

# Lecture 16: Introduction to Dynamic Programming

**Steven Skiena**

Department of Computer Science  
State University of New York  
Stony Brook, NY 11794-4400

<http://www.cs.sunysb.edu/~skiena>

## Problem of the Day

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*Multisets* are allowed to have repeated elements. A multiset of  $n$  items may thus have fewer than  $n!$  distinct permutations. For example,  $\{1, 1, 2, 2\}$  has only six different permutations:  $\{1, 1, 2, 2\}$ ,  $\{1, 2, 1, 2\}$ ,  $\{1, 2, 2, 1\}$ ,  $\{2, 1, 1, 2\}$ ,  $\{2, 1, 2, 1\}$ , and  $\{2, 2, 1, 1\}$ . Design and implement an efficient algorithm for constructing all permutations of a multiset.

# Dynamic Programming

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Dynamic programming is a very powerful, general tool for solving optimization problems on left-right-ordered items such as character strings.

Once understood it is relatively easy to apply, it looks like magic until you have seen enough examples.

Floyd's all-pairs shortest-path algorithm was an example of dynamic programming.

## Greedy vs. Exhaustive Search

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*Greedy* algorithms focus on making the best local choice at each decision point. In the absence of a correctness proof such greedy algorithms are very likely to fail.

Dynamic programming gives us a way to design custom algorithms which systematically search all possibilities (thus guaranteeing correctness) while storing results to avoid recomputing (thus providing efficiency).

# Recurrence Relations

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A recurrence relation is an equation which is defined in terms of itself. They are useful because many natural functions are easily expressed as recurrences:

Polynomials:  $a_n = a_{n-1} + 1, a_1 = 1 \longrightarrow a_n = n$

Exponentials:  $a_n = 2a_{n-1}, a_1 = 2 \longrightarrow a_n = 2^n$

Weird:  $a_n = na_{n-1}, a_1 = 1 \longrightarrow a_n = n!$

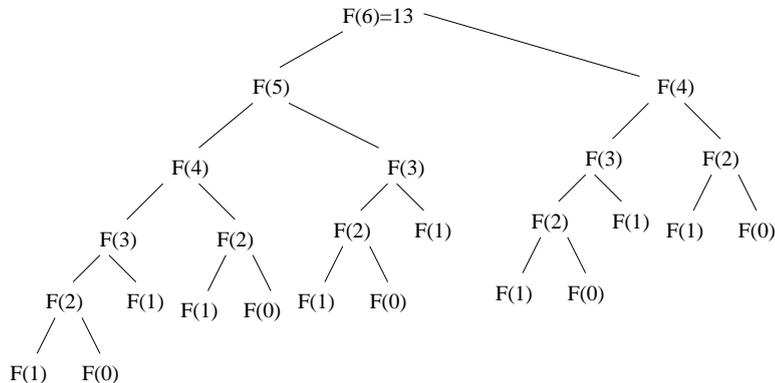
Computer programs can easily evaluate the value of a given recurrence even without the existence of a nice closed form.

# Computing Fibonacci Numbers

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$$F_n = F_{n-1} + F_{n-2}, F_0 = 0, F_1 = 1$$

Implementing this as a recursive procedure is easy, but slow because we keep calculating the same value over and over.



## How Slow?

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$$F_{n+1}/F_n \approx \phi = (1 + \sqrt{5})/2 \approx 1.61803$$

Thus  $F_n \approx 1.6^n$ .

Since our recursion tree has 0 and 1 as leaves, computing  $F_n$  requires  $\approx 1.6^n$  calls!

# What about Dynamic Programming?

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We can calculate  $F_n$  in linear time by storing small values:

$$F_0 = 0$$

$$F_1 = 1$$

For  $i = 1$  to  $n$

$$F_i = F_{i-1} + F_{i-2}$$

*Moral: we traded space for time.*

# Why I Love Dynamic Programming

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Dynamic programming is a technique for efficiently computing recurrences by storing partial results.

Once you understand dynamic programming, it is usually easier to reinvent certain algorithms than try to look them up! I have found dynamic programming to be one of the most useful algorithmic techniques in practice:

- Morphing in computer graphics.
- Data compression for high density bar codes.
- Designing genes to avoid or contain specified patterns.

# Avoiding Recomputation by Storing Partial Results

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The trick to dynamic program is to see that the naive recursive algorithm repeatedly computes the same subproblems over and over and over again. If so, storing the answers to them in a table instead of recomputing can lead to an efficient algorithm.

Thus we must first hunt for a correct recursive algorithm – later we can worry about speeding it up by using a results matrix.

# Binomial Coefficients

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The most important class of counting numbers are the *binomial coefficients*, where  $\binom{n}{k}$  counts the number of ways to choose  $k$  things out of  $n$  possibilities.

- *Committees* – How many ways are there to form a  $k$ -member committee from  $n$  people? By definition,  $\binom{n}{k}$ .
- *Paths Across a Grid* – How many ways are there to travel from the upper-left corner of an  $n \times m$  grid to the lower-right corner by walking only down and to the right? Every path must consist of  $n + m$  steps,  $n$  downward and  $m$  to the right, so there are  $\binom{n+m}{n}$  such sets/paths.

# Computing Binomial Coefficients

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Since  $\binom{n}{k} = n!/((n - k)!k!)$ , in principle you can compute them straight from factorials.

However, intermediate calculations can *easily* cause arithmetic overflow even when the final coefficient fits comfortably within an integer.

# Pascal's Triangle

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No doubt you played with this arrangement of numbers in high school. Each number is the sum of the two numbers directly above it:

$$\begin{array}{c} 1 \\ 1 \ 1 \\ 1 \ 2 \ 1 \\ 1 \ 3 \ 3 \ 1 \\ 1 \ 4 \ 6 \ 4 \ 1 \\ 1 \ 5 \ 10 \ 10 \ 5 \ 1 \end{array}$$

## Pascal's Recurrence

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A more stable way to compute binomial coefficients is using the recurrence relation implicit in the construction of Pascal's triangle, namely, that

$$\binom{n}{k} = \binom{n-1}{k-1} + \binom{n-1}{k}$$

It works because the  $n$ th element either appears or does not appear in one of the  $\binom{n}{k}$  subsets of  $k$  elements.

## Basis Case

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No recurrence is complete without basis cases.

How many ways are there to choose 0 things from a set?

Exactly one, the empty set.

The right term of the sum drives us up to  $\binom{k}{k}$ . How many ways are there to choose  $k$  things from a  $k$ -element set? Exactly one, the complete set.

# Binomial Coefficients Implementation

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```
long binomial_coefficient(n,m)
int n,m; (* compute n choose m *)
{
    int i,j; (* counters *)
    long bc[MAXN][MAXN]; (* table of binomial coefficients *)

    for (i=0; i<=n; i++) bc[i][0] = 1;

    for (j=0; j<=n; j++) bc[j][j] = 1;

    for (i=1; i<=n; i++)
    for (j=1; j<i; j++)
        bc[i][j] = bc[i-1][j-1] + bc[i-1][j];

    return( bc[n][m] );
}
```

# Three Steps to Dynamic Programming

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1. Formulate the answer as a recurrence relation or recursive algorithm.
2. Show that the number of different instances of your recurrence is bounded by a polynomial.
3. Specify an order of evaluation for the recurrence so you always have what you need.