

## 1 YES/NO questions

1. All infinite sets have different cardinality.  
**Justify:** The sets  $N$  (natural numbers) and  $Z$  (integers) have the same cardinality:  $|N| = |Z| = \aleph_0$ . This is not the only example. n
2. Regular language is a regular expression.  
**Justify:** By definition: "A language  $L$  is regular iff there is a regular expression  $\alpha$  such that  $L = \mathcal{L}(\alpha)$ ." y
3.  $L^+ = \{w_1 \dots w_n : w_i \in L, i = 1, 2, \dots, n, n \geq 2\}$ .  
**Justify:** the correct condition is  $n \geq 1$ . n
4.  $L^+ = L^* - \{e\}$ .  
**Justify:** It holds only when  $e \notin L$ . When  $e \in L$  we get that  $e \in L^+$  and  $e \notin L^* - \{e\}$ . n
5. Let  $\alpha = (\emptyset^* \cap b^*) \cup \emptyset^*$ . The language defined by  $\alpha$  is empty.  
**Justify:**  $L = \mathcal{L}(\alpha) = (\{e\} \cap \{b\}^*) \cup \{e\} = \{e\} \cup \{e\} = \{e\} \neq \emptyset$  n
6. A configuration of any finite automaton  $M = (K, \Sigma, \Delta, s, F)$  is any element of  $K \times \Sigma^* \times K$ .  
**Justify:** it is element of  $K \times \Sigma^*$  n
7. Let  $M$  be a finite state automaton,  $L(M) = \{\omega \in \Sigma^* : (s, \omega) \xrightarrow{*,M} (q, e)\}$ .  
**Justify:** only when  $q \in F$  n
8. For any  $M, L(M) \neq \emptyset$  if and only if the set  $F$  of its final states is non-empty.  
**Justify:** Let  $M$  be such that  $\Sigma = \emptyset, F \neq \emptyset, s \notin F$ , we get  $L(M) = \emptyset$ . n
9.  $L(M_1) = L(M_2)$  if and only if  $M_1, M_2$  are finite automata.  
**Justify:** Let for example n
10. DFA and N DFA recognize the same class of languages.  
**Justify:** theorem proved in class y

## 2 Two definitions of a non-deterministic automaton

**BOOK DEFINITION:**  $M = (K, \Sigma, \Delta, s, F)$  is non-deterministic when

$$\Delta \subseteq K \times (\Sigma \cup \{e\}) \times K$$

**OBSERVE** that  $\Delta$  is always finite because  $K, \Sigma$  are finite sets.

**LECTURE DEFINITION:**  $M = (K, \Sigma, \Delta, s, F)$  is non-deterministic when  $\Delta$  is finite and

$$\Delta \subseteq K \times \Sigma^* \times K$$

**OBSERVE** that we have to say in this case that  $\Delta$  is finite because  $\Sigma^*$  is infinite.

**SOLVING PROBLEMS** you can use any of these definitions.

### 3 Very short questions

For the QUESTIONS below do the following.

1. Determine whether it defines a finite state automaton.
2. Determine whether it is a deterministic / non-deterministic automaton.
3. Write full definition of  $M$  by listing all its components.
4. Describe the language by writing a regular expression or a property that defines it.

**Q1 Solution:**  $M = (K, \Sigma, s, \Delta, F)$  for  $K = \{q_0\} = F$ ,  $s = q_0$ ,  $\Sigma = \emptyset$ ,  $\Delta = \emptyset$ .  $M$  is deterministic and

$$L(M) = \{e\} \neq \emptyset$$

**Q2 Solution:**  $M = (K, \Sigma, s, \Delta, F)$  for  $\Sigma = \{a, b\}$ ,  $K = \{q_0, q_1\}$ ,  $s = q_0$ ,  $F = \{q_0\}$ ,  $\Delta = \{(q_0, a, q_1), (q_1, b, q_0)\}$ .  $M$  is non deterministic;  $\Delta$  is not a function on  $K \times \Sigma$ .

$$L(M) = (ab)^*$$

**Q3 Solution:**  $M = (K, \Sigma, s, \Delta, F)$  for  $\Sigma = \{a, b\}$ ,  $K = \{q_0, q_1, q_2\}$ ,  $F = \{q_1\}$ ,  $\Delta = \{(q_0, a, q_1), (q_0, b, q_1), (q_1, a, q_1), (q_1, e, q_2), (q_2, ab, q_2)\}$ . It is NOT an automaton. It has no initial state.

### 4 Problems

**PROBLEM 1** For the automata  $M$  defined below describe the property defining  $L(M)$ .

**Components** of  $M = (K, \Sigma, \delta, s, F)$  are:

$\Sigma = \{a, b\}$ ,  $K = \{q_0, q_1, q_2, q_3\}$ ,  $s = q_0$ ,  $q_3$  is a trap state,  $F = \{q_0, q_1, q_2\}$ . We define  $\delta$  on non-trap states as follows.

$$\delta(q_0, a) = q_1, \delta(q_0, b) = q_2,$$

$$\delta(q_1, b) = q_2,$$

$$\delta(q_2, a) = q_1.$$

**Language** of  $M$  is:

$$L(M) = \{w \in \Sigma^* : w \text{ can never have 2 consecutive a's nor 2 consecutive b's}\}.$$

**PROBLEM 2** Let

$$M = (K, \Sigma, s, \Delta, F)$$

for  $K = \{q_0\}$ ,  $s = q_0$ ,  $\Sigma = \{a, b\}$ ,  $F = \{q_0\}$  and

$$\Delta = \{(q_0, aba, q_0), (q_0, ab, q_0)\}$$

1. List some elements of  $L(M)$ .

**Solution**

$$e, ab, abab, ababa, ababaaba, \dots$$

2. Write a regular expression for the language accepted by  $M$ .

**Solution**

$$L = (ab \cup aba)^*$$

**PROBLEM 3**

Let  $M$  be defined as follows

$$M = (K, \Sigma, s, \Delta, F)$$

for  $K = \{q_0, q_1, q_2, q_3\}$ ,  $s = q_0$

$\Sigma = \{a, b, c\}$ ,  $F = \{q_0, q_2, q_3\}$  and

$\Delta = \{(q_0, abc, q_0), (q_0, a, q_1), (q_0, e, q_3), (q_1, bc, q_1), (q_1, b, q_2), (q_2, a, q_2), (q_2, b, q_3), (q_3, a, q_3)\}$ .

1. Draw the state diagram of  $M$ .
2. Find the regular expression describing the  $L(M)$ . Explain your steps.

$$L = \alpha_1 \cup \alpha_2 \cup \alpha_3 \cup \alpha_4$$

where

$\alpha_1 = (abc)^*$  - loop on  $q_0$ ,

$\alpha_2 = (abc)^*a(bc)^*ba^*$  - path from  $q_0$  to  $q_2$ ,

$\alpha_3 = (abc)^*a(bc)^*ba^*ba^*$  - path from  $q_0$  to  $q_3$  via  $q_2$ ,

$\alpha_4 = (abc)^*a^*$  - path from  $q_0$  directly to  $q_3$

This is not the only solution.

Observe that  $e \in L$  as  $q_0 \in F$  and also  $(q_0, e, q_3) \in \Delta$  and  $q_3 \in F$ .

This is not the only solution.

Write down (you can draw the diagram) an automata  $M'$  such that  $M' \equiv M$  and  $M'$  is defined by the **BOOK definition**.

**Solution**

**Solution** We apply the "stretching" technique to  $M$  and the new  $M'$  is as follows.

$$M' = (K \cup \{p_1, p_2, p_3\}, \Sigma, s = q_0, \Delta', F' = F)$$

for  $K = \{q_0, q_1, q_2\}$ ,  $s = q_0$

$\Sigma = \{a, b\}$ ,  $F' = \{q_0, q_2, q_3\}$  and

$\Delta' = \{(q_0, a, q_1), (q_0, e, q_3), (q_1, b, q_2), (q_2, a, q_2), (q_2, b, q_3), (q_3, a, q_3)\}$   
 $\cup \{(q_0, a, p_1), (p_1, b, p_2), (p_2, c, q_0), (q_1, b, p_3), (p_3, b, q_1)\}$ .

**EXTRA CREDIT**

For  $M$  defined as follows

$$M = (K, \Sigma, s, \Delta, F)$$

for  $K = \{q_0, q_1, q_2, q_3\}$ ,  $s = q_0$

$\Sigma = \{a, b\}$ ,  $F = \{q_2, q_3\}$  and

$\Delta = \{(q_0, a, q_1), (q_0, e, q_1), (q_0, b, q_2), (q_1, b, q_3), (q_1, e, q_3), (q_2, b, q_2), (q_2, e, q_3), (q_3, a, q_3)\}$

1. **Write 4 steps** of the general method of transformation the NFA  $M$ , into an equivalent deterministic  $M'$ .
2. **Draw the State Diagram** of  $M'$  thus far constructed.

Reminder:  $E(q) = \{p \in K : (q, e) \xrightarrow{*,M} (p, e)\}$  and

$$\delta(Q, \sigma) = \bigcup \{E(p) : \exists q \in Q, (q, \sigma, p) \in \Delta\}.$$

**Solution Step 1:**

$$E(q_0) = \{q_0, q_1, q_3\}, E(q_1) = \{q_1, q_3\}, E(q_2) = \{q_2, q_3\}, E(q_3) = \{q_3\}.$$

**Solution Step 2:**

$$\delta(E(q_0), a) = \delta(\{q_0, q_1, q_3\}, a) = E(q_1) \cup E(q_3) = \{q_1, q_3\} \in F,$$

$$\delta(E(q_0), b) = \delta(\{q_0, q_1, q_3\}, b) = E(q_2) \cup E(q_3) \cup \emptyset = \{q_2, q_3\} \in F,$$

**Solution Step 3:**

$$\delta(\{q_1, q_3\}, a) = \emptyset \cup E(q_3) = \{q_3\} \in F,$$

$$\delta(\{q_1, q_3\}, b) = E(q_3) \cup \emptyset = \{q_3\} \in F,$$

$$\delta(\{q_2, q_3\}, a) = \emptyset \cup E(q_3) = \{q_3\} \in F,$$

$$\delta(\{q_2, q_3\}, b) = E\{q_2\} \cup \emptyset = \{q_2, q_3\} \in F$$

**Solution Step 4:**

$$\delta(\{q_3\}, a) = E(q_3) = \{q_3\} \in F, \quad \delta(\{q_3\}, b) = \emptyset,$$

$$\delta(\emptyset, a) = \emptyset, \quad \delta(\emptyset, b) = \emptyset$$

**End** of the construction.