , such that  $T_A$  has a **non-axiom** leaf, and for any truth assignment  $v$ , such that

$$v^*(\text{non-axiom leaf}) = F$$

the  $v$  also **falsifies**  $A$ , i.e.

$$v^*(A) = F$$

## Proof of Completeness Theorem

### Proof of Completeness Theorem

Assume that  $A$  is any formula is such that

$$\not\models_{\text{RS}} A$$

By **Lemma 2** the decomposition tree  $T_A$  contains a non-axiom leaf

The non-axiom leaf  $L_A$  **defines** a truth assignment  $v$  which falsifies  $A$ , as follows:

$$v(a) = \begin{cases} F & \text{if } a \text{ appears in } L_A \\ T & \text{if } \neg a \text{ appears in } L_A \\ \text{any value} & \text{if } a \text{ does not appear in } L_A \end{cases}$$

This proves **Lemma 3** that

$$\not\models A$$



## RS: DECOMPOSITION TREES

## Decomposition Trees

The process of **searching for a proof** of a formula  $A \in \mathcal{F}$  in **RS** consists of building a certain tree  $T_A$ , called a **decomposition tree**

Building a **decomposition tree**, i.e. a **proof search tree** consists in the **first step** of **transforming** the **RS rules** into corresponding **decomposition rules**

## RS Decomposition Rules

Here are all of **RS decomposition rules**

### Disjunction decomposition rules

$$(U) \frac{\Gamma', (A \cup B), \Delta}{\Gamma', A, B, \Delta}, \quad (\neg U) \frac{\Gamma', \neg(A \cup B), \Delta}{\Gamma', \neg A, \Delta ; \Gamma', \neg B, \Delta}$$

### Conjunction decomposition rules

$$(\cap) \frac{\Gamma', (A \cap B), \Delta}{\Gamma', A, \Delta ; \Gamma', B, \Delta}, \quad (\neg \cap) \frac{\Gamma', \neg(A \cap B), \Delta}{\Gamma', \neg A, \neg B, \Delta}$$

## Decomposition Rules

### Implication decomposition rules

$$(\Rightarrow) \frac{\Gamma', (A \Rightarrow B), \Delta}{\Gamma', \neg A, B, \Delta}, \quad (\neg \Rightarrow) \frac{\Gamma', \neg(A \Rightarrow B), \Delta}{\Gamma', A, \Delta \ ; \ \Gamma', \neg B, \Delta}$$

### Negation decomposition rule

$$(\neg\neg) \frac{\Gamma', \neg\neg A, \Delta}{\Gamma', A, \Delta}$$

where  $\Gamma' \in \mathcal{F}'^*$ ,  $\Delta \in \mathcal{F}^*$ ,  $A, B \in \mathcal{F}$

## Tree Decomposition Rules

We write the **decomposition rules** in a **visual tree form** as follows

### Tree Decomposition Rules

**( $\cup$ ) rule**

$$\Gamma', (A \cup B), \Delta$$

$$| (\cup)$$

$$\Gamma', A, B, \Delta$$

## Tree Decomposition Rules

$(\neg\cup)$  rule

$$\Gamma', \neg(A \cup B), \Delta$$

$$\bigwedge(\neg\cup)$$

$$\Gamma', \neg A, \Delta$$

$$\Gamma', \neg B, \Delta$$

$(\cap)$  rule

$$\Gamma', (A \cap B), \Delta$$

$$\bigwedge(\cap)$$

$$\Gamma', A, \Delta$$

$$\Gamma', B, \Delta$$

## Tree Decomposition Rules

$(\neg\cup)$  rule

$$\Gamma', \neg(A \cap B), \Delta$$

$$| (\neg\cap)$$

$$\Gamma', \neg A, \neg B, \Delta$$

$(\Rightarrow)$  rule

$$\Gamma', (A \Rightarrow B), \Delta$$

$$| (\cup)$$

$$\Gamma', \neg A, B, \Delta$$

## Tree Decomposition Rules

$(\neg \Rightarrow)$  rule

$$\Gamma', \neg(A \Rightarrow B), \Delta$$

$$\bigwedge (\neg \Rightarrow)$$

$$\Gamma', A, \Delta$$

$$\Gamma', \neg B, \Delta$$

$(\neg \neg)$  rule

$$\Gamma', \neg \neg A, \Delta$$

$$| (\neg \neg)$$

$$\Gamma', A, \Delta$$



## Definitions and Observations

**Observe** that we use the **same names** for the **inference** and **decomposition** rules, as once the we have built the decomposition tree with **all leaves** being **axioms**, it constitutes a **proof** of **A** in **RS** with **branches labeled** by the proper **inference rules**

Now we still need to introduce few standard and **useful definitions** and observations.

### **Definition: Indecomposable Sequence**

A sequence  $\Gamma'$  built only out of literals, i.e.  $\Gamma \in \mathcal{F}'^*$  is called an **indecomposable sequence**

## Definitions and Observations

### Definition: Decomposable Formula

A formula that is **not a literal**, i.e.  $A \in \mathcal{F} - LT$  is called a **decomposable formula**

### Definition: Decomposable Sequence

A sequence  $\Gamma$  that contains a **decomposable formula** is called a **decomposable sequence**

## Definitions and Observations

### Observation 1

Decomposition rules are functions with disjoint domains, i.e.

For any **decomposable** sequence, i.e. for any  $\Gamma \notin LT^*$  there is **exactly one** decomposition rule that can be applied to it

This rule is **determined** by the **first decomposable formula** in  $\Gamma$  and by the **main connective** of that formula

## Definitions and Observations

### Observation 2

If the **main connective** of the **first** decomposable formula is  $\cup, \cap, \Rightarrow$ ,

then the **decomposition rule** determined by it is  $(\cup), (\cap), (\Rightarrow)$ , respectively

### Observation 3

If the **main connective** of the **first** decomposable formula **A** is negation  $\neg$

then the **decomposition rule** is determined by the **second connective** of the formula **A**

The corresponding **decomposition rules** are  $(\neg\cup), (\neg\cap), (\neg\neg), (\neg\Rightarrow)$

## Lemma

Because of the importance of the **Observation 1** we re-write it in a form of the following

### Unique Decomposition Lemma

For any sequence  $\Gamma \in \mathcal{F}^*$ ,

$\Gamma \in LT^*$  or  $\Gamma$  is in the domain of exactly one of RS  
Decomposition Rules

## Decomposition Tree Definition

### Definition: Decomposition Tree $T_A$

For each  $A \in \mathcal{F}$ , a **decomposition tree**  $T_A$  is a tree build as follows

#### Step 1.

The formula  $A$  is the **root** of  $T_A$

For any other **node**  $\Gamma$  of the tree we follow the steps below

#### Step 2.

If  $\Gamma$  is **indecomposable** then  $\Gamma$  becomes a **leaf** of the tree

## Decomposition Tree Definition

### Step 3.

If  $\Gamma$  is **decomposable**, then we **traverse**  $\Gamma$  from **left** to **right** and identify the **first decomposable formula**  $B$

By the **Unique Decomposition Lemma** and **Observations 2,3** there is **exactly one** decomposition rule determined by the **main connective** of  $B$

**We put** its premiss as a **node below**, or its left and right premisses as the left and right **nodes below**, respectively

### Step 4.

We **repeat** steps 2 and 3 until we obtain only **leaves**

## Decomposition Theorem

We now our **Lemmas 1,2 3** needed for the proof of the **Completeness Theorem** into one

### Decomposition Tree Theorem

For any sequence  $\Gamma \in \mathcal{F}^*$  the following conditions hold

1.  $T_\Gamma$  is finite and unique
2.  $T_\Gamma$  is a proof of  $\Gamma$  in **RS** if and only if **all its leafs** are **axioms**
3.  $\not\models_{\text{RS}} \Gamma$  if and only if  $T_\Gamma$  has a **non- axiom** leaf



## Theorem

### Proof

The tree  $T_\Gamma$  is unique by the **Unique Decomposition Lemma**

It is **finite** because there is a finite number of logical connectives in  $\Gamma$  and **all decomposition rules** diminish the number of connectives

If the tree  $T_\Gamma$  has a **non- axiom** leaf it is **not a proof** by definition

By **1.** it also means that the **proof does not exist**

## Example

### Example

Let's construct, as an example a decomposition tree  $T_A$  of the following formula  $A$

$$((a \cup b) \Rightarrow \neg a) \cup (\neg a \Rightarrow \neg c))$$

The formula  $A$  forms a one element **decomposable sequence**

The **first** decomposition rule used is determined by its **main connective**

We put a **box** around it, to make it more visible

$$((a \cup b) \Rightarrow \neg a) \boxed{\cup} (\neg a \Rightarrow \neg c))$$

## Example

The **first** and **only** decomposition rule to be applied is  $(\cup)$

The **first segment** of the decomposition tree  $\mathbf{T}_A$  is

$$((a \cup b) \Rightarrow \neg a) \boxed{\cup} (\neg a \Rightarrow \neg c))$$

$$| (\cup)$$

$$((a \cup b) \Rightarrow \neg a), (\neg a \Rightarrow \neg c)$$

## Example

Now we **decompose** the sequence

$$((a \cup b) \Rightarrow \neg a), (\neg a \Rightarrow \neg c)$$

It is a **decomposable** sequence with the first, decomposable formula

$$((a \cup b) \Rightarrow \neg a)$$

The next step of the construction of our decomposition tree is determined by its main connective  $\Rightarrow$  and we put the box around it

$$((a \cup b) \boxed{\Rightarrow} \neg a), (\neg a \Rightarrow \neg c)$$

## Example

The **decomposition tree** becomes now

$$((a \cup b) \Rightarrow \neg a) \boxed{\cup} (\neg a \Rightarrow \neg c))$$

$$| (\cup)$$

$$((a \cup b) \boxed{\Rightarrow} \neg a), (\neg a \Rightarrow \neg c)$$

$$| (\Rightarrow)$$

$$\neg(a \cup b), \neg a, (\neg a \Rightarrow \neg c)$$

## Example

The next sequence to decompose is

$$\neg(a \cup b), \neg a, (\neg a \Rightarrow \neg c)$$

with the first decomposable formula

$$\neg(a \cup b)$$

Its main connective is  $\neg$ , so to find the appropriate rule we have to examine **next connective**, which is  $\cup$

The **decomposition rule** determine by this stage of decomposition is  $(\neg\cup)$

## Example

Next stage of the construction of the decomposition tree  $T_A$  is

$$((a \cup b) \Rightarrow \neg a) \sqcup (\neg a \Rightarrow \neg c)$$

$$| (\cup)$$

$$((a \cup b) \Rightarrow \neg a), (\neg a \Rightarrow \neg c)$$

$$| (\Rightarrow)$$

$$\neg(a \cup b), \neg a, (\neg a \Rightarrow \neg c)$$

$$\bigwedge (\neg \cup)$$

$$\neg a, \neg a, (\neg a \Rightarrow \neg c)$$

$$\neg b, \neg a, (\neg a \Rightarrow \neg c)$$

## Example

Finally, the complete  $\mathbf{T}_A$  is

$$((a \cup b) \Rightarrow \neg a) \boxed{\cup} (\neg a \Rightarrow \neg c))$$

$$| (\cup)$$

$$((a \cup b) \boxed{\Rightarrow} \neg a), (\neg a \Rightarrow \neg c)$$

$$| (\Rightarrow)$$

$$\boxed{\neg}(a \boxed{\cup} b), \neg a, (\neg a \Rightarrow \neg c)$$

$$\bigwedge (\neg \cup)$$

$$\neg a, \neg a, (\neg a \boxed{\Rightarrow} \neg c)$$

$$| (\Rightarrow)$$

$$\neg a, \neg a, \boxed{\neg \neg} a, \neg c$$

$$| (\neg \neg)$$

$$\neg a, \neg a, a, \neg c$$

$$\neg b, \neg a, (\neg a \boxed{\Rightarrow} \neg c)$$

$$| (\Rightarrow)$$

$$\neg b, \neg a, \boxed{\neg \neg} a, \neg c$$

$$| (\neg \neg)$$

$$\neg b, \neg a, a, \neg c$$



## Example

All leaves of  $T_A$  are axioms

The tree  $T_A$  is a **proof** of  $A$  in **RS**, i.e.

$$\vdash_{\mathbf{RS}} ((a \cup b) \Rightarrow \neg a) \cup (\neg a \Rightarrow \neg c))$$

## Example

**Example** Given a formula  $A$  and its decomposition tree  $T_A$

$$(((a \Rightarrow b) \wedge \neg c) \vee (a \Rightarrow c))$$

$$\mid (\vee)$$

$$((a \Rightarrow b) \wedge \neg c), (a \Rightarrow c)$$

$$\bigwedge (\wedge)$$

$$(a \Rightarrow b), (a \Rightarrow c)$$

$$\neg c, (a \Rightarrow c)$$

$$\mid (\Rightarrow)$$

$$\mid (\Rightarrow)$$

$$\neg c, \neg a, c$$

$$\neg a, b, (a \Rightarrow c)$$

$$\mid (\Rightarrow)$$

$$\neg a, b, \neg a, c$$

## Counter Model

Consider a non-axiom leaf of  $T_A$

$$\neg a, b, \neg a, c$$

We will now define a **counter-model generated** by a decomposition tree  $T_A$

$v$ , by definition is any variable assignment

$$v : VAR \longrightarrow \{T, F\}$$

- ▶ that makes this non-axiom leaf **false** i.e. for example we put

$$v(a) = T, v(b) = F, v(c) = F$$

Obviously, we have that

$$v^*(\neg a, b, \neg a, c) = \neg T \cup F \cup \neg T \cup F = F$$

## Counter Model

We have **proved** that **RS** is **strongly sound**

The **strong soundness** of the rules means that if **one of premisses** of a rule is **false**, so is the **conclusion**

Hence, the **strong soundness** of the rules **proves**, by induction on the degree of sequences  $\Gamma \in T_A$ , which  $v$  that made a leaf **false falsifies all sequences** on the **branch** of  $T_A$  that ends with the already **falsified** leaf

## Counter Model Theorem

We have hence proved the following

### Counter Model Theorem

Let  $A \in \mathcal{F}$  be such that its decomposition tree  $T_A$  contains a **non-axiom** leaf  $L_A$

Any truth assignment  $v$  that **falsifies**  $L_A$  is a **counter model** for  $A$

## Counter Model

In particular, the formula **A** belongs to the branch with **falsified non- axiom leaf**

$$\neg a, b, \neg a, c$$

By the **Counter Model Theorem**

$$v^*(A) = v^*(((a \Rightarrow b) \cap \neg c) \cup (a \Rightarrow c)) = F$$

i.e. **v** is a **counter-model** for **A** and we proved that

$$\not\models A$$

## Counter Model

**F** "climbs" the tree **T<sub>A</sub>**

$$(((a \Rightarrow b) \cap \neg c) \cup (a \Rightarrow c)) = \mathbf{F}$$

| ( $\cup$ )

$$((a \Rightarrow b) \cap \neg c), (a \Rightarrow c) = \mathbf{F}$$

$\bigwedge$  ( $\cap$ )

$$(a \Rightarrow b), (a \Rightarrow c) = \mathbf{F}$$

| ( $\Rightarrow$ )

$$\neg a, b, (a \Rightarrow c) = \mathbf{F}$$

| ( $\Rightarrow$ )

$$\neg a, b, \neg a, c = \mathbf{F}$$

$$\neg c, (a \Rightarrow c)$$

| ( $\Rightarrow$ )

$$\neg c, \neg a, c$$

*axiom*

## Counter Model

**Observe** that the same **counter model construction** applies to any other **non-axiom leaf**, if exists

The other **non-axiom leaf** gives the other **F** **climbs the tree** picture, and hence another **counter-model** for **A**

By **Decomposition Tree Theorem** all possible restricted **counter-models** for **A** are those generated by all **non-axioms** leaves of the  **$T_A$**

In our case the formula **A** has only **one non-axiom** leaf, and hence only one restricted **counter model**



## Completeness Theorem Revisited

### RS Completeness Theorem

For any  $A \in \mathcal{F}$ ,

If  $\models A$ , then  $\vdash_{\text{RS}} A$

We prove instead the **opposite implication**

### RS Completeness Theorem

If  $\not\vdash_{\text{RS}} A$  then  $\not\models A$

## Proof of Completeness Theorem

### Proof of Completeness Theorem

Assume that  $A$  is any formula is such that

$$\not\models_{RS} A$$

By the **Decomposition Tree Theorem** the  $T_A$  contains a **non-axiom leaf**

The non-axiom leaf  $L_A$  **defines** a truth assignment  $v$  which **falsifies** it as follows:

$$v(a) = \begin{cases} F & \text{if } a \text{ appears in } L_A \\ T & \text{if } \neg a \text{ appears in } L_A \\ \text{any value} & \text{if } a \text{ does not appear in } L_A \end{cases}$$

Hence by **Counter Model Theorem** we have that  $v$  also **falsifies**  $A$ , i.e.

$$\not\models A$$

PART2:  
RS1, RS2, RS3 Proof Systems

## RS1 Proof System

**Language** of **RS1** is the same as the language of **RS**, i.e.

$$\mathcal{L} = \mathcal{L}_{\{\neg, \Rightarrow, \cup, \cap\}}$$

The **rules of inference** of our system **RS1** operate as rules of **RS** on **finite sequences** of formulas and we adopt

$$\mathcal{E} = \mathcal{F}^*$$

as the set of **expressions** of **RS1**

### Notation

Elements of  $\mathcal{E}$  are finite sequences of formulas and we denote them by

$$\Gamma, \Delta, \Sigma \dots$$

with indices if necessary.

## Rules of inference of RS1

**Proof System RS1** contains **seven inference rules**, denoted by the same symbols as the rules of **RS**

$(\cup)$ ,  $(\neg\cup)$ ,  $(\cap)$ ,  $(\neg\cap)$ ,  $(\Rightarrow)$ ,  $(\neg\Rightarrow)$ ,  $(\neg\neg)$

The inference rules of **RS1** are quite similar to the rules of **RS** - look at them CAREFULLY! to see where lies the difference!

### REMINDER: Definition

Any **propositional variable**, or a **negation of propositional variable** is called a **literal**

The set

$$LT = VAR \cup \{\neg a : a \in VAR\}$$

is called a set of all **propositional literals**

The **variables** are called **positive literals**

**Negations of variables** are called **negative literals**.

## Literals Notation

We denote, as before, by

$$\Gamma', \Delta', \Sigma' \dots$$

finite sequences (empty included) formed out of **literals** i.e

$$\Gamma', \Delta', \Sigma' \in LT^*$$

We will denote by

$$\Gamma, \Delta, \Sigma \dots$$

the elements of  $\mathcal{F}^*$

## Logical Axioms of RS1

We adopt all logical **axiom** of **RS** as the axioms of **RS1**, i.e.

Logical Axioms **LA** of **RS1** are as follows

$$\Gamma'_1, a, \Gamma'_2, \neg a, \Gamma'_3$$

$$\Gamma'_1, \neg a, \Gamma'_2, a, \Gamma'_3$$

where  $a \in \text{VAR}$  is any **propositional variable**

## Inference Rules of RS1

### Disjunction rules

$$(\cup) \frac{\Gamma, A, B, \Delta'}{\Gamma, (A \cup B), \Delta'}$$

$$(\neg\cup) \frac{\Gamma, \neg A, \Delta' ; \Gamma, \neg B, \Delta'}{\Gamma, \neg(A \cup B), \Delta'}$$

### Conjunction rules

$$(\cap) \frac{\Gamma, A, \Delta' ; \Gamma, B, \Delta'}{\Gamma, (A \cap B), \Delta'}$$

$$(\neg\cap) \frac{\Gamma, \neg A, \neg B, \Delta'}{\Gamma, \neg(A \cap B), \Delta'}$$



## Inference Rules of RS1

### Implication rules

$$(\Rightarrow) \frac{\Gamma, \neg A, B, \Delta'}{\Gamma, (A \Rightarrow B), \Delta'}$$

$$(\neg \Rightarrow) \frac{\Gamma, A, \Delta' : \Gamma, \neg B, \Delta'}{\Gamma, \neg(A \Rightarrow B), \Delta'}$$

### Negation rule

$$(\neg\neg) \frac{\Gamma, A, \Delta'}{\Gamma, \neg\neg A, \Delta'}$$

where  $\Gamma' \in LT^*$ ,  $\Delta \in \mathcal{F}^*$ ,  $A, B \in \mathcal{F}$

## Proof System RS1

Formally we define the system **RS1** as follows

$$\mathbf{RS1} = (\mathcal{L}_{\{\neg, \Rightarrow, \cup, \cap\}}, \mathcal{E}, \mathbf{LA}, \mathcal{R})$$

where

$$\mathcal{R} = \{(\cup), (\neg \cup), (\cap), (\neg \cap), (\Rightarrow), (\neg \Rightarrow), (\neg \neg)\}$$

for the inference rules is defined above and **LA** is the set of all logical axioms (the same as for **RS**)

## System RS1

### Exercise

1. Construct a proof in **RS1** of a formula

$$A = (\neg(a \cap b) \Rightarrow (\neg a \cup \neg b))$$

2. Prove that **RS1** is **strongly sound**

3. Define in your own words, for any formula  $A$ , the decomposition tree  $T_A$  in **RS1**

4. Prove **Completeness Theorem** for **RS1**

## System RS1

The decomposition tree  $T_A$  in **RS1** is a **proof** of **A** in **RS1** as all leaves are axioms

$T_A$

$$(\neg(a \cap b) \Rightarrow (\neg a \cup \neg b))$$

| ( $\Rightarrow$ )

$$(\neg\neg(a \cap b), (\neg a \cup \neg b))$$

| ( $\cup$ )

$$\neg\neg(a \cap b), \neg a, \neg b$$

| ( $\neg\neg$ )

$$(a \cap b), \neg a, \neg b$$

$\bigwedge$  ( $\cap$ )

$a, \neg a, \neg b$

$b, \neg a, \neg b$

## Strong Soundness of RS1

2. **Observe** that the system **RS1** is obtained from **RS** by **changing** the sequence  $\Gamma'$  into  $\Gamma$  and the sequence  $\Delta$  into  $\Delta'$  in **all** of the **rules of inference** of **RS**

These changes do **not influence the essence** of proof of **strong soundness** of the rules of **RS**

One has just to replace the sequence  $\Gamma'$  by  $\Gamma$  and  $\Delta$  by  $\Delta'$  in the the **proof** of **strong soundness** of each rule of **RS** to obtain the **corresponding proof** of **strong soundness** of corresponding rule of **RS1**

We do it, for example for the rule  $(\cup)$  of **RS1** as follows

## Strong Soundness of RS1

Consider the rule  $(\cup)$  of **RS1**

$$(\cup) \quad \frac{\Gamma, A, B, \Delta'}{\Gamma, (A \cup B), \Delta'}$$

We evaluate:

$$\begin{aligned} v^*(\Gamma, A, B, \Delta') &= v^*(\delta_{\{\Gamma, A, B, \Delta'\}}) = v^*(\Gamma) \cup v^*(A) \cup v^*(B) \cup v^*(\Delta') \\ &= v^*(\Gamma) \cup v^*(A \cup B) \cup v^*(\Delta') = v^*(\delta_{\{\Gamma, (A \cup B), \Delta'\}}) \\ &= v^*(\Gamma, (A \cup B), \Delta') \end{aligned}$$

## Decomposition Trees in RS1

**3.** The definition of the decomposition tree  $T_A$  is again, it its essence similar to the one for **RS** except for the changes which reflect the **differences** in the corresponding rules of inference

We follow now the following steps

### Step 1

Decompose using rule defined by the main connective of a decomposable formula  $B$

### Step 2

Traverse resulting sequence  $\Gamma$  on the new node of the tree from **RIGHT** to **LEFT** and **find** **first decomposable** formula

### Step 3

Repeat **Step 1** and **Step 2** until **no more decomposable** formulas

### End of Tree Construction

## Decomposition Trees in RS1

4.

**Observe** that directly from the definition of the the decomposition tree  $T_A$  we have that the following holds

**Fact 1:** The decomposition tree  $T_A$  is a **proof** iff **all leaves are axioms**

**Fact 2:** The **proof does not exist** otherwise, i.e.

$\not\models_{RS1} A$  iff **there is a non- axiom leaf on  $T_A$**

**Fact 2** holds because the tree because the tree  $T_A$  is unique

**Observe** that we need **Facts 1, 2** in order to prove **Completeness Theorem** by construction of a **counter-model** generated by a the **a non- axiom leaf**



## Proof of Completeness Theorem for RS1

### Proof of Completeness Theorem

Assume that  $A$  is any formula is such that

$$\not\models_{\text{RS1}} A$$

By **Fact 2** the decomposition tree  $T_A$  contains a **non-axiom leaf**

The non-axiom leaf  $L_A$  **defines** a truth assignment  $v$  which falsifies  $A$ , as follows:

$$v(a) = \begin{cases} F & \text{if } a \text{ appears in } L_A \\ T & \text{if } \neg a \text{ appears in } L_A \\ \text{any value} & \text{if } a \text{ does not appear in } L_A \end{cases}$$

This proves that

$$\not\models A$$

## System RS2

### Definition

System **RS2** is a proof system obtained from **RS** by **changing** the sequences  $\Gamma'$  into  $\Gamma$  in **all of the rules** of inference of **RS**

The logical axioms **LA** remind the same

### Exercises

**E1** Construct **two** decomposition trees in **RS2** of the formula

$$(\neg(\neg a \Rightarrow (a \cap \neg b)) \Rightarrow (\neg a \cap (\neg a \cup \neg b)))$$

**E2** Show that **RS2** is **strongly sound**

**E3** Prove the **Soundness Theorem** for **RS2**

## System RS2

### Exercises

**E3** Define shortly, in your own words, for any formula  $A$ , its decomposition tree  $T_A$  in **RS2**

Justify why your definition is correct

Show that in **RS2** the decomposition tree for as given formula  $A$  may **not be unique**

**E4** Prove the **Completeness Theorem** for **RS2**

## System RS2

### Exercise

Write a procedure  $TREE_A$  such that for any formula  $A$  of **RS2** it produces its **UNIQUE decomposition tree** and prove **COMPLETENESS** of this procedure

## System RS3

### Definition

System **RS2** is a proof system obtained from **RS** by changing its **LA** to the following set of axioms

The rules of inference remind the same

$$\Gamma_1, A, \Gamma_2, \neg A, \Gamma_3$$

$$\Gamma_1, \neg A, \Gamma_2, A, \Gamma_3$$

where  $A \in \mathcal{F}$  is any **formula**

We denote by **LA** the set of all **logical axioms** of **RS3**

## System RS3

**Prove the Completeness Theorem for RS3**